

What does the matter created in high multiplicity proton-nucleus collisions teach us about the 3-D structure of the proton?

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The study of multiparticle correlations and collectivity in the hot and dense matter created in collisions of heavy-ions as well as those of smaller systems such as proton–heavy-ion collisions has progressed to the point where detailed knowledge of the three-dimensional structure of the proton is needed to confront experimental data. We discuss results from a simple proof-of-principle initial state parton model which reproduces many features of the data on proton–heavy-ion collisions that are often ascribed to hydrodynamic flow. We outline how this model can be further improved with particular emphasis on missing elements in our understanding of the dynamical spatial and momentum structure of the proton.

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1. Introduction

The detection and characterization of the hottest known state of matter created on Earth, the Quark Gluon Plasma (QGP), is a major achievement of experiments on heavy-ion (AA) collisions conducted at RHIC at BNL and the LHC at CERN. The QGP is characterized by its fluid-like nature, which is strongly interacting and possesses the smallest shear viscosity to entropy density ratio found in the nature. A key element in solidifying this consensus about the QGP was the striking observation at RHIC about a decade ago of a novel "near-side" ridge-like structure in the two particle correlation spectra. This near-side ridge structure was named thus because it was long range in the relative rapidity ($\Delta\eta$) between the particles but collimated in their relative azimuthal angle ($\Delta\phi \simeq 0$) [1, 2, 3]. The ridge was more pronounced for central (head-on) heavy-ion collisions than in peripheral collisions. In contrast, the peripheral collisions exhibited an "away-side" ridge-like structure in the relative rapidity of two particle correlations that are back-to-back in their relative azimuthal angle ($\Delta\phi \simeq \pi$). The away-side ridge structure is greatly diminished with increasing centrality of the heavy-ion collision.

The systematics of the ridge-like structures in the two particle correlation spectra in AA collisions has a compelling explanation in terms of the dynamics of the strongly interacting, nearly ideal, quark-gluon fluid undergoing rapid expansion. It was shown by Alver and collaborators [4, 5] that the entire structure of the two particle correlation spectra in the $\Delta \eta - \Delta \phi$ plane is a consequence of the pressure driven response of the matter deposited in the collision to its initial geometry. Since the positions of the nucleons and the number of these that participate in the collision fluctuates from event to event, so too does the spatial shape of the hot and dense matter formed in the transverse plane of their azimuthal distributions, are efficiently converted by hydrodynamics into momentum space azimuthal anisotropies. The two particle correlations that are measured can be expanded in the Fourier series,

$$\frac{1}{N_{trig}N_{assoc.}}\frac{d^2N}{d\Delta\phi} \simeq 1 + 2V_1\cos(\Delta\phi) + 2V_2\cos(2\Delta\phi) + \dots,$$
(1.1)

where the coefficients define the commonly measured "flow" or Fourier harmonics, $v_n \simeq V_n^{1/2}$ [6]. A number of studies have shown that the fluctuation driven anisotropies tend to be universal such that $\varepsilon_n\{m\}$ are equal for $m \ge 4$ [7, 8, 9]. As conjectured by Alver et al., detailed hydrodynamic computations reveal that the flow harmonics are well-reproduced [10] with a very low value of the shear viscosity to entropy ratio close to a conjectured universal lower bound for strongly interacting fluids [11]. Moreover, these computations are seen to be sensitive to sub-nucleon degrees of freedom, in particular the gluon saturation scale Q_s inside each of the colliding nuclei [12, 13, 14].

For smaller collision systems such as peripheral nucleus-nucleus collisions, proton-proton (pp) or proton-heavy-ion (pA) collisions, the applicability of hydrodynamics is pushed to the extreme because the Knudsen numbers that characterize these fluid become large [15, 16]. While hydrodynamics may still be applicable, higher order contributions are not under control; this is a situation that bears strong analogy [17] to perturbative QCD when the coupling constant becomes large. Nevertheless, strikingly, a near-side as well as an away-side ridge is observed in high multiplicity pp [18] and pA collisions [19]. Even more remarkably, multiparticle correlations of four or

more particles have also been observed in pp [20] and pA collisions [21] with similar ordering of the $v_2\{m\}$ as in AA collisions. These have been observed across a large range of beam energies at RHIC, as well as different systems, including proton/deuteron/³He–heavy-ion collisions [22].

