# PoS

# Observable Gravitational Waves From Kinetically Modified Non-Minimal Inflation

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We consider Supersymmetric (SUSY) and non-SUSY models of chaotic inflation based on the simplest power-law potential with exponents n = 2 and 4. We propose a convenient non-minimal coupling to gravity and a non-minimal kinetic term which ensure, mainly for n = 4, inflationary observables favored by the BICEP2/*Keck Array* and *Planck* results. Inflation can be attained for subplanckian inflaton values with the corresponding effective theories retaining the perturbative unitarity up to the Planck scale.

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## 1. Introduction

Kinetically modified *Non-minimal* (chaotic) *inflation* (nMI) [1] is a variant of nMI which arises in the presence of a non-canonical kinetic term for the inflaton  $\phi$  – apart from the non-minimal coupling  $f_R(\phi)$  between  $\phi$  and the Ricci scalar curvature, R which is required by definition in any model of nMI [2]. In this talk we focus on inflationary models based on a synergy between  $f_R$  and the inflaton potential  $V_{\text{CI}}$ , which are selected [1,3,4] as follows

$$V_{\rm CI}(\phi) = \lambda^2 \phi^n / 2^{n/2}$$
 and  $f_R = 1 + c_R \phi^{n/2}$  with  $n = 2, 4.$  (1.1)

Below, we first (in Sec. 1.1) briefly review the basic ingredients of nMI in a non-*Supersymmetric* (SUSY) framework and constrain the parameters of the models in Sec. 1.3 taking into account a number of observational and theoretical requirements described in Sec. 1.2. Then (in Sec. 1.4) we focus on the problem with perturbative unitarity that plagues [5,6] these models at the strong coupling and motivate the form of  $f_{\rm K}$  analyzed in our work.

Throughout the text, the subscript  $\chi$  denotes derivation *with respect to* (w.r.t) the field  $\chi$ , charge conjugation is denoted by a star (\*) and we use units where the reduced Planck scale  $m_{\rm P} = 2.43 \cdot 10^{18}$  GeV is set equal to unity.

#### 1.1 Coupling non-Minimally the Inflaton to Gravity

The action of the inflaton  $\phi$  in the *Jordan frame* (JF), takes the form:

$$\mathsf{S} = \int d^4 x \sqrt{-\mathfrak{g}} \left( -\frac{f_R}{2} R + \frac{f_K}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V_{\mathrm{CI}}(\phi) \right). \tag{1.2}$$

where g is the determinant of the background Friedmann-Robertson-Walker metric,  $g^{\mu\nu}$  with signature (+, -, -, -),  $\langle f_R \rangle \simeq 1$  to guarantee the ordinary Einstein gravity at low energy and we allow for a kinetic mixing through the function  $f_K(\phi)$ . By performing a conformal transformation [3] according to which we define the *Einstein frame* (EF) metric  $\hat{g}_{\mu\nu} = f_R g_{\mu\nu}$  we can write S in the EF as follows

$$S = \int d^4x \sqrt{-\widehat{\mathfrak{g}}} \left( -\frac{1}{2}\widehat{R} + \frac{1}{2}\widehat{g}^{\mu\nu}\partial_{\mu}\widehat{\phi}\partial_{\nu}\widehat{\phi} - \widehat{V}_{\mathrm{CI}}(\widehat{\phi}) \right), \qquad (1.3a)$$

where hat is used to denote quantities defined in the EF. We also introduce the EF canonically normalized field,  $\hat{\phi}$ , and potential,  $\hat{V}_{CI}$ , defined as follows:

$$\frac{d\widehat{\phi}}{d\phi} = J = \sqrt{\frac{f_{\rm K}}{f_R} + \frac{3}{2} \left(\frac{f_{R,\phi}}{f_R}\right)^2} \quad \text{and} \quad \widehat{V}_{\rm CI} = \frac{V_{\rm CI}}{f_R^2}, \tag{1.3b}$$

where the symbol ,  $\phi$  as subscript denotes derivation w.r.t the field  $\phi$ . Plugging Eq. (1.1) into Eq. (1.3b), we obtain

$$J^{2} = \frac{f_{\rm K}}{f_{R}} + \frac{3n^{2}c_{R}^{2}\phi^{n-2}}{8f_{R}^{2}} \quad \text{and} \quad \widehat{V}_{\rm CI} = \frac{\lambda^{2}\phi^{n}}{2^{n/2}f_{R}^{2}}.$$
 (1.4)

In the pure nMI [2–4] we take  $f_{\rm K} = 1$  and, for  $c_R \gg 1$ , we infer from Eq. (1.3b), that  $f_R$  determines the relation between  $\hat{\phi}$  and  $\phi$  and controls the shape of  $\hat{V}_{\rm CI}$  affecting thereby the observational predictions – see below.

