

# Axial couplings of heavy hadrons from domain-wall lattice QCD

## William Detmold<sup>a,b</sup>, C.-J. David Lin<sup>c,d</sup>, Stefan Meinel\*<sup>a</sup>

E-mail: smeinel@wm.edu

We calculate matrix elements of the axial current for static-light mesons and baryons in lattice QCD with dynamical domain wall fermions. We use partially quenched heavy hadron chiral perturbation theory in a finite volume to extract the axial couplings  $g_1$ ,  $g_2$ , and  $g_3$  from the data. These axial couplings allow the prediction of strong decay rates and enter chiral extrapolations of most lattice results in the b sector. Our calculations are performed with two lattice spacings and with pion masses down to 227 MeV.

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\*Speaker.

<sup>&</sup>lt;sup>a</sup>Department of Physics, College of William & Mary, Williamsburg, VA 23187-8795, USA

<sup>&</sup>lt;sup>b</sup>Jefferson Laboratory, 12000 Jefferson Avenue, Newport News, VA 23606, USA

<sup>&</sup>lt;sup>c</sup>Institute of Physics, National Chiao-Tung University, Hsinchu 300, Taiwan

<sup>&</sup>lt;sup>d</sup>Physics Division, National Centre for Theoretical Sciences, Hsinchu 300, Taiwan

#### 1. Introduction

The low-energy dynamics of heavy-light mesons and baryons can be described by heavy-hadron chiral perturbation theory (HH $\chi$ PT), an effective field theory for QCD that incorporates both chiral symmetry and heavy-quark symmetry [1]. HH $\chi$ PT is essential for controlling light-quark-mass extrapolations of lattice QCD data in the heavy-quark sector (see for example Ref. [2]).

At leading order in the heavy-quark and chiral expansions, the HH $\chi$ PT Lagrangian contains three axial coupling constants that determine the strength of the interactions between heavy-light hadrons and pions: one coupling (denoted as  $g_1$ ) for the heavy-light mesons, and two additional couplings (denoted as  $g_2$ ,  $g_3$ ) for the heavy-light baryons. These axial couplings are calculable from QCD, and their determination enables quantitative predictions for many heavy-light hadron properties (such as masses, decay widths, and various matrix elements) using HH $\chi$ PT. The chiral loop contributions that lead to the nonanalytic dependence of such properties on the light-quark masses are proportional to products of the relevant axial couplings. While  $g_1$  has received much attention in the past because of its role for B mesons, the lesser-known couplings  $g_2$  and  $g_3$  are important for flavor physics with heavy baryons. The bottom baryon sector provides complementary information to B mesons for constraining the helicity structure of possible new physics [3].

The calculation of  $g_{1,2,3}$  from the underlying theory of QCD must be done nonperturbatively, and hence on a lattice. The mesonic coupling  $g_1$  had been studied previously in lattice QCD with  $n_f = 0$  or  $n_f = 2$  dynamical flavors [4]. In the following, we present a complete determination of all three axial couplings  $g_{1,2,3}$  using  $n_f = 2 + 1$  domain-wall lattice QCD [5, 6]. Our choice of lattice parameters (low pion masses, large volume, two lattice spacings) and our analysis method (fits to the axial-current matrix elements using the correct next-to-leading-order formulae from HH $\chi$ PT [7]) allow us to control all sources of systematic uncertainties.

#### 2. Heavy-hadron chiral perturbation theory

We begin with an introduction to  $HH\chi PT$ . This theory combines the chiral expansion with an expansion in powers of  $\Lambda_{QCD}/m_Q$ , where  $m_Q$  is the heavy-quark mass. We work at the leading order in the heavy-quark expansion, where the spin of the light degrees of freedom  $(s_l)$  is conserved and the heavy-quark spin decouples. The lowest-lying heavy-light mesons with  $s_l = 1/2$  form multiplets with J = 0 and J = 1, which can be combined into a single field  $H^i$ :

$$H^{i} = \left[ -P^{i} \gamma_{5} + P_{\mu}^{*i} \gamma^{\mu} \right] \frac{1 - \psi}{2}, \text{ with } (P^{i}) = \begin{pmatrix} B^{+} \\ B^{0} \end{pmatrix}, (P^{*i})_{\mu} = \begin{pmatrix} B^{*+} \\ B^{*0} \end{pmatrix}_{\mu}. \tag{2.1}$$

