

## Charge-sign dependence in the solar modulation during the solar cycle 23

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Charge-sign dependence of the solar modulation of galactic cosmic ray (GCR) protons and antiprotons is numerically investigated. The calculations are performed by considering the gradient-curvature drift motion and fully anisotropic diffusion. We also assume the variations of the solar wind speed, the strength of the heliospheric magnetic field, and the tilt angle of the heliospheric current sheet. We calculate the energy spectra of the GCR protons and antiprotons during the solar cycle 23, and quantitatively investigate the charge-sign dependence of the solar modulation by comparing our results with the observations by BESS (Balloon-borne Experiment with a Superconducting Spectrometer) and PAMELA (Payload for Antimatter Matter Exploration and Light-nuclei Astrophysics).

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## 1. Introduction

Gradient-curvature drift motion of the GCRs in the heliosphere causes a charge-sign dependence of the solar modulation, such as the 22-years variations of the flux of GCRs that has a flat-top and sharp-top time profile. In this study we develop the numerical model for the solar modulation on the basis of the drift model to study quantitatively the charge-sign dependence. In the solar cycle 23, the long term observations of multiple GCRs, such as protons, heliums, deuterons, and those antimatters, have been performed by BESS (e.g. Sakai et al. [1]) and PAMELA (e.g. Adriani et al. [2]). Because these observations entirely cover the solar minimum, the solar maximum, and the solar magnetic polarity reversal, the fluxes of GCRs measured by these observations provide a crucial test of a charge-sign dependence of the solar modulation. We thus calculate the energy spectra of GCR protons and antiprotons during the solar cycle 23 and compare our results with that measured by these observations. The details of our modulation model and the heliospheric model are described in section 2 and 3, respectively. In section 4, we present our results of the energy spectra of GCR protons and the antiproton/proton ratio during the solar cycle 23.

## 2. Modulation Model

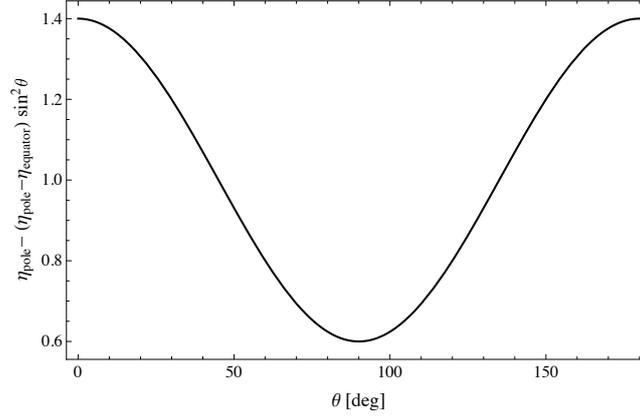
We numerically investigate the propagation of GCR protons and antiprotons in the heliosphere. Our calculation is based on the equivalence of a coupled set of SDEs and the Parker's convection-diffusion equation [3, 4, 5, 6, 7]. The set of SDEs considered in this study is written as

$$\begin{aligned} d\mathbf{r} &= (\nabla \cdot \boldsymbol{\kappa} + \mathbf{V}_{\text{sw}} + \mathbf{V}_{\text{drift}}) dt + \sum_s \boldsymbol{\sigma}_s dW_s(t), \\ dp &= -\frac{1}{3} p (\nabla \cdot \mathbf{V}_{\text{sw}}) dt, \end{aligned} \quad (2.1)$$

where  $\mathbf{r}$  and  $p$  indicate the position and momentum of pseudo-particle respectively,  $t$  is the time,  $\boldsymbol{\kappa}$  is the spatial diffusion coefficient tensor,  $\mathbf{V}_{\text{sw}}$  is the velocity of the solar wind,  $\mathbf{V}_{\text{drift}}$  is the velocity of the gradient-curvature drift motion,  $\sum_s \boldsymbol{\sigma}_s^\mu \boldsymbol{\sigma}_s^\nu = 2\boldsymbol{\kappa}^{\mu\nu}$ , and  $dW_s$  is the Wiener process given by the Gaussian distribution. Here we calculate the drift motion along the heliospheric current sheet (HCS) by using an approximate function proposed by Burger and Potgieter [8]. We consider the fully anisotropic diffusion distinguishing three diffusion axes in the magnetic field coordinate system, as follows:

