

Illuminating Nucleon Structure Through Polarized Proton-Proton Collisions at STAR

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During the past decade, the experiments of the RHIC spin program have provided critical insight into the spin structure of the nucleon, in particular shedding light on the roles played by gluon and sea-quark helicity. In the forthcoming RHIC run, attention will be devoted to transverse-spin phenomena. Over the last decade, theoretical and experimental engagement of this oft-challenging subject has unlocked tantalizing opportunities for new insight into nucleon structure, e.g. with higher dimensions in partonic momentum space. The STAR experiment continues this exploration through an array of measurements from high-energy polarized-proton collisions. Among these studies are the production of weak bosons, azimuthal distributions of hadrons within jets, dihadron correlations, and particle production at large pseudorapidity. Recent breakthroughs may illuminate further longstanding questions: Do factorization and universality extend to the transverse-momentum-dependent (TMD) picture in proton-proton collisions? How do TMD functions evolve with changing kinematics? Beyond existing probes, future measurements will enable even wider frontiers in understanding QCD and nucleon structure.

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1. Introduction

Spin in particle physics proves to be an incisive tool in opening windows into a deeper understanding of nucleon structure. Global analyses combining results from deep inelastic scattering (DIS), semi-inclusive DIS (SIDIS), and polarized proton-proton ($p + p$) collisions have recently brought enhanced clarity to the roles of gluon and sea-quark helicity (e.g. Ref. [1]). Global analyses (e.g. Ref. [2, 3]) of transverse single-spin asymmetries in charged pions produced in SIDIS and e^+e^- collisions have also enabled the first extractions of transversity through the Collins mechanism [4]. Additional global analyses (e.g. Ref. [5]) have extracted transversity through dihadron “interference fragmentation functions” (IFF) [6]. Polarized $p + p$ collisions provide a novel way to expand the frontier. Such measurements extend the kinematic reach in both x and Q^2 and also eliminate the u -quark dominance that limits constraints that SIDIS data apply to d -quark transversity. Furthermore, polarized $p + p$ collisions provide a unique way to probe open questions about TMD factorization and universality. TMD factorization has been proven for SIDIS as well as for Drell-Yan and weak-boson production in polarized $p + p$ collisions (e.g. Ref. [7]). Measurements such as transverse single-spin asymmetries in weak-boson production from polarized $p + p$ probe the well-known “sign-change” expectation [7] between SIDIS and $p + p$ through the Sivers mechanism [8]. The large mass of the boson provides a high Q^2 scale that should make the asymmetries sensitive to the evolution of the TMDs. On the other hand, TMD factorization is generally expected to be broken for hadronic interactions (e.g. Ref. [9]), for instance in single high- p_T meson production. The size of any such factorization breaking is not known. It has recently been argued that the cross section for hadrons within jets produced in $p + p$ does factorize and only depends upon universal TMD fragmentation functions, decoupled from TMD PDFs [10]. In contrast to TMDs, IFF asymmetries persist in the collinear framework of pQCD, where factorization and universality are expected to hold [11]. The combination of data from hadrons within jets and dihadrons from $p + p$ with existing SIDIS and e^+e^- data provide the opportunity for a comprehensive global analyses to address these long-standing theoretical questions.

Over the last decade, the STAR experiment [12] at RHIC has conducted an aggressive program to study transverse spin effects in polarized $p + p$ collisions at both $\sqrt{s} = 200$ and 500 GeV. The first signatures of transversity in $p + p$ have been seen in charged-pion Collins [13] and IFF [14] asymmetries at $|\eta| < 1$ from 2.4 pb^{-1} at $\sqrt{s} = 200$ GeV collected in 2006. To improve the precision of these measurements, STAR collected 22 pb^{-1} of luminosity from $p + p$ at $\sqrt{s} = 200$ GeV with 63% polarization during the 2012 RHIC run. In 2011, STAR integrated an additional 25 pb^{-1} of luminosity from $p + p$ at $\sqrt{s} = 500$ GeV with 53% polarization. This dataset not only enables the first measurements of transversity effects at $\sqrt{s} = 500$ GeV, but also the first measurement of transverse single-spin asymmetries in weak boson production.

2. Analysis

Detailed descriptions of the analysis techniques and simulation studies for the jet measurements are given in Refs. [15, 16], while those for the IFF measurements are given in Refs. [14, 17, 18]. Jets are reconstructed using the “anti- k_T ” algorithm [19] with a radius of 0.6 for $\sqrt{s} = 200$

GeV or 0.5 for $\sqrt{s} = 500$ GeV and utilize energy deposition in the BEMC and EEMC as well as charged-particle momenta from STAR's time projection chamber (TPC) [12].

