

Search for First Generation Leptoquarks in ep Collisions at HERA

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A search for first generation scalar and vector leptoquarks produced in ep collisions is performed by the H1 Collaboration at HERA. The full H1 data sample is used in the analysis, corresponding to an integrated luminosity of 446 pb^{-1} . No evidence for the production of leptoquarks is observed in final states with a large transverse momentum electron or with large missing transverse momentum, and constraints on leptoquark models are derived. For leptoquark couplings of electromagnetic strength $\lambda = 0.3$, first generation leptoquarks with masses up to 800 GeV are excluded at 95% confidence level.

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1. Leptoquark production at HERA

The ep collisions at HERA provide a unique possibility to search for new particles coupling directly to a lepton and a quark. Leptoquarks (LQs), colour triplet bosons that do just that, are an example of such particles and appear in many theories attempting to unify the quark and lepton sectors of the Standard Model (SM).

A discussion of the phenomenology of LQs at HERA can be found elsewhere [1]. In the framework of the Buchmüller-Rückl-Wyler (BRW) effective model [2], LQs are classified into 14 types with respect to the quantum numbers spin J , weak isospin I and chirality C , resulting in seven scalar ($J = 0$) and seven vector ($J = 1$) LQs. Whereas all 14 LQs couple to electron¹-quark pairs, four of the left-handed LQs, namely S_0^L , S_1^L , V_0^L and V_1^L , may also decay to a neutrino-quark pair. In particular, for S_0^L and V_0^L the branching fraction of decays into an electron-quark pair is predicted by the model to be $\beta_e = \Gamma_{\text{eq}} / (\Gamma_{\text{eq}} + \Gamma_{\text{v}eq}) = 0.5$, where Γ_{eq} ($\Gamma_{\text{v}eq}$) denotes the partial width for the LQ decay to an electron (neutrino) and a quark q . The branching fraction of decays into a neutrino-quark pair is then given by $\beta_{\nu_e} = 1 - \beta_e$.

Leptoquarks carry both lepton (L) and baryon (B) quantum numbers, and the fermion number $F = L + 3B$ is assumed to be conserved. Leptoquark processes at HERA proceed directly via s -channel resonant LQ production or indirectly via u -channel virtual LQ exchange. A dimensionless parameter λ defines the coupling at the lepton-quark-LQ vertex. For LQ masses well below the centre-of-mass energy $\sqrt{s} = 319$ GeV, the s -channel production of $F = 2$ ($F = 0$) LQs in e^-p (e^+p) collisions dominates. However, for LQ masses above \sqrt{s} , both the s and u -channel processes are important such that both e^-p and e^+p collisions have similar sensitivity to all LQs types.

The analysis presented here examines LQ decays to a quark and a first generation lepton, following the flavour conservation implicit in the BRW model. In a more general extension of this analysis, dedicated searches have been performed by H1 for second and third generation leptoquarks, examining final states containing a quark and a charged lepton of a different flavour, i.e. a muon or tau lepton [3, 4]. A search for second and third generation leptoquarks was recently performed using the full H1 ep data sample taken at $\sqrt{s} = 319$ GeV, where for a coupling strength of $\lambda = \sqrt{4\pi\alpha_{\text{em}}} = 0.3$, LQs decaying with the same coupling strength to a muon-quark pair or a tau-quark pair are excluded at 95% confidence level (CL) up to leptoquark masses of 712 GeV and 479 GeV, respectively [4, 5].

2. Search for first generation leptoquarks

This search considers final states where the leptoquark decays into an electron and a quark $ep \rightarrow eX$ or a neutrino and a quark $ep \rightarrow \nu_e X$. The full H1 ep data sample has now been analysed [6], which comprises 164 pb^{-1} recorded in e^-p collisions and 282 pb^{-1} in e^+p collisions, of which 35 pb^{-1} were recorded at $\sqrt{s} = 301$ GeV. Data collected from 2003 onwards were taken with a longitudinally polarised lepton beam. As leptoquarks are chiral particles, these data are analysed in four separate polarisation samples, formed by combining data periods with similar lepton beam charge e^\pm and polarisation $P_e = (N_R - N_L) / (N_R + N_L)$, where N_R (N_L) is the number of right (left) handed leptons in the beam.

¹Here the term ‘‘electron’’ is used generically to refer to both electrons and positrons, unless otherwise stated.