#### 1.2 Inflationary Observables – Constraints

A model of nMI can be qualified as successful, if it can become compatible with the following observational and theoretical requirements:

(i) The number of e-foldings  $\hat{N}_{\star}$  that the scale  $k_{\star} = 0.05/\text{Mpc}$  experiences during this nMI must to be enough for the resolution of the horizon and flatness problems of standard Big Bang, i.e., [7]

$$\widehat{N}_{\star} = \int_{\widehat{\phi}_{\rm f}}^{\widehat{\phi}_{\star}} d\widehat{\phi} \frac{\widehat{V}_{\rm CI}}{\widehat{V}_{{\rm CI},\widehat{\phi}}} \simeq 55, \tag{1.5}$$

where  $\phi_{\star}[\widehat{\phi}_{\star}]$  are the value of  $\phi[\widehat{\phi}]$  when  $k_{\star}$  crosses the inflationary horizon. Also  $\phi_{\rm f}[\widehat{\phi}_{\rm f}]$  is the value of  $\phi[\widehat{\phi}]$  at the end of nMI, which can be found, in the slow-roll approximation, from the condition

$$\max\{\widehat{\boldsymbol{\varepsilon}}(\boldsymbol{\phi}_{\mathrm{f}}), |\widehat{\boldsymbol{\eta}}(\boldsymbol{\phi}_{\mathrm{f}})|\} = 1, \text{ where }$$

$$\widehat{\varepsilon} = \frac{1}{2} \left( \frac{\widehat{V}_{\text{CI},\widehat{\phi}}}{\widehat{V}_{\text{CI}}} \right)^2 = \frac{1}{2J^2} \left( \frac{\widehat{V}_{\text{CI},\phi}}{\widehat{V}_{\text{CI}}} \right)^2 \text{ and } \widehat{\eta} = \frac{\widehat{V}_{\text{CI},\widehat{\phi}\widehat{\phi}}}{\widehat{V}_{\text{CI}}} = \frac{1}{J^2} \left( \frac{\widehat{V}_{\text{CI},\phi\phi}}{\widehat{V}_{\text{CI}}} - \frac{\widehat{V}_{\text{CI},\phi}}{\widehat{V}_{\text{CI}}} \frac{J_{,\phi}}{J} \right)$$
(1.6)

It is evident from the formulas above that non trivial modifications on  $f_{\rm K}$  and thus to J may have an pronounced impact on the parameters above modifying thereby the inflationary observables too.

(ii) The amplitude  $A_s$  of the power spectrum of the curvature perturbation generated by  $\phi$  at  $k_{\star}$  has to be consistent with data [7], i.e.,

$$\sqrt{A_{\rm s}} = \frac{1}{2\sqrt{3}\pi} \frac{\widehat{V}_{\rm CI}(\widehat{\phi}_{\star})^{3/2}}{|\widehat{V}_{\rm CI,\widehat{\phi}}(\widehat{\phi}_{\star})|} = \frac{1}{2\pi} \sqrt{\frac{\widehat{V}_{\rm CI}(\phi_{\star})}{6\widehat{\varepsilon}_{\star}}} \simeq 4.627 \cdot 10^{-5},\tag{1.7}$$

where the variables with subscript  $\star$  are evaluated at  $\phi = \phi_{\star}$ .

(iii) The remaining inflationary observables (the spectral index  $n_s$ , its running  $a_s$ , and the tensor-to-scalar ratio r) – estimated through the relations:

(a) 
$$n_{\rm s} = 1 - 6\widehat{\varepsilon}_{\star} + 2\widehat{\eta}_{\star}$$
, (b)  $a_{\rm s} = \frac{2}{3} \left( 4\widehat{\eta}^2 - (n_{\rm s} - 1)^2 \right) - 2\widehat{\xi}_{\star}$  and (c)  $r = 16\widehat{\varepsilon}_{\star}$ , (1.8)

with  $\hat{\xi} = \hat{V}_{\text{CI},\hat{\phi}}\hat{V}_{\text{CI},\hat{\phi}\hat{\phi}\hat{\phi}}/\hat{V}_{\text{CI}}^2$  – have to be consistent with the data [7], i.e.,

(a) 
$$n_{\rm s} = 0.968 \pm 0.009$$
 and (b)  $r \le 0.12$ , (1.9)

at 95% *confidence level* (c.l.) – pertaining to the  $\Lambda$ CDM+r framework with  $|a_s| \ll 0.01$ . Although compatible with Eq. (1.9b) the present combined *Planck* and BICEP2/*Keck Array* results [8] seem to favor r's of order 0.01 since  $r = 0.048^{+0.035}_{-0.032}$  at 68% c.l. has been reported.

(iv) The effective theory describing nMI has to remains valid up to a UV cutoff scale  $\Lambda_{UV}$  to ensure the stability of our inflationary solutions, i.e.,

(a) 
$$\widehat{V}_{\text{CI}}(\phi_{\star})^{1/4} \leq \Lambda_{\text{UV}}$$
 and (b)  $\phi_{\star} \leq \Lambda_{\text{UV}}$ . (1.10)

It is expected that  $\Lambda_{\rm UV} \simeq m_{\rm P}$ , contrary to the pure nMI with  $c_R \gg 1$  where  $\Lambda_{\rm UV} \ll m_{\rm P}$  – see Sec. 1.4.

