

D semi-leptonic decay form factors with HISQ charm and light quarks

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We present a program to study D semi-leptonic decay form factors with HISQ charm and light quarks. In this exploratory work, we study D_s to η_s , lv_l semi-leptonic decay on MILC coarse $(20^3 \times 64)$ gauge configurations with 2+1 dynamical flavors. We develop a new method to calculate $f_+(0)$ and $f_0(q^2)$ from scalar current matrix elements, $\langle \pi | \bar{s}c | D \rangle$, which does not require any operator renormalization. We also determine $f_+(q^2)$ and $f_0(q^2)$ from vector currents with a fully non-perturbative renormalization method.

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1. Introduction

Understanding the $D \to \pi$ and $D \to K$ semi-leptonic decays is an important topic in Charm physics. Furthermore, lattice studies of D semi-leptonic decays are of particular interest for several other reasons as well. First of all, one can test lattice formulations of generic semi-leptonic decays by studying the D semi-leptonic case. For example, $|V_{ub}|$ and $|V_{cb}|$ can be determined from B semi-leptonic decays, and these are very important parameters to understand CKM physics and test the Standard Model. However, simulating B mesons is, in general, more difficult than D mesons. In B semi-leptonic decays, the maximum momentum transfer, q_{max}^2 , is much larger than in D semi-leptonic decays. In fact, one can cover the entire q^2 region of D semi-leptonic decays on the lattice, while, for B semi-leptonic decays, that would be challenging. In addition, the charm sector has been studied extensively in experiments as well. Thus, we would like to test the lattice formulation in the charm sector. Moreover, studying D semi-leptonic decays can provide an independent determination of $|V_{cs}|$ and $|V_{cd}|$.

The D semi-leptonic decay form factors, $f_+(q^2)$ and $f_0(q^2)$, can be defined as

$$\langle \pi | V^{\mu} | D \rangle = f_{+}(q^{2}) \left[p_{D}^{\mu} + p_{\pi}^{\mu} - \frac{m_{D}^{2} - m_{\pi}^{2}}{q^{2}} q^{\mu} \right] + f_{0}(q^{2}) \frac{m_{D}^{2} - m_{\pi}^{2}}{q^{2}} q^{\mu}, \tag{1.1}$$

where the momentum transfer $q^{\mu} = p_D^{\mu} - p_{\pi}^{\mu}$. Note that

$$f_{+}(q^2 = 0) = f_0(q^2 = 0),$$
 (1.2)

because of kinematics. This is the conventional definition of form factors, which is normally used in experiments. For lattice calculations, it is more convenient to define the form factors as

$$\langle \pi | V^{\mu} | D \rangle = \sqrt{2m_D} [v^{\mu} f_{\parallel}(E_{\pi}) + p_{\perp}^{\mu} f_{\perp}(E_{\pi})],$$
 (1.3)

where $v^{\mu} = \frac{p_{D}^{\mu}}{m_{D}}$, and $p_{\perp}^{\mu} = p_{\pi}^{\mu} - (p_{\pi} \cdot v)v^{\mu}$. This definition is particularly useful for lattice calculations in the D rest-frame (v = (1,0,0,0) and $p_{\perp} = (0,\vec{p}_{\pi})$), because each form factor is determined from the temporal current matrix element or the spatial current matrix element respectively;

$$f_{\parallel}(E_{\pi}) = \frac{\langle \pi | V_0 | D \rangle}{\sqrt{2m_D}} [GeV^{1/2}], \qquad f_{\perp}(E_{\pi}) = \frac{\langle \pi | V_i | D \rangle}{\sqrt{2m_D}} \frac{1}{p_{\pi}^i} [GeV^{-1/2}]. \tag{1.4}$$

So, the traditional strategy is to calculate f_{\parallel} and f_{\perp} on the lattice, take the continuum and chiral limit, and then convert to f_{+} and f_{0} using the following relations;

$$f_0(q^2) = \frac{\sqrt{2m_D}}{m_D^2 - m_\pi^2} [(m_D - m_\pi) f_{\parallel}(E_\pi) + (E_\pi^2 - m_\pi^2) f_{\perp}(E_\pi)], \tag{1.5}$$

$$f_{+}(q^{2}) = \frac{1}{\sqrt{2m_{D}}} [f_{\parallel}(E_{\pi}) + (m_{D} - E_{\pi}) f_{\perp}(E_{\pi})]. \tag{1.6}$$