First generation LQ decays lead to topologies similar to those of deep-inelastic scattering (DIS) neutral current (NC) and charged current (CC) interactions at high negative four-momentum transfer squared Q^2 . The analysis is therefore performed using DIS event selections similar to those used in inclusive DIS analyses [7] and previous first generation LQ searches [8]. Neutral current events are selected by requiring a scattered electron with energy $E_{e'} > 11$ GeV and $Q^2 > 133$ GeV² and in the inelasticity region $0.1 < y < 0.9$. Background from neutral hadrons or photons misidentified as leptons is suppressed by requiring a charged track to be associated to the lepton candidate. Charged current events are selected by requiring significant missing transverse momentum $P_T^{\text{miss}} > 12$ GeV, which is due to the undetected neutrino, in the inelasticity region $0.1 < y < 0.85$. Photoproduction background is suppressed by exploiting the correlation between P_T^{miss} and the ratio $V_{\text{ap}}/V_{\text{p}}$ of transverse energy flow anti-parallel and parallel to the hadronic final state transverse momentum vector [1]. Further details of the event selection can be found in the H1 publication [6].

A good description of the H1 data by the SM is observed, where the expectation is dominated by DIS processes in all event samples, with small additional contributions from photoproduction. Mass spectra of the four H1 data sets taken with a longitudinally polarised lepton beam are shown in figure 1, where both the NC and CC event samples are presented. Since no evidence for LQ production is observed in any of the NC or CC data samples, the data are used to set constraints on leptoquarks coupling to first generation fermions.

3. First generation leptoquark limits

In the absence of a signal, the results of the search are interpreted in terms of exclusion limits on the mass and the LQ coupling. The data are studied in bins in the $M_{LQ} - y$ plane, where the NC and CC data samples with different lepton beam charge and polarisation are kept as distinct data sets. Limits are determined from a statistical analysis which uses the method of fractional event counting, optimised for the presence of systematic uncertainties. A frequentist analysis is performed of a test statistic obtained from the data for each leptoquark type, mass and coupling hypothesis. A full description of the statistical analysis and limit procedure employed can be found elsewhere [6].

Upper limits on the coupling λ obtained at 95% CL are shown as a function of the leptoquark mass in figure 2, displayed as groups of scalar and vector LQs for both $F = 2$ and $F = 0$. For LQ masses near the kinematic limit of 319 GeV, the limit corresponding to a resonantly produced LQ turns smoothly into a limit on the virtual effects of both an off-shell s -channel LQ process and a u -channel LQ exchange. For LQ masses much greater than the HERA centre-of-mass energy the two processes contract to an effective four-fermion interaction. For a coupling of electromagnetic strength $\lambda = \sqrt{4\pi\alpha_{\text{em}}} = 0.3$, LQs produced in ep collisions decaying to an electron-quark or a neutrino-quark pair are excluded at 95% CL up to leptoquark masses between 277 GeV (V_0^R) and 800 GeV (V_0^L), depending on the leptoquark type.

Within the framework of the BRW model, the S_0^L LQ decays to both an electron-quark pair and a neutrino-quark pair, resulting in $\beta_e = 0.5$. The H1 limits on S_0^L are compared to those from other experiments in figure 3, including the similar limit from the ZEUS experiment [9]. The indirect limit from a search for new physics in e^+e^- collisions at LEP by the L3 experiment [10] is also indicated, as well as $\beta_e = 0.5$ limits from $D\bar{O}$ [11] at the Tevatron and from ATLAS [12]