A description of the weak boson analysis is given in Ref. [20]. Events are selected by requiring an isolated high- p_T electron or positron determined by energy from the BEMC towers and momenta from the TPC. The full kinematics of the boson are reconstructed by summing the hadronic recoil momenta and employing an event-by-event Monte Carlo correction to compensate for recoil momenta that fall outside the STAR acceptance.

3. Results

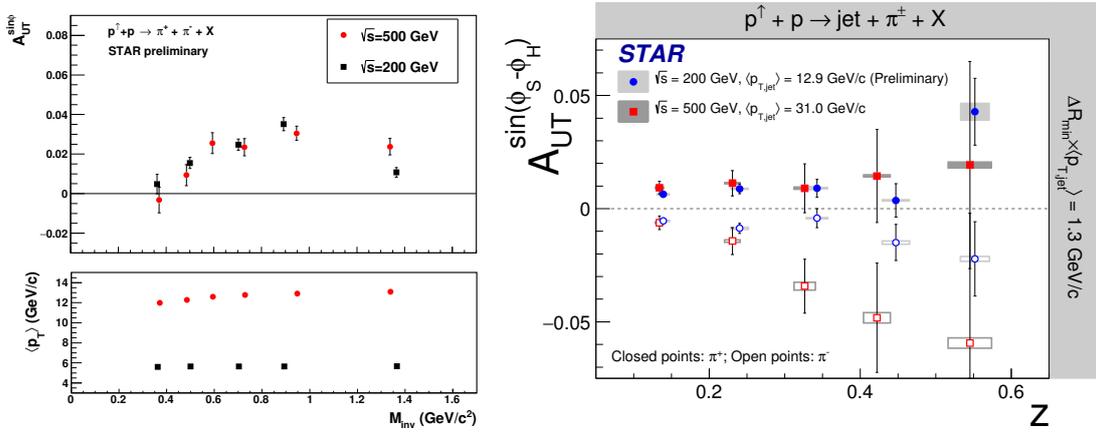


Figure 1: STAR charged-pion IFF [17, 18] (left) and Collins [15, 16] (right) asymmetries at $\sqrt{s} = 200$ and 500 GeV. In both cases, asymmetries are consistent when scaling for x_T .

Charged-pion IFF [17, 18] and Collins [15, 16] asymmetries from STAR's 2011 and 2012 datasets are presented in Fig. 1. IFF asymmetries are shown as functions of dihadron invariant mass, while the Collins asymmetries are shown versus pion z , the fraction of jet momentum carried by the pion. The kinematics are selected such that the 200 GeV and 500 GeV data sample the same range of x_T . In the case of the Collins asymmetries, the data are also selected to sample the same range of pion momentum transverse to the jet axis, $j_T = z \times \Delta R \times p_{T, \text{jet}}$, where ΔR is the angular distance of the pion from the jet axis. For both the IFF and Collins mechanisms, the 200 GeV and 500 GeV asymmetries are consistent for common ranges of x_T . It is noteworthy that this scaling is seen in an observable surviving in the collinear framework (IFF) and in an observable requiring TMD factorization (Collins) that is generally expected to be broken in hadroproduction.

The IFF asymmetries from STAR's 2006 dataset [14] have been compared with model calculations based upon SIDIS and e^+e^- data [21]. The model calculations show agreement with the STAR data in terms of the dihadron mass dependence. Efforts to include these data in global analyses aimed at extracting transversity have already begun [22].

The STAR Collins asymmetries [15, 16] have been compared with model calculations [24, 25] based upon SIDIS and e^+e^- data. The comparisons for the $\sqrt{s} = 500$ GeV data [15] are shown in the right-hand panel of Fig. 2. The models assume robust TMD factorization and universality.

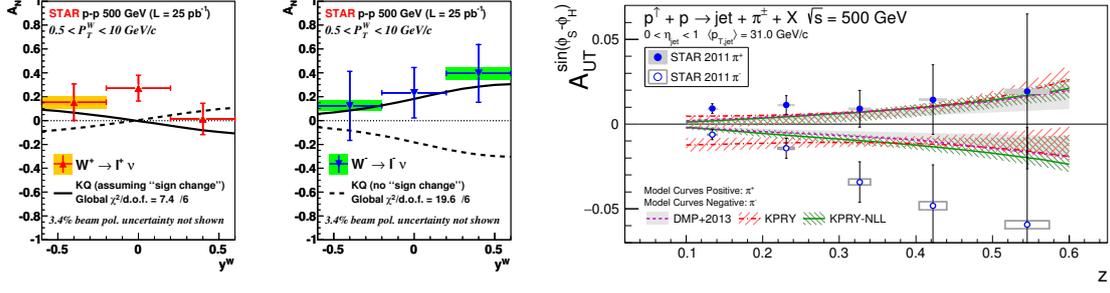


Figure 2: STAR weak boson [20] (left and center) and charged-pion Collins [15] (right) asymmetries at $\sqrt{s} = 500$ GeV in comparison to model calculations based on SIDIS [23, 24, 25]. Weak boson asymmetries show a strong preference for models that assume the sign-change [7], provided suppression due to TMD evolution is small. Collins asymmetries are consistent with models at the 95% confidence level showing a slight preference for models assuming no TMD evolution.

