



Outline of the lecture

I. Who I am, what I do.

II. Cool star element abundances

I. Why do we want to know

II. What do we want to know

III. How do we find out

I. The general framework

II. Line formation in model atmospheres

III. The curve of growth

How things started ...

- ... my first spectrograph!
- 1987 as a school project
- Objective prism + normal camera



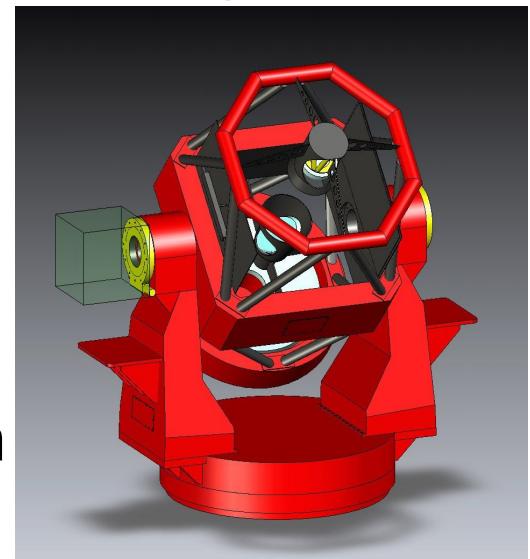
Instrument development (1)

- FOCES a R=64000 Echelle spectrograph



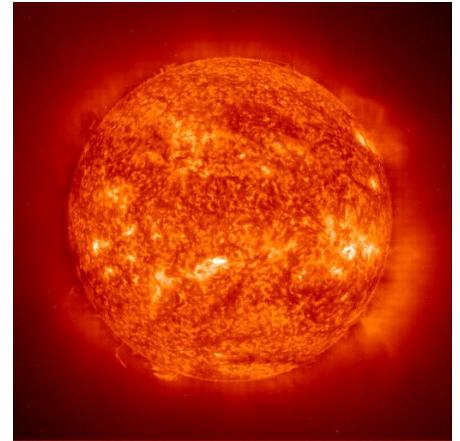
- Fraunhofer Telescope Wendelstein (2m)

- Optical design (with Kayser-Threde Munich)
 - Instruments for the telescope
 - WFI – wide field imager
 - 3KK – three channel camera (2Vis+1IR)
 - Virus-W – 270 channel spectrograph



Scientific interests

- Stellar atmosphere models
 - Opacity sampling
 - Background opacities
 - Convection in stars
- Precise stellar parameters
 - Line formation
 - Model improvements



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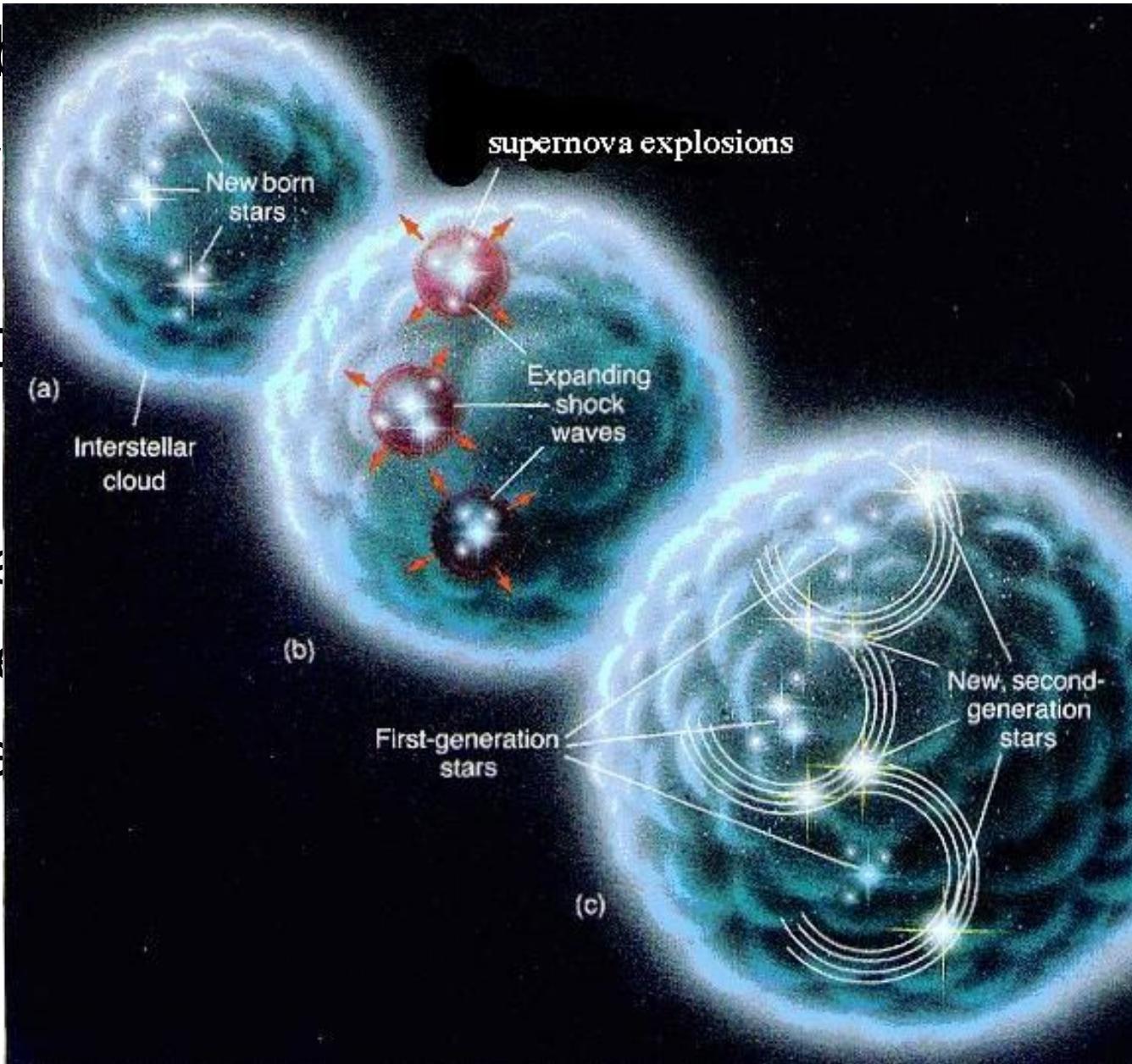
I. The general framework

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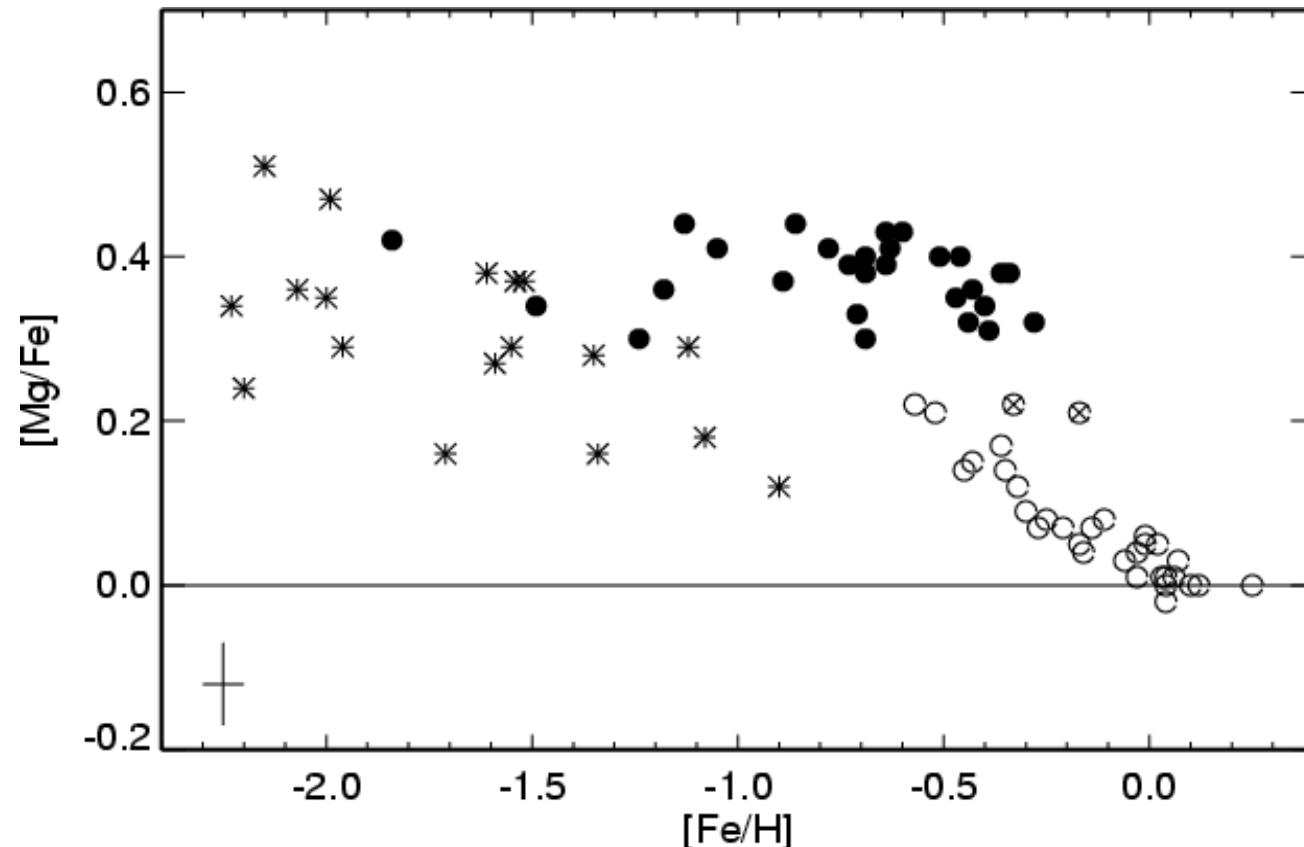
Element abundances (1)

- In the interstellar medium
 - Hydrogen
- Stellar nucleosynthesis and re-ejection
- Re-ejection
 - Stellar winds
 - Planetary nebulae
 - Supernovae



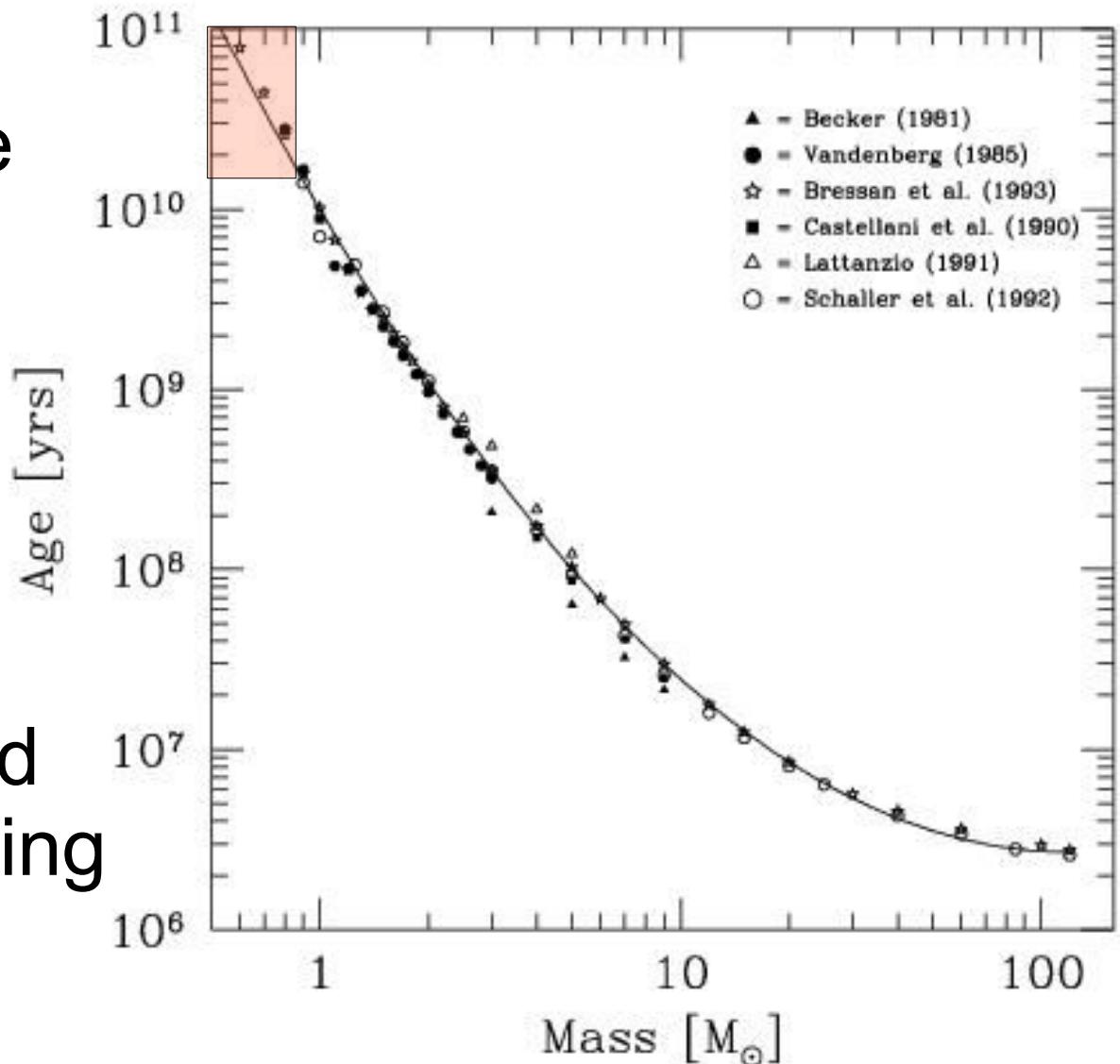
Element abundances (2)

- We can hope to find trends with “time” as:
 - The timescales for SN are different belonging on type and progenitor mass
 - The process goes on recycling material again and again



Element abundances (3)

- How is the knowledge about the past preserved in stars?
- Cool stars evolve really slow
- No mixing of interior and atmosphere for cool stars
- Only diffusion and gravitational settling at work



Element abundances (4)

- So we have interesting questions to answer:
 - How did the universe enrich with heavy elements?
 - What stellar masses dominated the past?
 - How did the first stars “look” like?
 - How can we understand quiet and explosive nucleo-synthesis?
 - Where did the material that **we** consist of come from?