We can, then, compare the lattice calculation to experiments. In this talk, we present a new strategy that allows us to calculate the relevant form factors from the scalar current $\langle S \rangle$ rather than the vector current $\langle V_{\mu} \rangle$ (Sec. 2 and 3), and the more traditional method using $\langle V_{\mu} \rangle$ (Sec. 4).

2. Formalism

We apply the HISQ action [1] for all valence quarks; light, strange, and charm quarks. As we use the HISQ action, there are several noticeable advantages. We can simulate relativistic charm quarks, since the HISQ action has small enough discretization errors [1]. In addition, we can formulate a fully non-perturbative renormalization.

Consider the following relation between the vector and scalar currents in the continuum;

$$q^{\mu}\langle V_{\mu}^{conti}\rangle = (m_c - m_q)\langle S^{conti}\rangle, \tag{2.1}$$

where $\langle V_{\mu}^{conti} \rangle = \langle \pi | V_{\mu}^{conti} | D \rangle$, $\langle S^{conti} \rangle = \langle \pi | \bar{s}c | D \rangle$, and q represents a strange or light quark. The RHS of Eq. 2.1 does not require any operator matching factor (the combination is renormalization group invariant). Thus, one can write down the same relation on the lattice with a operator matching factor Z just on the LHS;

$$q^{\mu}\langle V_{\mu}^{lat}\rangle Z = (m_c - m_q)\langle S^{lat}\rangle, \tag{2.2}$$

where S^{lat} is the local scalar current. This relation is only valid when we use the same action for all valence quarks, and the action has enough chiral symmetry. If one uses different types of action for different quark species, then the RHS of Eq. 2.1 is no longer RG invariant. This is because the mass renormalization factors for charm and light quarks would be different, in general.

In the D rest-frame, Eq. 2.2 can be re-written

$$(m_D - E_{\pi}) \langle V_0 \rangle Z_t + \vec{p}_{\pi} \cdot \langle \vec{V} \rangle Z_s = (m_c - m_a) \langle S \rangle, \tag{2.3}$$

where Z_t and Z_s are the Z factors for temporal and spatial vector current respectively. We now omit a superscript 'lat' on the currents. Note that Z_t and Z_s can be different in general. Using this relation, one can extract Z factors fully non-perturbatively. We will explain this in more detail in Sec. 4.

The scalar current matrix element can be written in terms of a single form factor f_0 ;

$$\langle S \rangle = \frac{m_D^2 - m_\pi^2}{m_C - m_q} f_0(q^2).$$
 (2.4)

One can simply derive this relation from Eq. 1.1 and 2.1. Therefore, we can obtain $f_0(q^2)$ from $\langle S \rangle$,

$$f_0(q^2) = \frac{(m_c - m_q)\langle S \rangle}{m_D^2 - m_\pi^2}.$$
 (2.5)

The numerator of the RHS is the same RG invariant combination as in Eq. 2.1, and the denominator is a mass difference of the bound-states. Therefore, we can calculate $f_0(q^2)$ with no need for operator matching. This is the most interesting feature due to the fact that we use the HISQ action for all valence quarks. In addition, using this method, we can determine f_+ at $q^2 = 0$, because of Eq. 1.2;

$$f_{+}(0) = f_{0}(0) = \frac{(m_{c} - m_{q})\langle S \rangle}{m_{D}^{2} - m_{\pi}^{2}} \Big|_{q^{2} = 0}.$$
 (2.6)

 $f_{+}(0)$ is a very important quantity, since we can estimate $|V_{cs}|$ and $|V_{cd}|$ by combining $f_{+}(0)$ and experimental inputs.