(We consider SU(2) HH $\chi$ PT and use the notation for bottom hadrons.) Similarly, the baryons with  $s_l=1$  form multiplets with J=1/2 and J=3/2. These are described by Dirac and Rarita-Schwinger fields  $B^{ij}$  and  $B^{*ij}_{\mu}$ , which are symmetric in the flavor indices and can be combined into a single field  $S^{ij}$ :

$$S_{\mu}^{ij} = \sqrt{\frac{1}{3}} (\gamma_{\mu} + \nu_{\mu}) \gamma_{5} B^{ij} + B_{\mu}^{*ij}, \text{ with } (B^{ij}) = \begin{pmatrix} \Sigma_{b}^{+} & \frac{1}{\sqrt{2}} \Sigma_{b}^{0} \\ \frac{1}{\sqrt{2}} \Sigma_{b}^{0} & \Sigma_{b}^{-} \end{pmatrix}, (B^{*ij})_{\mu} = \begin{pmatrix} \Sigma_{b}^{*+} & \frac{1}{\sqrt{2}} \Sigma_{b}^{*0} \\ \frac{1}{\sqrt{2}} \Sigma_{b}^{*0} & \Sigma_{b}^{*-} \end{pmatrix}_{\mu}.$$
(2.2)

On the other hand, the  $s_l = 0$  baryons (J = 1/2) are antisymmetric in flavor and include only the  $\Lambda_b$  in the SU(2) case:

$$(T^{ij}) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \Lambda_b \\ -\Lambda_b & 0 \end{pmatrix}. \tag{2.3}$$

The leading-order HH $\chi$ PT Lagrangian, describing the interactions of the fields (2.1), (2.2), and (2.3) with pions, is given by

$$\mathcal{L} = (\text{ kinetic terms }) + g_1 \operatorname{tr}_{D} \left[ \overline{H}_{i} (\mathscr{A}^{\mu})^{i}_{j} \gamma_{\mu} \gamma_{5} H^{j} \right]$$

$$- i g_2 \varepsilon_{\mu\nu\sigma\lambda} \overline{S}^{\mu}_{ki} v^{\nu} (\mathscr{A}^{\sigma})^{i}_{j} (S^{\lambda})^{jk} + \sqrt{2} g_3 \left[ \overline{S}^{\mu}_{ki} (\mathscr{A}_{\mu})^{i}_{j} T^{jk} + \overline{T}_{ki} (\mathscr{A}^{\mu})^{i}_{j} S^{jk}_{\mu} \right].$$
 (2.4)

In the terms with  $g_1$ ,  $g_2$ , and  $g_3$ , the pion field  $\xi = \sqrt{\Sigma} = \exp(i\Phi/f)$  appears through

$$\mathscr{A}^{\mu} = \frac{i}{2} \left( \xi^{\dagger} \partial^{\mu} \xi - \xi \partial^{\mu} \xi^{\dagger} \right) = -\frac{1}{f} \partial^{\mu} \Phi + \dots, \tag{2.5}$$

which is an axial-vector field.

#### 3. Axial current matrix elements

To determine the axial couplings  $g_i$  that appear in the chiral Lagrangian (2.4) from QCD, one can calculate suitable hadronic observables in both HH $\chi$ PT and lattice QCD. The expressions derived from HH $\chi$ PT are then fitted to the lattice data, and in these fits the axial couplings are parameters. The simplest observables that are sensitive to  $g_i$  are the zero-momentum matrix elements of the axial current between heavy-hadron states. In QCD, the isovector axial current is given by the quark current

$$A_{\mu}^{a(\text{QCD})} = \bar{q} \frac{\tau^a}{2} \gamma_{\mu} \gamma_5 q. \tag{3.1}$$