#### 1.3 The Two Regimes of Synergistic nMI

The models of nMI based on Eq. (1.1) exhibit the following two regimes:

(i) The weak  $c_R$  regime with  $c_R \ll 1$ . In this case from Eq. (1.3b) we find  $J \simeq 1/f_R$  and applying Eqs. (1.5) and (1.6), the slow-roll parameters and  $\hat{N}_{\star}$  read

$$\widehat{\varepsilon} \simeq \frac{n^2}{2\phi^2 f_R}, \ \widehat{\eta} \simeq 2\left(1 - \frac{1}{n}\right)\widehat{\varepsilon} - \frac{4 + n}{2n}c_R\phi^{\frac{n}{2}}\widehat{\varepsilon} \quad \text{and} \quad \widehat{N}_\star \simeq \frac{\phi_\star^2}{2n}.$$
(1.11)

Imposing the condition of Eq. (1.6) and solving then the latter equation w.r.t  $\phi_*$  we arrive at

$$\phi_{\rm f} \simeq n/\sqrt{2} \quad \text{and} \quad \phi_{\star} \simeq \sqrt{2n\widehat{N}_{\star}} \,.$$
 (1.12)

Inflation is attained, thus, only for  $\phi > 1$ . On the other hand, Eq. (1.7) implies

$$\lambda = \sqrt{6A_{\rm s}f_{n\star}}\pi n^{(2-n)/4} / \widehat{N}_{\star}^{(2+n)/4}, \qquad (1.13)$$

where  $f_{n\star} = f_R(\phi_{\star}) = 1 + c_R (2n\hat{N}_{\star})^{n/4}$ . Applying Eq. (1.8) we find that the inflationary observables are  $c_R$ -dependent and can be marginally consistent with Eq. (1.9) – see Sec. 3.2. Indeed,

$$n_{\rm s} = 1 - (4 + n + n/f_{n\star})/4\widehat{N}_{\star}, \ r = 4n/f_{n\star}\widehat{N}_{\star}, \tag{1.14a}$$

$$a_{\rm s} = \left(n^2 - n(n+4)f_{n\star} - 4(n+4)f_{n\star}^2\right) / 16f_{n\star}^2 \widehat{N}_{\star}^2. \tag{1.14b}$$

In the limit  $c_R \to 0$  or  $f_{n\star} \to 1$  the results of the simplest power-law chaotic inflation – with  $f_R = f_K = 1$  and  $V_{CI}$  given in Eq. (1.1) – are recovered. These are by now disfavored by Eq. (1.9).

(ii) The strong  $c_R$  regime with  $c_R \gg 1$ . In this case, from Eq. (1.3b) we find

$$J \simeq \sqrt{3}nc_R \phi^{n/2-1}/2\sqrt{2}f_R \quad \text{and} \quad \widehat{V}_{\text{CI}} \simeq \lambda^2/2^{n/2}c_R^2. \tag{1.15}$$

We observe that  $\hat{V}_{CI}$  exhibits an almost flat plateau. From Eqs. (1.5) and (1.6) we find

$$\widehat{\varepsilon} \simeq 4/3c_R^2 \phi^n, \ \widehat{\eta} \simeq -4/3c_R \phi^{n/2} \text{ and } \widehat{N}_\star \simeq 3c_R \phi_*^{n/2}/4.$$
 (1.16)

Therefore,  $\phi_f$  and  $\phi_{\star}$  are found from the condition of Eq. (1.6) and the last equality above, as follows

$$\phi_{\rm f} = \max\{(4/3c_R^2)^{1/n}, (4/3c_R)^{2/n}\} \text{ and } \phi_{\star} = (4\widehat{N}_{\star}/3c_R)^{2/n}.$$
 (1.17)

Consequently, nMI can be achieved even with subplanckian  $\phi$  values for  $c_R \gtrsim (4\hat{N}_*/3)^{2/n}$ . Also the normalization of Eq. (1.7) implies the following relation between  $c_R$  and  $\lambda$ 

$$A_{\rm s}^{1/2} \simeq 2^{-(10+n)/4} \frac{\lambda c_R \phi^n}{\pi f_R} \bigg|_{\phi = \phi_\star} \Rightarrow \lambda \simeq \frac{3 \cdot 2^{n/4}}{\widehat{N}_\star} \sqrt{2A_{\rm s}} \pi c_R.$$
(1.18)

From Eq. (1.8) we obtain the  $c_R$ -independent values for the observables:

$$n_{\rm s} \simeq 1 - 2/\widehat{N}_{\star} \simeq 0.965, \ a_{\rm s} \simeq -2/\widehat{N}_{\star}^2 \simeq -6.4 \cdot 10^{-4} \text{ and } r \simeq 12/\widehat{N}_{\star}^2 \simeq 4 \cdot 10^{-3},$$
 (1.19)

which are in agreement with Eq. (1.9), although with low enough r values.