3. Test run for $f_0(q^2)$ and $f_+(0)$ from $\langle S \rangle$

We have performed an exploratory analysis with MILC configurations with 2+1 dynamical flavors. The configurations that we use are 300 coarse lattices $(20^3 \times 62)$ with sea quark masses $u_0 a m_l = 0.01$ and $u_0 a m_s = 0.05$ [2]. We calculate charm and strange quark propagators using the HISQ action with quark masses $a m_s = 0.0546$ and $a m_c = 0.66$. For each configuration, we calculate propagators with a point source at two different time slices. We insert four discrete spatial momenta; $\vec{p} = (0,0,0), (1,0,0), (1,1,0)$, and (1,1,1). The non-perturbatively determined renormalization factor ε of the Naik term of the charm quark is -0.21 [3].

In this test run, we calculate $f_0(q^2)$ of $D_s \to \eta_s$, lv_l semi-leptonic decay using Eq. 2.5. We use the local scalar current, and the Goldstone taste for the D_s and η_s . In the analysis, we perform Bayesian fits to the three-point function and the two-point functions of D_s and η_s simultaneously. We use fit model functions for two and three-point functions,

$$C_{2}^{\eta}(t) = \sum_{n=1}^{nexp} a_{n}^{\eta 2} (e^{-E_{n}^{\eta}t} + e^{-E_{n}^{\eta}(N_{t}-t)}) + \sum_{o=1}^{nexpo} (-1)^{t} \bar{a}_{o}^{\eta 2} (e^{-\bar{E}_{o}^{\eta}t} + e^{-\bar{E}_{o}^{\eta}(N_{t}-t)}),$$

$$C_{2}^{D}(t) = \sum_{n=1}^{nexp} a_{n}^{D2} (e^{-E_{n}^{D}t} + e^{-E_{n}^{D}(N_{t}-t)}) + \sum_{o=1}^{nexpo} (-1)^{t} \bar{a}_{o}^{D2} (e^{-\bar{E}_{o}^{D}t} + e^{-\bar{E}_{o}^{D}(N_{t}-t)}),$$

$$C_{3}(t) = \sum_{n=1}^{nexp} \sum_{o=1}^{nexpo} \left[A_{nn} e^{-E_{n}^{\eta}t} e^{-E_{n}^{D}(T-t)} + (-1)^{T-t} A_{no} e^{-E_{n}^{\eta}t} e^{-\bar{E}_{o}^{D}(T-t)} + (-1)^{t} A_{oo} e^{-\bar{E}_{n}^{\eta}t} e^{-\bar{E}_{o}^{D}(T-t)} + (-1)^{t} A_{oo} e^{-\bar{E}_{n}^{\eta}t} e^{-\bar{E}_{o}^{D}(T-t)} \right],$$

$$(3.1)$$

where the states with a bar are the negative parity states, and T is the distance between the sources of the light and heavy mesons. We choose T = 19 for this test run. We can impose stronger constraints on the meson masses and amplitudes by taking larger fit time domains for the meson two-point functions. Note that the correlator of η_s at zero momentum consists of the positive parity states only.

The result is shown in Fig. 1. The four data points in the figure represent $\vec{p}=(1,1,1), (1,1,0), (1,0,0)$, and (0,0,0) from the left. We take an average over equivalent momenta to increase statistics. The result of $\vec{p}=(1,1,1)$ is accidentally very close to $q^2=0$, so in this particular test case, we do not need to worry about extrapolations to $q^2=0$. We expect to achieve much smaller errors in the future, since we can improve our calculation using random-wall source techniques, more statistics, and multiple T values.

4. Test run for the form factors from $\langle V_{\mu} \rangle$ with a fully non-perturbative matching

We showed a new method that will lead to the most accurate determination of $f_+(q^2=0)$, however we are still interested in the calculation of the form factors using the traditional method to test the new method and estimate the q^2 dependence of f_+ . The traditional method was described in Sec. 1.