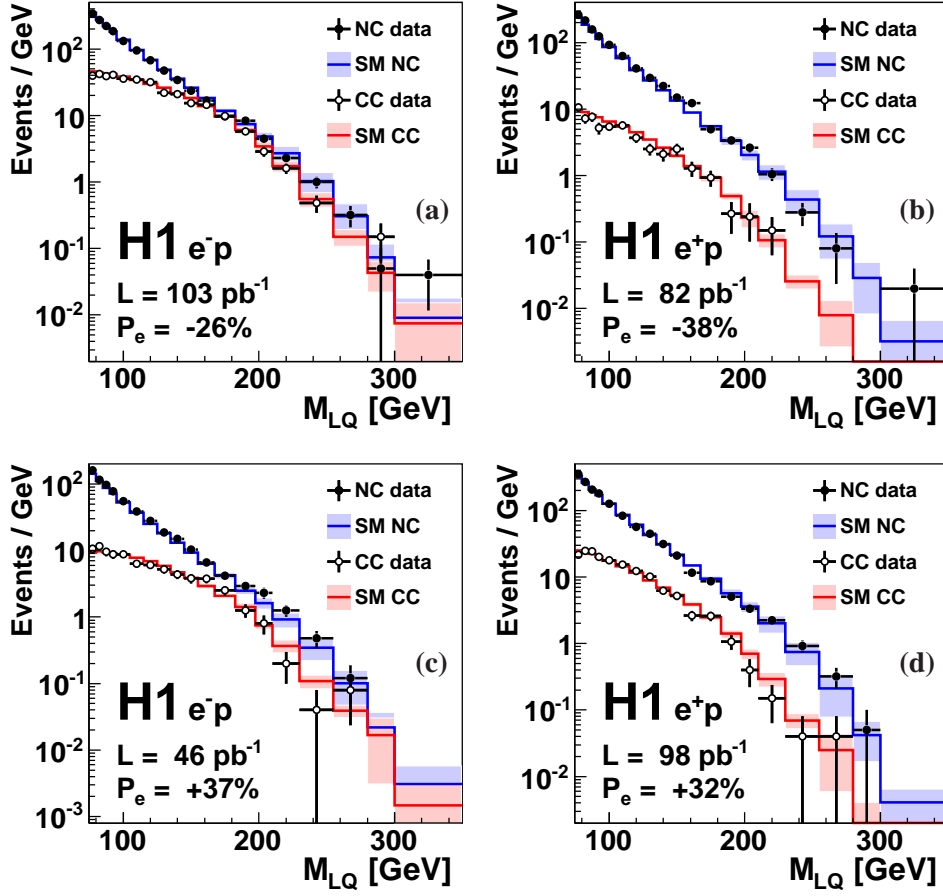


Figure 1: The reconstructed leptoquark mass from the search for first generation leptoquarks using the 2003-2007 H1 data, which was taken with a longitudinally polarised lepton beam. The left-handed electron data (a) and left-handed positron data (b) are shown in the top row; the right-handed electron data (c) and right-handed positron data (d) are shown in the bottom row. The luminosity and average longitudinal lepton polarisation of each data set is indicated. The NC (solid points) and CC (open points) data are compared to the SM predictions (histograms), where the shaded bands indicate the total SM uncertainties.

and CMS [13] at the LHC, based on $\sqrt{s} = 7$ TeV data taken in 2011. The H1 limits at high leptoquark mass values are also compared to those obtained in a dedicated contact interaction analysis [14]. The additional impact of the CC data can be seen, where a stronger limit is achieved in the LQ analysis, compared to the contact interaction analysis which is based only on NC data. The limits from hadron colliders are based on searches for LQ pair-production and are independent of the coupling λ , where the strongest current limit for $\beta_e = 1.0$ ($\beta_e = 0.5$) scalar LQs is 830 GeV (640 GeV) as reported by the CMS collaboration. For a leptoquark mass of 640 GeV, this analysis rules out the S_0^L LQ for coupling strengths larger than about 0.35.