$$\begin{aligned} \kappa_{\parallel} &= (\kappa_{\parallel})_0 \beta \left( \frac{p}{1\text{GeV}/C} \right)^\delta \left( \frac{B_e}{B} \right)^{\eta_{\parallel}}, \\ \kappa_{\perp_1} &= (\kappa_{\perp_1})_0 \beta \left( \frac{p}{1\text{GeV}/C} \right)^\delta \left( \frac{B_e}{B} \right)^{\eta_{\perp_1}}, \\ \kappa_{\perp_2} &= (\kappa_{\perp_2})_0 \beta \left( \frac{p}{1\text{GeV}/C} \right)^\delta \left( \frac{B_e}{B} \right)^{\eta_{\perp_2}}, \end{aligned} \quad (2.2)$$

where  $\beta$  is the velocity of particle relative to the velocity of light and  $B_e$  is the strength of the heliospheric magnetic field (HMF) near the Earth. We define  $\kappa_{\perp_1}$  and  $\kappa_{\perp_2}$  as the diffusion coefficient of the heliospheric polar angle direction and that of the other direction perpendicular to the HMF, respectively.  $(\kappa_{\parallel})_0$ ,  $(\kappa_{\perp_1})_0$ ,  $(\kappa_{\perp_2})_0$ ,  $\delta$ ,  $\eta_{\parallel}$ ,  $\eta_{\perp_1}$ , and  $\eta_{\perp_2}$  are regarded as free parameters in our model.



**Figure 1:** Modeled profile of the power-law index of the magnetic strength dependence for the diffusion coefficient of the polar angle direction.

We found following values that can largely reproduce the energy spectra of protons and antiprotons by iterative searching:  $(\kappa_{\parallel})_0 = 1 \times 10^{22} \text{ cm}^2/\text{s}$ ;  $(\kappa_{\perp 1})_0 = 1 \times 10^{20} \text{ cm}^2/\text{s}$ ;  $(\kappa_{\perp 2})_0 = 2 \times 10^{20} \text{ cm}^2/\text{s}$ ;  $\delta = 1.0$ ;  $\eta_{\parallel} = 1.0$ ;  $\eta_{\perp 1} = \eta_p - (\eta_p - \eta_e) \sin^2 \theta = 1.4 - (1.4 - 0.6) \sin^2 \theta$ ; and  $\eta_{\perp 2} = 1.0$ .  $\eta_p$  and  $\eta_e$  are the power-law indexes for the magnetic dependences at the pole and the equator in the heliosphere, respectively. By describing  $\eta_{\perp 1}$  as a function of  $\theta$  as shown in Figure 1, we take into account the latitudinal dependence of the diffusion coefficient in the polar angle direction.

The distribution function at the Earth  $f_e(p_0)$  is described as a convolution of the distribution function at the heliospheric boundary  $f_{\text{isism}}(p)$  with the normalized transition probability  $F(p_0, r_0|p, r)$  obtained by our calculation, namely

$$f_e(p_0) = \int f_{\text{isism}}(p) F(p_0, r_0|p, r_{\text{out}}) dp. \quad (2.3)$$

We adopt the following local interstellar spectrum (LIS) of GCR protons and antiprotons at the heliospheric boundary,