The vector current and pseudoscalar operator in the continuum are

$$V_{\mu}^{local} = \bar{\psi}_q(x)\gamma_{\mu}\psi_{q_2}(x) \qquad \mathcal{O}_{\pi}^{local} = \bar{\psi}_q(x)\gamma_5\psi_{q_2}(x), \tag{4.1}$$

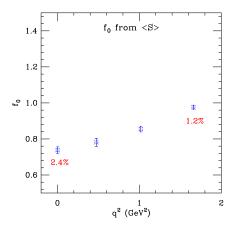


Figure 1: $f_0(q^2)$ from $\langle S \rangle$. The errors are statistical errors only. The two numbers below the data points are the relative errors.

which are local operators. However, we cannot simply use these operators on the lattice with the Goldstone taste mesons, because we use the staggered fermion formalism. In order to obtain non-zero matrix amplitudes, we need to consider non-local vector current or non-Goldstone pseudoscalar operators, for example

$$V_{\mu}^{one-link} = \bar{\psi}_{q}(x)\gamma_{\mu}\psi_{q_{2}}(x+\hat{\mu}) \rightarrow \bar{\Psi}_{q}(y)[\gamma_{\mu}\otimes I]\Psi_{q_{2}}(y)$$

$$\mathcal{O}_{\pi}^{non-Gold} = \bar{\psi}_{q}(x)\gamma_{0}\gamma_{5}\psi_{q_{2}}(x) \rightarrow \bar{\Psi}_{q}(y)[\gamma_{0}\gamma_{5}\otimes\gamma_{5}\gamma_{0}]\Psi_{q_{2}}(y), \tag{4.2}$$

where Ψ is the fermion field in the spin-taste basis. In this study, we choose the spatial and temporal currents differently;

$$\langle V_i^{one-link} \rangle = \langle \text{Goldstone } \eta_s | V_i^{one-link} | \text{Goldstone } D_s \rangle$$
$$\langle V_0^{local} \rangle = \langle \text{Goldstone } \eta_s | V_0^{local} | \text{non-Goldstone } D_s \rangle$$
(4.3)

We tested the temporal matrix elements with all possible combinations of Goldstone and non-Goldstone D_s and η_s , $V_0^{one-link}$, and V_0^{local} . We obtained consistent results for all combinations, and the choice of Eq. 4.3 gives the best results. These combinations give the smallest statistical errors, because the taste splitting for the D_S is smaller than that of the η_s .

4.1 $f_0(q^2)$

In the traditional method, we need to calculate first f_{\parallel} and f_{\perp} separately as explained in Eq. 1.4. The results for f_{\parallel} and f_{\perp} before the renormalization using Eq. 4.3 are shown in Fig. 2 (a).

After we get f_0 using Eq. 1.5 (green diamonds in Fig. 2 (b)), we need to estimate the operator matching factors. Taking into account that Z_t and Z_s can be different, we re-write Eq. 1.5 with Z_t factors;

$$f_0(q^2) = \frac{\sqrt{2m_D}}{m_D^2 - m_\pi^2} [(m_D - m_\pi) Z_t f_{\parallel}(E_\pi) + (E_\pi^2 - m_\pi^2) Z_s f_{\perp}(E_\pi)]. \tag{4.4}$$

In fact, we do not need to consider Z_s for f_0 , since $(E_{\pi}^2 - m_{\pi}^2)$ is small relative to $(m_D - m_{\pi})$ and Z_s is close to one. Using Eq. 2.3 at $\vec{p} = (0,0,0)$, we can obtain Z_t

$$Z_{t} = \frac{m_{c} - m_{s}}{m_{D} - E_{\pi}} \frac{\langle S \rangle |_{\vec{p} = (0,0,0)}}{\langle V_{0}^{local} \rangle |_{\vec{p} = (0,0,0)}} = 1.037(5). \tag{4.5}$$

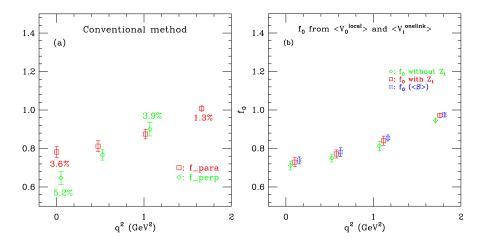


Figure 2: f_{\parallel} and f_{\perp} from the traditional method are shown in (a). $f_0(q^2)$ without Z_t and with Z_t are shown in (b). $f_0(q^2)$ from $\langle S \rangle$ is also shown for comparison. Form factors are calculated from the same q^2 values, but data points are shifted in the figures for clarity.

