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Explaining the Cabibbo Angle Anomaly

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The Cabibbo-Cobayashi-Maskawa (CKM) matrix parametrizes the misalignement between the up- and down-quark mass basis in the Standard Model (SM). The observation of first row CKM unitarity violation has recently emerged as a new anomaly of the SM, known as the "Cabibbo Angle Anomaly" (CAA). With current measurements, comparing the elements V_{ud} and V_{us} extracted from beta and kaon decays respectively, the tension with the SM prediction amounts to $\sim 3 \sigma$. Recently, it has been pointed out that this anomaly can also be seen as a discrepancy in the determination of the Fermi constant from muon decay vs β and K decays, once CKM unitarity is assumed. In fact, possible explanations in terms on New Physics fall under two broad classes: contributions to β decay and/or to μ decay. In this proceedings, we discuss these solutions in terms of gauge invariant dimension 6 operators in SMEFT and simplified extensions of the Standard Model. The latter could introduce correlations with other anomalies in the SM, pointing to new and interesting directions for model building.

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1. Introduction

The observed deficit in first row CKM unitarity, known as the Cabibbo Angle Anomaly (CAA) [1–5], is among the most intriguing deviation from the Standard Model (SM) predictions. With the results in Refs. [6–9], the tension amounts to $\sim 3\sigma$,

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(5).$$
⁽¹⁾

Here, V_{ud} is extracted from super-allowed β decays (V_{ud}^{β}) , V_{us} from $K \rightarrow \mu \nu / \pi \rightarrow \mu \nu$ and semileptonic Kaon decays $(K_{\ell 3})$ and V_{ub} , from B meson decays. For this anomaly, V_{ub} is negligible and $|V_{ud}|^2/|V_{us}|^2 \approx 20$, such that the sensitivity to NP in the determination of V_{ud} is enhanced with respect to V_{us} . In addition, a violation of first column CKM unitarity has also been observed, further strenghtening the idea of NP related to V_{ud} . Hence, the presence of New Physics (NP) in the extraction of V_{ud} is preferred to solve the CAA. There are two possible and not necessarly distinct solutions: NP contributions in beta decay and/or in muon decay [11]. The latter is possible since the Fermi constant, G_F , is extracted from muon decay and the product $G_F V_{ud}$ is measured in beta decays. Within an effective theory approach, these two solutions can be realized by means of operators falling into four classes, as shown in Fig. 1:



- 1. four-fermion operators in $\mu \rightarrow e\nu\nu$,
- 2. four-fermion operators in $u \rightarrow dev$,
- 3. modified W-u-d couplings,
- 4. modified $W \ell \nu$ couplings,

Figure 1: Possible classes of solutions to the CAA within an effective theory approach.

In these proceedings, I discuss the NP effect needed to solve the CAA, first in terms of SMEFT operators and then of simplified SM extensions. Interestingly, the latters introduce correlations with other flavour anomalies, such as $\tau \rightarrow \mu\nu\nu$ and semileptonic B decays.

2. SMEFT analysis

As discussed before, to solve the CAA, NP can affect muon and/or beta decay (an additional effect in semi-leptonic *K* decays would alleviate the tension even further). An extensive analysis of all the gauge-invariant dimension-6 operators affecting β and μ decay is performed in Ref. [11]. Here we report the main results, using the conventions of Ref. [12].

2.1 Four-fermion operators in $\mu \rightarrow evv$

Taking into account the constraints from the Michel parameter, muonium-antimuonium oscillations, lepton radiative decays and 3-body lepton flavour violating decays, the only viable way to

2.2 Four-fermion operators in $d \rightarrow uev$

Here, to have a large enough effect, we need interference with the SM. Taking into account the stringent constraints from $\pi \to \mu \nu / \pi \to e \nu$, the scalar operators are ruled out and we are left with $Q_{\ell q}^{(3)1111} = (\bar{\ell}_1 \gamma^{\mu} \ell_1) (\bar{q}_1 \gamma_{\mu} q_1)$. The CAA prefers $C_{\ell q}^{(3)1111} \approx (10 \text{ TeV})^{-2}$. Via $SU(2)_L$ invariance, this operator generates effects in neutral-current interactions and the limits from non-resonant di-lepton searches have to be taken into account. Interesting correlations with the excess observed by CMS in these searches have been discussed in Ref. [14].

2.3 Modified *W*–*u*–*d* couplings

There are only two operators modifying the *W* couplings to quarks $Q_{\phi q}^{(3)ij} = \phi^{\dagger} i D_{\mu} \phi \bar{q}_i \gamma^{\mu} \tau^I q_j$ and $Q_{\phi ud}^{ij} = \tilde{\phi}^{\dagger} i D_{\mu} \phi \bar{u}_i \gamma^{\mu} d_j$. First of all, $Q_{\phi ud}^{11(12)}$ generates right-handed *W*-quark couplings, which can solve the CAA, accounting also for the difference between $K_{\ell 2}$ and $K_{\ell 3}$ decays [2]. A solution with $Q_{\phi q}^{(3)11}$ is possible, modifying the left-handed *W*-quark couplings and data prefer $C_{\phi q}^{(3)11} \approx -(9 \text{ TeV})^{-2}$. Due to $SU(2)_L$ invariance, constraints from $D^0 - \bar{D}^0$ and $K^0 - \bar{K}^0$ mixing and from *Z* decays have to be taken into account. For a detailed analysis see Ref. [15].