The corresponding hadronic current in  $HH\chi PT$  can be obtained from the Lagrangian (2.4) using the Noether procedure. At leading order, the relevant part of the current that contributes to the matrix elements reads

$$A_{\mu}^{a(\chi \text{PT,LO})} = g_{1} \operatorname{tr}_{D} \left[ \overline{H}_{i} (\tau_{\xi+}^{a})_{j}^{i} \gamma_{\mu} \gamma_{5} H^{j} \right] - i g_{2} \varepsilon^{\mu \nu \sigma \lambda} (\overline{S}_{\nu})_{ki} \nu_{\sigma} (\tau_{\xi+}^{a})_{j}^{i} S_{\lambda}^{jk}$$

$$+ \sqrt{2} g_{3} \left[ (\overline{S}_{\mu})_{ki} (\tau_{\xi+}^{a})_{j}^{i} T^{jk} + \overline{T}_{ki} (\tau_{\xi+}^{a})_{j}^{i} (S_{\mu})^{jk} \right],$$
(3.2)

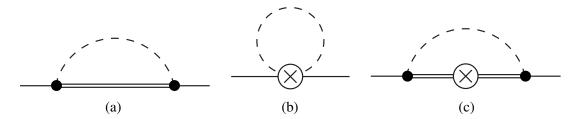
with  $au^a_{\xi+}=rac{1}{2}\left(\xi^\dagger au^a \xi + \xi au^a \xi^\dagger
ight)$ . One finds the following matrix elements for  $A_\mu=A_\mu^1-iA_\mu^2$ ,

$$\langle P^{*d} | A_{\mu} | P^{\mu} \rangle = -2 (g_1)_{\text{eff}} \, \varepsilon_{\mu}^*,$$

$$\langle S^{dd} | A_{\mu} | S^{du} \rangle = -(i/\sqrt{2}) (g_2)_{\text{eff}} \, v^{\sigma} \, \varepsilon_{\sigma\mu\nu\rho} \, \overline{U}^{\nu} U^{\rho},$$

$$\langle S^{dd} | A_{\mu} | T^{du} \rangle = -(g_3)_{\text{eff}} \, \overline{U}_{\mu} \, \mathcal{U}, \qquad (3.3)$$

where  $\varepsilon^{\mu}$  is the polarization vector of the  $P^{*d}$  meson and  $\mathscr{U}$  is the Dirac spinor of the  $T^{du}$  baryon. For the  $s_l=1$  baryons, we work directly with external  $S^{ij}$  states (which contain the degrees of freedom of both J=1/2 and J=3/2) and the  $U^{\mu}$ 's are the corresponding generalized spinors [6]. At leading order in the chiral expansion, the "effective axial couplings" in (3.3) are equal to the



**Figure 1:** One-loop diagrams contributing to the matrix elements of the axial current in  $HH\chi PT$ : (a) wavefunction renormalization diagram, (b) tadpole diagram, (c) sunset diagram [7].

axial couplings in the Lagrangian,  $(g_i)_{eff}|_{LO} = g_i$ . At next-to-leading order, the matrix elements receive corrections from pion loops (Fig. 1) and analytic counterterms. The NLO expressions for  $(g_i)_{eff}$ , both in the unquenched and the partially quenched theories, and for a finite volume, can be found in Ref. [7].

#### 4. Lattice calculation

To calculate the matrix elements (3.3) in lattice QCD, we use the following interpolating fields for the heavy hadrons,

$$P^{i} = (\gamma_{5})_{\alpha\beta} \overline{Q}_{a\alpha} \tilde{q}^{i}_{a\beta}, \qquad P^{*i}_{\mu} = (\gamma_{\mu})_{\alpha\beta} \overline{Q}_{a\alpha} \tilde{q}^{i}_{a\beta}, S^{ij}_{\mu\alpha} = \varepsilon_{abc} (C\gamma_{\mu})_{\beta\gamma} \tilde{q}^{i}_{a\beta} \tilde{q}^{j}_{b\gamma} Q_{c\alpha}, \qquad T^{ij}_{\alpha} = \varepsilon_{abc} (C\gamma_{5})_{\beta\gamma} \tilde{q}^{i}_{a\beta} \tilde{q}^{j}_{b\gamma} Q_{c\alpha},$$
(4.1)