#### 1.4 The Ultraviolet (UV) Cut-off Scale

In the highly predictive regime with large  $c_{\rm K}$ , the models violate perturbative unitarity for n > 2. To see this we analyze the small-field behavior of the theory in order to extract the UV cutoff scale  $\Lambda_{\rm UV}$ . The result depends crucially on the value of J in Eq. (1.3b) in the vacuum,  $\langle \phi \rangle = 0$ . Namely we have

$$\langle J \rangle = \begin{cases} \sqrt{3/2}c_R & \text{for } n = 2, \\ 1 & \text{for } n \neq 2. \end{cases}$$
(1.20)

For n = 2 and any  $c_R$  we obtain  $\hat{\phi} \neq \phi$ . Expanding the second and third term of S in the right-hand side of Eq. (1.3a) about  $\langle \phi \rangle = 0$  in terms of  $\hat{\phi}$  we obtain:

$$J^{2}\dot{\phi}^{2} = \left(1 - \sqrt{\frac{8}{3}}\widehat{\phi} + 2\widehat{\phi}^{2} - \cdots\right)\dot{\phi}^{2} \quad \text{and} \quad \widehat{V}_{\text{CI}} = \frac{\lambda^{2}\widehat{\phi}^{2}}{3c_{R}^{2}}\left(1 - \sqrt{\frac{8}{3}}\widehat{\phi} + 2\widehat{\phi}^{2} - \cdots\right). \tag{1.21}$$

As a consequence  $\Lambda_{\text{UV}} = m_{\text{P}}$  since the expansions above are  $c_R$  independent. On the contrary, for n > 2 we have  $\hat{\phi} = \phi$  and the expansions of the same terms in Eq. (1.3a) are  $c_R$  dependent:

$$J^{2}\dot{\phi}^{2} = \left(1 - c_{R}\widehat{\phi}^{\frac{n}{2}} + \frac{3n^{2}}{8}c_{R}^{2}\widehat{\phi}^{n-2} + c_{R}^{2}\widehat{\phi}^{n} - \cdots\right)\hat{\phi}^{2};$$
(1.22a)

$$\widehat{V}_{\text{CI}} = \frac{\lambda^2 \widehat{\phi}^n}{2} \left( 1 - 2c_R \widehat{\phi}^{\frac{n}{2}} + 3c_R^2 \widehat{\phi}^n - 4c_R^3 \widehat{\phi}^{\frac{3n}{2}} + \cdots \right) \cdot$$
(1.22b)

Since the term which yields the smallest denominator for  $c_R > 1$  is  $3n^2 c_R^2 \hat{\phi}^{n-2}/8$  we find [5,6]:

$$\Lambda_{\rm UV} = m_{\rm P} / c_R^{2/(n-2)} \ll m_{\rm P} \,. \tag{1.23}$$

However, if we introduce a non-canonical kinetic mixing of the form

$$f_{\rm K}(\phi) = c_{\rm K} f_R^m$$
 where  $c_{\rm K} = (c_R/r_{R\rm K})^{4/n}$  and  $m \ge 0,$  (1.24)

no problem with the perturbative unitarity emerges for  $r_{RK} \leq 1$ , even if  $c_R$  and/or  $c_K$  are large – the latter situation is expected if we wish to achieve efficient nMI with  $\phi \leq 1$ . E.g., for m = 0 the expansions in Eqs. (1.22a) and (1.22b) can be rewritten replacing  $c_R$  with  $r_{RK}$  and  $\lambda$  with  $\lambda/c_K^{n/4}$ – similar expressions can be obtained for other *m*, too. In other words, the perturbative unitarity can be preserved up to  $m_P$  if we select a non-trivial  $f_K$  such that  $\langle J \rangle \neq 1$ . This requirement lets a functional uncertainty as regards the form of  $f_K$  during nMI which can be parameterized as shown in Eq. (1.24) given that  $\langle f_R \rangle \simeq 1$  – see Sec. 1.1.

We below describe a possible formulation of this type of nMI in the context of *Supergravity* (SUGRA) – see Sec. 2 – and we then analyze the inflationary behavior of these models in Sec. 3. We conclude summarizing our results in Sec. 4.

#### 2. Supergravity Embeddings

The models above – defined by Eqs. (1.1) and (1.24) – can be embedded in SUGRA if we use two gauge singlet chiral superfields  $z^{\alpha} = \Phi$ , *S*, with  $\Phi$  ( $\alpha = 1$ ) and *S* ( $\alpha = 2$ ) being the inflaton and a "stabilizer" field respectively. The EF action for  $z^{\alpha}$ 's can be written as [9]

$$\mathsf{S} = \int d^4 x \sqrt{-\widehat{\mathfrak{g}}} \left( -\frac{1}{2} \widehat{R} + K_{\alpha \bar{\beta}} \widehat{g}^{\mu \nu} \partial_{\mu} z^{\alpha} \partial_{\nu} z^{*\bar{\beta}} - \widehat{V} \right), \qquad (2.1a)$$

where summation is taken over the scalar fields  $z^{\alpha}$ , K is the Kähler potential with  $K_{\alpha\bar{\beta}} = K_{,z^{\alpha}z^{*\bar{\beta}}}$ and  $K^{\alpha\bar{\beta}}K_{\bar{\beta}\gamma} = \delta_{\gamma}^{\alpha}$ . Also  $\hat{V}$  is the EF F-term SUGRA potential given by

$$\widehat{V} = e^{K} \left( K^{\alpha \bar{\beta}} D_{\alpha} W D^{*}_{\bar{\beta}} W^{*} - 3|W|^{2} \right), \qquad (2.1b)$$

where  $D_{\alpha}W = W_{,z^{\alpha}} + K_{,z^{\alpha}}W$  with W being the superpotential. Along the inflationary track determined by the constraints

$$S = \Phi - \Phi^* = 0$$
, or  $s = \bar{s} = \theta = 0$  (2.2)