Despite the above stated caveats, the experimental data drive us to ask whether the data in small systems can be described by hydrodynamics and what the limits of its applicability are. First studies performed for *pA* collisions indicated that some of the features of the data are consistent with hydro computations [23]. However it soon became clear that the results are very sensitive to the choice of initial conditions. In the IP-Glasma model that includes subnucleon fluctuations [12, 24] and provides a good description of the *AA* data, the choice of an isotropic color charge distribution inside the proton does not describe the data on *pA* collisions [25]. One possible interpretation of this result is that shape fluctuations of the proton's color charge density are important [25, 26]. Indeed, better agreement with the v_n {2} data in *pA* collisions [27] is found by taking into account fluctuations in the shapes of the color charge distributions within the proton. The data on incoherent exclusive vector meson production from HERA are best fit by models that take such fluctuations in account [28].

This strong sensitivity to shape fluctuations suggests that the initial conditions and their evolution with time are especially important for the smaller systems [29]. Further, despite the near equality of the $v_n\{m\}$ coefficients for $m \ge 4$ as a function of the event multiplicity N_{charge} , this result is not simply recovered in *ab initio* hydro models. For *pp* collisions, a recent detailed study shows that while the two particle v_n data are well reproduced, this is not true for the four particle cumulants [30] which are imaginary for large N_{charge} . In *pA* collisions, there have been very limited studies of four-particle cumulants with Glauber model initial conditions [31, 32]; indeed, it has been noted that in this case the *pA* and *AA* data cannot be described by the same set of parameters [33].

It is then natural to see to what extent features of initial state correlations can explain the observed correlations and seemingly collective behavior in small systems. Detailed models for initial state correlations can be extracted from a high energy effective theory of QCD, the Color Glass Condensate (CGC) [34, 35]. What these models have in common is that key elements of their dynamics are described by an emergent saturation scale $Q_{s,T}$ in the target. The interplay of this emergent scale with the other scales in the problem can generate distinct behaviour in different kinematic regions. For instance, multiparticle dynamics in the regime of soft momenta $p_T < Q_{s,T}$ is sensitive to the dynamics of several color domains of size $1/Q_{s,T}$; in contrast, for $p_T \ge Q_{s,T}$, the physics is sensitive to dynamics within a given domain. For large $p_T > Q_{s,T}$, the quasiparticle nature of color fields is relevant and the QCD dynamics can be represented in terms of Feynman diagrams, the so-called Glasma graphs [36, 37, 38, 39, 40, 41, 42, 43, 44]. In the kinematic regime of $p_T \gg Q_{s,T}$, they provide a good description of the ridge. Note that for gluons, power corrections of order $Q_{s,P}/p_T$, where $Q_{s,P}$ is the saturation scale in the projectile, are important for understanding odd anisotropies such as v_3 , which are smaller than v_2 in this kinematic domain.

For $p_T < Q_{s,T}$, the physics of multiple color domains can only be described by color fields and not by quark and gluon quasiparticles. In this regime, gluon field strengths are as large as permissible in QCD; the dynamics is therefore captured by the classical Yang-Mills equations [45, 46, 47]. In general, if the sources of color charge have a high phase-space occupancy, as is the case for *AA* collisions, the Yang-Mills equations have to be solved numerically [48, 49, 50, 51, 52]. This is implemented for instance in the IP-Glasma model we discussed earlier. For *pA* collisions, if the p_T in the projectile is small compared to $Q_{s,P}$ (relevant for the p_T integrated $v_n\{m\}$), one needs to solve the Yang-Mills equations also for the *pA* case. This was done in [53] where it was shown that significant $v_{2,3}(p_T)$ of gluons is generated in *pA* collisions. In [54], an event generator was developed whereby gluons produced in the Yang-Mills evolution were fragmented using the Lund string fragmentation algorithm [55, 56]. This CGC+PYTHIA framework, applied to high multiplicity *pp* collisions generates the near-side ridge observed in experiments. The $v_2(p_T)$ extracted for different hadrons displays the mass splitting seen in the data; this is also seen in the flavor dependence of $\langle p_T \rangle$. Applying this framework directly to study multiparticle collectivity is challenging because it is very numerically intensive. (The same problem bedevils event-by-event hydrodynamic computations of multiparticle correlations in *pA* collisions.) Very recent developments suggest however a considerable simplification to numerical solutions of the Yang-Mills equations that enable efficient computations of multiparticle azimuthal anisotropies [57]; these shall not be discussed at length any further but will be discussed separately [58, 59].