Element abundances (5)

- Definition: **Element abundances are usually measured on a logarithmic mass scale, defining the abundance of Hydrogen $\epsilon(H)=12$.**
- On this scale He has an abundance of 11

Element abundances (6)

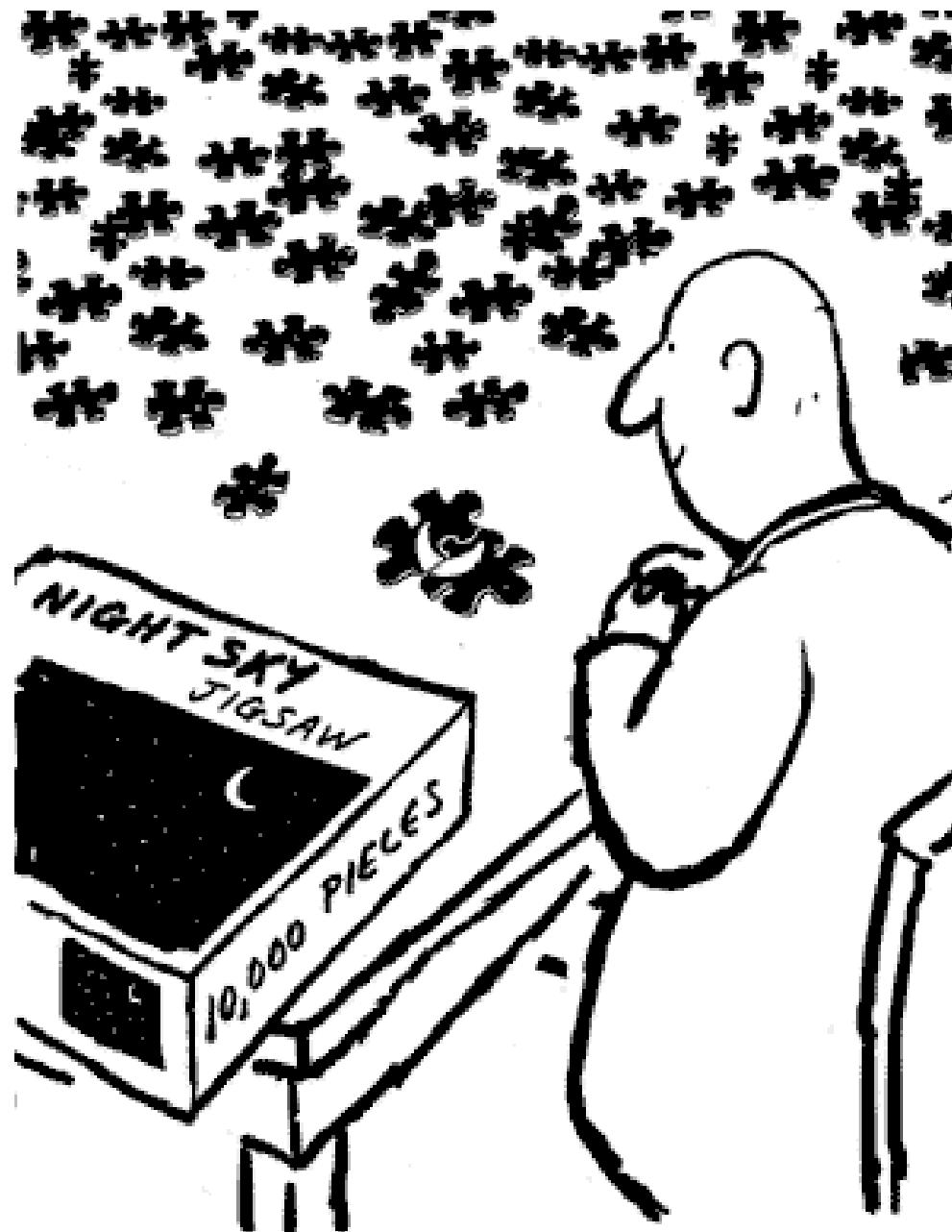
- The solar mixture:
 - “Known” from meteorites and spectroscopy
- Note that C, N and O abundances have recently been revised
- So this is not a “fixed and verified” table... but work in progress

elem	abun	elem	abun	elem	abun	elem	abun
H	12.00	He	11.00	Li	3.31	Be	1.42
B	2.79	C	8.55	N	7.97	O	8.87
F	4.48	Ne	8.08	Na	6.32	Mg	7.58
Al	6.49	Si	7.56	P	5.53	S	7.20
Cl	5.28	Ar	6.52	K	5.13	Ca	6.35
Sc	3.10	Ti	4.94	V	4.02	Cr	5.69
Mn	5.53	Fe	7.50	Co	4.91	Ni	6.25
Cu	4.29	Zn	4.67	Ga	3.13	Ge	3.63
As	2.37	Se	3.38	Br	2.63	Kr	3.23
Rb	2.41	Sr	2.92	Y	2.23	Zr	2.61
Nb	1.40	Mo	1.97	Ru	1.83	Rh	1.10
Pd	1.70	Ag	1.24	Cd	1.76	In	0.82
Sn	2.14	Sb	1.03	Te	2.24	I	1.51
Xe	2.23	Cs	1.13	Ba	2.22	La	1.22
Ce	1.63	Pr	0.80	Nd	1.49	Sm	0.98
Eu	0.55	Gd	1.09	Tb	0.35	Dy	1.17
Ho	0.51	Er	0.97	Tm	0.15	Yb	0.96
Lu	0.13	Hf	0.75	Ta	-0.13	W	0.69
Re	0.28	Os	1.39	Ir	1.37	Pt	1.69
Au	0.87	Hg	1.17	Ti	0.83	Pb	2.06
Bi	0.71	Th	0.09	U	-0.50		

Element abundances (7)



Element abundances (8)



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I. **The general framework**

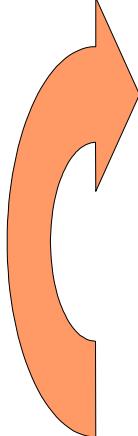
II. Line formation in model atmospheres

III. The curve of growth

IV. Line fitting

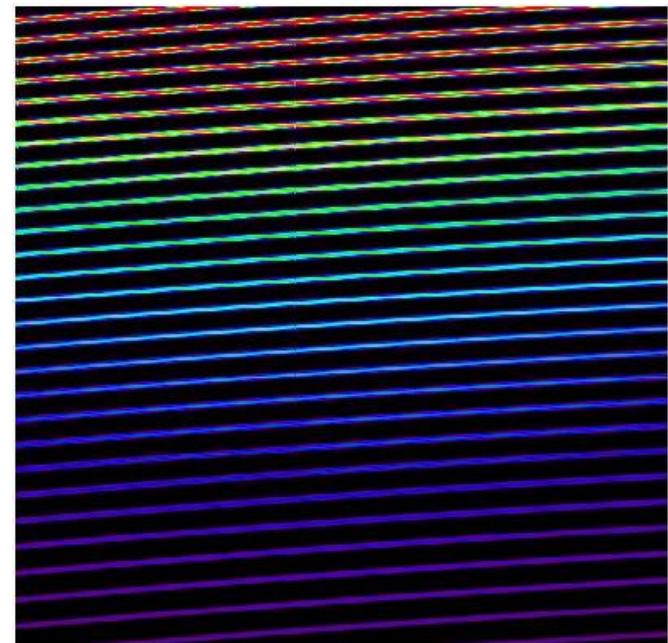
IV. NLTE and why we better use that

Reality and model (1)

- 
- What we do in most cases is
 - Do measurements
 - Calculate a synthetic model representation of the measured quantity
 - Compare model and measurement
 - Adopt some input values in the model
 - Until we reach agreement
 - But be aware that there are uncertainties entering from both “sides”
 - From observation
 - From synthetic observable generation

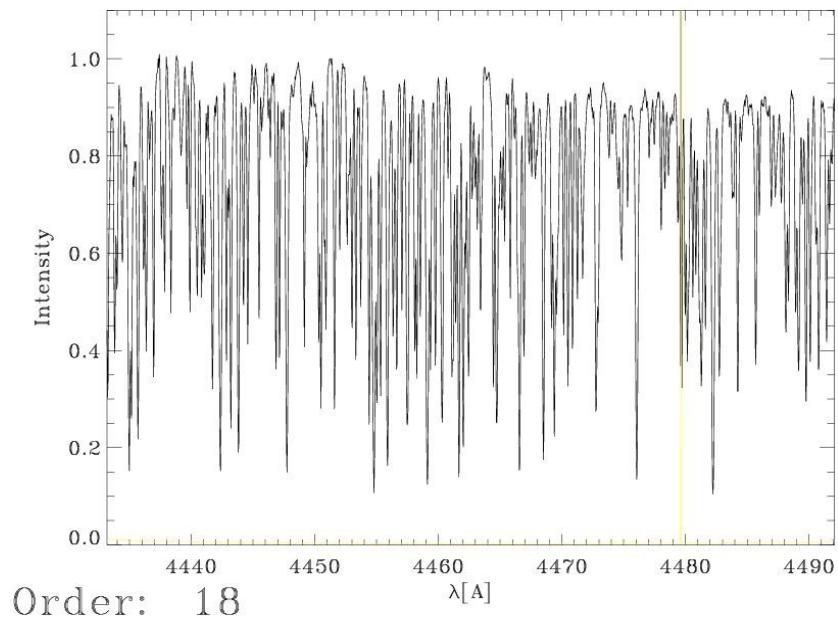
Reality and model (2)

- There were some model assumption needed to reduce our data
- Even the best reduced data will carry your fingerprint and some undetected features that do not come from your desired target of observation



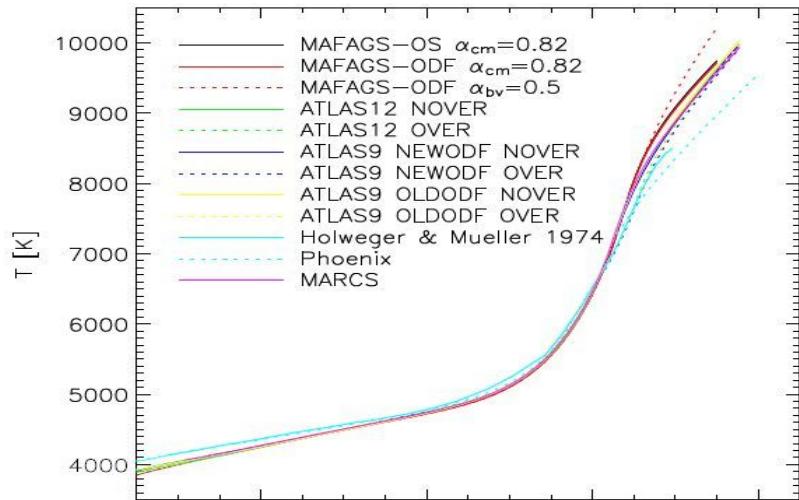
Reality and model (3)

- So the reality of your measurement is not an objective one
- Lets keep that in mind all the time working with data



Reality and model (4)

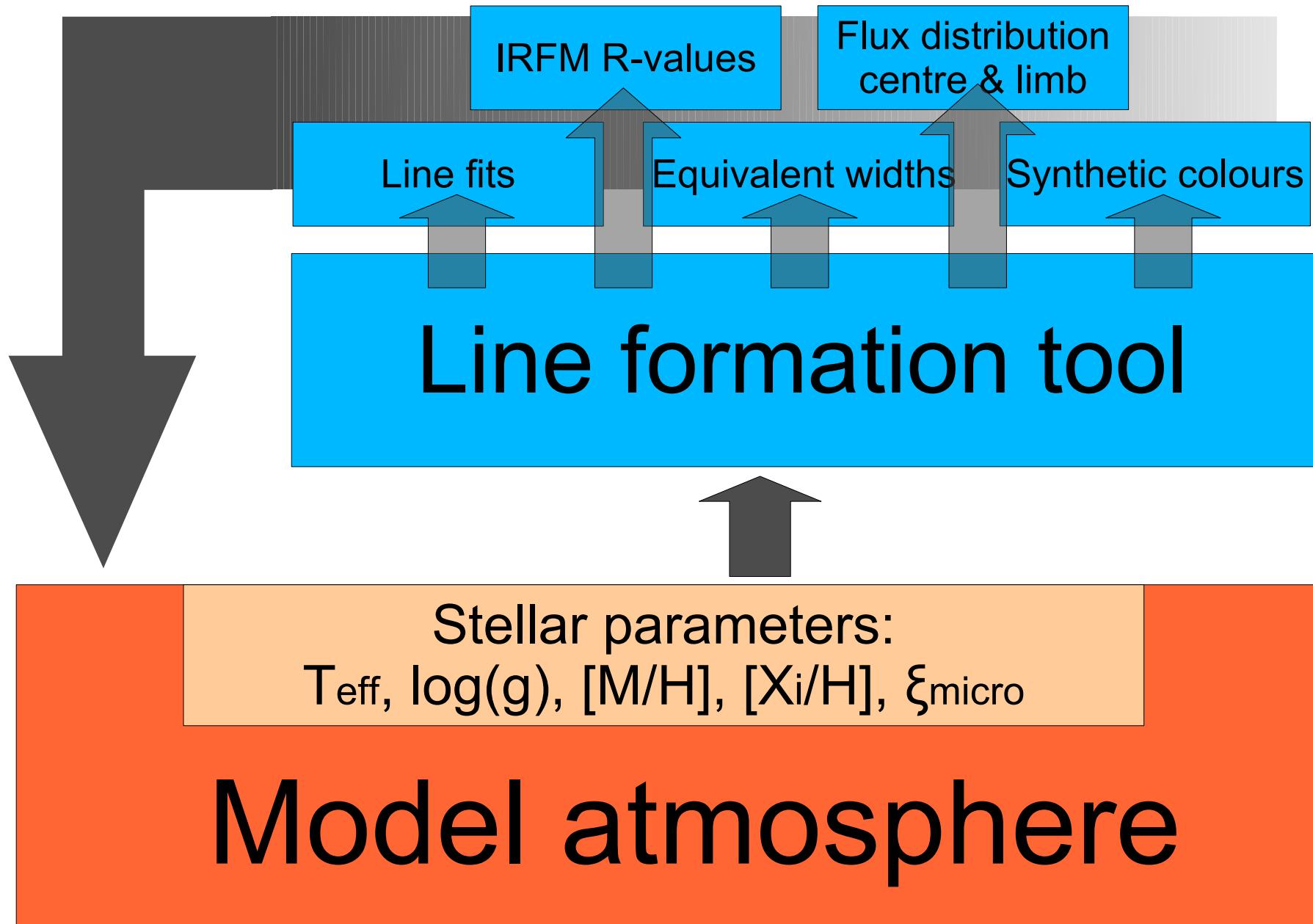
- On the other hand loads of assumptions, empirical knowledge and decisions what method to use enter the modeling process
- What sort of model atmospheres do we use
- What sort of convective treatment
- LTE or Non-LTE
- Where does our atomic data come from
 - Are we using measured or theoretical atomic data
-



Reality and model (5)

