

## Recursion relations for the multiparton collinear limit and splitting functions

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We present a systematic method to evaluate the splitting functions for tree-level QCD processes where  $m$  partons approach the collinear limit. The splitting functions are computed by deriving on-shell recursion equations, which are similar to the Berends–Giele recursion relations for off-shell currents.

*“Loops and Legs in Quantum Field Theory” 11th DESY Workshop on Elementary Particle Physics  
April 15-20, 2012  
Wernigerode, Germany*

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## 1. Introduction

One of the main features of perturbative scattering amplitudes in QCD and, more generally, gauge field theories is the presence of singularities in the infrared (soft and collinear) regions of the phase space. The knowledge of this singular behaviour is very relevant to make reliable QCD predictions through high-order perturbative computations, all-order resummed calculations and parton-shower Monte Carlo generators.

In this contribution we deal with the collinear limit and the associated singular behaviour [1]–[17]. We refer to a generic scattering amplitude in the kinematical configuration where the momenta of  $m$  ( $m \geq 2$ ) external QCD partons become parallel. In this multiparton collinear limit, the scattering amplitude fulfils a factorization formula: the factor that captures the singular collinear behaviour is a ‘splitting function’ that is universal (process independent). The splitting function, which can be presented and computed either in a colour-stripped form (the *splitting amplitude*) [2, 3] or in a colour-dressed form (the *splitting matrix*) [12], effectively describes the collinear splitting subprocess  $1 \text{ parton} \rightarrow m \text{ partons}$ . Applications to fixed-order calculations at the Next-to-Next-to-Leading Order (NNLO) and to resummed calculations or parton-shower algorithms at the Next-to-Next-to-Leading Logarithmic (NNLL) accuracy require the *known* splitting functions for the one-loop  $1 \rightarrow 2$  [10, 11] and the tree-level  $1 \rightarrow 3$  [4, 5, 6] splitting subprocesses. The multiparton splitting subprocesses  $1 \rightarrow m$  with higher multiplicity ( $m \geq 4$ ) enter calculations at still higher orders.

In this talk we consider the multiparton collinear limit at the *tree-level*. The explicit computations of the tree-level splitting functions with  $m \leq 3$  partons [1]–[6] have been carried out with methods and techniques that can also be extended and applied to the cases with  $m \geq 4$ . However, these extensions are certainly cumbersome in practical terms, especially if the number  $m$  of collinear partons increases. Therefore, more practical methods are desirable. The authors of Ref. [7] have used the MHV rules [18] (they have also investigated the use of the BCFW recursion relations [19]) to compute multiparton splitting amplitudes: considering some specific classes of helicity configurations of the collinear partons, these authors have derived general results that are valid for an arbitrary number  $m$  of gluons plus up to four fermions.

We have developed an alternative method [20] to compute the tree-level splitting functions for the multiparton collinear limit of gluons, quarks and antiquarks. The method leads to recursion relations that apply directly to the splitting functions. Starting from the splitting functions for  $m = 2$  and  $m = 3$  collinear partons, the recursion equation iteratively gives the splitting functions for an arbitrary number of collinear partons. For simplicity, in the following sections we illustrate the recursion relations for the pure gluon case.

## 2. The multiparton collinear limit and factorization

We consider a generic (on-shell) scattering amplitude  $\mathcal{M}(p_1, p_2, \dots)$  at the tree level. The momenta of the external QCD partons are  $p_1, p_2$  and so forth. Throughout this presentation we use the notation  $p_{i,j} = p_i + p_{i+1} + \dots + p_j$  and  $s_{i,j} = (p_i + p_{i+1} + \dots + p_j)^2$ , with  $i < j$ .

The collinear limit of a set  $\{p_1, \dots, p_m\}$  of  $m$  ( $m \geq 2$ ) parton momenta is approached when the momenta of the  $m$  partons become parallel. This implies that all the parton subenergies

$$s_{i\ell} = (p_i + p_\ell)^2, \quad \text{with } i, \ell \in \{1, \dots, m\}, \quad (2.1)$$

are of the *same* order and vanish *simultaneously* [4, 5]. To specify the kinematics of the  $m$ -parton collinear limit, we define the light-like momentum  $\tilde{P}_{1,m}^\mu$ :