We will discuss here instead a very simple model of eikonal quarks from the projectile proton scattering off a dense, gluon saturated heavy-ion target [60, 61]. We are able to qualitatively explain with this model several of the signatures presented previously as *prima facie* evidence of hydrodynamic collectivity. This first proof-of-principle computation demonstrating that multiparticle correlations in the initial state can reproduce the systematics of the data suggests that more stringent tests of hydrodynamic collectivity are necessary; collectivity, as commonly defined empirically, can have more than one origin. We will also discuss some of the shortcomings of this simple model and how these demand a better understanding of the spatial and momentum structure of multiparton correlations in hadron wavefunctions.

2. Multi-particle correlations and collectivity

Multiparticle correlations have become an important tool for extracting the collective properties of the matter created after a heavy-ion collision. From the *m*-particle (*m* even) generalization of the Fourier expansion of the two particle distribution in Eq. (1.1), it is possible to define cumulants of this *m*-particle distribution as

$$c_n\{m\} = \langle \langle e^{in(\phi_1 + \dots + \phi_{m/2} - \phi_{m+1/2} - \dots - \phi_m)} \rangle \rangle.$$
(2.1)

The double expectation value $\langle \langle \cdots \rangle \rangle$ represents only connected contributions (thereby subtracting off all combinations of lesser particle number correlations) as well as averaging with respect to single events and over all events [6].

It has been shown that an estimate of v_n can be obtained from a measurement of multi-particle cumulants $c_n\{m\}$, which by design removes so called nonflow contributions [62]

$$v_n\{2\}^2 = c_n\{2\}, v_n\{4\}^4 = -c_n\{4\}, v_n\{6\}^6 = c_n\{6\}/4, \dots$$
 (2.2)

In such collisions, the *n*th Fourier moment of the spatial eccentricity is determined by performing the azimuthal angle average over the positions of the nucleons in each nuclei,

$$\varepsilon_n = \frac{1}{\langle r_{\perp}^n \rangle} \int d^2 r_{\perp} e^{in\phi_r} r_{\perp}^n \frac{dN}{dyd^2 r_{\perp}} \,. \tag{2.3}$$

A Gaussian model of eccentricity distributions [63, 9] then gives

$$\varepsilon_n\{2\} > \varepsilon_n\{4\} = \varepsilon_n\{6\} = \varepsilon_n\{8\} = \dots$$
(2.4)

In a standard picture of a single collective fluid described by hydrodynamics, initial spatial anisotropies are transmitted by a strongly interacting fluid to momentum space anisotropy, resulting in [64, 65, 8]

$$v_n\{m\} \simeq c_n \varepsilon_n\{m\} \,. \tag{2.5}$$

Hence, as mentioned previously, hydrodynamic collectivity in this case would be characterized by multiparticle v_2 moments satisfying

$$v_2\{2\} > v_2\{4\} = v_2\{6\} = v_2\{8\} = \dots$$
(2.6)

Underlying all this is a picture of correlations where the mean and the variance of the eccentricity distribution dominate over higher moments; this is likely the case when the number of fluctuating sources is large [66, 67]. While this is superficially like the McLerran-Venugopalan (MV) model [45, 46], there are essential and interesting ways in which the two pictures diverge.

3. Parton model for multiparticle correlations

As noted, employing numerical Yang-Mills simulations to extract multiparticle azimuthal anisotropies is computationally daunting [53]. Instead, one can first investigate in a simple parton model whether initial state correlations can reproduce the systematics of multiparticle collectivity. Such a model was considered previously for two-particle v_n {2} anisotropies in [68, 69] and we will discuss here its generalization to compute v_n {m} for $m \ge 4$ [60, 61]. Consider *m* nearly collinear quarks in the projectile which multiple scatter off a nuclear target containing coherent color charge domains of size $\sim 1/Q_{s,T}$. In a high energy eikonal approximation, the partons are color rotated by the field of the target by a lightlike Wilson line

$$U(\mathbf{x}_{\perp}) = \mathscr{P} \exp\left(-ig \int dz^{+} A_{a}^{-}(z^{+}, \mathbf{x}_{\perp})\tau^{a}\right), \qquad (3.1)$$

where the τ^a with $a = 1, \dots, 8$ are Gell-Mann matrices in the fundamental SU(3) color representation and A^- is the classical gauge field corresponding to color sources in the target. The single particle distribution is given by [70, 68]