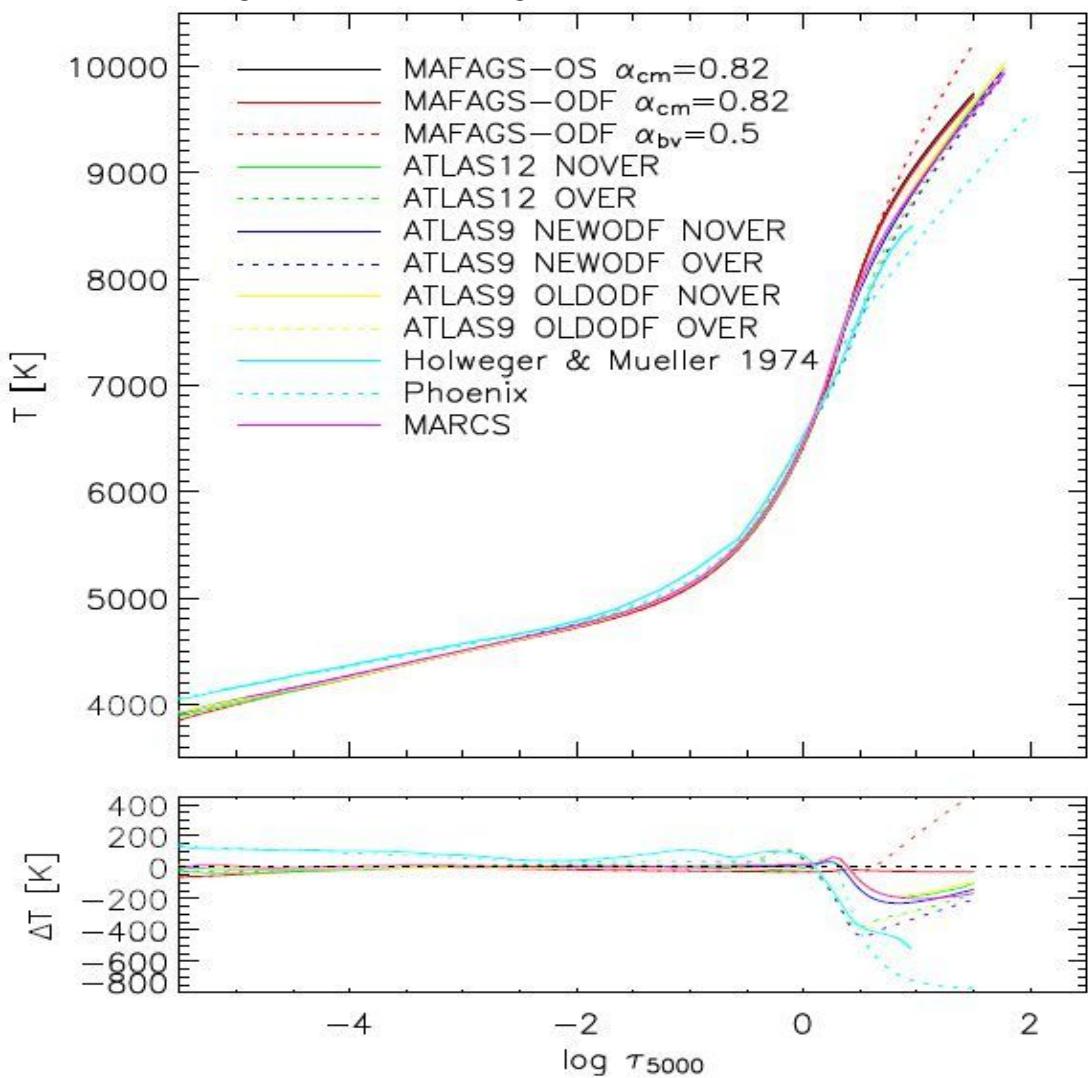
- In most cases neither observed quantities nor the synthetic representation are absolute in any way
- Both, observed data and model representation contribute to the errors
 - It's my personal opinion, that with modern telescopes and spectrographs the latter starts to dominate in many cases
- Although we will not have the appropriate time to do so (today) we should be aware, that a measurement without an appropriate error bar is not worth much

Synthetic data – a sketch (1)



Synthetic data – a sketch (2)

- Model atmospheres are the **backbone** of almost all methods of spectroscopy and synthetic photometry
- There are different approaches to model atmospheres
- They lead to different results
- **All results will depend on the model atmosphere used**



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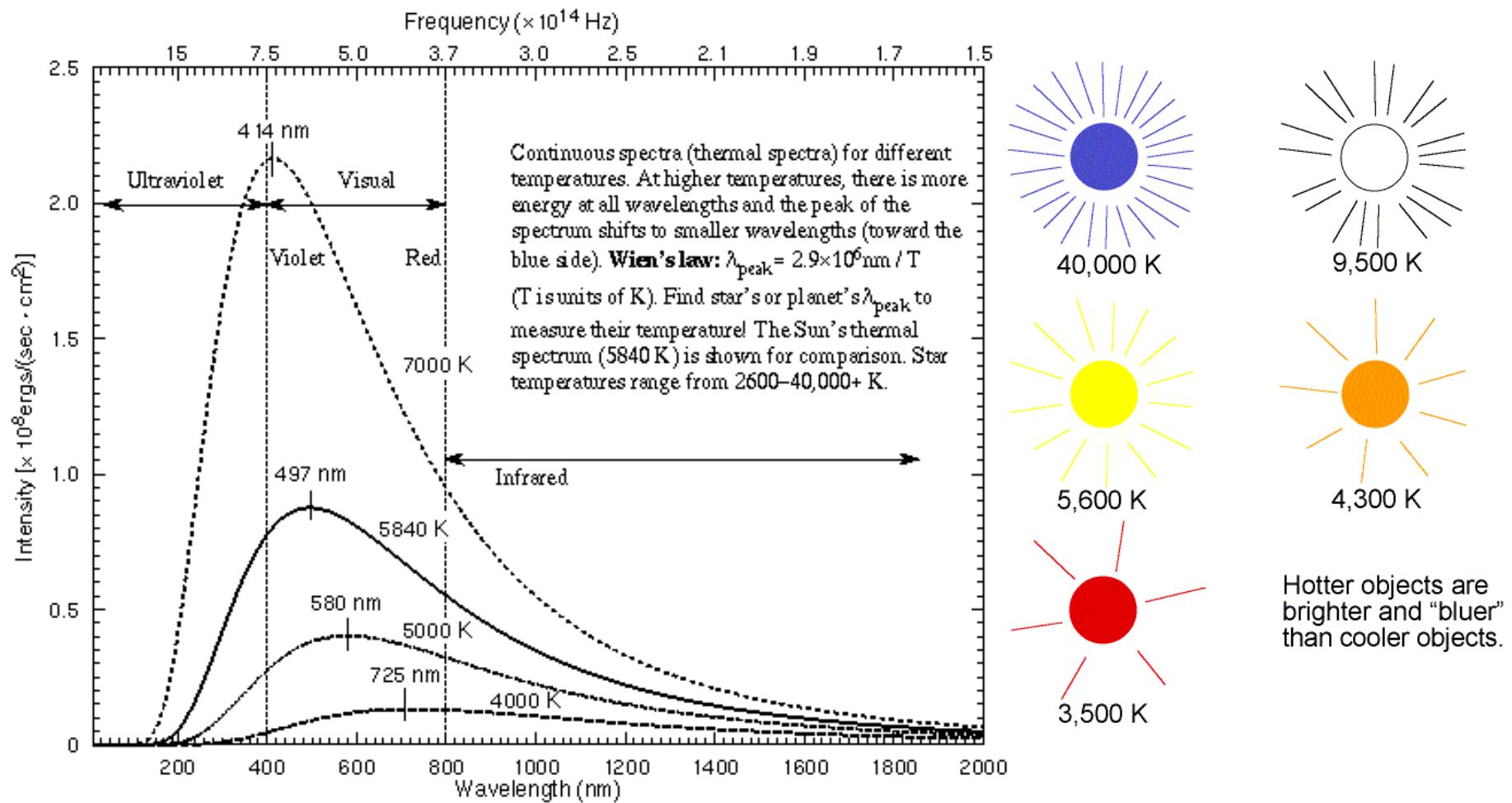
III. The curve of growth

IV. Line fitting

IV. NLTE and why we better use that

Spectra basics (1)

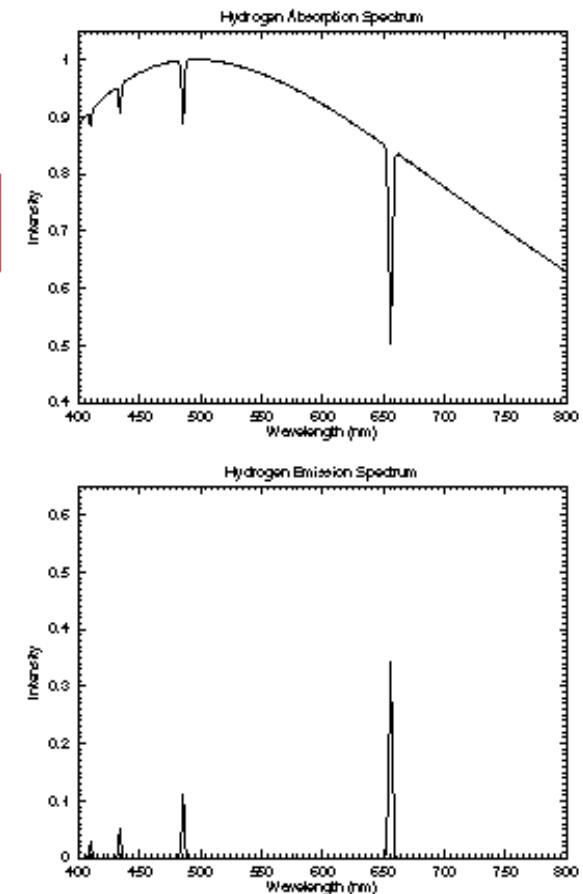
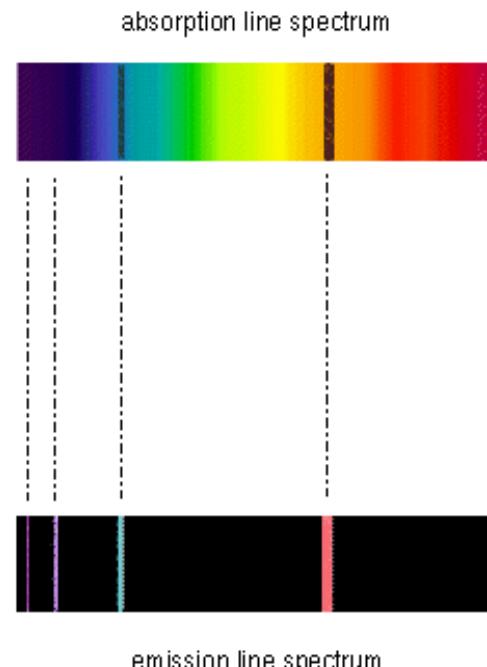
- What is it that shapes our spectra?
 - The temperature of the star through blackbody radiation



Spectra basics (2)

- But lines appear in our spectra

- In absorption

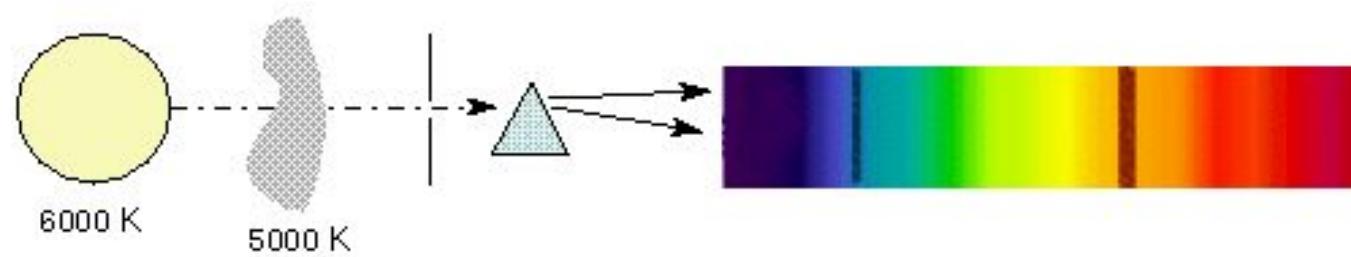


- In emission

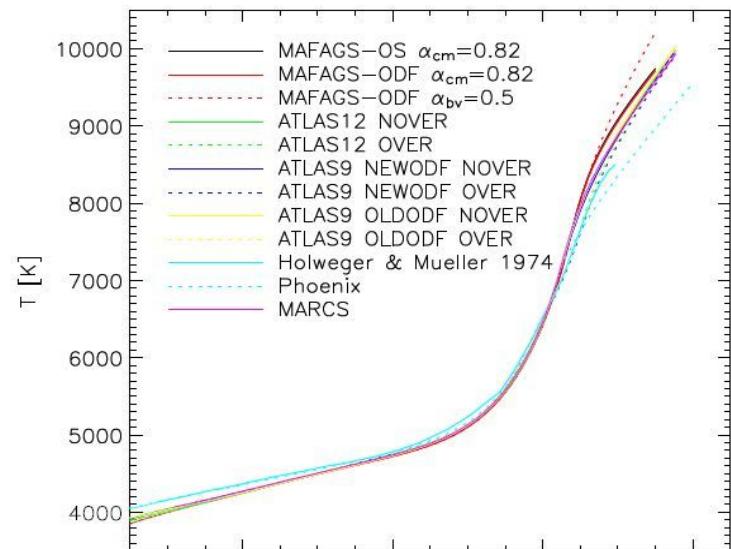
- How can we understand and make use of this effect?
 - **How can we do spectroscopy?**

Spectra basics (3)

- Absorption line spectra

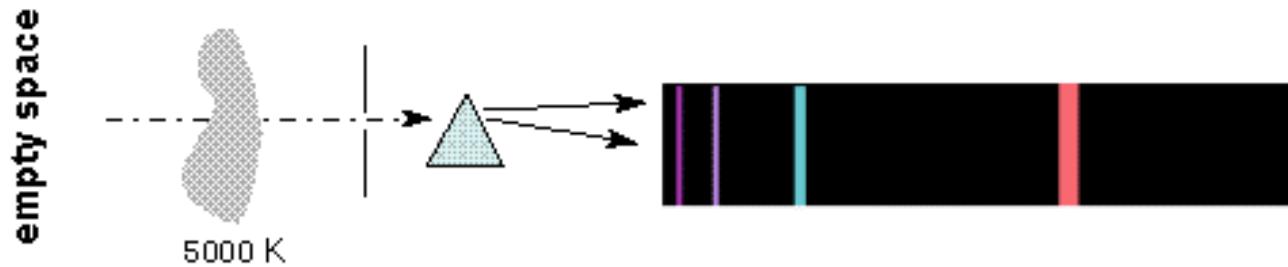


- Are formed if gas with temperatures **lower** than the background source is located between source and observer
- For stellar atmospheres this means, we find absorption if the temperature of the photosphere has a falling gradient towards the outer layers



Spectra basics (4)

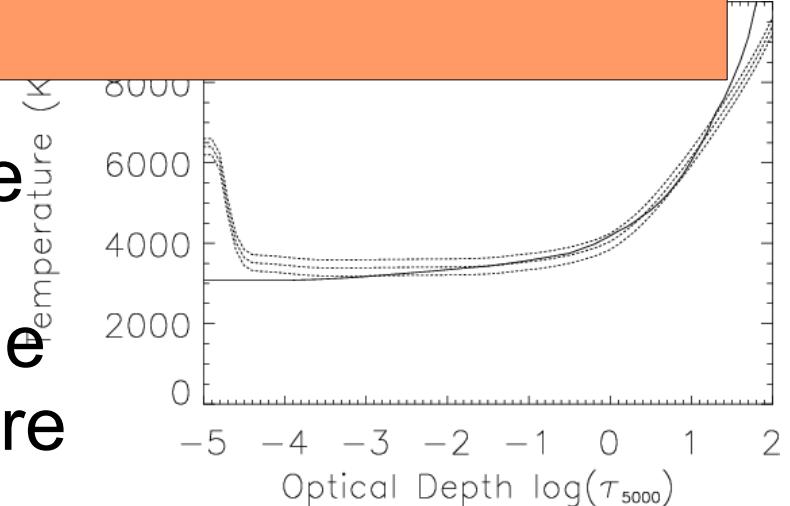
- Emission line spectra



- We will only deal with absorption lines in this lecture!

