

# Study of *CP* and *CPT* symmetries violation in Kaon decays with KLOE

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The KLOE experiment at the DA $\Phi$ NE  $\phi$ -factory of the INFN Frascati Laboratory collected data corresponding to 2.5 fb<sup>-1</sup> of integrated luminosity. Neutral kaon pairs produced in  $\phi$ -meson decays offers a unique possibility to perform tests of fundamental discrete symmetries.

The entanglement of the two kaons is exploited to search for possible violation of *CPT* symmetry and Lorentz invariance in the context of the Standard-Model Extension (SME) framework. A new approach to the analysis of  $\phi \rightarrow K_S K_L \rightarrow \pi^+ \pi^-, \pi^+ \pi^-$  events has been adopted to independently measure all four *CPT* violating parameters  $\Delta a_{\mu}$  for kaons in the SME. The final results of the analysis on  $\Delta a_{\mu}$  are:

 $\Delta a_0 = (-6.0 \pm 7.7_{stat} \pm 3.1_{syst}) \times 10^{-18} \text{ GeV},$ 

$$\Delta a_X = (0.9 \pm 1.5_{stat} \pm 0.6_{syst}) \times 10^{-18} \text{ GeV},$$

 $\Delta a_Y = (-2.0 \pm 1.5_{stat} \pm 0.5_{syst}) \times 10^{-18} \text{ GeV},$ 

 $\Delta a_Z = (3.1 \pm 1.7_{stat} \pm 0.5_{syst}) \times 10^{-18} \text{ GeV}.$ 

The  $K_S \rightarrow \pi^0 \pi^0 \pi^0$  decay is a pure *CP* violating process which, assuming *CPT* invariance, allows direct *CP* violation to be investigated. This decay has not been observed so far. The new best upper limit  $BR(K_S \rightarrow \pi^0 \pi^0 \pi^0) < 2.6 \times 10^{-8}$  at 90% C.L. has been set with the KLOE detector. This result improved by a factor of five the previous limit and is one order of magnitude larger than the Standard Model prediction.

Perspectives on these measurements using the KLOE-2 apparatus upgraded with an Inner Tracker and low-theta angle calorimeters will be discussed.

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#### 1. The KLOE experiment

The KLOE experiment has collected 2.5 fb<sup>-1</sup> of integrated luminosity at DA $\Phi$ NE the  $e^+e^ \Phi$ -factory at the INFN Laboratori Nazionali di Frascati, fulfilling a vast program of precision kaon and hadron physics measurements [1]. With its general purpose detector, consisting of a large cylindrical drift chamber surrounded by a lead-scintillating fiber electromagnetic calorimeter entirely immersed in an axial magnetic field, KLOE produced the most comprehensive set of results on kaon physics from a single experiment using the unique availability of pure  $K_S$ ,  $K_L$  and  $K^{\pm}$ beams at a  $\phi$ -factory.

# **2.** *CPT* and Lorentz Symmetry: $\phi \rightarrow K_S K_L \rightarrow \pi^+ \pi^-, \pi^+ \pi^-$

At DAΦNE the  $\phi$  -meson is produced almost at rest and decays mostly in kaon pairs: 34% of decays are neutral kaons. The initial state of the kaon pair produced with  $J^{PC} = 1^{--}$  quantum numbers is:  $|i\rangle = (|K_0\rangle|\overline{K}_0\rangle - |\overline{K}_0\rangle|K_0\rangle)/\sqrt{2} = \mathcal{N}(|K_S(\vec{p})\rangle|K_L(-\vec{p})\rangle - |K_S(-\vec{p})\rangle|K_L(\vec{p})\rangle)$ , with  $|K_S/K_L\rangle$  the kaon mass eigenstates and  $\mathcal{N}$  a normalization factor. The neutral kaon mass eigenstates can be written as:

$$\begin{cases} |K_S\rangle = [(1+\varepsilon_S)|K_0\rangle + (1-\varepsilon_S)|\overline{K}_0\rangle]/N_S \\ |K_L\rangle = [(1+\varepsilon_L)|K_0\rangle - (1-\varepsilon_L)|\overline{K}_0\rangle]/N_L \end{cases}$$
(2.1)

with  $\varepsilon_{S/L} = \varepsilon_K \pm \delta_K$  where  $\varepsilon_K$  is the *CP* violation parameter in the neutral kaon mixing and  $\delta_K$  is the *CPT* violation parameter. Assuming no *CPT* violation  $\varepsilon_S = \varepsilon_L$ .