where  $\tilde{q}^i$  denotes a smeared light-quark field of flavor i, and Q denotes the static heavy quark field (here we set v=(1,0,0,0)). Just as in HH $\chi$ PT, the interpolating field  $S^{ij}_{\mu\alpha}$  couples to both the J=1/2 and J=3/2 baryon states with  $s_l=1$ . We use the domain-wall action [8] for the light quarks, and the Eichten-Hill action [9] with HYP-smeared temporal gauge links [10] for the heavy quarks. To optimize the signals and analyze heavy-quark discretization effects, we generated data for  $n_{\rm HYP}=1,2,3,5,10$  levels of HYP smearing. The final results for the axial couplings are based on data with  $n_{\rm HYP}=1,2,3$  only. The calculations are performed with the local 4-dimensional axial current, given by

$$A_{\mu} = Z_A \, \overline{d}_{a\alpha} (\gamma_{\mu} \gamma_5)_{\alpha\beta} u_{a\beta}, \tag{4.2}$$

where  $Z_A$  is determined nonperturbatively [11]. We compute the following ratios of three-point and two-point functions,

$$R_{1}(t,t') = -\frac{1}{3} \frac{\sum_{\mu=1}^{3} \langle P^{*d\mu}(t) A^{\mu}(t') P_{u}^{\dagger}(0) \rangle}{\langle P^{u}(t) P_{u}^{\dagger}(0) \rangle},$$

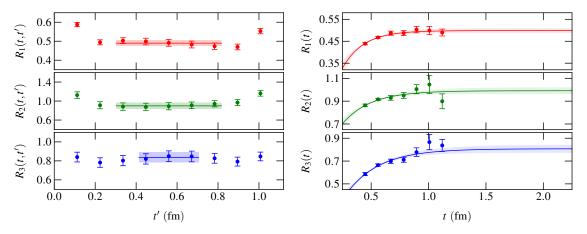
$$R_{2}(t,t') = i \frac{\sum_{\mu,\nu,\rho=1}^{3} \varepsilon_{0\mu\nu\rho} \langle S^{dd\mu}(t) A^{\nu}(t') \overline{S}_{du}^{\rho}(0) \rangle}{\sum_{\mu=1}^{3} \langle S^{dd\mu}(t) \overline{S}_{dd}^{\mu}(0) \rangle},$$

$$R_{3}(t,t') = \left[ \frac{1}{3} \frac{\sum_{\mu,\nu=1}^{3} \langle S^{dd\mu}(t) A^{\mu}(t') \overline{T}_{du}(0) \rangle \langle T^{du}(t) A^{\nu\dagger}(t') \overline{S}_{dd}^{\nu}(0) \rangle}{\sum_{\mu=1}^{3} \langle S^{dd\mu}(t) \overline{S}_{dd}^{\mu}(0) \rangle \langle T^{du}(t) \overline{T}_{du}(0) \rangle} \right]^{1/2}, \tag{4.3}$$

where the source and sink hadron interpolating fields are placed at a common spatial point  $\mathbf{x}$  because of the static heavy quark, and we write  $A^{\mu}(t') = \sum_{\mathbf{x}'} A^{\mu}(t', \mathbf{x}')$ . In Eq. (4.3) we also removed

$L^3 \times T$	$am_{u/d}^{(\text{sea})}$	$am_{u/d}^{(\text{val})}$	a (fm)	$m_{\pi}^{(\mathrm{vv})}$ (MeV)	$m_{\pi}^{(\mathrm{vs})}$ (MeV)	values of $t/a$
$24^3 \times 64$	0.005	0.005	0.1119(17)	336(5)	336(5)	4, 5, 6, 7, 8, 9, 10
$24^3 \times 64$	0.005	0.002	0.1119(17)	270(4)	304(5)	4, 5, 6, 7, 8, 9, 10
$24^3 \times 64$	0.005	0.001	0.1119(17)	245(4)	294(5)	4, 5, 6, 7, 8, 9, 10
$32^3 \times 64$	0.006	0.006	0.0848(17)	352(7)	352(7)	13
$32^3 \times 64$	0.004	0.004	0.0849(12)	295(4)	295(4)	6, 9, 12
$32^3 \times 64$	0.004	0.002	0.0849(12)	227(3)	263(4)	6, 9, 12

**Table 1:** Lattice parameters.  $m_{\pi}^{(vv)}$  and  $m_{\pi}^{(vs)}$  denote the valence-valence and valence-sea pion masses.