SUPERFIELDS:

if we express  $\Phi$  and S according to the parametrization

$$\Phi = \phi e^{i\theta} / \sqrt{2} \text{ and } S = (s+i\bar{s}) / \sqrt{2}, \qquad (2.3) \qquad \boxed{\begin{array}{c} U(1)_R \\ U(1) \end{array}} \qquad \boxed{\begin{array}{c} 1 & 0 \\ -1 & 2/n \end{array}}$$

 $V_{\text{CI}}$  in Eq. (1.1) can be produced, in the flat limit, by

W

$$= \lambda S \Phi^{n/2}.$$
 (2.4) Table 1: Charge assignments of the superfields.

The form of W can be uniquely determined if we impose an R and a global U(1) symmetry with charge assignments shown in Table 1.

On the other hand, the derivation of  $\hat{V}_{CI}$  in Eq. (1.4) via Eq. (2.1b) requires a judiciously chosen *K*. Namely, along the track in Eq. (2.2) the only surviving term in Eq. (2.1b) is

$$\widehat{V}_{\rm CI} = \widehat{V}(\theta = s = \bar{s} = 0) = e^K K^{SS^*} |W_{,S}|^2.$$
(2.5)

The incorporation  $f_R$  in Eq. (1.1) and  $f_K$  in Eq. (1.24) dictates the adoption of a logarithmic *K* [9] including the functions

$$F_R(\Phi) = 1 + 2^{\frac{n}{4}} \Phi^{\frac{n}{2}} c_R, \ F_K = (\Phi - \Phi^*)^2 \text{ and } F_S = |S|^2 - k_S |S|^4.$$
 (2.6)

Here,  $F_R$  is an holomorphic function reducing to  $f_R$ , along the path in Eq. (2.2),  $F_K$  is a real function which assists us to incorporate the non-canonical kinetic mixing generating by  $f_K$  in Eq. (1.24), and  $F_S$  provides a typical kinetic term for S, considering the next-to-minimal term for stability/heaviness reasons [9]. Indeed,  $F_K$  lets intact  $\hat{V}_{CI}$ , since it vanishes along the trajectory in Eq. (2.2), but it contributes to the normalization of  $\Phi$ . Taking for consistency all the possible terms up to fourth order, K is written as

$$K_{1} = -3\ln\left(\frac{1}{2}\left(F_{R} + F_{R}^{*}\right) + \frac{c_{\mathrm{K}}}{3 \cdot 2^{m+1}}\left(F_{R} + F_{R}^{*}\right)^{m}F_{\mathrm{K}} - \frac{1}{3}F_{S} + \frac{k_{\Phi}}{6}F_{\mathrm{K}}^{2} - \frac{k_{S\Phi}}{3}F_{\mathrm{K}}|S|^{2}\right).$$
 (2.7a)

Alternatively, if we do not insist on a pure logarithmic K, we could also adopt the form

$$K_2 = -3\ln\left(\frac{1}{2}\left(F_R + F_R^*\right) - \frac{1}{3}F_S\right) - \frac{c_{\rm K}}{2^m}\frac{F_{\rm K}}{\left(F_R + F_R^*\right)^{1-m}}.$$
(2.7b)

Moreover, if we place  $F_S$  outside the argument of the logarithm similar results are obtained by the following K's – not mentioned in Ref. [1]:

$$K_{3} = -2\ln\left(\frac{1}{2}\left(F_{R} + F_{R}^{*}\right) + \frac{c_{\mathrm{K}}}{2^{m+2}}\left(F_{R} + F_{R}^{*}\right)^{m}F_{\mathrm{K}}\right) + F_{S}, \qquad (2.7c)$$

$$K_4 = -2\ln\frac{F_R + F_R^*}{2} - \frac{c_K}{2^m} \frac{F_K}{\left(F_R + F_R^*\right)^{1-m}} + F_S.$$
(2.7d)

Φ

Fields	EINGESTATES	MASSES SQUARED			
		Symbol	$K = K_1$	$K = K_2$	$K = K_{i+2}$
2 real scalars	$\widehat{oldsymbol{ heta}}$	$\widehat{m}_{m{ heta}}^2$	$4\widehat{H}_{\mathrm{CI}}^2$	$6\widehat{H}_{\mathrm{CI}}^2$	
1 complex scalar	$\widehat{s},\widehat{\overline{s}}$	$\widehat{m}_s^2$	$6(2k_S f_R - 1/3)\widehat{H}_{CI}^2 \qquad 12k_S \widehat{H}_{CI}^2$		$12k_S\widehat{H}_{CI}^2$
4 Weyl spinors	$\widehat{\pmb{\psi}}_{\pm}$	$\widehat{m}_{m{\psi}\pm}^2$	$3n^2\widehat{H}_{\mathrm{CI}}^2/2c_{\mathrm{K}}\phi^2 f_R^{1+m}$		