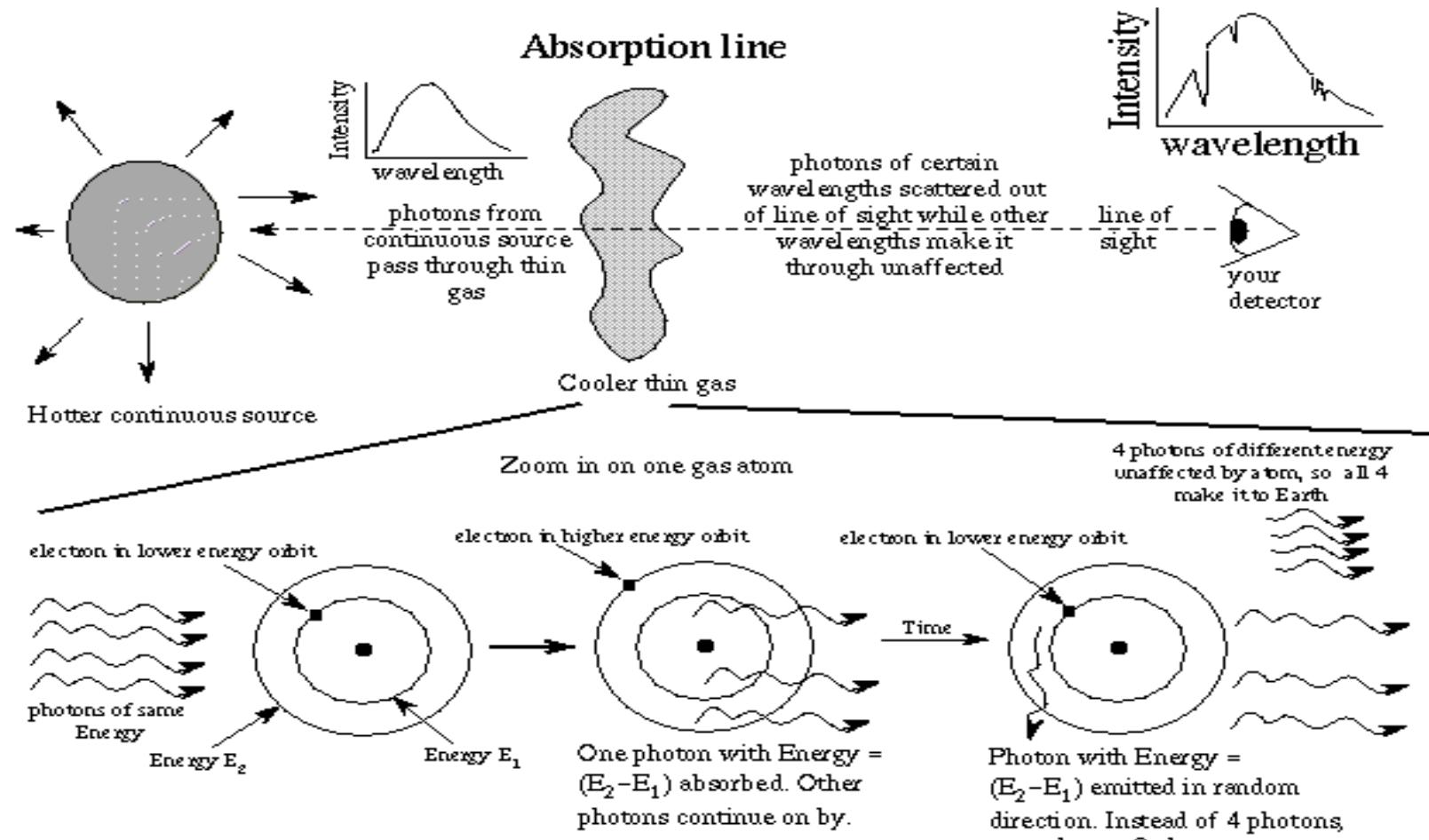
Emission is of few importance in the stars we look at.

- For stellar atmospheres this means, we find emission if the temperature gradient towards the outside is rising. This is the case in the solar chromosphere and corona .



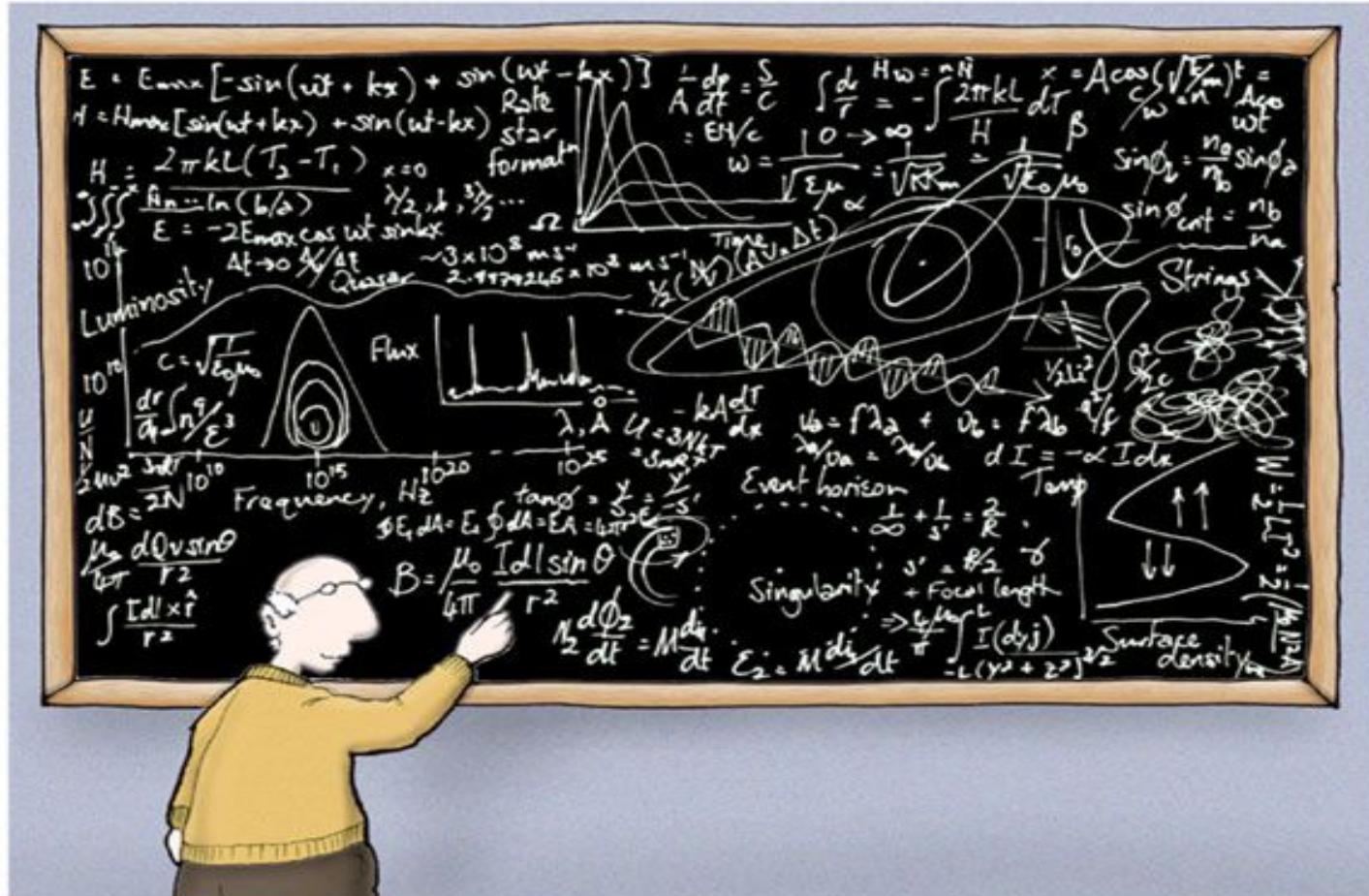
Spectra basics (5)

- A comic type look at absorption



Take a deep breath

- Now come the details



Astrophysics made simple

Optical depth (1)

- The concept of optical depth

$$\frac{I}{I_0} = e^{-\tau}$$
$$\tau = -\ln(\frac{I}{I_0})$$

- T is defined as the negative logarithm of the fraction of light scattered or absorbed along the path
- We often give $\log(\tau) = \log(-\ln(\frac{I}{I_0}))$ as we have to cover a large range of T values
- At $\log(T)=1$ ($T=10$) only a fraction 0.005% of the initial intensity remains.



Optical depth (2)

- Optical depth and absorption-opacity

$$d\tau = -K dz$$

$$d\tau = -\kappa \rho dz$$

$$d\tau = -\kappa \rho \cos(\Theta) dz$$

dT : Change in opt. Depth

K : Absorption coefficient / Opacity

κ : Density dependent absorption coeff.

ρ : Density

Θ : Angle in layer

Optical depth (3)

- Calculating T by integration

$$\tau = \int_0^z K dz$$

- So we have shifted the problem
 - If we know $T(\lambda)$ we know $I(\lambda)$ (what we want)
 - If we know $k(\lambda)$ we know $T(\lambda)$
 - So we have to calculate $k(\lambda)$ for every λ
- That is the main task of spectrum synthesis!



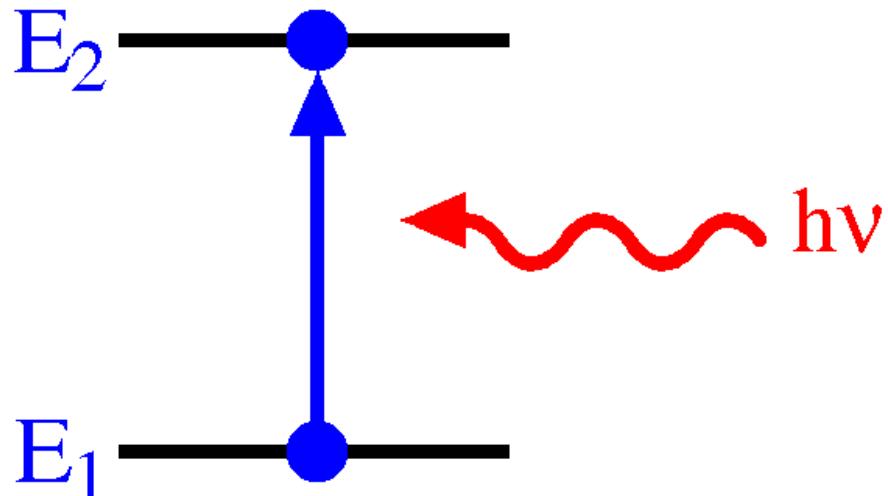
Line absorption (1)

- About Einstein coefficients
 - In radiative equilibrium spontaneous absorption from L1 to L2 is balanced by spontaneous emission from L2 to L1

$$A_{12} = -A_{21}$$

Line absorbtion (2)

- The rate for spontaneous absorption is given by



$$Sp. \ Abs. \ Rate = n_1 B_{12} b_\nu$$

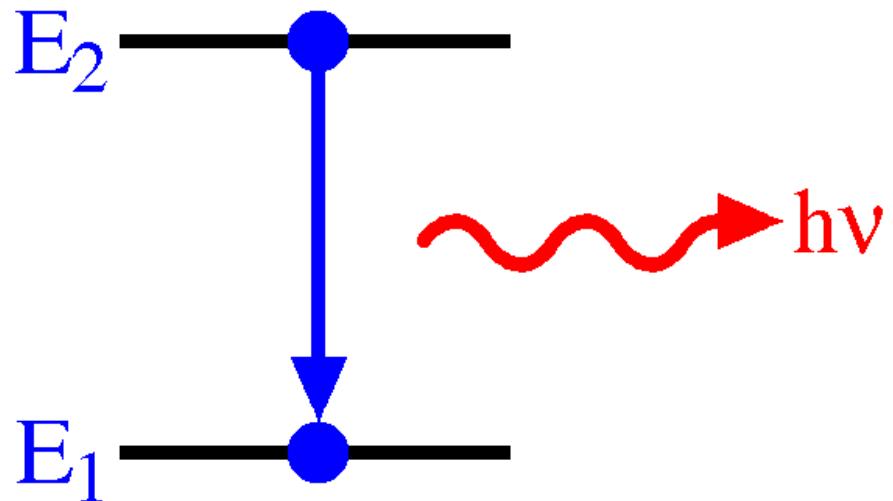
$$B_{12} = \frac{g_2}{g_1} \frac{c^2}{2h \nu} A_{21}$$

n_i : Occupancy of state i
 b_ν : Planck brightness

g_i : Statistical degeneracy
 c : Speed of light
 h : Plancks constant
 ν : Frequency

Line absorbtion (3)

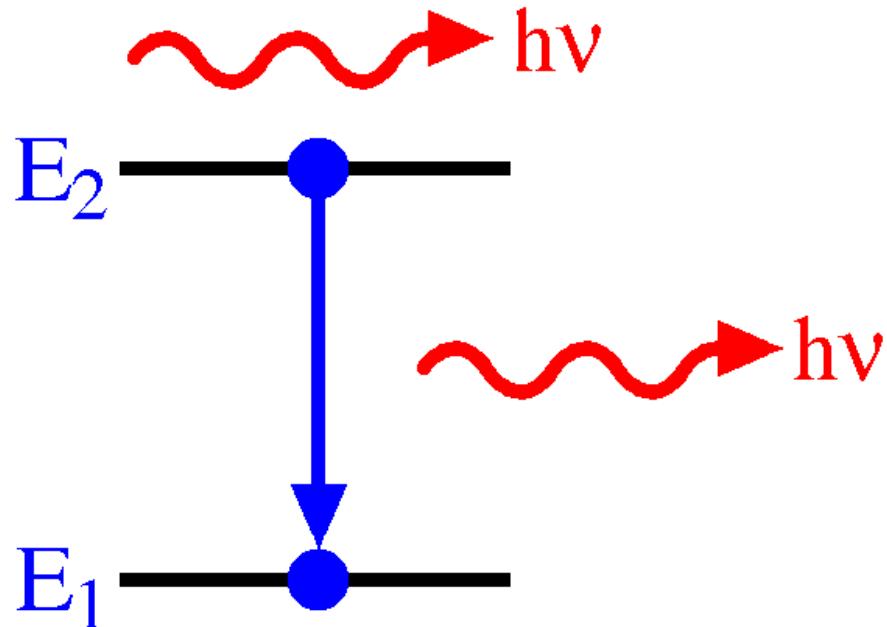
- The rate for spontaneous emission is given by



$$Sp. \text{ Emi. Rate} = n_2 A_{21}$$

Line absorbtion (4)

- The rate for stimulated emission is given by



$$St. Emi. Rate = n_2 B_{21} b_\nu$$

$$B_{21} = \frac{c^2}{2h\nu} A_{21}$$

n_i : Occupancy of state i
 b_ν : Planck brightness

g_i : Statistical degeneracy
 c : Speed of light
 h : Plancks constant
 ν : Frequency