$$\tilde{P}_{1,m}^\mu \equiv p_{1,m}^\mu - \frac{P_{1,m}^2}{2n \cdot p_{1,m}} n^\mu, \quad (2.2)$$

where  $n^\mu$  is an auxiliary light-like vector ( $n^2 = 0$ ), which parametrizes how the collinear direction is approached. In the multiparton collinear limit we have  $p_i^\mu \rightarrow z_i \tilde{P}_{1,m}^\mu$  ( $i = 1, \dots, m$ ), and the longitudinal-momentum fraction  $z_i$  is

$$z_i = \frac{n \cdot p_i}{n \cdot \tilde{P}_{1,m}} = \frac{n \cdot p_i}{n \cdot (p_1 + \dots + p_m)}. \quad (2.3)$$

In the following we limit ourselves to considering pure multigluon amplitudes. The  $n$ -gluon scattering amplitude is  $\mathcal{M}^{a_1, a_2, \dots, a_n}(p_1, p_2, \dots, p_n)$  and  $a_1, a_2, \dots, a_n$  are the colour indices of the gluons. The scattering amplitude  $\mathcal{M}^{a_1, a_2, \dots, a_n}$  can be decomposed in colour subamplitudes [2, 3]. The colour-ordered (and colourless) subamplitude is denoted by  $A_n(i_1, \dots, i_n)$ , and the argument  $i_k$  ( $i_k \in \{1, \dots, n\}$ ) denotes the dependence on the  $i_k$ -th gluon, i.e. on its *outgoing* momentum  $p_{i_k}^\mu$  and its polarization vector  $\varepsilon^\nu(p_{i_k})$  (the helicity states of  $\varepsilon^\nu$  are never explicitly denoted throughout the present contribution).

In the  $m$ -gluon collinear limit, the colour-ordered amplitude  $A_n$  (with  $n \geq m + 3$ ) fulfils the following *tree-level* factorization formula [2, 3, 4, 5]:

$$A_n(\dots, k, 1, 2, \dots, m, j, \dots) \simeq \text{Split}(1, 2, \dots, m; \tilde{P}_{1,m}) A_{n+1-m}(\dots, k, \tilde{P}_{1,m}, j, \dots), \quad (2.4)$$

where the *splitting amplitude*  $\text{Split}(1, 2, \dots, m; \tilde{P}_{1,m})$  has the singular behaviour  $\text{Split} \propto (1/\sqrt{s_{1,m}})^{m-1}$ , and the neglected terms on the right-hand side are less singular in the collinear limit.

The splitting amplitude  $\text{Split}(1, 2, \dots, m; \tilde{P}_{1,m})$  is universal (e.g., it is independent of  $A_n$ ) and it depends on the collinear gluons and on the parent collinear gluon of the splitting subprocess 1 gluon  $\rightarrow m$  gluons. The parent gluon has *ingoing* momentum  $\tilde{P}_{1,m}^\mu$  and polarization vector  $\varepsilon_\nu^*(\tilde{P}_{1,m})$  ( $\varepsilon_\nu^*$  is the complex conjugate of  $\varepsilon_\nu$ ). Note that the product  $\text{Split}(\dots; \tilde{P}_{1,m}) A_{n+1-m}(\dots, \tilde{P}_{1,m}, \dots)$  involves a sum (which is not explicitly denoted on the right-hand side of Eq. (2.4)) over the polarization states of the parent collinear gluon. Thus,  $\text{Split}$  has to be formally regarded as a matrix in the spin polarization (helicity) space of the gluons.

The splitting amplitude  $\text{Split}(1, 2, \dots, m; \tilde{P}_{1,m})$  is an *on-shell* quantity and it is colour-ordered (analogously to  $A_n$ ) with respect to the  $m$  collinear gluons. Note also that, on the left-hand side of Eq. (2.4), the gluon indices  $1, \dots, m$  in the argument of  $A_n$  are adjacent. If these indices are not adjacent, the corresponding amplitude  $A_n$  is subdominant in the  $m$ -gluon collinear limit.

We recall that the all-loop amplitude fulfils a factorization formula that is *partly* similar to the tree-level formula in Eq. (2.4). If the multiparton collinear limit occurs in the *time-like* region, the factorization formula [15] is exactly analogous to Eq. (2.4). If instead the collinear limit occurs in

the *space-like* region, the universality structure of collinear factorization is violated [16], and the corresponding loop splitting amplitude acquires an explicit process dependence (i.e., Split depends on the adjacent *non-collinear* legs  $k$  and  $j$  of  $A_n$  in Eq. (2.4) at one-loop order, and it depends on additional adjacent *non-collinear* gluons at higher-loop orders [16]).