**Table 2:** Mass-squared spectrum for  $K = K_i$  and  $K = K_{i+2}$  (i = 1, 2) along the path in Eq. (2.2).

Note that for m = 0 [m = 1],  $F_R$  and  $F_K$  in  $K_1$  and  $K_3$  [ $K_2$  and  $K_4$ ] are totally decoupled, i.e. no higher order term is needed. Also we use only integer prefactors for the logarithms avoiding thereby any relevant tuning – cf. Ref. [10]. Our models, for  $c_K \gg c_R$ , are completely natural in the 't Hooft sense because, in the limits  $c_R \rightarrow 0$  and  $\lambda \rightarrow 0$ , the theory enjoys the enhanced symmetries

$$\Phi \to \Phi^*, \Phi \to \Phi + c \text{ and } S \to e^{i\alpha}S,$$
 (2.8)

where *c* is a real number. It is evident that our proposal is realized more attractively within SUGRA than within the non-SUSY set-up, since both  $f_K$  and  $f_R$  originate from the same function *K*.

To verify the appropriateness of *K*'s in Eqs. (2.7a) – (2.7d), we can first remark that, along the trough in Eq. (2.2), these are diagonal with non-vanishing elements  $K_{SS^*}$  and  $K_{\Phi\Phi^*} = J^2$ , where *J* is given by Eq. (1.4) for  $K = K_i$  and Eq. (1.4) replacing 3/8 by 1/4 for  $K = K_{i+2}$ . Substituting into Eq. (2.5)  $K^{SS^*} = 1/K_{SS^*}$  and  $\exp K = 1/f_R^N$ , where

$$K_{SS^*} = \begin{cases} 1/f_R \\ 1 \end{cases} \text{ and } N = \begin{cases} 3 \\ 2 \end{cases} \text{ for } K = \begin{cases} K_i \\ K_{i+2} \end{cases} \text{ with } i = 1, 2, \qquad (2.9)$$

we easily deduce that  $\hat{V}_{CI}$  in Eq. (1.4) is recovered. If we perform the inverse of the conformal transformation described in Eqs. (1.3a) and (1.2) with frame function  $\Omega/N = -e^{-K/N}$  we can easily show that  $f_R = -\Omega/N$  along the path in Eq. (2.2). Note, finally, that the conventional Einstein gravity is recovered at the SUSY vacuum,  $\langle S \rangle = \langle \Phi \rangle = 0$ , since  $\langle f_R \rangle \simeq 1$ .

Defining the canonically normalized fields via the relations  $d\hat{\phi}/d\phi = \sqrt{K_{\Phi\Phi^*}} = J$ ,  $\hat{\theta} = J\theta\phi$ and  $(\hat{s}, \hat{s}) = \sqrt{K_{SS^*}}(s, \bar{s})$  we can verify that the configuration in Eq. (2.2) is stable w.r.t the excitations of the non-inflaton fields. Taking the limit  $c_K \gg c_R$  we find the expressions of the masses squared  $\hat{m}_{\chi^{\alpha}}^2$  (with  $\chi^{\alpha} = \theta$  and s) arranged in Table 2, which approach rather well the quite lengthy, exact formulas. From these expressions we appreciate the role of  $k_S > 0$  in retaining positive  $\hat{m}_s^2$ . Also we confirm that  $\hat{m}_{\chi^{\alpha}}^2 \gg \hat{H}_{CI}^2 = \hat{V}_{CI0}/3$  for  $\phi_f \leq \phi \leq \phi_*$ . In Table 2 we display the masses  $\hat{m}_{\psi^{\pm}}^2$  of the corresponding fermions too with eignestates  $\hat{\psi}_{\pm} = (\hat{\psi}_{\Phi} \pm \hat{\psi}_S)/\sqrt{2}$ , defined in terms of  $\hat{\psi}_S = \sqrt{K_{SS^*}}\psi_S$  and  $\hat{\psi}_{\Phi} = \sqrt{K_{\Phi\Phi^*}}\psi_{\Phi}$ , where  $\psi_{\Phi}$  and  $\psi_S$  are the Weyl spinors associated with S and  $\Phi$ respectively. Note, finally, that  $\hat{m}_{\chi^{\alpha}} \ll m_P$ , for any  $\chi^{\alpha}$ , contrary to similar cases [11] where the inflaton belongs to gauge non-singlet superfields.

Inserting the derived mass spectrum in the well-known Coleman-Weinberg formula, we can find the one-loop radiative corrections,  $\Delta \hat{V}_{CI}$  to  $\hat{V}_{CI}$ . It can be verified that our results are immune from  $\Delta \hat{V}_{CI}$ , provided that the renormalization group mass scale  $\Lambda$ , is determined conveniently and  $k_{S\Phi}$  and  $k_S$  are confined to values of order unity.