**Figure 2:** Left panel: ratios  $R_i(t, t')$  for the source-sink separation t/a = 10, along with extracted values  $R_i(t)$  (shaded regions). Right panel: extrapolation of  $R_i(t)$  to infinite source-sink separation. All data shown here are for a = 0.112 fm,  $am_{u,d}^{(\text{val})} = 0.002$ ,  $n_{\text{HYP}} = 3$ .

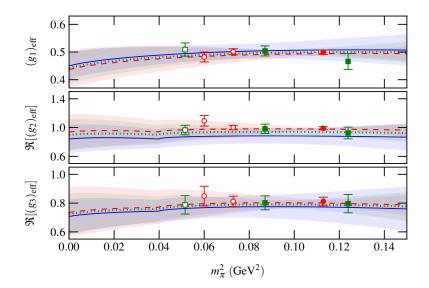
the free spinor indices which trivially originate from the static heavy-quark propagator. By inserting complete sets of states into (4.3), one can show that  $R_i(t, t/2) = (g_i)_{\text{eff}} + ...$ , where the dots indicate contributions from excited states that decay exponentially with t [6].

Our calculations use RBC/UKQCD gauge field configurations with 2+1 dynamical quark flavors [11]. The main parameters of the ensembles and the domain-wall propagators we computed on them are given in Table 1. For the three-point functions we use pairs of light-quark propagators with sources at a common spatial point  $\mathbf{x}$  and separated by t/a steps in the time direction. As can be seen in the table, we have data for multiple values of t/a. Numerical examples for the ratios (4.3) are shown in Fig. 2 (left). Equivalently to using  $R_i(t, t/2)$ , we average  $R_i(t, t')$  over t' in the central plateau regions, and we denote these averages as  $R_i(t)$ . We then perform fits of the form  $R_i(t) = (g_i)_{\text{eff}} - A_i e^{-\delta_i t}$ , as shown in Fig. 2 (right). A detailed discussion can be found in Ref. [6]. These fits provide the effective axial couplings  $(g_i)_{\text{eff}}(a, m_{\pi}^{(\text{vv})}, m_{\pi}^{(\text{vs})}, n_{\text{HYP}})$  for all combinations of the lattice spacing, the pion masses, and the heavy-quark smearing parameter  $n_{\text{HYP}}$ . We fit the data for  $(g_i)_{\text{eff}}(a, m_{\pi}^{(\text{vv})}, m_{\pi}^{(\text{vs})}, n_{\text{HYP}})$  with

$$(g_{1})_{\text{eff}} = g_{1} \left[ 1 + f_{1}(g_{1}, m_{\pi}^{(\text{vv})}, m_{\pi}^{(\text{vs})}, L) + c_{1}^{(\text{vv})} \left[ m_{\pi}^{(\text{vv})} \right]^{2} + c_{1}^{(\text{vs})} \left[ m_{\pi}^{(\text{vs})} \right]^{2} + d_{1, n_{\text{HYP}}} a^{2} \right],$$

$$(g_{i})_{\text{eff}} \Big|_{i=2,3} = g_{i} \left[ 1 + f_{i}(g_{2}, g_{3}, m_{\pi}^{(\text{vv})}, m_{\pi}^{(\text{vs})}, \Delta, L) + c_{i}^{(\text{vv})} \left[ m_{\pi}^{(\text{vv})} \right]^{2} + c_{i}^{(\text{vs})} \left[ m_{\pi}^{(\text{vs})} \right]^{2} + d_{i, n_{\text{HYP}}} a^{2} \right],$$