$$\left\langle \frac{dN_q}{d^2\mathbf{p}} \right\rangle = \frac{1}{4\pi B_p} \int d^2b \int d^2r \int \frac{d^2k}{(2\pi)^2} \left[\frac{1}{\pi^2} e^{-|\mathbf{b}|^2/B_p} e^{-|\mathbf{k}|^2B_p} \right] e^{i\mathbf{r}\cdot(\mathbf{p}-\mathbf{k})} \left\langle D\left(\mathbf{b}+\frac{\mathbf{r}}{2},\mathbf{b}-\frac{\mathbf{r}}{2}\right) \right\rangle,$$
(3.2)

where the target dependence is expressed in terms of the ensemble average (over color sources, or equivalently A^-) of the dipole operator $D(x,y) = \frac{1}{N_c} \operatorname{Tr}(U^{\dagger}(x)U(y))$. The term in the square bracket is the Wigner function modeling the projectile. In this simple model, one nonperturbative scale B_p sets both the size of the projectile, as well as the intrinsic transverse momenta of quarks in the projectile. For all the calculations discussed here, we will fix $B_p = 4 \text{ GeV}^{-2}$; this choice is determined by dipole model fits to HERA DIS data on exclusive vector meson production [71, 72].

products of the single particle distributions in Eq. (3.2) [60, 61]:

Multiparticle distributions are obtained analogously by taking the expectation value over m

$$\left\langle \frac{d^{m}N}{d^{2}\mathbf{p_{1}}\cdots d^{2}\mathbf{p_{m}}}\right\rangle = \frac{1}{(4\pi^{3}B_{p})^{m}}\prod_{i=1}^{m}\int d^{2}\mathbf{b_{i}}\int d^{2}\mathbf{r_{i}} \ e^{-|\mathbf{b_{i}}|^{2}/B_{p}}e^{-|\mathbf{r_{i}}|^{2}/4B_{p}}e^{i\mathbf{p_{i}}\cdot\mathbf{r_{i}}}$$
$$\times \left\langle \prod_{j=1}^{m}D\left(\mathbf{b_{j}}+\frac{\mathbf{r_{j}}}{2},\mathbf{b_{j}}-\frac{\mathbf{r_{j}}}{2}\right)\right\rangle.$$
(3.3)

The form of the l.h.s. for m-particle distributions is quite general [36, 73, 74]. The r.h.s appears natural in a hybrid "dilute-dense" framework; it is however oversimplified and there may be significant corrections in general [73, 75, 76, 77]. We will return to this point later.

With this expression for the *m*-particle distribution, we can proceed to discuss the computation of the azimuthal angular cumulants and harmonics. We will first have to compute the expectation value of the *m*-dipole correlator in Eq. (3.3). In the MV model, this is known for one and two dipoles for arbitrary N_c [78, 79, 80, 81]. In [61], we gave a general method to compute an arbitrary number of dipole expectation values in the MV model. This is accomplished by expanding the Wilson line given by Eq. (3.1) around the last slice in the $z^+ = \xi$ coordinate, $U(x_{\perp}) \simeq V(x_{\perp})[1 + igA_a^{-}(\xi, x)T^a + ...]$, where $V(x_{\perp})$ excludes this last slice. This expansion can be carried out systematically with products of the dipole operator, grouping terms that depend on the Wilson lines U and V separately, where U can be considered as having one additional gluon exchanged with the target compared to V. Taking traces, the Fierz identity alters the color structure, resulting in contributions from higher-point correlation functions of Wilson lines. For the four-particle correlations of interest, four dipoles are considered, resulting in five distinct topologies, composed of all possible permutations of dipoles, quadrupoles, sextupoles, and octupoles containing eight total Wilson lines. This creates a system of correlation functions, which can be simultaneously considered as an $m! \times m!$ matrix describing all possible one gluon exchanges. Summing over an infinite number of exchanges, we are able to compute the full *m*-dipole correlation expectation value. For more details, examples, and an alternative diagrammatic derivation, see [61]. With this powerful machinery, it is now possible to calculate expectation values in a Gaussian MVlike model for traces of products of an arbitrary number of Wilson lines. For correlation functions involving two or four Wilson lines, it is possible to compute their expectation values analytically; for products of more than four Wilson lines, it is only feasible to do this numerically, or at large N_c [82, 83].