#### 3. Results

The present inflationary scenario depends on the parameters:  $n, m, r_{RK}, \lambda/c_K^{n/4}$ . Note that the two last combinations of parameters above replace  $c_K$ ,  $c_R$  and  $\lambda$ . This is because, if we perform a rescaling  $\phi = \tilde{\phi}/\sqrt{c_K}$ , Eq. (1.2) preserves its form replacing  $\phi$  with  $\tilde{\phi}$  and  $f_K$  with  $f_R^m$  where  $f_R$  and  $V_{CI}$  take, respectively, the forms

$$f_R = 1 + r_{RK} \tilde{\phi}^{n/2}$$
 and  $V_{CI} = \lambda^2 \tilde{\phi}^n / 2^{n/2} c_K^{n/2}$ , (3.1)

which, indeed, depend only on  $r_{RK}$  and  $\lambda^2/c_K^{n/2}$ . Imposing the restrictions of Sec. 1.2 we can delineate the allowed region of these parameters. Below we first extract some analytic expressions – see Sec. 3.1 – which assist us to interpret the exact numerical results presented in Sec. 3.2.

## 3.1 Analytic Results

Assuming  $c_{\rm K} \gg c_R$ , Eq. (1.3b) yields  $J \simeq \sqrt{c_{\rm K}} / f_R^{(1-m)/2}$ . Inserting the last one and  $\hat{V}_{\rm CI}$  from Eq. (1.1) in Eq. (1.6) we extract the slow-roll parameters for this model as follows – cf. Eq. (1.11):

$$\widehat{\varepsilon} = n^2 / 2\phi^2 c_{\rm K} f_R^{1+m} \quad \text{and} \quad \widehat{\eta} = 2\left(1 - 1/n\right)\widehat{\varepsilon} - \left(4 + n(1+m)\right)c_R \phi^{n/2}\widehat{\varepsilon} / 2n \,. \tag{3.2}$$

Given that  $\phi \ll 1$  and so  $f_R \simeq 1$ , nMI terminates for  $\phi = \phi_f$  found by the condition

$$\phi_{\rm f} \simeq \max\{n/\sqrt{2c_{\rm K}}, \sqrt{(n-1)n/c_{\rm K}}\},\tag{3.3}$$

in accordance with Eq. (1.6). Since  $\phi_{\star} \gg \phi_{\rm f}$ , from Eq. (1.5) we find

$$\widehat{N}_{\star} = \frac{c_{\mathrm{K}}\phi_{\star}^{2}}{2n} {}_{2}F_{1}\left(-m, 4/n; 1+4/n; -c_{R}\phi_{\star}^{n/2}\right) = \begin{cases} c_{\mathrm{K}}\phi_{\star}^{2}/2n & \text{for } m=0, \\ (f_{R}^{1+m}-1)/8(1+m)r_{R\mathrm{K}} & \text{for } n=4, \end{cases}$$
(3.4)

where  $_2F_1$  is the Gauss hypergeometric function. Concentrating on the cases with m = 0 or n = 4, we solve Eq. (3.4) w.r.t  $\phi_*$  with results

$$\phi_{\star} \simeq \begin{cases} \sqrt{2n\hat{N}_{\star}/c_{\rm K}} & \text{for } m = 0, \\ \sqrt{f_{m\star} - 1}/\sqrt{r_{\rm RK}c_{\rm K}} & \text{for } n = 4, \end{cases}$$
(3.5)

where  $f_{m\star}^{1+m} = 1 + 8(m+1)r_{RK}\hat{N}_{\star}$ . In both cases there is a lower bound on  $c_{\rm K}$ , above which  $\phi_{\star} < 1$ and so, our proposal can be stabilized against corrections from higher order terms – e.g., for n = 4, m = 1 and  $r_{RK} = 0.03$  we obtain  $140 \le c_{\rm K} \le 1.4 \cdot 10^6$  for  $3.3 \cdot 10^{-4} \le \lambda \le 3.5$ . The correlation between  $\lambda$  and  $c_{\rm K}^{n/4}$  can be found from Eq. (1.7). For m = 0 this is given by Eq. (1.13) replacing  $\lambda$ with  $\lambda/c_{\rm K}^{n/4}$  and  $c_R$  with  $r_{RK}$  in the definition of  $f_{n\star}$ . For n = 4 we obtain

$$\lambda = 16\sqrt{3A_s} \pi c_K r_{RK}^{3/2} / (f_{m\star} - 1)^{3/2} f_{m\star}^{(1+m)/2} .$$
(3.6)

As regards the inflationary observables, these are obviously given by Eqs. (1.14a) and (1.14b) for the trivial case with m = 0. For  $m \neq 0$ , however, these are heavily altered. In particular, for n = 4 we obtain

$$n_{\rm s} = 1 - 8r_{\rm RK} \frac{m - 1 - (m+2)f_{m\star}}{(f_{m\star} - 1)f_{m\star}^{1+m}}, \ r = \frac{128r_{\rm RK}}{(f_{m\star} - 1)f_{m\star}^{1+m}},$$
(3.7a)

$$a_{\rm s} = \frac{64r_{RK}^2(1+m)(m+2)}{(f_{m\star}-1)^2 f_{m\star}^{4(1+m)}} f_{m\star}^2 \left( f_{m\star}^{2m} \left( \frac{1-m}{m+2} + \frac{2m-1}{m+1} f_{m\star} \right) - f_{m\star}^{2(1+m)} \right). \tag{3.7b}$$

The formulae above is valid only for  $r_{RK} > 0$  – see Eq. (3.5) – and is simplified [1] for low *m*'s.