2.4 Modified $W - \ell - \nu$ couplings

Only the operator $Q_{\phi\ell}^{(3)ij} = \phi^{\dagger} i D_{\mu} \phi \bar{\ell}_i \gamma^{\mu} \tau^I \ell_j$ generates modified $W - \ell - \nu$ couplings at tree level. The off-diagonal Wilson coefficients are neglected because of the stringent bounds from charged lepton flavor violation. Modified W couplings to electrons affect muon and beta decay in the same way and leave the CAA unaffected. On the other hand, $C_{\phi\ell}^{(3)22}$ only enters in muon decay and provides a viable solution to the anomaly, which prefers $C_{\phi\ell}^{(3)22} > 0$. A non-zero $C_{\phi\ell}^{(3)11} < 0$, with $|C_{\phi\ell}^{(3)22}| < |C_{\phi\ell}^{(3)11}|$, is also required by lepton flavor universality tests such as π , K and τ decays [3, 5].

3. Simplified Models

An exhaustive analysis of simplified models which can generate the operators discussed in Sec. 2 is beyond the scope of this proceedings. Here, we report the cases studied in the literature with the focus on emerging correlations with other anomalies. The possible SM extensions can be sum up by their effects. We can have **NP in \mu decay** by mean of a Singly Charged Scalar Singlet or a Vector Boson Singlet or a Vector Boson Triplet or Vector-like Leptons. We can have **NP in \beta decay** by mean of a Vector Boson Triplet or Vector-like Quark or Vector-like Leptons or Leptoquarks. A neutral vector boson $SU(2)_L$ singlet can contribute to the muon decay amplitude. Taking into account the constrains from charged lepton flavour violating decays, EW precision observables and LEP bounds, only LFV couplings can solve the CAA [16]. On the other hand, a vector boson triplet

allows a very simple solution of the CAA via the W' contribution to β and μ decays simultaneously. In addition, the neutral component of the triplet can improve the agreement with $b \rightarrow s\ell\ell$ data [17]. Solutions to the anomaly with vector-like quarks (VLQ) and vector-like leptons (VLL) are discussed in Ref. [1, 2, 18–20]. They proceed via a modification of the gauge boson couplings with quarks and leptons, respectively, therefore a global fit to a large set of observables has to be performed. With VLQs two solutions are possible [18, 21]: one involving two singlets and one involving a doublet. Interestingly, Ref. [19] finds a VLL model, made of a singlet coupling with electrons and a triplet coupling with muons, which solve the CAA and significantly improves the global fit to data. Leptoquark solutions have been discussed in Ref. [22]. The scalar triplet can address the anomaly once an additional mechanism to compensate the effect in $D^0 - \overline{D^0}$ is introduced. Eventually, there is the Singly Charged Scalar Singlet, which is a $SU(2)_L \times SU(3)_C$ singlet with hypercharge +1. Because of its quantum numbers, it cannot couple to quarks and naturally introduces lepton flavour violation, contributing to muon decay amplitude and providing a solution to the CAA [23].

4. Conclusion

The observed first row CKM unitarity violation, at the 3 σ level, has recently been the object of a detailed study. Interpreting it as a new hint of LFU violation allows for simple solutions of the tension and introduces intriguing correlations with other aomalies in the flavour sector. An analysis in terms of effective operators identifies 4 classes of possible solutions: four-fermion operators affecting $\mu \rightarrow evv$, four-fermion operators affecting $d \rightarrow uev$, modified W-u-d couplings and modified $W-\ell-v$ couplings. Taking into account the constraints from all relevant observables, only five gauge invariant dim-6 operators, in SM effective field theory (SMEFT), can account for the CAA anomaly: $Q_{\ell\ell}^{2112}$, $Q_{\ell q}^{(3)111}$, $Q_{\phi ud}^{11(12)}$, $Q_{\phi q}^{(3)11}$ and $Q_{\phi \ell}^{(3)22}$. Simple extensions of the SM which can generate them are: a vector boson singlet, a vector boson triplet, a singly charged scalar, vector-like leptons, vector-like quarks and leptoquarks. In addition, they can give rise to interesting correlations with other observables such as $b \rightarrow s\ell\ell$, $Z \rightarrow \bar{b}b$ and $\tau \rightarrow \mu vv$. This result suggests a common explanatory framework for several anomalies and opens up novel and interesting avenues for model building. At the same time, the need for developments in the extraction of V_{us} and V_{ud} and in the analysis of the CAA, on the theoretical and experimental side, is highlighted.

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