### 3. The recursion relation for the multigluon splitting amplitude

The splitting amplitude  $\text{Split}(1, \dots, m; \tilde{P}_{1,m})$  of  $m$  gluons can be directly expressed and computed in terms of the corresponding splitting amplitudes of a smaller number  $k$  ( $k < m$ ) of gluons. This iterative structure follows from recursion relations that are derived in Ref. [20] for the general multiparton collinear limit of gluons, quarks and antiquarks.

The recursion relation for the multigluon splitting amplitude is [20]

$$\begin{aligned} \text{Split}(1, \dots, m; \tilde{P}_{1,m}) = & \frac{1}{s_{1,m}} \left[ \sum_{k=1}^{m-1} \text{Split}(1, \dots, k; \tilde{P}_{1,k}) \text{Split}(k+1, \dots, m; \tilde{P}_{k+1,m}) V^{(3)}(\tilde{P}_{1,k}, \tilde{P}_{k+1,m}; \tilde{P}_{1,m}) \right. \\ & + \sum_{k=1}^{m-2} \sum_{l=k+1}^{m-1} \text{Split}(1, \dots, k; \tilde{P}_{1,k}) \text{Split}(k+1, \dots, l; \tilde{P}_{k+1,l}) \\ & \left. \times \text{Split}(l+1, \dots, m; \tilde{P}_{l+1,m}) V^{(4)}(\tilde{P}_{1,k}, \tilde{P}_{k+1,l}, \tilde{P}_{l+1,m}; \tilde{P}_{1,m}) \right], \quad (3.1) \end{aligned}$$

where, on the right-hand side, the splitting amplitude of a single gluon is  $\text{Split}(i; \tilde{P}) = 1$  by definition. We recall that the function Split depends on the polarization (helicity) states of the parent collinear gluon. Therefore, the right-hand side of Eq. (3.1) involves sums (which are not explicitly denoted) over the polarization states of the parent collinear gluons with momenta  $\tilde{P}_{1,k}$ ,  $\tilde{P}_{k+1,m}$ ,  $\tilde{P}_{k+1,l}$  and  $\tilde{P}_{l+1,m}$ .

The factors  $V^{(3)}$  and  $V^{(4)}$  are a three-gluon and a four-gluon effective vertex, respectively. The explicit expressions of the effective vertices are

$$\begin{aligned} V^{(3)}(\tilde{P}_1, \tilde{P}_2; \tilde{P}) = g_s \frac{1}{\sqrt{2}} \left[ \varepsilon(\tilde{P}_1) \cdot \varepsilon(\tilde{P}_2) (\tilde{P}_1 - \tilde{P}_2) \cdot \varepsilon^*(\tilde{P}) \right. \\ \left. + \varepsilon(\tilde{P}_2) \cdot \varepsilon^*(\tilde{P}) 2\tilde{P}_2 \cdot \varepsilon(\tilde{P}_1) - \varepsilon(\tilde{P}_1) \cdot \varepsilon^*(\tilde{P}) 2\tilde{P}_1 \cdot \varepsilon(\tilde{P}_2) \right], \quad (3.2) \end{aligned}$$

$$\begin{aligned} V^{(4)}(\tilde{P}_1, \tilde{P}_2, \tilde{P}_3; \tilde{P}) = g_s^2 \left\{ \varepsilon(\tilde{P}_1) \cdot \varepsilon(\tilde{P}_3) \varepsilon(\tilde{P}_2) \cdot \varepsilon^*(\tilde{P}) \right. \\ \left. + \frac{n \cdot \tilde{P}_1 n \cdot \tilde{P}_2 - n \cdot \tilde{P}_3 n \cdot \tilde{P}}{[n \cdot (\tilde{P}_2 + \tilde{P}_3)]^2} \varepsilon(\tilde{P}_2) \cdot \varepsilon(\tilde{P}_3) \varepsilon(\tilde{P}_1) \cdot \varepsilon^*(\tilde{P}) \right. \\ \left. + \frac{n \cdot \tilde{P}_3 n \cdot \tilde{P}_2 - n \cdot \tilde{P}_1 n \cdot \tilde{P}}{[n \cdot (\tilde{P}_2 + \tilde{P}_1)]^2} \varepsilon(\tilde{P}_2) \cdot \varepsilon(\tilde{P}_1) \varepsilon(\tilde{P}_3) \cdot \varepsilon^*(\tilde{P}) \right\}, \quad (3.3) \end{aligned}$$