Line absorbtion (5)

- Knowing Einstein coefficients we can rewrite the absorbing opacity of a transition

$$K_{\nu} = (n_1 B_{12} - n_2 B_{21}) \frac{h \nu}{4 \pi} \Phi_{\nu} \quad \phi_{\nu}: \text{Line profile function}$$

Sp. absorption

Correction for
st. emission



Line absorbtion (6)

- Substituting to A_{ij} coefficients

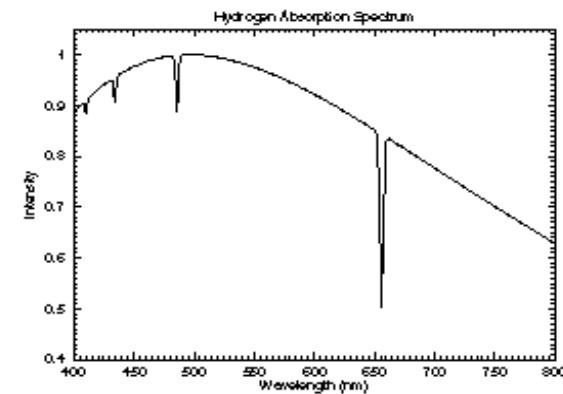
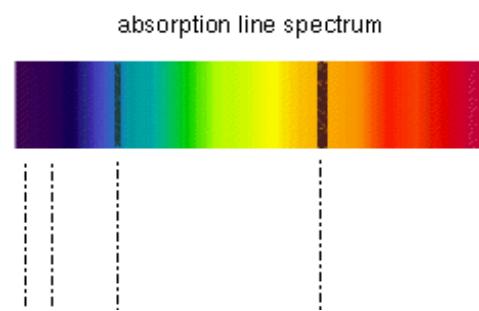
$$K_\nu = \left(n_1 \frac{g_2}{g_1} \frac{c^2}{2 h \nu^3} A_{21} - n_2 \frac{c^2}{2 h \nu^3} A_{12} \right) \frac{h \nu}{4 \pi} \Phi_\nu$$

$$K_\nu = \frac{c^2}{8 \pi \nu^2} A_{21} \left(\frac{g_2}{g_1} n_1 - n_2 \right) \Phi_\nu$$

- So we know how to calculate the opacity of absorbing atoms from basic Einstein coefficients
- Occupancies of state 1 & 2 mix in a sum due to stimulated emission

Line absorbtion (7)

- Lets rewrite our equations in a more practical form and adopted to the application
- We are interested in line absorption relative to the continuum level
- We define the depth of a line as:

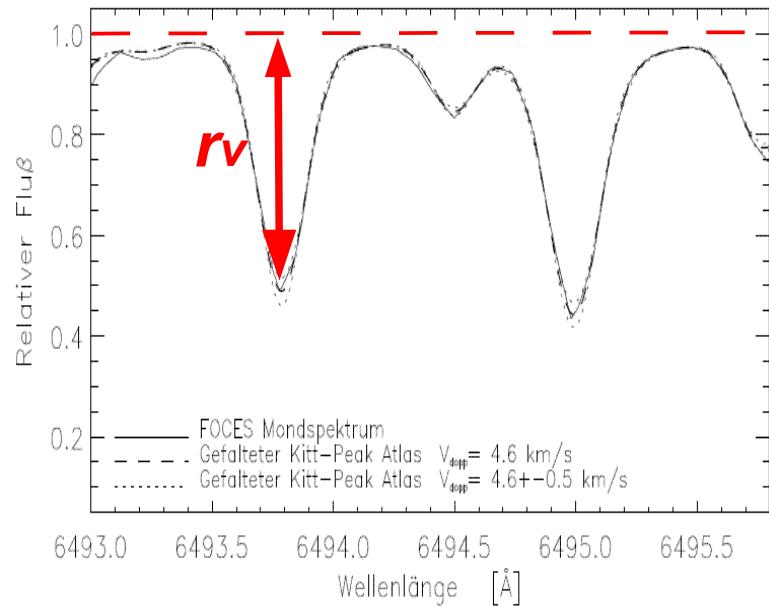


$$r_\lambda(0, \Theta) = \frac{I(0, \Theta) - I_\lambda(0, \Theta)}{I(0, \Theta)}$$

with Θ the angle of emission for wavelength λ

Line absorbtion (8)

$$r_\lambda(0, \Theta) = \frac{I(0, \Theta) - I_\lambda(0, \Theta)}{I(0, \Theta)}$$



with the line free continuum:

$$I(0, \Theta) = \int_0^{\infty} S(\tau) e^{-\tau/\cos(\Theta)} d\tau / \cos(\Theta)$$

$$\tau(t) = \int_0^t \kappa(t') dt'$$

T : Optical depth
 $S(T)$: Source function (e.g. Planck)
 k : Coefficient of continuous absorption

Line absorbtion (9)

- And the line intensity

$$I_\lambda(0, \Theta) = \int_0^\infty S(\tau) e^{-\chi_\lambda / \cos(\Theta)} d\chi_\lambda / \cos(\Theta)$$

$$\chi_\lambda(0, \Theta) = \int_0^\infty (\kappa_\lambda(t') + \kappa(t')) dt'$$

κ_λ : Coefficient of line absorption

Line absorbtion (10)

- In model atmospheres of n-layers the integral

$$I_\lambda(0, \Theta) = \int_0^\infty S(\tau) e^{-\chi_\lambda/\cos(\Theta)} d\chi_\lambda / \cos(\Theta)$$

changes to a sum

$$I_\lambda(0, \Theta) = \sum_{\tau_{min}}^{\tau_{max}} S(\tau) e^{-\chi_\lambda/\cos(\Theta)} \Delta \chi_\lambda / \cos(\Theta)$$

$$\chi_\lambda(0, \Theta) = \sum_{\tau_{min}}^{\tau_{max}} (\kappa_\lambda(t') + \kappa(t')) \Delta t'$$

with finite boundaries

Line absorbtion (11)

- Finally we take a rewritten expression of the line absorption coefficient

$$\kappa_{\lambda} = \frac{1}{4 \pi \epsilon_0} \frac{\pi e^2}{mc} f N_n \Phi(\lambda) E(\lambda, T)$$

f : “f-value” of the transition

N_n : Occupancy of level n

$E(\lambda, T)$: Correction function for
stimulated emission

T : Temperature

- So we have been hiding a lot of things in the “f-value” of the transition
- We use a function $E(\lambda, T)$ to correct for stimulated emission

Line absorbtion (12)

$$\kappa_{\lambda} = \frac{1}{4\pi\epsilon_0} \frac{\pi e^2}{mc} f N_n \Phi(\lambda) E(\lambda, T)$$

- Knowing f and E from atomic physics we still have to learn about
 - The occupancy of the state N_n
 - The profile function Φ that defines the shape of the line

Continuous absorbers (1)

$$\chi_{\nu}(0, \Theta) = \int_0^{\infty} (\kappa_{\nu}(t') + \underline{\kappa(t')}) dt'$$

- We have two major sources of continuous absorption:
 - Bound-free interaction / Ionisation
 - Free-Free interaction / Photon scatter

Continuous absorbers (2)

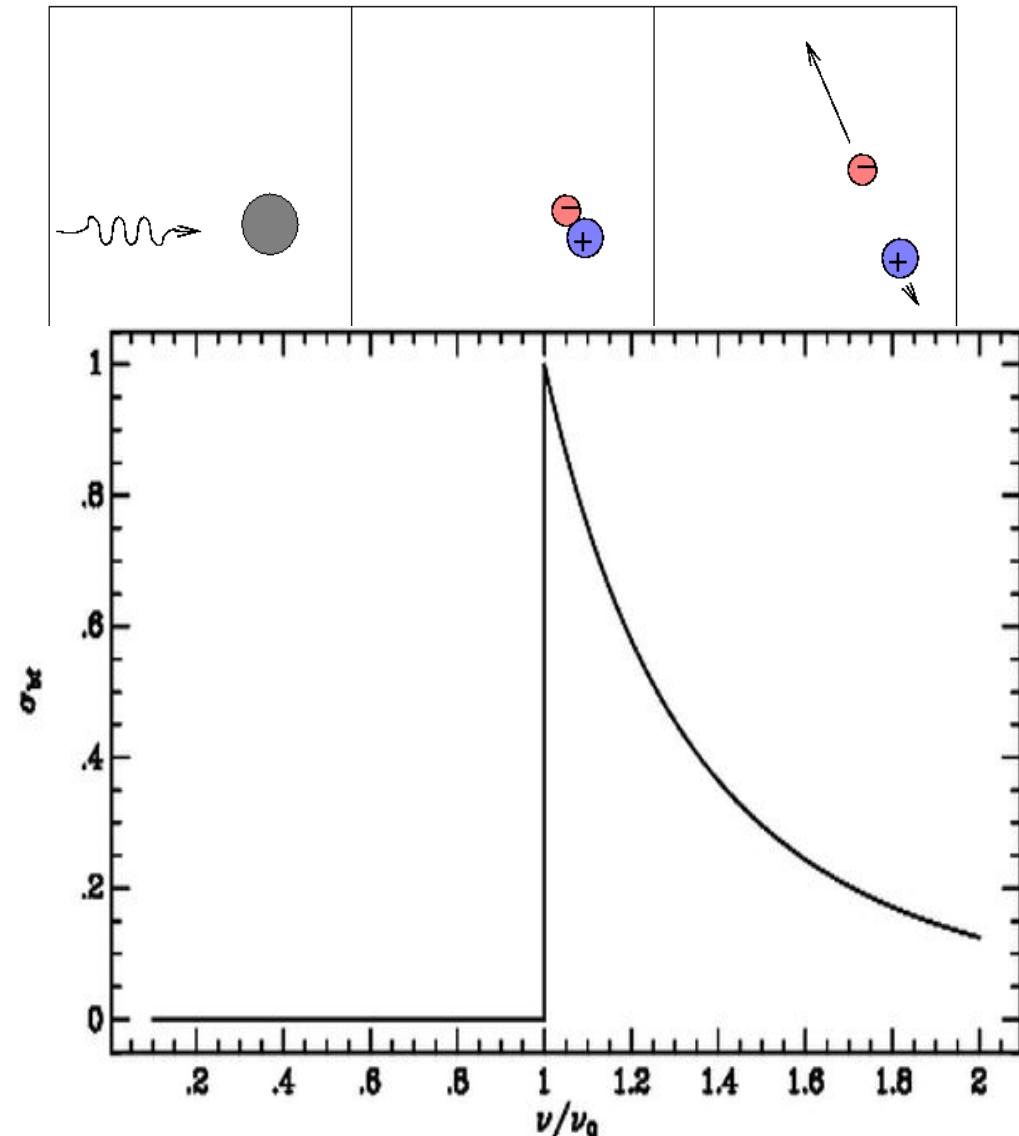
- Bound-free interaction / Ionisation

- A photon with energy above the ionisation energy of the atom is absorbed

- Extra energy is transferred into kinetic energy of ion and e^-

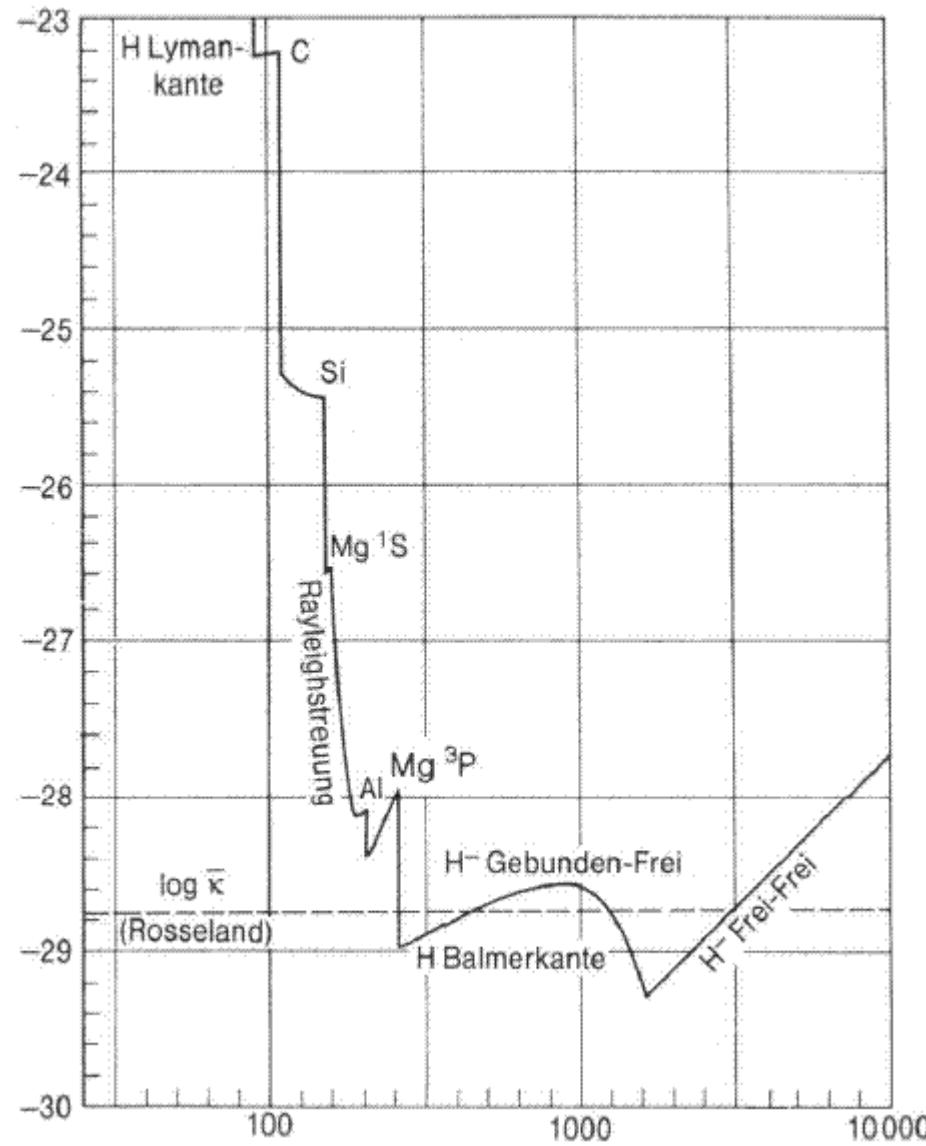
- $K=0$ for all energies below the ionisation energy