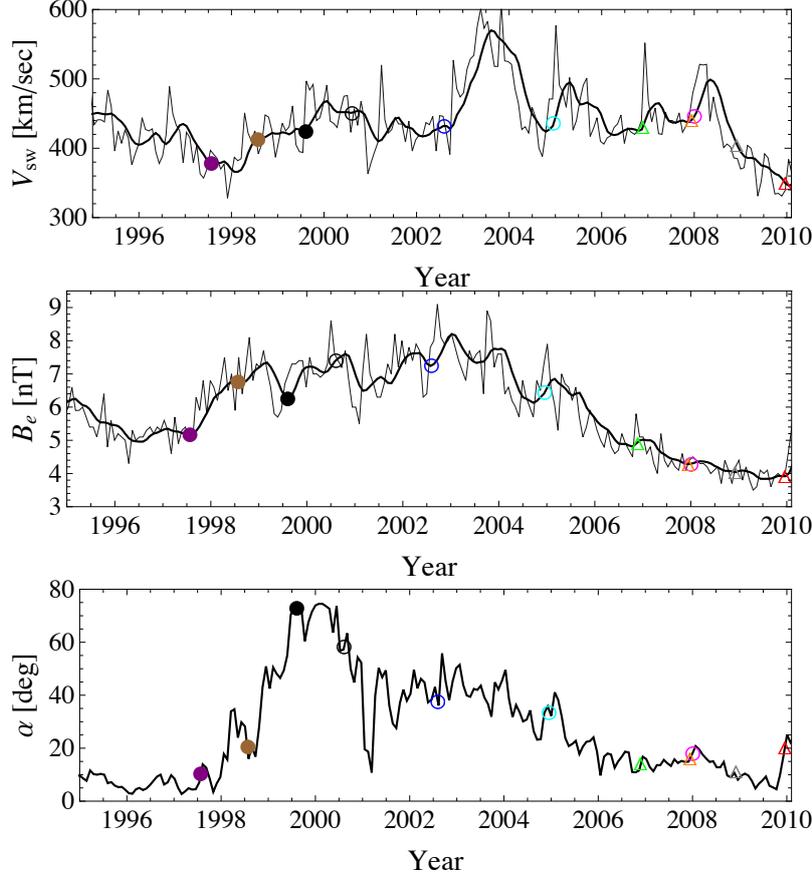
$$J_p(E_k) = 16.0 \left( 1 + \frac{4.2}{E_k^{1.22}} + \frac{1.3}{E_k^{2.8}} + \frac{0.0087}{E_k^{4.32}} \right)^{-1} E_k^{-2.73}, \quad (2.4)$$

$$J_{\bar{p}}(E_k) = 3.0 \exp \left[ -\exp \left\{ 1.59 - 1.65 \log E_k - 0.83 (\log E_k)^2 - 0.21 (\log E_k)^3 \right\} \right] E_k^{-2.73},$$

where  $E_k$  is the kinetic energy of GCR at the heliospheric boundary. The LIS of GCR protons  $J_p$  has a similar energy dependence with a LIS that is in agreement with the flux of GCR protons measured by Voyager 1 outside of the heliosphere [9, 10], though we modified it so that the flux of the high energy protons consists with the data measured by BESS-TeV [11]. The LIS of GCR antiprotons  $J_{\bar{p}}$  that has the same power-law index at high energy region is assumed [12].

### 3. Heliospheric Model

In this study, we consider the variations of  $V_{\text{sw}}$ ,  $B_e$ , and the tilt angle of the HCS  $\alpha$ .  $V_{\text{sw}}$  is assumed to be a constant within the heliospheric boundary. We adopt the Parker-Spiral HMF whose