where  $g_s$  is the QCD coupling constant. Note that the (physical) polarization vectors  $\varepsilon(\tilde{P}_i)$  and  $\varepsilon(\tilde{P})$  in Eqs. (3.2) and (3.3) are defined in the axial gauge with  $\varepsilon(p) \cdot n = 0$ , where  $n^\mu$  is the auxiliary vector introduced to specify the collinear limit (see Eq. (2.2)). Therefore both  $V^{(3)}$  and  $V^{(4)}$  depend

on  $n^\mu$  through  $\varepsilon$ . The four-gluon effective vertex has an additional dependence on  $n^\mu$  through the momentum fractions  $n \cdot \tilde{P}_i / n \cdot \tilde{P}_j$ .

The recursion relation in Eq. (3.1) is an equation of the Schwinger–Dyson type, and it is similar to the Berends–Giele recursion relation [2] (see also Ref. [21]) for the (colour-ordered) multigluon off-shell current  $J^\mu(1, \dots, m)$ . Note, however, that the splitting amplitudes are on-shell quantities, and the effective vertices  $V^{(3)}$  and  $V^{(4)}$  in Eq. (3.1) are also *on-shell* quantities (the Berends–Giele recursion relation uses the customary three-gluon and four-gluon QCD vertices). Indeed, these vertices are fully specified (see Eqs. (3.2) and (3.3)) by on-shell (light-like) parton momenta  $\tilde{P}_i$  and their corresponding on-shell (physical) polarization vectors  $\varepsilon(\tilde{P}_i)$ . This on-shell character of Eq. (3.1) makes it somehow analogous to the BCFW recursion relations [19], which directly construct on-shell amplitudes by joining on-shell amplitudes (with lower multiplicity) through scalar propagators.

The on-shell features of the recursion relation in Eq. (3.1) are more evident by proceedings as follows. Using Eq. (3.1) with  $m = 2$ , we obtain  $\text{Split}(1, 2; \tilde{P}_{1,2})$  in terms of  $V^{(3)}$  and the scalar propagator  $1/s_{1,2}$ . This relation can be inverted to express  $V^{(3)}$  in terms of  $\text{Split}(1, 2; \tilde{P}_{1,2})$ . Then, using Eq. (3.1) with  $m = 3$ , we obtain  $\text{Split}(1, 2, 3; \tilde{P}_{1,3})$  in terms of  $V^{(4)}$  and  $\text{Split}(i, j; \tilde{P}_{i,j})$  (i.e.,  $V^{(3)}$  and scalar propagators). This relation can be inverted to express  $V^{(4)}$  in terms of  $\text{Split}(1, 2, 3; \tilde{P}_{1,3})$  and  $\text{Split}(i, j; \tilde{P}_{i,j})$ . This implies that  $\text{Split}(1, \dots, m; \tilde{P}_{1,m})$  with  $m \geq 4$  can in turn be *entirely* expressed in terms of scalar propagators and the splitting amplitudes with  $m = 2$  and  $m = 3$  gluons. In summary, the recursion relation in Eq. (3.1) gives the explicit result for the splitting amplitudes with  $m = 2$  and  $m = 3$  gluons and, then, using these two splitting amplitudes as building blocks, the same relation iteratively gives the explicit result for the splitting amplitude with an arbitrarily-large number of collinear gluons.

## 4. Summary & Outlook

In Ref. [20] we have studied the multiparton collinear limit of generic tree-level scattering amplitudes by using the (process-independent) splitting matrix formalism [12]. We have derived recursion relations for the splitting functions that determine the singular behaviour of the multiparton collinear limit for an arbitrary number of gluons, quarks and antiquarks. The recursion relations display a self-organized structure based on 2-parton and 3-parton building blocks (splitting functions or, equivalently, effective vertices) for all the steps of the recursion. The recursion relations, their derivation and applications are presented in a forthcoming paper [20]. In Sect. 3 of this contribution, we have anticipated and presented the recursion relation for the pure multigluon case.

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