- Above threshold
 $K \sim v^{-3}$

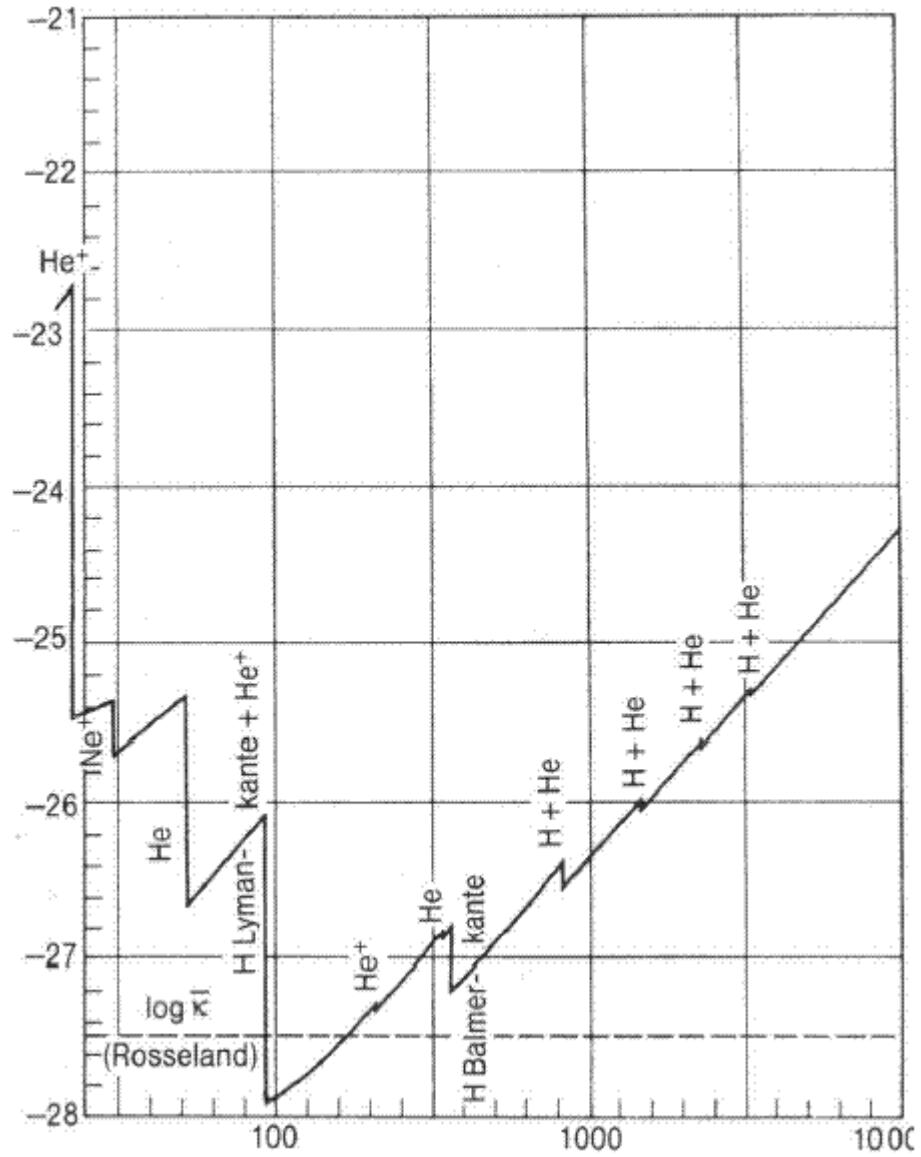


Continuous absorbers (3)

B-F in the Sun



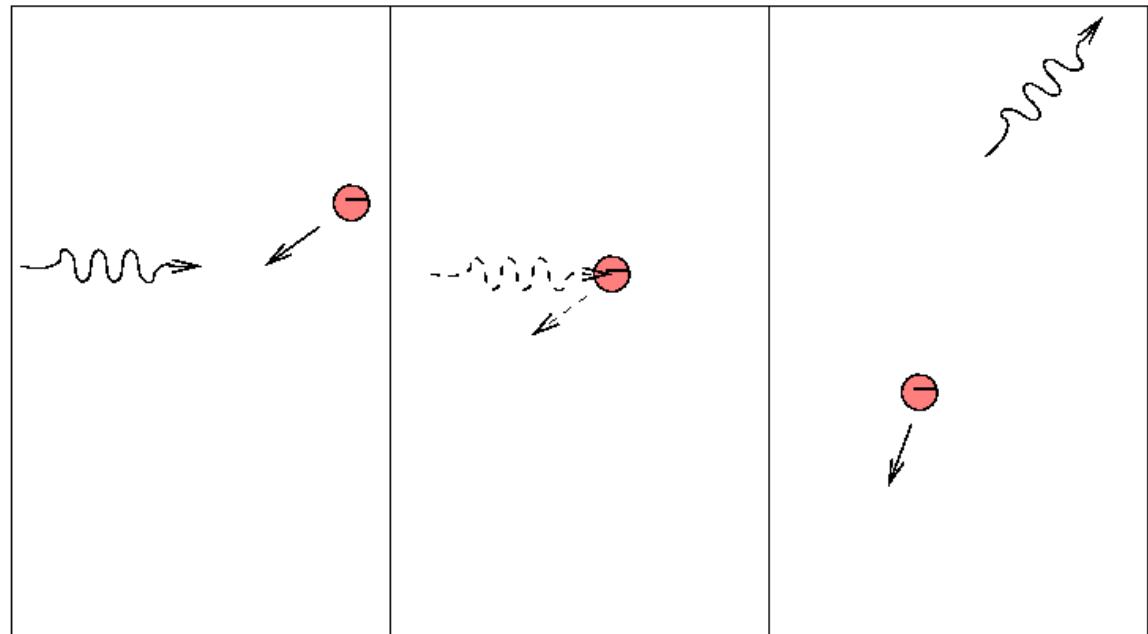
B-F in a hot O-star



Continuous absorbers (4)

- Free-Free interaction
 - Thompson scatter at charged particles

- Electrons
- H-
- H₂-
- He-

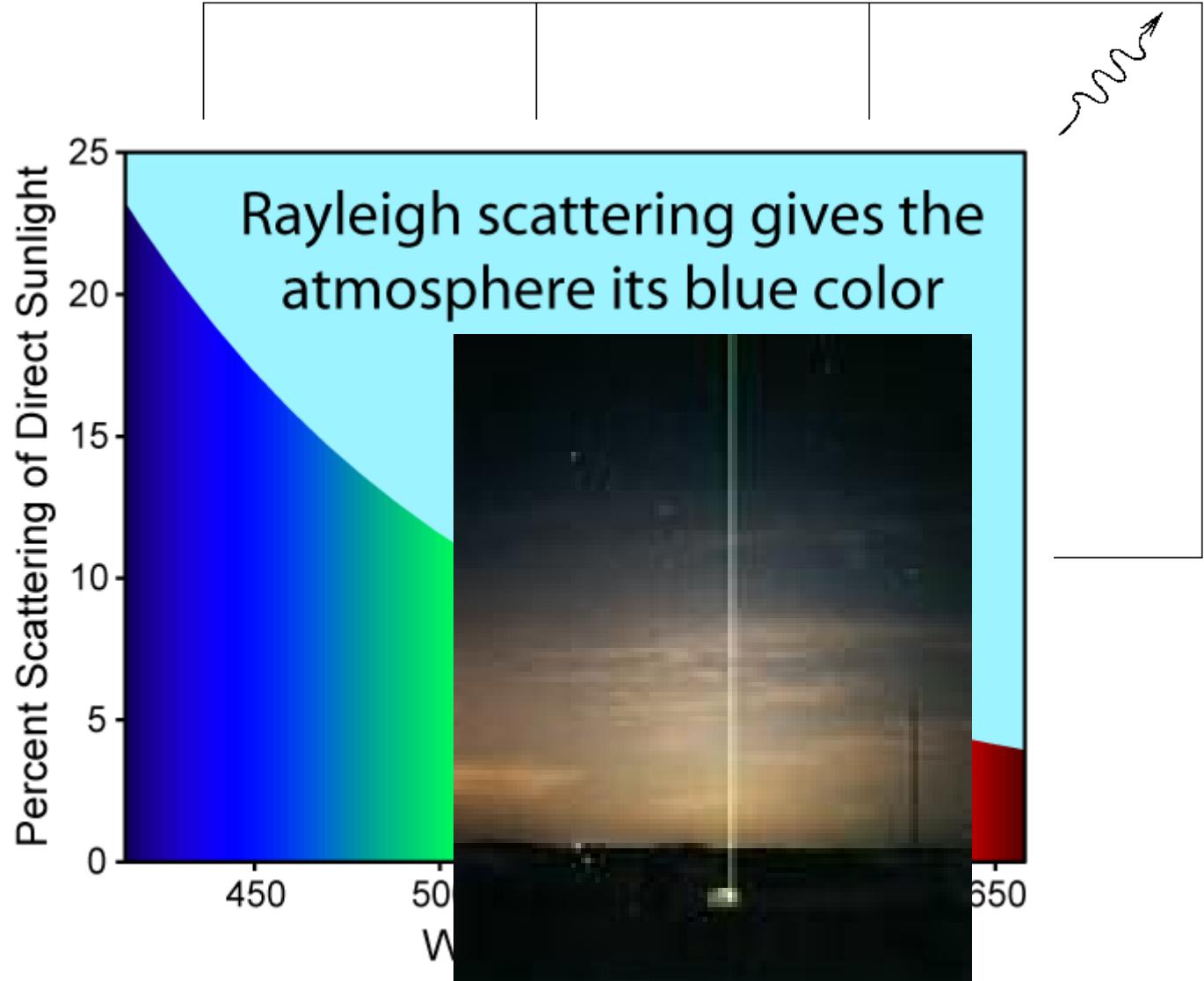


Continuous absorbers (5)

- Rayleigh scatter at neutral species

- H
- He
- H₂

- $\sigma \sim \lambda^{-4}$



Occupancy (1)

- In local thermal equilibrium the number of atoms in ionization stage N and on exaltation level n is given by the Saha- and Boltzmann equation
- For the occupancy of the exalted level n, compared to the ground state 0 the Boltzmann distribution of thermal exaltation leads to:

$$\frac{N_{0,n}}{N_{0,0}} = \frac{g_{0,n}}{g_{0,0}} e^{-\frac{E_{0,n} - E_{0,0}}{kT}}$$

g: Statistic weight (multiplicity)
E: Energy of level
k: Boltzmann constant
T: Temperature

Occupancy (2)

- A numerical example:
 - Na resonance lines:
 - $\lambda \approx 5890\text{\AA} \rightarrow E = hc/\lambda = 3.37 \times 10^{-19} \text{ J} = 2.10 \text{ eV}$
 - $g_1/g_2 = 6/2$

$$\frac{N_{0,n}}{N_{0,0}} = \frac{g_{0,n}}{g_{0,0}} e^{-\frac{E_{0,n} - E_{0,0}}{kT}}$$

- $N_1/N_0 \approx 0.05$

Occupancy (3)

- If we include ionization we have to consider a third “species” in addition to ground state and exalted state
 - the electron that becomes free through ionization
- Lets start with the un-ionized ground-state

$$\frac{N_{1,n}}{N_{0,0}} N_e = \frac{g_{1,n}}{g_{0,0}} g_e e^{-\frac{E_{0,n} - E_{0,0}}{kT}}$$

Occupancy (4)

- The statistical weight of the electron can be calculated from its extension in phase

$$g_e = 2 \frac{(2\pi m_e kT)^{3/2}}{h^3 N_e}$$

$$\frac{N_{1,n}}{N_{0,0}} N_e = \frac{g_{1,n}}{g_{0,0}} g_e e^{-\frac{E_{0,n} - E_{0,0}}{kT}}$$

- This directly leads to the Saha equation

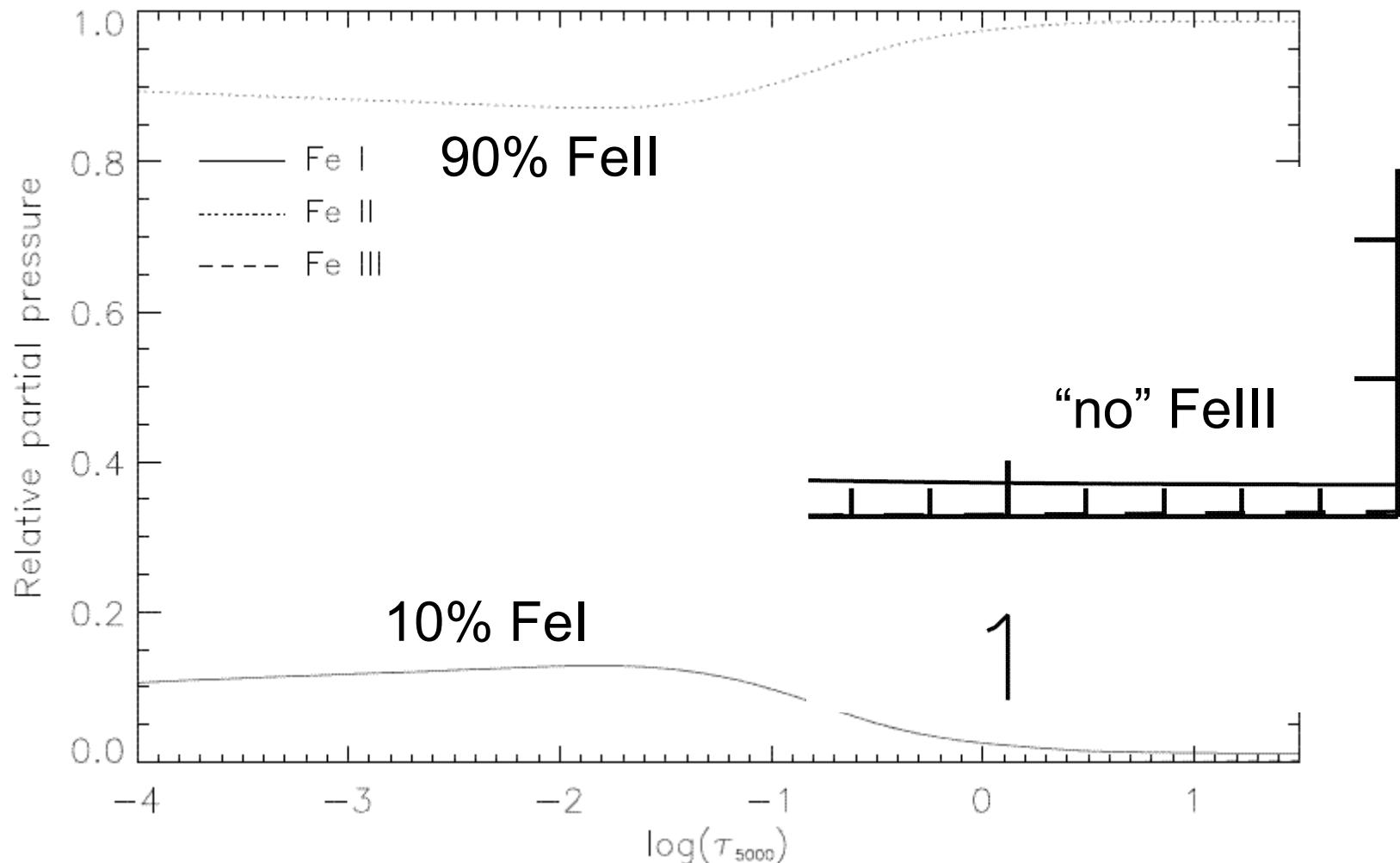
$$\frac{N_{1,0}}{N_{0,0}} = \frac{g_{1,0}}{g_{0,0}} 2 \frac{(2\pi m_e kT)^{3/2}}{h^3 N_e} e^{-\frac{\chi_0}{kT}}$$

χ_0 : Ionisation energy

Occupancy (5)