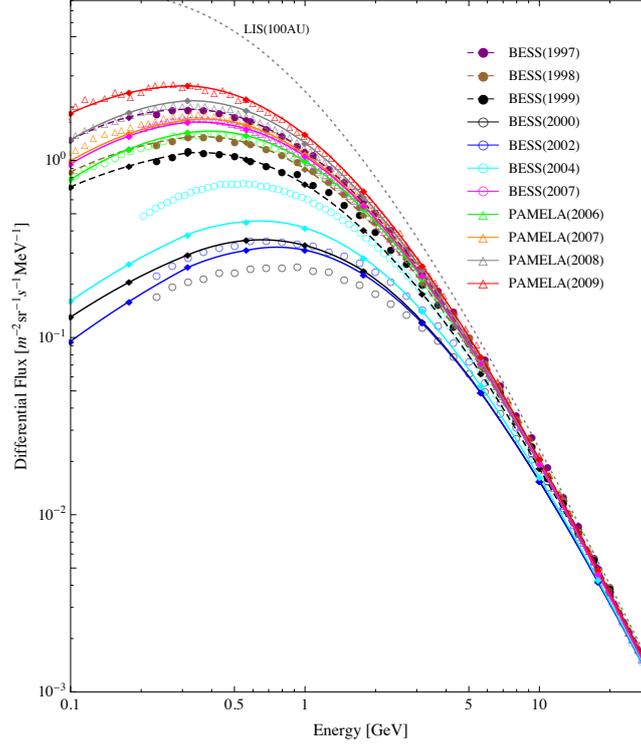


**Figure 2:** Modeled and observed profiles of the solar wind velocity (top panel), the strength of the HMF close to the Earth (middle panel), and the tilt angle of the HCS (bottom panel). Gray lines and Black lines show the observed values and the values used in our calculation, respectively. The markers indicate the values adopted to make a comparison of our calculation with the observations by BESS [13, 14] and PAMELA [2]: purple filled circle, for BESS (1997); brown filled circle, for BESS (1998); black filled circle, for BESS (1999); black open circle, for BESS (2000); blue open circle, for BESS (2002); cyan open circle, for BESS-Polar I (2004); magenta open circle, for BESS-Polar II (2007); green open triangle, for PAMELA (2006); orange open triangle, for PAMELA (2007); gray open triangle, for PAMELA (2008); and red open triangle, for PAMELA (2009).

strength is determined by  $B_e$  and the wavy HCS whose structure is determined by  $\alpha$  and the rotation of the Sun. We took 150-day averaged values of  $V_{sw}$  and  $B_e$  obtained from the GSFC/SPDF OMNI-Web interface [15] shown in Figure 2, considering the constant time lag between the observations close to the Sun and near the heliospheric boundary. We also assume  $\alpha$  changing with both time and heliospheric radius, which is obtained from the Wilcox Solar Observatory [16]. We postulate that the HMF polarity changes from positive to negative in 2000.2, in which the maximum value of the HCS tilt angle is observed.

#### 4. Result and Discussion

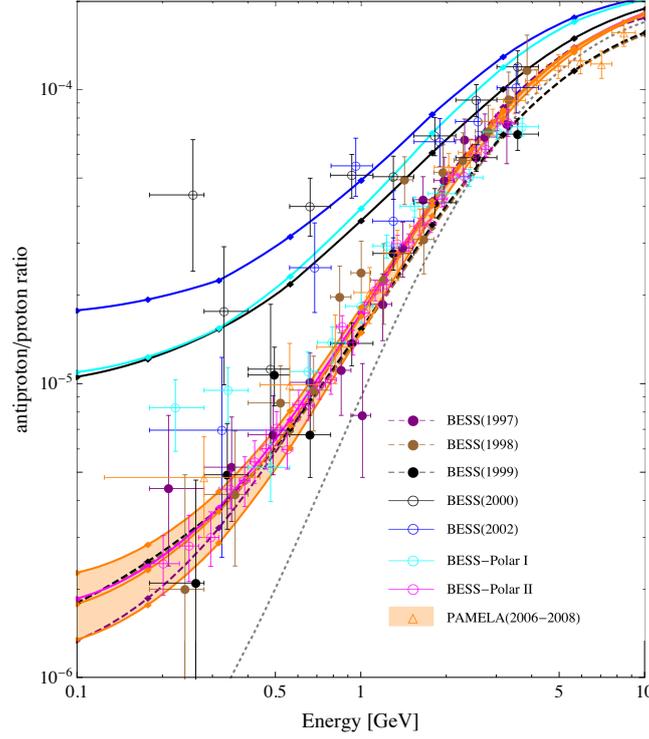
If the product of the charge of particles,  $q$ , by the polarity of the HMF,  $A$ , is negative,  $qA < 0$ ,