Using the definition of the m-particle spectra in Eq. (3.3), and the intermediate expression

$$\kappa_n\{m\} \equiv \int \prod_{i=1}^m d^2 \mathbf{p}_i e^{in(\phi_1 + \dots + \phi_{m/2-1} - \phi_{m/2} - \dots - \phi_m)} \left\langle \frac{d^m N}{\prod_{i=1}^m d^2 \mathbf{p}_i} \right\rangle,$$
(3.4)

we obtain the m-particle azimuthal anisotropy cumulants:

$$c_n\{2\} = \langle e^{in(\phi_1 - \phi_2)} \rangle \equiv \frac{\kappa_n\{2\}}{\kappa_0\{2\}}$$
(3.5)

$$c_n\{4\} = \langle e^{in(\phi_1 + \phi_2 - \phi_3 - \phi_4)} \rangle - 2\langle e^{in(\phi_1 - \phi_2)} \rangle^2 \equiv \frac{\kappa_n\{4\}}{\kappa_0\{4\}} - 2\left(\frac{\kappa_n\{4\}}{\kappa_0\{4\}}\right)^2.$$
(3.6)

Higher point cumulants are defined analogously. In the spirit of what is done experimentally for the measured hadrons, we integrate over the momenta of the scattered quarks from zero to p_{\perp}^{max} for the p_T integrated moments. We are also interested in calculating cumulants differential in the momenta of one of the quarks; in this case, m-1 momentum integrals in Eq. (3.4) are considered.

4. Results



Figure 1: The Fourier harmonics v_2 {2} and v_2 {4}. Left: Integrated of momentum as a function of $Q_{s,T}$. Right: As a function of p_{\perp} for a given $Q_{s,T}$.



Figure 2: Left: Multi-particle second Fourier harmonics $v_2\{m\}$ in an Abelian version of our model. Right: The four-particle second cumulant $c_2\{4\}$ in the glasma graph approximation to our coherent multiple scattering model in comparison to the full model.

We begin by studying the momentum-integrated anisotropy coefficients as a function of $Q_{s,T}$. This is shown in the left panel of Fig. (1). In our model, the observed correlations are relatively insensitive to this maximum integrated momentum, p_{\perp}^{max} . There is a clear ordering in the twoversus four-particle v_2 , as observed in the experimental data [21]. While by definition $v_2\{2\}$ is real for all values of $Q_{s,T}$, the appearance of a real $v_2\{4\} = (-c_2\{4\})^{1/4}$ corresponds to a negative $c_2\{4\}$. This negative $c_2\{4\}$ occurs at a finite value of $Q_{s,T}$, a trend that is qualitatively similar



Figure 3: Two particle cumulant $c_2\{2\}$ for various p_{\perp}^{max} as a function of large values of $Q_{s,T}^2$. A fixed value of $B_p = 4 \text{ GeV}^{-2}$ is used. For $p_{\perp}^{max} \leq Q_{s,T}$, we see a weak dependence on $Q_{s,T}^2 B_p$. For larger p_{\perp}^{max} , we see a falloff in the value of the cumulant that scales approximately with the number of color domains as $\sim 1/Q_{s,T}^2 B_p$.

to the change from positive to negative values observed experimentally for c_2 {4} as a function of increasing multiplicity [84]. While $Q_{s,T}$ is not directly representative of the multiplicity, the product $B_p Q_{x,T}^2$ corresponds to the number of domains that quarks from the projectile interact with. The saturation scale $Q_{s,T}$ grows with decreasing values of x or with increasing center of mass energy. The insensitivity of the cumulants to varying $Q_{s,T}^2$ is therefore consistent with their weak energy dependence observed in experiments [21, 22]. The transverse momentum dependent Fourier harmonics, shown in the right panel of Fig. (1), are also similar in magnitude and shape to that observed experimentally [6], with a peak between $\sim 1-2$ GeV. Their magnitudes are typically larger than the data; these will be lowered and smeared by the fragmentation of the partons.