- An example
- Fe in the Sun

$$\frac{N_{1,0}}{N_{0,0}} = \frac{g_{1,0}}{g_{0,0}} 2 \frac{(2 \pi m_e kT)^{3/2}}{h^3 N_e} e^{-\frac{\chi_0}{kT}}$$



The shape and width of lines (1)

- After what we have learned about the physics of absorption we still have to determine the shape of the profile function $\Phi(v)$

$$\kappa_v = \frac{1}{4\pi\epsilon_0} \frac{\pi e^2}{mc} f N_n \Phi(v) E(v, T)$$

- There are several processes contributing to the broadening and shaping of spectral lines

The shape and width of lines (2)

- The natural line-width / Radiation damping
 - Heisenbergs uncertainty principle tells that

$$\hbar \leq \Delta E \Delta t$$

- As the lifetime of each level is described by the Einstein coefficients there is a resulting uncertainty in the level Energy

$$\gamma_{rad}(n,m) = \sum_{l < n} A_{ln} + \sum_{l < m} A_{lm}$$

$\gamma_{rad}(n,m)$: Radiation damping constant
of the n-m transition

The shape and width of lines (3)

- The shape of the resulting profile is the so called “dispersion profile”

$$\Phi_{rad}(\Delta\lambda) = \frac{1}{\pi} \left(\frac{a}{\Delta\lambda^2 + a^2} \right)$$
$$a = \frac{\lambda^2}{4\pi c} \gamma_{rad}$$

- As Aij coefficients are of the order of 1e7..1e9 1/s the natural width of a line around 4000Å is typically 1e-5..1e-4Å
- Radiation damping plays only a minor role

The shape and width of lines (4)

- Collisional broadening
 - Collisions with neutral H and He are very likely interactions in cool star atmospheres
 - Let us assume a van der Waals potential of the shape:

$$V_6 = -\frac{C_6}{r^6} \quad C_6: \text{vdW damping constant}$$

- The resulting shift in the energy of level i will be

$$\Delta E_i = \hbar \frac{C_6^i}{r^6}$$

The shape and width of lines (5)

- Unsöld (1955) was able to show that

$$\gamma_6^{ij} = 8.08 C_6^{0.4} \hat{v}^{0.6} N_{coll}$$

$$C_6 = |C_6^i - C_6^j| \quad \hat{v} = \sqrt{\frac{8}{\pi} \left(\frac{1}{m} + \frac{1}{m_{coll}} kT \right)}$$

m : Mass of damped species
 m_{coll} : Mass of collisional partner
 N_{coll} : Density of collisional partners

- C_6 damping is a major source of line broadening

The shape and width of lines (6)

- Doppler broadening
 - Thermal Doppler broadening
 - The atoms in the gas of the stellar atmosphere show velocities according to the Maxwell distribution

$$W(v) = \sqrt{\frac{\mu}{2\pi kT}} e^{-\frac{\mu v^2}{2RT}}$$

μ : Particle mass
 v : Particle speed

- Using the Doppler shift formula

$$\frac{\Delta \lambda}{\lambda} = -\frac{v}{c}$$

c : Speed of light

The shape and width of lines (7)

And the definition of Doppler width

$$I(\Delta\lambda_D) = I_0 e^{-1}$$

this leads to the profile

$$I(\Delta\lambda) = \frac{1}{\sqrt{\pi}\Delta\lambda_D} e^{-\frac{\Delta\lambda}{\Delta\lambda_D}}$$

with

$$\Delta\lambda_D = \frac{\lambda}{c} \sqrt{\frac{2RT}{\mu}}$$

Thermal doppler broadening effects the solar Balmer lines (lightest species) by $\Delta\lambda D \approx 0.16 \text{ \AA}$

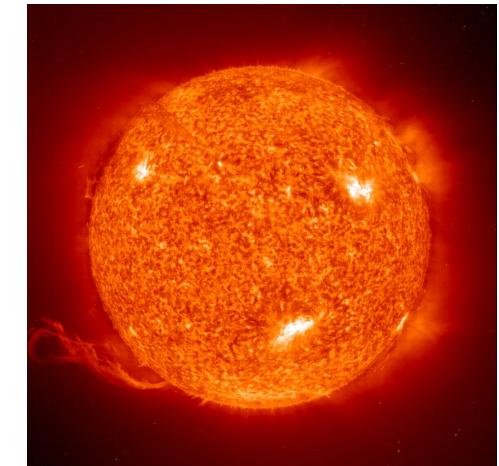
The shape and width of lines (8)

- Beside thermal Doppler broadening there are two other sources of doppler broadening
 - Micro-turbulence
 - We account for that by an extra doppler velocity ξ

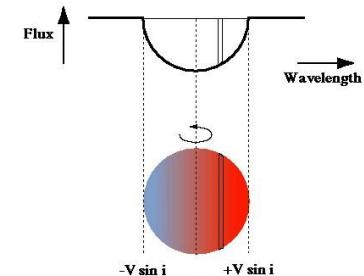
$$\Delta \lambda_D = \frac{\lambda}{c} \sqrt{\frac{2RT}{\mu} + \xi^2}$$

- Stellar rotation

$$\Delta \lambda = \pm \lambda \frac{v \sin(i)}{c}$$



Rotational Broadening of
Photospheric Absorption Lines

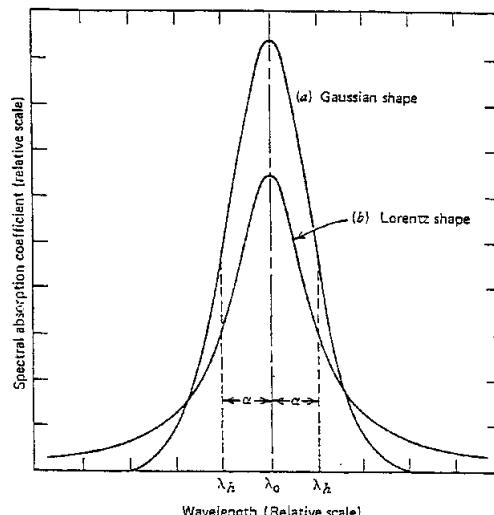


The shape and width of lines (8)

- Stark broadening
 - The absorbing atoms are in an environment where ions and free electrons produce electric fields
 - We therefore expect stark splitting of all levels with $l \neq 0$ quantum numbers for elements with hydrogen like configurations.
 - Calculating Stark broadening for e.g. Hydrogen is a hard job. Normally we use tabulated data.

The shape and width of lines (9)

- Bringing things together
 - Up to now we have four major profile types:
 - Lorentz profile for damping
 - Doppler profile for thermal and turbulent Doppler movement
 - Rotational profile
 - Stark broadening (for hydrogen like $I \neq 0$ species)



Line formation - End



Outline of the lecture

I. Who I am, what I do.

II. Cool star element abundances

I. Why do we want to know

II. What do we want to know

III. How do we find out

I. The general framework

II. Line formation in model atmospheres

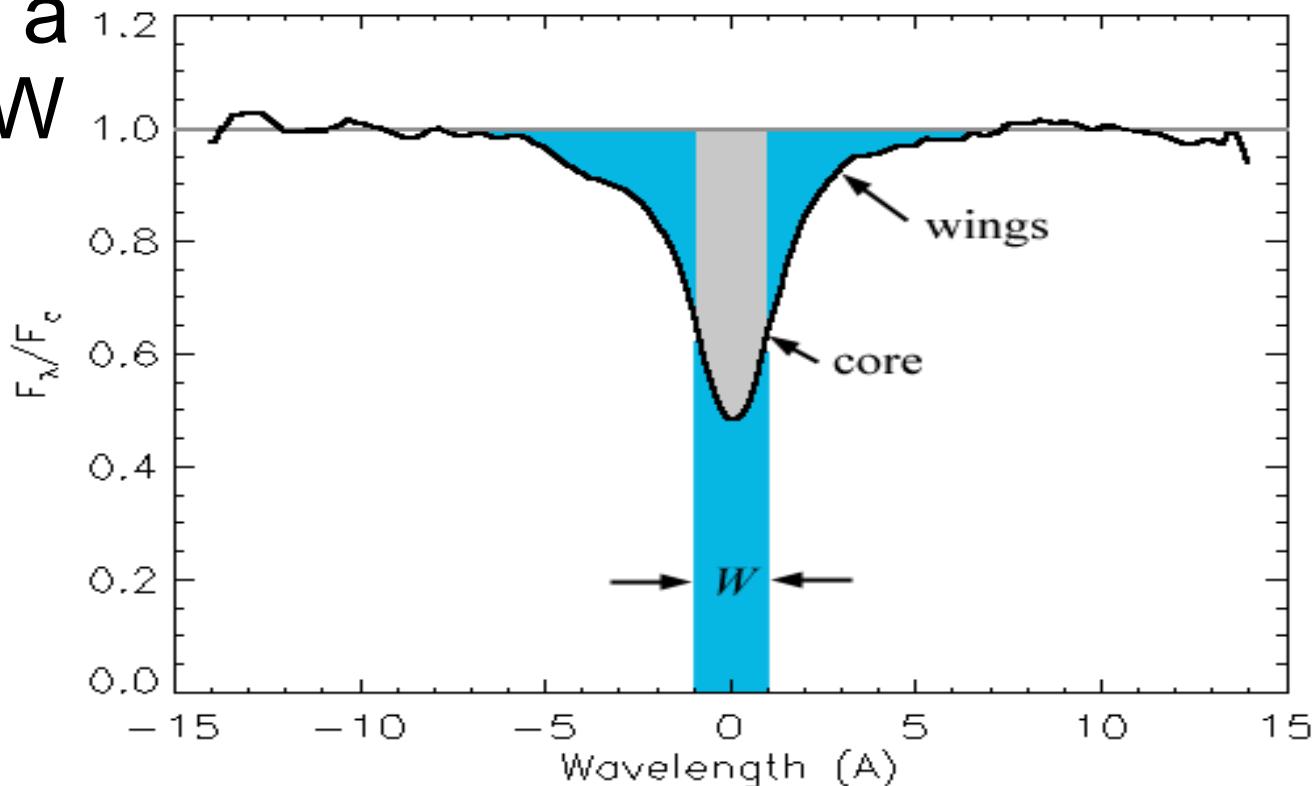
III. The curve of growth

IV. Line fitting

IV. NLTE and why we better use that

Equivalent width (1)

- Definition: The equivalent width is the width of a rectangle centered on a spectral line that, on a plot of intensity against wavelength, has the same area as the line.
- By describing a line with its EW all individual spectral information is lost



Curve of growth (1)

- Remember

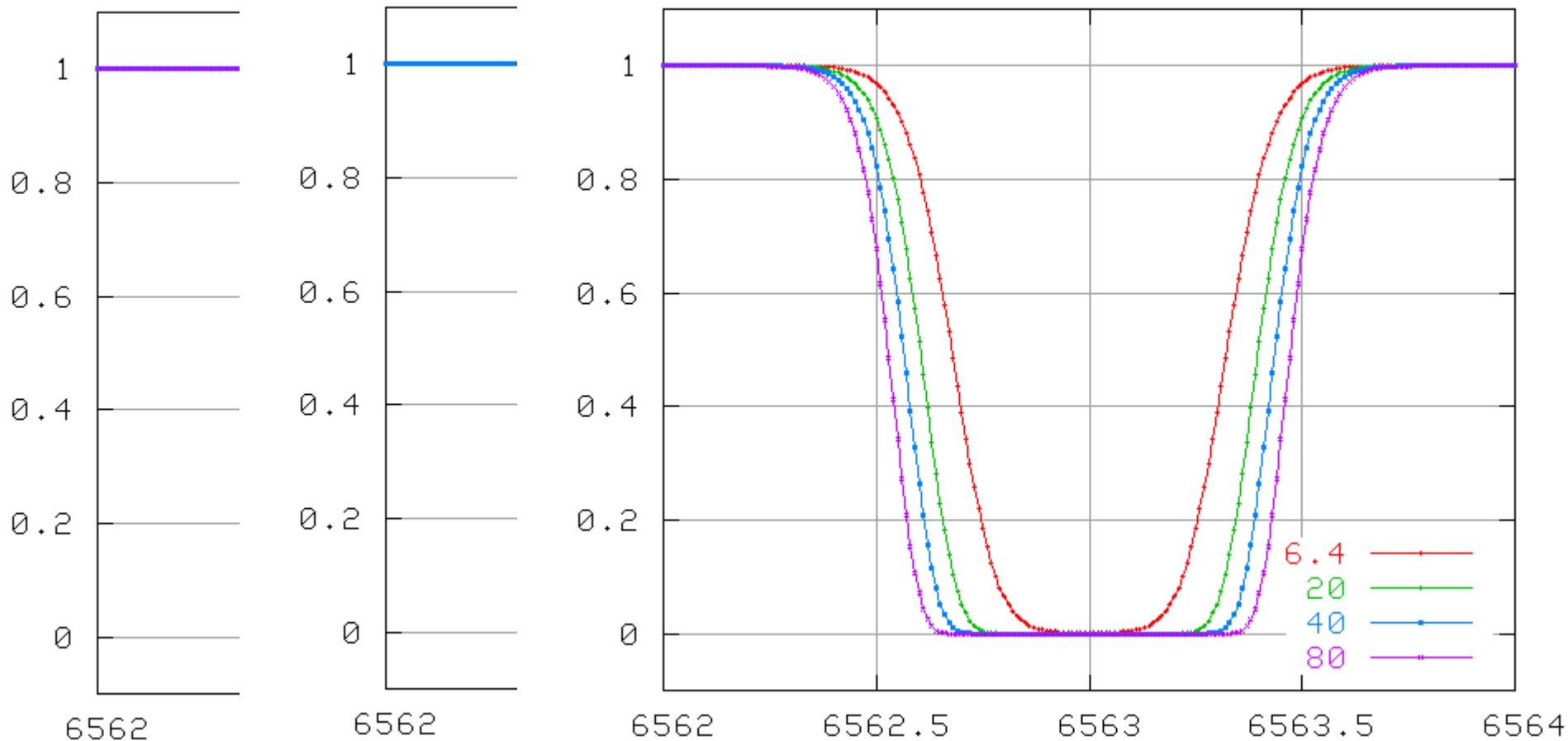
$$\frac{I}{I_0} = e^{-\kappa\rho s}$$
$$= e^{-\tau}$$

- Where ρ is the density of the absorbers
- If we double the number of atoms absorbing
→ we double the optical depth
- How does this change the line if we step forward in T

Curve of growth (2)