Furthermore, the models of Ref. [25] are based on two separate calculations: one assuming no TMD evolution (KPRY) and one assuming TMD evolution up to next-to-leading log (KPRY-NLL). The STAR data are in relatively good agreement with all of the model calculations. As demonstrated by Fig. 2, the 500 GeV data are consistent with all predictions at the 95% confidence level. This is consonant with the model assumption of universality of the Collins fragmentation function and the assumption of robust TMD factorization for these observables in $p + p$. Between the two KPRY calculations, the 500 GeV data do exhibit a slight preference for the model without TMD evolution. Using the data statistical and systematic uncertainties, a χ^2 test yields $\chi^2 = 14.0$ for $\nu = 10$ degrees of freedom without evolution compared with $\chi^2 = 17.6$ with evolution.

The STAR weak boson asymmetries [20] are presented in the left-hand and center panels of Fig. 2 as functions of boson rapidity. The asymmetries are compared with model calculations [23] based on SIDIS and assuming no TMD evolution. The calculations are performed separately for different assumptions concerning the sign-change. The data show a preference for the model assuming the sign-change: $\chi^2/\nu = 7.4/6$ with the sign-change compared to $\chi^2/\nu = 19.6/6$ without. Hence, if the suppression from TMD evolution is small, the STAR data provide the first indication of the modified universality of the Sivers function.

4. Conclusions

Recent data collected by STAR have revealed the first transversity signals in polarized $p + p$ collisions and given tantalizing hints at the nature of QCD, such as insight into TMD factorization breaking and universality. Data collected in 2017 at $\sqrt{s} = 510$ GeV promise more precise and diverse probes for expanding the frontier. The 2017 RHIC run represents an ambitious program targeting the Sivers sign-change through weak bosons, Drell-Yan, and direct photons, achieving 127%, 91%, and 81%, respectively, of the target integrated luminosities. The expected statistical precision of the weak boson and Drell-Yan asymmetries is shown in Fig. 3. The program probes the sign-change over a wide range of hard scales, which also enable the testing of TMD evolution. The

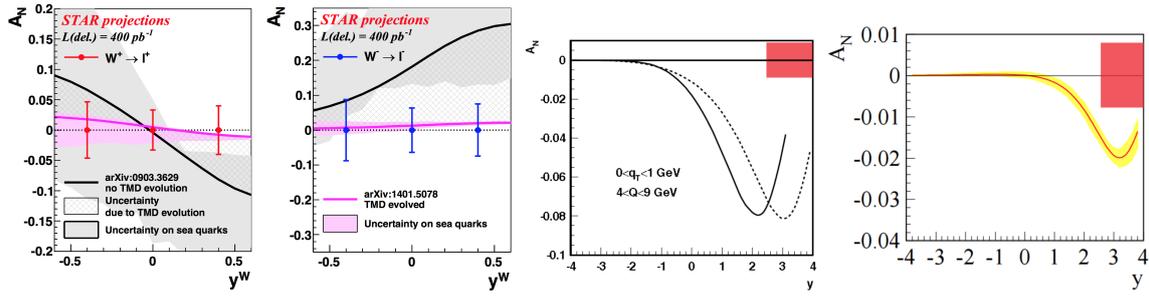


Figure 3: Projected statistical precision for weak boson and Drell-Yan transverse single-spin asymmetries from STAR data collected during the 2017 RHIC run at $\sqrt{s} = 510$ GeV. Projected weak boson statistics (far left and near left) are shown in comparison to model calculations from Refs. [23, 26], while those for Drell-Yan are shown in comparison to calculations from Refs. [26] (near right) and Refs. [27] (far right).

significantly enhanced precision of Collins asymmetries from 2017, in particular when combined with those from the 2015 dataset at $\sqrt{s} = 200$ GeV, will enable a more precise testing of TMD factorization breaking, universality, and evolution through the Collins fragmentation function. The 2017 run, therefore, stands to play a significant role in illuminating nucleon spin structure and the nature of QCD.

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