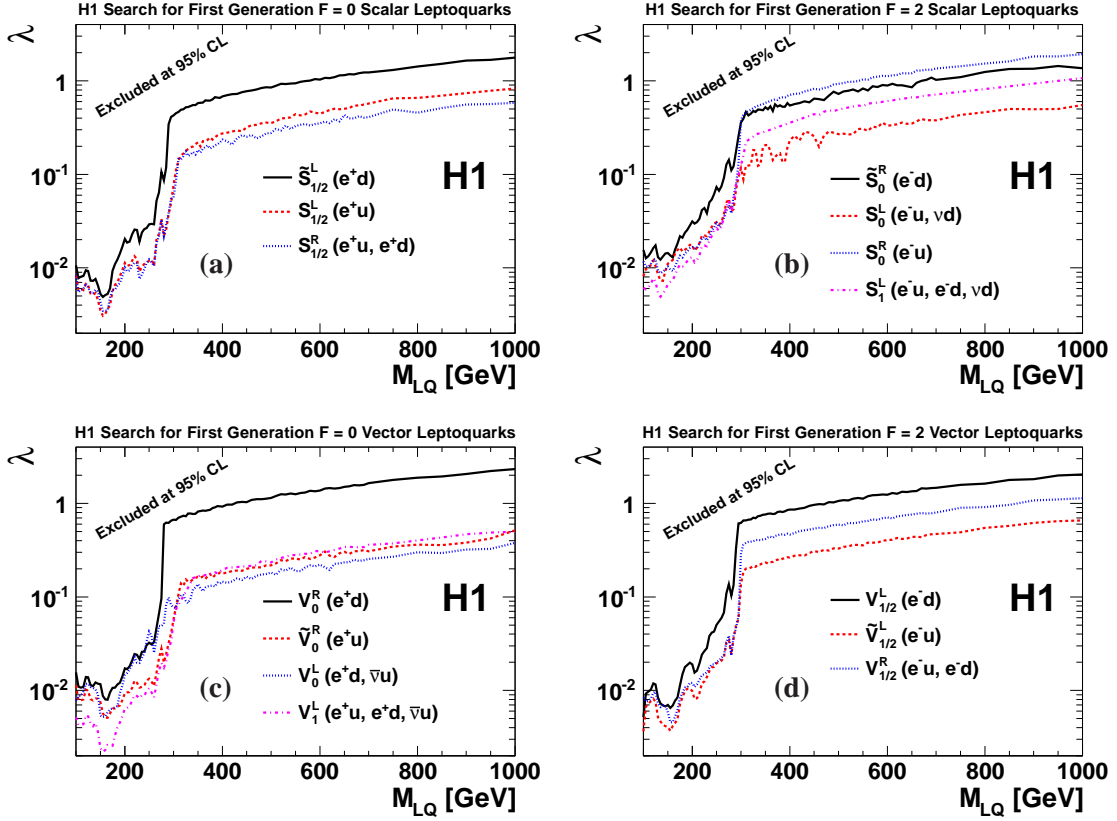


Figure 2: Exclusion limits for the 14 leptoquarks (LQs) described by the Buchmüller, Rückl and Wyler model. The limits are expressed on the coupling λ as a function of leptoquark mass for the scalar LQs with (a) $F = 0$ and (b) $F = 2$ and the vector LQs with (c) $F = 0$ and (d) $F = 2$. Domains above the curves are excluded at 95% CL. The parentheses after the LQ name indicate the fermion pairs coupling to the LQ, where pairs involving anti-quarks are not shown.

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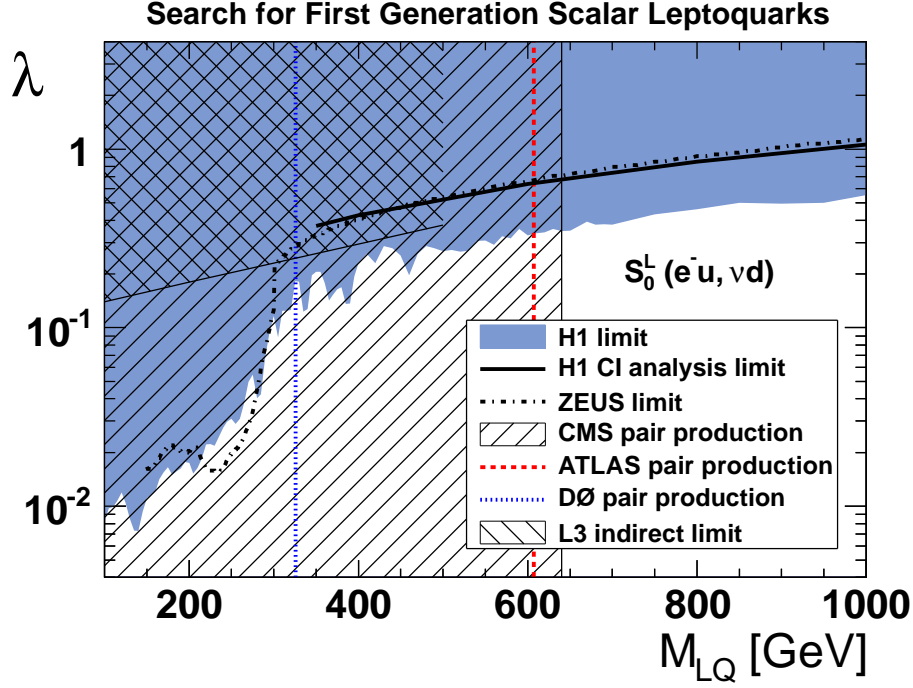


Figure 3: Exclusion limits in the framework of the Buchmüller, Rückl and Wyler model on the coupling λ as a function of leptoquark mass for the S_0^L leptoquark, which has a branching fraction $\beta_e = 0.5$. Domains above the curves and to the left of the vertical lines are excluded at 95% CL. Limits from the DØ, L3 and ZEUS experiments and those from the LHC (CMS and ATLAS, $\sqrt{s} = 7$ TeV data) are shown for comparison, as well as constraints on LQs with masses above 350 GeV from the H1 contact interaction (CI) analysis.

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