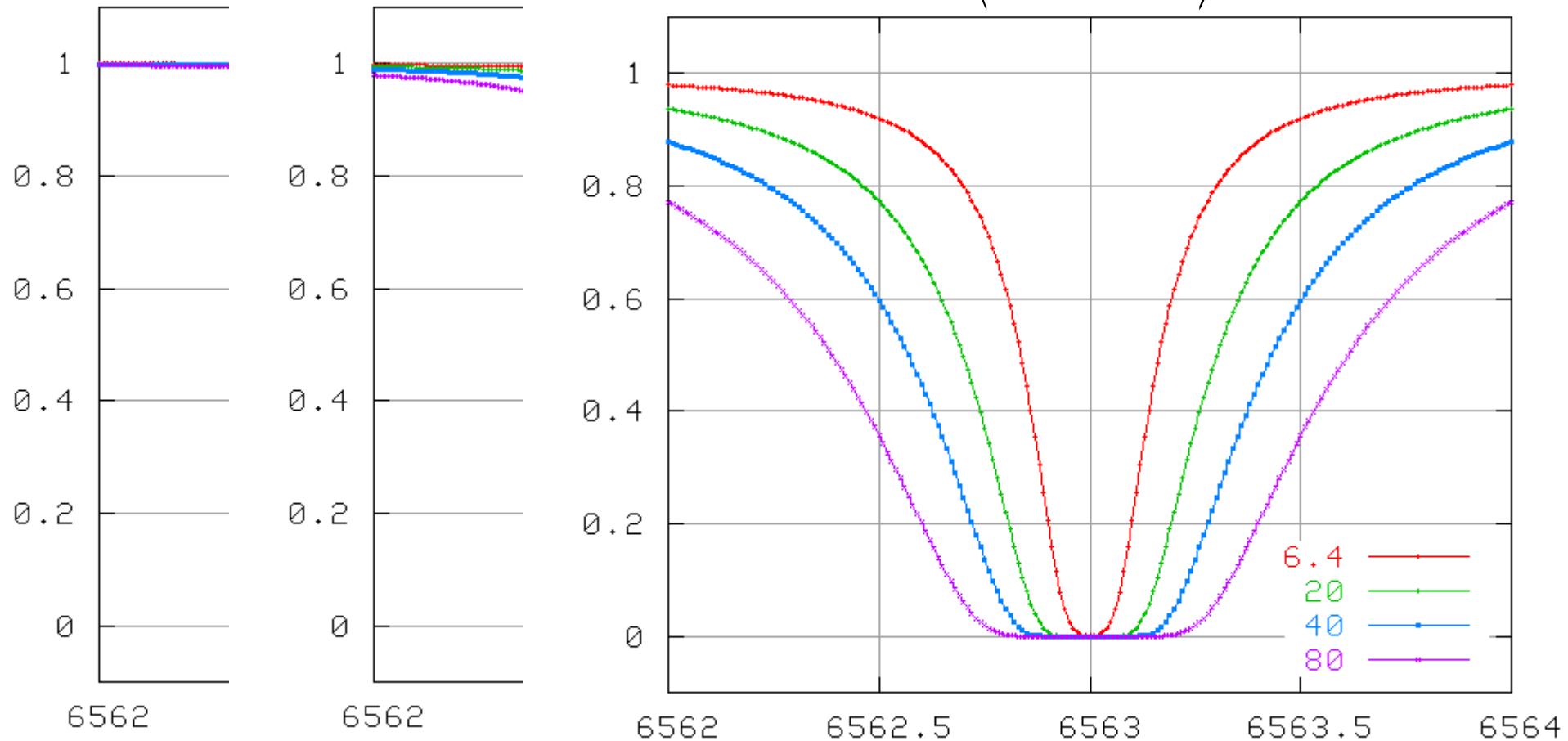
- With only Doppler broadening the line evolves like this...
- Showing a Doppler profile

$$I(\Delta\lambda) = \frac{1}{\sqrt{\pi} \Delta\lambda_D} e^{-\frac{\Delta\lambda}{\Delta\lambda_D}}$$



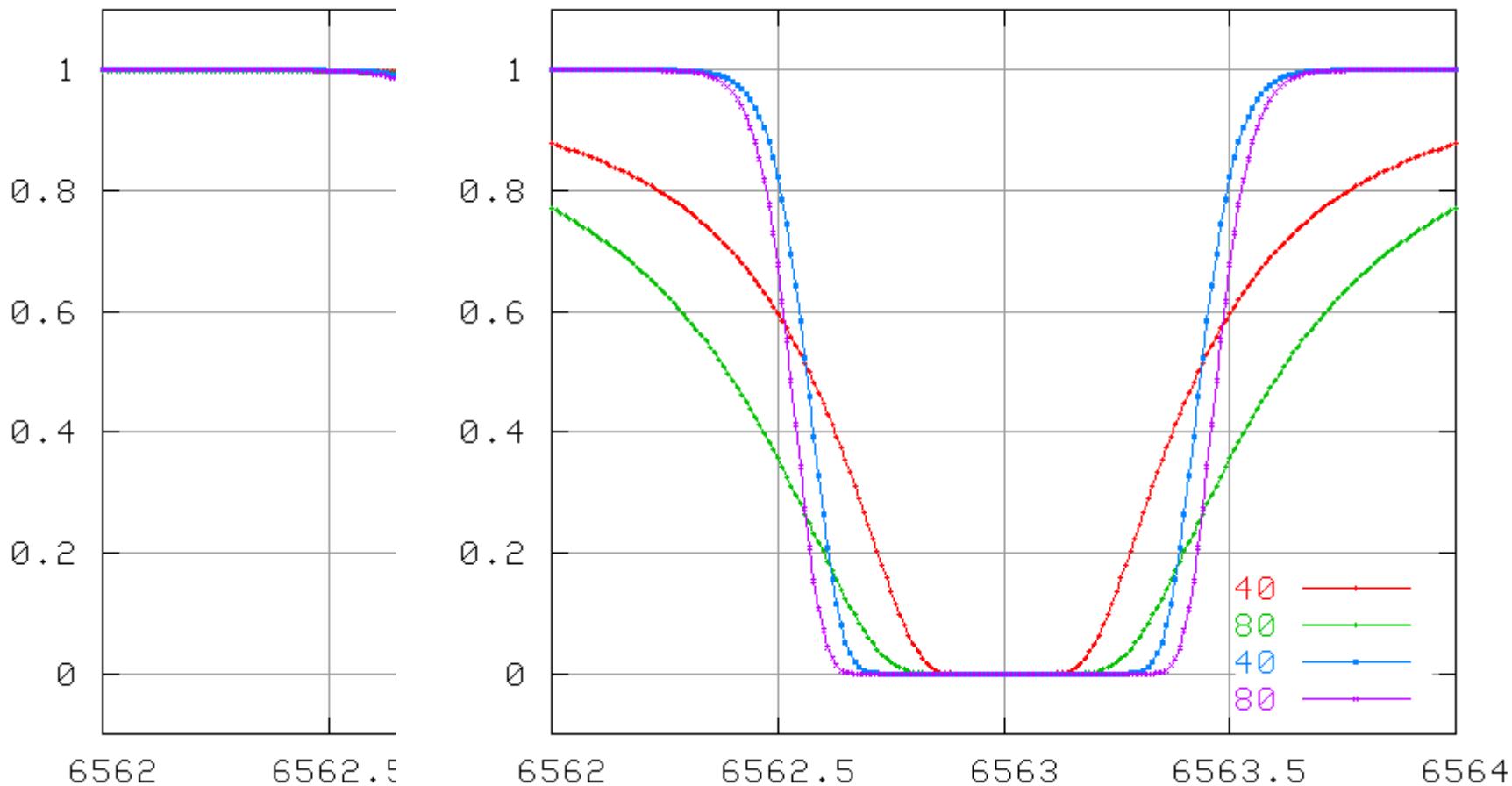
Curve of growth (3)

- With only Lorentzian profile (pressure damping) things look a bit different
- Showing the profile $\Phi_{rad}(\Delta \lambda) = \frac{1}{\pi} \left(\frac{a}{\Delta \lambda^2 + a^2} \right)$ $a = \frac{\lambda^2}{4\pi c} \gamma_{rad}$



Curve of growth (4)

- In a direct comparison
 - Doppler leads to “boxy” strong lines
 - Lorentz to “V-shaped” strong lines



Curve of growth (4)

- Both line types together lead to a complex shape
- Lets look at three regimes for this complex line shape:

– Few absorbers: $W \sim N$

- The equivalent width changes linear with the number of absorbers

– Many absorbers, transition region between Doppler and collisional regime:

$$W \sim \sqrt{\ln(N)}$$

– Saturated lines, collision dominated

$$W \sim \sqrt{N}$$

Curve of growth (5)

- The curve of growth

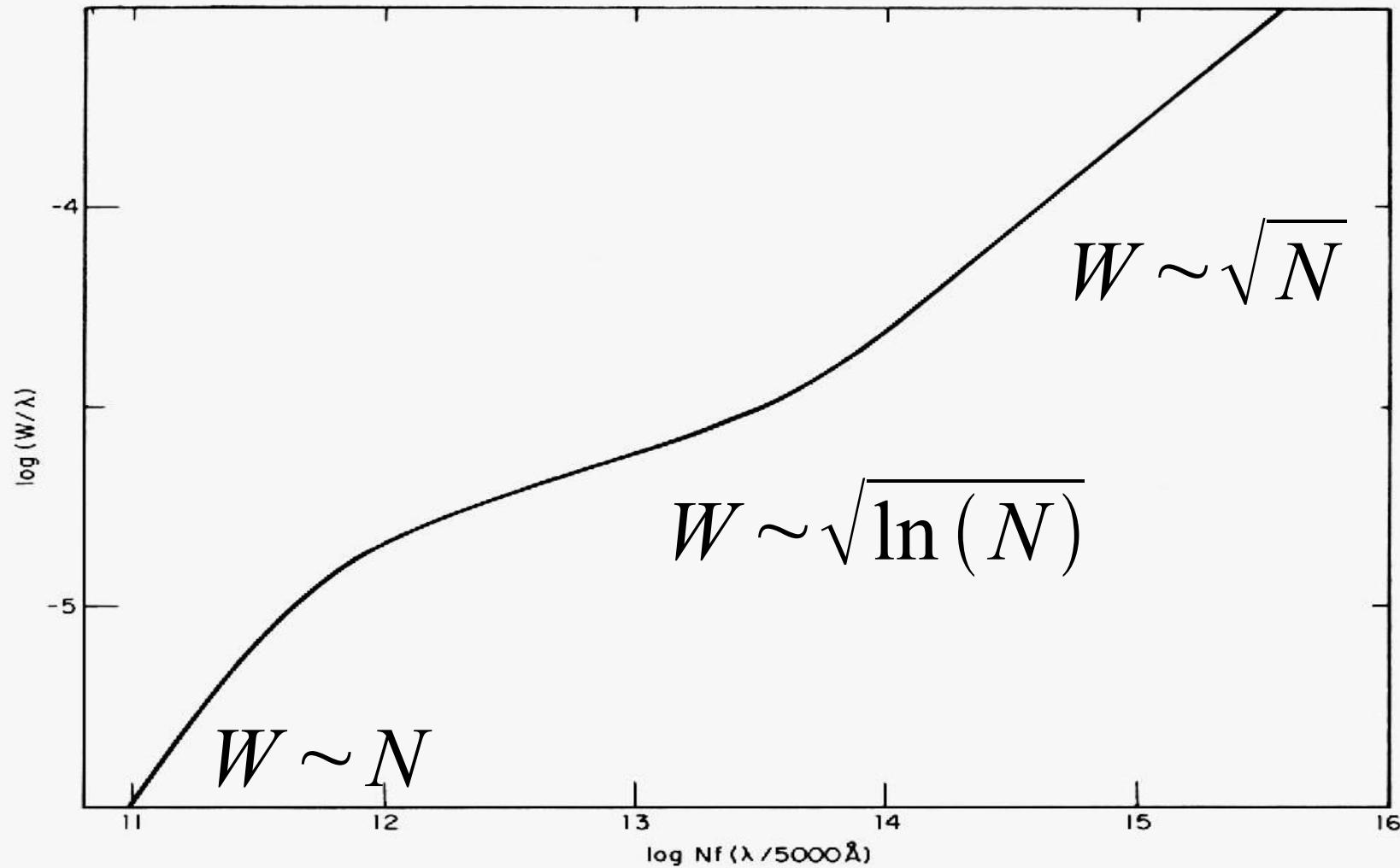


Figure 9.22 A general curve of growth for the Sun. (Figure from Aller, *Atoms, Stars, and Nebulae*, Revised Edition, Harvard University Press, Cambridge, MA, 1971.)

Curve of growth (6)

- For a long time curve of growth analysis have been the primary way to determine element abundances
- Knowing f , C_6 and λ and measuring W the only unknown is N , the number of absorbers
- With better data the method has become outdated

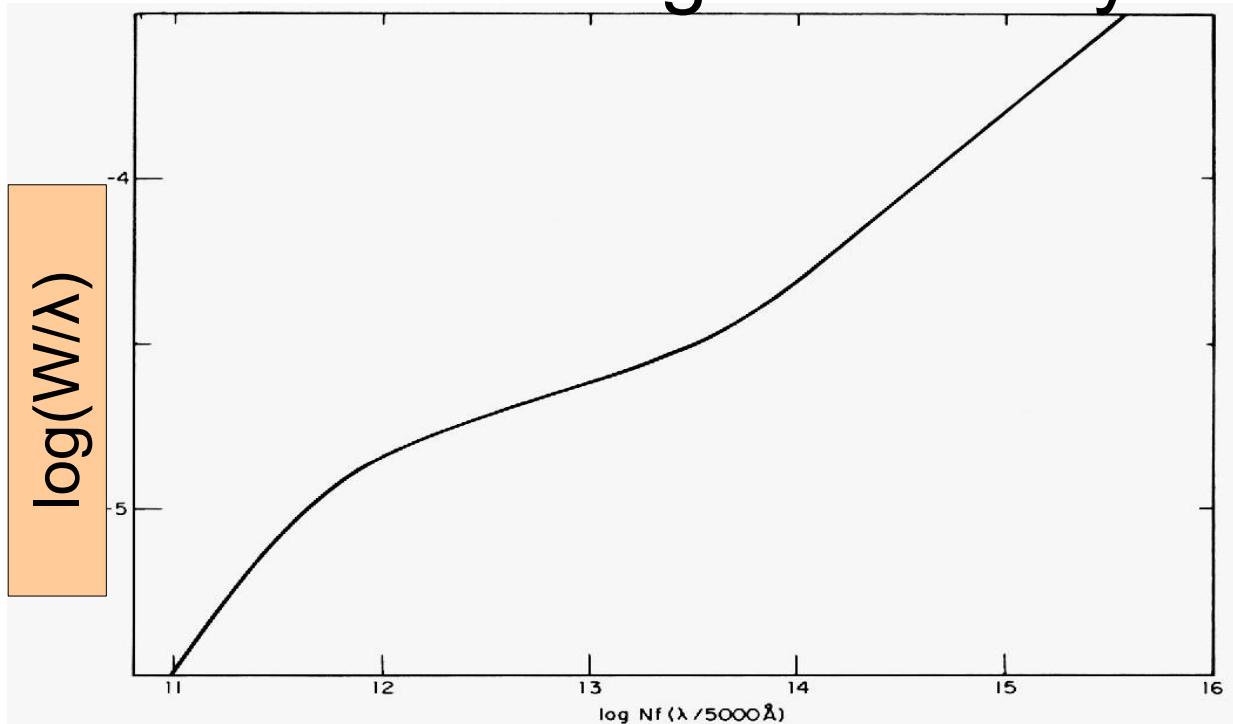


Figure 9.22 A general curve of growth. (After W. H.翼 Aller, *Atoms, Stars, and Nebulae*, Cambridge University Press, Cambridge, MA, 1971.)

$$\log(N_f)(\lambda/5000 \text{ \AA})$$

The End

- And the story goes on....

COSMOLOGY MARCHES ON