**Figure 1:** Allowed curves in the  $n_s - r_{0.002}$  plane for n = 2 and 4, m = 0 (dashed lines), m = 1 (solid lines), m = 4 (dot-dashed lines), and various  $r_{RK}$ 's indicated on the curves. The marginalized joint 68% [95%] regions from *Planck*, BICEP2/Keck Array and BAO data are depicted by the dark [light] shaded contours.

#### **3.2 Numerical Results**

The conclusions obtained in Sec. 3.1 can be verified and extended to others *n*'s and *m*'s numerically. In particular, enforcing Eqs. (1.5) and (1.7) we can restrict  $\phi_*$  and  $\lambda/c_K^{n/4}$ . Then we can compute the model predictions via Eq. (1.8), for any selected *m*, *n* and  $r_{RK}$ . The outputs, encoded as lines in the  $n_s - r_{0.002}$  plane, are compared against the observational data [7, 8] in Fig. 1 for n = 2 (left panel) and 4 (right panel) setting m = 0, 1 and 4 – dashed, solid, and dot-dashed lines respectively. The variation of  $r_{RK}$  is shown along each line. To obtain an accurate comparison, we compute  $r_{0.002} = 16\hat{\epsilon}(\phi_{0.002})$  where  $\phi_{0.002}$  is the value of  $\phi$  when the scale k = 0.002/Mpc, which undergoes  $\hat{N}_{0.002} = (\hat{N}_* + 3.22)$  e-foldings during nMI, crosses the inflationary horizon.

From the plots in Fig. 1 we observe that, for low enough  $r_{RK}$ 's – i.e.  $r_{RK} = 10^{-4}$  and 0.001 for n = 4 and 2 –, the various lines converge to the  $(n_s, r_{0.002})$ 's obtained within the simplest models of chaotic inflation with the same n. At the other end, the lines for n = 4 terminate for  $r_{RK} = 1$ , beyond which the theory ceases to be unitarity safe – as anticipated in Sec. 1.4 – whereas the n = 2 lines approach an attractor value, comparable with the value in Eq. (1.19), for any m.

For m = 0 we reveal the results of Sec. 1.3, i.e. the displayed lines are almost parallel for  $r_{0.002} \ge 0.02$  and converge at the values in Eq. (1.19) – for n = 4 this is reached even for  $r_{RK} = 1$ . Our estimations in Eqs. (1.14a) – (1.14b) are in agreement with the numerical results for n = 2 and  $r_{RK} \le 1$  or n = 4 and  $r_{RK} \le 0.05$ . We observe that the n = 2 line is closer to the central values in Eq. (1.9) whereas the n = 4 one deviates from those.

For m > 0 the curves change slopes w.r.t to those with m = 0 and move to the right. As a consequence, for n = 4 they span densely the 1- $\sigma$  ranges in Eq. (1.9) for quite natural  $r_{RK}$ 's – e.g.  $0.005 \leq r_{RK} \leq 0.1$  for m = 1. It is worth mentioning that the requirement  $r_{RK} \leq 1$  (for n = 4) provides a lower bound on  $r_{0.002}$ , which ranges from 0.004 for m = 0 to 0.015 (for m =4). Therefore, our results are testable in the forthcoming experiments [12] hunting for primordial gravitational waves. Note, finally, that our findings in Eqs. (3.7a) – (3.7b) approximate fairly the numerical outputs for  $0.003 \leq r_{RK} \leq 1$ .

# 4. Conclusions

We reviewed the implementation of kinetically modified nMI in both a non-SUSY and a SUSY framework. The models are tied to the potential  $V_{CI}$  and the coupling function of the inflaton to gravity given in Eq. (1.1) and the non-canonical kinetic mixing in Eq. (1.24). This setting can be elegantly implemented in SUGRA too, employing the super-and Kähler potentials given in Eqs. (2.4) and (2.7a) – (2.7d). Prominent in this realization is the role of a shift-symmetric quadratic function  $F_{\rm K}$  in Eq. (2.6) which remains invisible in the SUGRA scalar potential while dominates the canonical normalization of the inflaton. Using  $m \ge 0$  and confining  $r_{R\rm K}$  to the range  $(3.3 \cdot 10^{-3} - 1)$ , where the upper bound does not apply to the n = 2 case, we achieved observational predictions which may be tested in the near future and converge towards the "sweet" spot of the present data – especially for n = 4. These solutions can be attained even with subplanckian values of the inflaton requiring large  $c_{\rm K}$ 's and without causing any problem with the perturbative unitarity. It is gratifying, finally, that the most promising case of our proposal with n = 4 can be studied analytically and rather accurately.

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