$$(4.4)$$



**Figure 3:** Fits of  $(g_i)_{\text{eff}}$  using Eq. (4.4). The plot shows the fitted functions, evaluated at  $m_{\pi}^{(\text{vv})} = m_{\pi}^{(\text{vs})} = m_{\pi}$  and in infinite volume, for  $n_{\text{HYP}} = 3$ . The baryonic matrix elements  $(g_{2,3})_{\text{eff}}$  develop small imaginary parts below the  $S \to T\pi$  decay threshold at  $m_{\pi} = \Delta$ , and only the real parts are shown here. The dashed line corresponds to a = 0.112 fm, the dotted line to a = 0.085 fm, and the solid line to the continuum limit. The  $\pm 1\sigma$  regions are shaded. The data points (circles: a = 0.112 fm, squares: a = 0.085 fm) have been shifted to infinite volume for this plot, and the partially quenched data  $(m_{\pi}^{(\text{vv})} < m_{\pi}^{(\text{vs})})$  are included using open symbols at  $m_{\pi} = m_{\pi}^{(\text{vv})}$ , even though the fit functions have slightly different values for these points.

where the functions  $f_i$  are the NLO loop contributions from SU(4|2) HH $\chi$ PT, including the effects of the finite lattice size [5]. The terms with coefficients  $c_i^{(vv)}$  and  $c_i^{(vs)}$  are analytic NLO counterterms that cancel the renormalization-scale-dependence of  $f_i$ , and the terms with coefficients  $d_{i,n_{\rm HYP}}$  describe the leading effects of the non-zero lattice spacing. The functions  $f_{2,3}$  also depend on the S-T mass splitting  $\Delta$ , which is included in the kinetic terms of Eq. (2.4). We set  $\Delta=200$  MeV, consistent with the  $\Sigma_b^{(*)}-\Lambda_b$  splitting from experiment and with our lattice data.

To study the effect of the HYP smearing in the heavy-quark action on the scaling behavior, we performed initial fits that included all values of  $n_{\rm HYP}$ , and then successively removed the data with the largest values of  $n_{\rm HYP}$ . After excluding  $n_{\rm HYP}=5,10$ , the fits were stable and had good Q-values. Our final results for the axial couplings are

$$g_1 = 0.449 \pm 0.047_{\text{stat}} \pm 0.019_{\text{syst}},$$
  
 $g_2 = 0.84 \pm 0.20_{\text{stat}} \pm 0.04_{\text{syst}},$   
 $g_3 = 0.71 \pm 0.12_{\text{stat}} \pm 0.04_{\text{syst}}.$  (4.5)

The estimates of the systematic uncertainties in (4.5) include the effects of the following: NNLO terms in the fits to the a- and  $m_{\pi}$ -dependence (3.6%, 2.8%, 3.7% for  $g_1$ ,  $g_2$ ,  $g_3$ , respectively), the above-physical value of the sea-strange-quark mass (1.5%), and higher excited states in  $R_i(t)$  (1.7%, 2.8%, 4.9%). The details of the analysis can be found in Ref. [6].

Figure 3 shows the pion-mass dependence of the fitted functions  $(g_i)_{\text{eff}}$ . The counterterm parameters  $c_i^{(\text{vv})}$  and  $c_i^{(\text{vs})}$  resulting from the fits are natural-sized (for  $\mu = 4\pi f_\pi$ ), and the NLO

contributions are significantly smaller than the LO contributions. We conclude that the SU(4|2) chiral expansion of  $(g_i)_{\text{eff}}$  convergences well for the pion masses used here.

### 5. Summary

We have calculated the heavy-hadron axial couplings using lattice QCD, including for the first time the baryonic couplings  $g_2$  and  $g_3$  in addition to the mesonic coupling  $g_1$ . The analysis is based on data for the axial-current matrix elements at low pion masses, a large volume, and two different lattice spacings. We extracted  $g_{1,2,3}$  from this data by performing chiral fits with the full NLO expressions from HH $\chi$ PT [7]. As a consequence, the systematic uncertainties in our results (4.5) are much smaller than the statistical uncertainties. The numerical values of  $g_{1,2,3}$  can be used to constrain chiral fits of lattice QCD data for a wide range of heavy-light meson and baryon observables. Furthermore, our results for the axial couplings allow the direct calculation of certain observables in HH $\chi$ PT, in particular the strong decay widths of heavy baryons [5, 6].

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