To study whether the model displays the striking convergence of $v_2\{m\}$ for increasing values of *m* seen in the data, we consider a U(1) Abelian version of our model. Instead of computing traces of path ordered SU(3) matrices, we have a much simpler product of exponentials enabling rapid computations for large values of *m*. The result is shown in the left panel of Fig. (2). We first note that the Abelian model qualitatively has the same structure for the two- and four-particle v_2 as the non-Abelian model results shown in Fig. (1). However most strikingly, the six- and eightparticle v_2 's converge to the value for $v_2\{4\}$, mirroring what is seen in experiments [21]. When this convergence was first seen in experiment, it was seen by some to be definitive evidence of hydrodynamic collectivity. Fig. (2) provides an extremely simple counter example that this pattern, in of itself, is not conclusive. We also show in [60, 61] that the systematics of so-called symmetric cumulants, likewise seen as a consequence of hydodynamic response to geometry, are reproduced in our simple model.

It is also instructive to compare our model to the glasma graph approximation. (For more details on this comparison, see the Appendix of [61].) As noted previously, the glasma graph approximation is valid for $p_T > Q_{s,T}$. On the other hand, multiple scattering (higher twist) contributions are parametrically of order $Q_{s,T}/p_T$ and are therefore large for $p_T < Q_{s,T}$. The right panel

of Fig. (2) shows that there is a clear distinction between the two limits. The glasma graphs do not produce a real v_2 {4} in marked contrast to the limit of coherent multiple scattering. The latter is therefore a key feature of our model; it suppresses the large higher Glasma graph cumulants (the distribution of which is close to that of a Bose-distribution! [85]) relative to the lower cumulants, thereby generating positive v_2 {m} for $m \ge 4$.

In our model, B_p represents the transverse overlap area of the projectile with the target and $1/Q_{s,T}^2$ sets the scale for the size of color domains in the target. Hence the dimensionless product $Q_{s,T}^2 B_p$ represents the number of color domains in the target that interact with the projectile constituents. Independent cluster models suggest that the number of domains should fall as $1/(Q_{s,T}^2 B_p)$. However, as shown in [61], this is not seen for the integrated cumulants. The reason is that there is another scale p_{\perp}^{max} controlling the maximal momentum kick from the target to the probe; its inverse is the smallest distance that the probe resolves in the target. There are therefore two dimensionless combinations, $Q_{s,T}^2 B_p$ and $Q_{s,T}^2/(p_{\perp}^{\text{max}})^2$. The dependence of our results on the number of color domains depends on what $Q_{s,T}^2/(p_{\perp}^{\text{max}})^2$ is.

For $(p_{\perp}^{\max})^2 \gtrsim Q_{s,T}^2$, the probe resolves an area within individual domains; we therefore expect correlation strengths to fall approximately as $1/(Q_{s,T}^2B_p)$. Fig. (3) shows that $c_2\{2\}$ (for $p_{\perp}^{\max} = 10, 20, 40$ GeV) satisfies the scaling form $(Q_{s,T}^2B_p)^{-0.95}$ at large $Q_{s,T}^2$. On the other hand, for $(p_{\perp}^{\max})^2 \leq Q_{s,T}^2$, the probe only resolves transverse sizes larger than the typical domain size. For these smaller values of p_{\perp}^{\max} , increasing $Q_{s,T}^2B_p$, and therefore the number of color domains, does not change the signal since the probe cannot resolve the change in the number of color domains. Indeed, Fig. (3) shows that for $p_{\perp}^{\max} = 3,5$ GeV we see a rather modest falloff, $c_2\{2\} \sim (Q_{s,T}^2B_p)^{-0.18}$, demonstrating that azimuthal cumulants are weakly dependent on the number of clusters [86].

There is an off repeated mantra that because initial state collectivity occurs on the scale $1/Q_{s,T}$, and the sizes of the transverse overlap area can be much larger, that correlations should die off when the latter increases. This intuition is based on the independent cluster model. As noted, our result in Fig. (3) shows that this is not the case when p_{\perp}^{max} is smaller than $Q_{s,T}$, with the result being only weakly dependent on the number of clusters. This is because the p_T kick to the projectile is a collective effect of multiple domains in the target.

When partons in the projectile are separated at distances greater than a fermi, as in a deuteron (dA) or Helium-3 (${}^{3}He - A$) nucleus, they can still experience collective kicks from the target; these are however color singlet exchanges and one expects the kicks to be significantly weaker. For minimum bias configurations of nucleons in such light-ion projectiles, even a collective effect from a common hydrodynamic response will be weak because Hanbury-Brown–Twiss radii for *pA* collisions indicate that the matter produced freezes out on distance scales of a fermi [87]. However the ridge effect in such systems is most prominent for rare high multiplicity *dA* and ${}^{3}He - A$ collisions, where the nucleons in the projectile are more closely packed than in typical events. Indeed, the multiplicity in such collisions is more strongly correlated with the saturation scale in the projectile than that in the target. Proper modeling of such effects requires a more sophisticated treatment of parton distributions in the projectile than is feasible in our simple model. This can however be achieved in the full Yang-Mills CGC framework and results from these simulations will soon be available.