Using this Z_t and $Z_s = 1$, we get $f_0(q^2)$ (red squares in Fig. 2 (b)), which is consistent with $f_0(q^2)$ from $\langle S \rangle$.

4.2 $f_+(q^2)$

We can also re-write Eq. 1.6 with Z factors for $f_+(q^2)$,

$$f_{+}(q^{2}) = \frac{1}{\sqrt{2m_{D}}} [Z_{t}f_{\parallel}(E_{\pi}) + (m_{D} - E_{\pi})Z_{s}f_{\perp}(E_{\pi})]. \tag{4.6}$$

 Z_s now plays an important role for f_+ . When we fix Z_t at $\vec{p} = (0,0,0)$, then we can obtain Z_s by

$$Z_{s} = \frac{(m_{c} - m_{s})\langle S \rangle - (m_{D} - E_{\pi})Z_{t}(\vec{p} = (0, 0, 0))\langle V_{0}^{local} \rangle}{\vec{p}_{\pi} \cdot \langle \vec{V}^{one-link} \rangle}, \tag{4.7}$$

since Z factors are not supposed to depend on momentum. The result is shown in Fig. 3 (a). As one sees, the errors of Z_s are much larger than the error of Z_t . This is because Z_s is estimated from subtracting two positive quantities. As a result, the error of Z_s is large, even though the relative error of each term in Z_s is comparable to the relative error of Z_t . The result of $f_+(q^2)$ is shown in Fig. 3 (b). This is a very preliminary result. We hope that we can address the best strategy to calculate $f_+(q^2)$ with correct Z factors in the future.

5. Summary and future plan

We presented a new method to calculate form factors of D semi-leptonic decays. We showed how we calculate $f_+(q^2=0)$ and $f_0(q^2)$ with no need for operator matching in Sec. 2 and 3. This is a general approach applicable to any semi-leptonic decays. For instance, one can apply this method to $K \to \pi$ semi-leptonic decay. If we have relativistic bottom quarks, we can calculate $B \to \pi$ and $B \to D^*(D)$ semi-leptonic decay form factors as well, although one will still have the problem of large q_{max}^2 . The important thing is that the action should have enough chiral symmetry and all

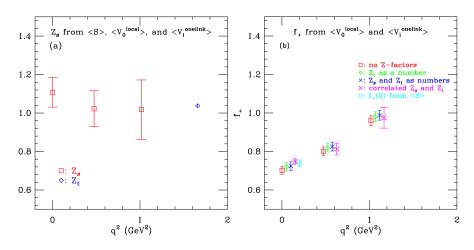


Figure 3: Z factors (a), and $f_+(q^2)$ from the traditional method (b). Form factors are calculated from the same q^2 values, but data points are shifted in the figures for clarity.

valence quarks should be calculated from the same action. In this exploratory work, we showed that the HISQ action is quite promising for D semi-leptonic decay with this new method. It should be possible to obtain $f_+(q^2=0)$ with significantly smaller errors than the current published theory errors of $\sim 10\%$ [4]. It is also possible that we can replace the most accurate determination of $|V_{cd}|$ from neutrino and antineutrino scattering [5]. We plan to improve our calculation by applying random-wall source techniques, adding more statistics, using smaller lattice spacings, and working with multiple T values. We will also investigate the continuum and chiral extrapolations, and extrapolations to $q^2=0$ to complete this project.

In Sec. 4, we also presented the traditional method based on $\langle V_{\mu} \rangle$ with fully non-perturbative renormalization. We confirm that this traditional method and the new method are consistent with each other. It gives larger errors, however it is still interesting. Using this method, one can get the q^2 dependence of f_+ . We are still exploring other strategies for non-perturbative renormalization of V_{μ} , which will reduce our current error on Z_s .

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