**Figure 3:** Calculated and observed energy spectra of GCR protons. Solid or dashed lines indicate the energy spectra of GCR protons obtained by our calculation. Gray dotted line shows the LIS considered in our calculation. The markers indicate the observations by BESS [17, 18, 19, 11, 20, 21] and PAMELA [22]. The caption of these markers is the same as Figure 2.

the particles propagate along the HCS from the heliospheric boundary toward the Earth, whereas in  $qA > 0$  the particles propagate from the polar region of the heliosphere. This is because of the gradient-curvature drift motion in the HMF and it leads to the charge-sign dependence of the solar modulation. Our results of the energy spectra of the GCR protons and antiprotons show such a charge-sign dependence as shown in Figure 3 and Figure 4. Figure 3 shows the energy spectra of the GCR protons during 1997–2009. We can find that the energy spectra in  $A < 0$  (solid lines) strongly change than that in  $A > 0$  (dashed lines). This is because the effect of the HCS tilt angle, namely the structure of the HCS, on the GCR flux in  $qA < 0$  is larger than that in  $qA > 0$  (e.g. Miyake et al. [7]). The flux at the solar minimum in  $qA < 0$  larger than that in  $qA > 0$  is caused by the drift velocity along the HCS larger than the convection velocity and the typical diffusion velocity of the charged particle in the heliosphere [23, 8]. Rapid change of the antiproton/proton ratio observed by BESS during 1999–2000 could be explained by the charge-sign dependence of the solar modulation and the polarity reversal of the HMF happened in these period. Our calculation succeeded to reproduce this rapid change of the antiproton/proton ratio, as shown in Figure 4.

In this study, we consider that the diffusion coefficient of the heliospheric polar angle direction at the polar region is larger than that at the equatorial region. This feature of the diffusion coefficient of the polar angle direction is consistent with the findings by the studies of the latitudinal gradients of the GCR protons measured by the Ulysses spacecraft [24], though the details of the diffusion



**Figure 4:** Calculated and observed GCR  $\bar{p}/p$  ratios. The caption of lines and markers is the same as Figure 3.

coefficient assumed by Burger and Potgieter [24] is different with that considered in our model. The large diffusion coefficient of the polar angle direction near the polar region indirectly reduces the drift effects in  $qA > 0$ . This may suggest the Fisk-type HMF caused by the differential rotation of the Sun [25, 26], in which the structure of the magnetic field is different with that of the Parker-Spiral HMF at the polar region. As other possible reason of the large diffusion coefficient of the polar angle direction, one could expect the magnetic field near the pole that is dominated by the randomly-oriented transverse magnetic fields with magnitude much larger than that of the Parker-Spiral HMF [27].

Our results of the antiproton/proton ratios show good agreement with the observations by BESS and PAMELA except for a discrepancy in 2004. This discrepancy between our result and the observation in 2004 is because our result of the energy spectrum of GCR protons is lower than the observation, as shown in Figure 3. We also found that there are discrepancies between our results of the energy spectra of GCR antiprotons and the energy spectra observed by BESS in 1998 and 1999, although we do not show the details in this paper. The year 2004 for the GCR protons and the years 1998 and 1999 for the GCR antiprotons correspond to the transition period for  $qA < 0$ . This may imply that there is any physical reason that is not considered in our model for the transition period for  $qA < 0$ . The detailed discussion of the possible reasons for this discrepancy of the flux at the transition period for  $qA < 0$  will be presented in another paper.

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