5. Concluding remarks

We have shown in our simple initial state model that we are able to qualitatively reproduce many of the features associated with collectivity in small systems. However the observed correlations are long range in rapidity and we have neglected any discussion of rapidity in our model. In the "hybrid" framework, valid in the forward region, we should consider quarks with relatively large x_q (usually taken to be $x_q \ge 0.01$). These large x_q quarks are most naturally taken to be valence quarks, whereas long-range correlations necessarily probe smaller x_q quarks. This does not preclude long-range correlations in our model. In order to include the quark rapidity dependence, we consider the single quark distribution in Eq. (3.2) and convolve it with the quark distribution function $f(x_q)$ to write (in terms of the rapidity y and quark transverse momentum p_{\perp}) [61]

$$\frac{dN^{pA \to q+X}}{dyd^2 \mathbf{p}_{\perp}} = x_q f(x_q) \frac{dN^{qA \to q+X}}{d^2 \mathbf{p}_{\perp}}; \qquad x_q = \frac{p_{\perp}}{\sqrt{s}} e^y.$$
(5.1)

This is straightforwardly extended to two and multiparticle distributions. For our results, we will



Figure 4: Two-particle second Fourier harmonic $v_2\{2\}$ as a function of the momentum of one of the quarks both with and without rapidity dependence introduced via convolution with quark distributions.

employ the quark distribution functions of the NNPDF collaboration [88]. While its straightforward to possible include the fragmentation of the final state quarks into hadrons, this will not qualitatively change the rapidity dependence.

Our computation for the rapidity dependence are shown in Fig. (4). We first consider a valence quark at y = 5.1; at the top LHC energy of $\sqrt{s} = 5.02$ TeV, this corresponds to an $x_q = 0.1$. Then considering a separation in rapidity of 4 units, which corresponds to a second quark with $x_q \simeq 0.002$, we clearly see that there is no quantitative difference with our previous result that neglected any rapidity dependence. Since it is unlikely a valence quark at this small x can be found in the proton, we can also consider a valence quark with larger $x_q \simeq 0.01$, corresponding to y = 2.8. There is no difference in the observed correlations for the two rapidity gaps considered. It is also interesting to consider correlations between valence and sea quarks. For four-particle correlations, if one does not consider correlations with and amongst gluons, this is the most likely scenario. Fig. (4) shows that all the differences are only quantitative, not qualitative. This is because when one takes the ratio of distributions to compute $c_2\{2\}$ (see Eq. (3.5)), the rapidity dependence in our model all but disappears.

A criticism that could be leveled at our model is that we consider quarks alone and not gluons in the projectile. At high energies, gluons dominant hadron wavefunctions. However, we expect the underlying physics to remain qualitatively the same as previously. The azimuthal correlations result from time dilated partons being color rotated by a common strongly localized colored "shock wave" in the nucleus and this is no less true for gluons than it is for quarks. These gluons will produce correlations which are inherently long-range in rapidity [74]; the quibble that they do not produce odd harmonics is removed as soon as one steps away from the strict dilute-dense limit [53, 57].

A more serious criticism is that the simple factorization in Eq. (3.3) may not hold due to quantum interference effects [77, 76]. While such effects are certainly there, there are open issues remaining whether they can be expressed as the generalized transverse momentum dependent (G-TMD) expressions as suggested in [77, 76] and what their impact on the results are. At any rate, these effects should be contained in the full Yang-Mills computation alluded to previously [58, 59]. Preliminary computations in the Yang-Mills framework suggest that the results are similar to those discussed here. The results of these computations will be discussed separately.

Finally, one may ask whether the boost invariant Yang-Mills formalism is sufficient. If plasma instabilities occur rapidly on the time scales of the collision [89, 90], one may need to revert to the full 3+1 dimensional formalism [91, 92], which matches smoothly on to the bottom-up thermalization scenario [93]. In this case, final state scattering may play a role by modifying the magnitude of the initially generated azimuthal anisotropy. Such a scenario has been modeled recently with promising results [29] and therefore demands closer investigation.

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