The time evolution of the initial state  $|i\rangle$  decaying into  $|f_1, f_2\rangle$  final state can expressed as a function of the proper decay time difference  $\Delta \tau = \tau_2 - \tau_1$ :

$$I_{f_1f_2}(\Delta\tau) \propto e^{-\Gamma|\Delta\tau|} \left[ |\eta_{f_1}|^2 e^{\frac{\Delta\Gamma}{2}\Delta\tau} + |\eta_{f_2}|^2 e^{-\frac{\Delta\Gamma}{2}\Delta\tau} - 2\Re e \left(\eta_{f_1}\eta_{f_2}^* e^{-i\Delta m\Delta\tau}\right) \right]$$
(2.2)

with  $\eta_{f_j} = \langle f_j | K_L \rangle / \langle f_j | K_S \rangle$ ,  $\Gamma = \Gamma_S + \Gamma_L$ ,  $\Delta \Gamma = \Gamma_S - \Gamma_L$ , showing the time interference term correlating the  $f_1$  and  $f_2$  decays even if the kaons are distant in space. Moreover complete destructive quantum interference prevents the two kaons from decaying into the same final state  $f_1 = f_2 = f$  at the same time  $|\Delta \tau| = 0$ . Assuming  $f_j = \pi^+ \pi^-$  the resulting ratio of amplitudes becomes  $\eta_j = \eta_{\pi^+\pi^-} \simeq \varepsilon_K + \varepsilon' - \delta_K$ .

According to the Standard Model Extension (SME) [2] *CPT* violation should appear together with Lorentz Invariance breaking thus implying a direction dependent modulation. In this SME framework the  $\delta_K$  parameter is expected to be modulated by kaon momentum and direction:

$$\delta_K \approx i \sin \phi_{SW} e^{i\phi_{SW}} \gamma_K (\Delta a_0 - \beta_K \cdot \Delta \vec{a}) / \Delta m, \qquad (2.3)$$

with  $\gamma_K$  and  $\beta_K$  the kaon Lorentz factors,  $\phi_{SW}$  the super-weak phase and  $\Delta a_{\mu}$  the SME parameters for the kaon system. At KLOE the two kaons are produced almost back-to-back, due to the  $\phi$ meson momentum, and therefore evolve with two different  $\delta_K$  parameters ( $\delta_K(\vec{P}_1) \neq \delta_K(\vec{P}_2)$ ). The effect produced by *CPT* violation can be observed in the distribution of equation 2.2 provided the two kaon final states are tagged with respect to some privileged reference frame. Accounting for the Earth motion with respect to fixed stars, the *CPT* violation parameter becomes:

$$\delta_{K}(\vec{P}_{K}, T_{sid}) = \frac{i \sin \phi_{SW} e^{i \phi_{SW}}}{\Delta m} \gamma_{K} \Big[ \Delta a_{0} + \beta_{K} \Delta a_{Z}(\cos \vartheta \cos \chi - \sin \vartheta \cos \varphi \sin \chi) \\ -\beta_{K} \Delta a_{X} \sin \vartheta \sin \varphi \sin \varphi \sin \omega_{E} T_{sid} \\ +\beta_{K} \Delta a_{X}(\cos \vartheta \sin \chi + \sin \vartheta \cos \varphi \cos \chi) \cos \omega_{E} T_{sid} \\ +\beta_{K} \Delta a_{Y}(\cos \vartheta \sin \chi + \sin \vartheta \cos \varphi \cos \chi) \sin \omega_{E} T_{sid} \\ +\beta_{K} \Delta a_{Y} \sin \vartheta \sin \varphi \cos \omega_{E} T_{sid} \Big]$$
(2.4)

with  $\omega_E$  the Earth angular velocity,  $T_{sid}$  the sidereal time,  $\chi$  the angle between the axis of the laboratory frame  $\hat{z}_{LAB}$  and the Earth rotation axis and  $\vartheta$  and  $\varphi$  are the polar and azimuthal angle in the laboratory, respectively. The  $\Delta a_X$  and  $\Delta a_Y$  parameters are sensitive to the sidereal time dependence of  $\delta_K$ . The  $\Delta a_0$  parameter, coupled to  $\gamma_K$  only, will be the most difficult to observe, considering the 2-3% variation of  $\gamma_K$  at KLOE.

Using equation 2.4 in the time evolution of the kaon system and ordering the two kaons according to the  $P_z$  component of their momentum, gives:

$$I(\Delta t, T_{sid}, \vartheta_{K_1}, \varphi_{K_1}) \propto e^{-\Gamma |\Delta \tau|} \Big[ |\varepsilon_K - \delta_K(\vec{P}_1)|^2 e^{\frac{\Delta \Gamma}{2} \Delta \tau} + |\varepsilon_K - \delta_K(\vec{P}_{\phi} - \vec{P}_1)|^2 e^{-\frac{\Delta \Gamma}{2} \Delta \tau} - 2\Re e \Big( (\varepsilon_K - \delta_K(\vec{P}_1)(\varepsilon_K - \delta_K(\vec{P}_{\phi} - \vec{P}_1))^* e^{-i\Delta m \Delta \tau} \Big) \Big]$$

$$(2.5)$$

with  $\vartheta_{K_1}, \varphi_{K_1}$  the polar and azimuthal angle of the first kaon. The  $\phi$ -meson momentum and the angular distribution of kaon decays, allowing almost all the direction in space to be explored, are the key to accurate measurements of all the  $\Delta a_{\mu}$  parameters at KLOE.

In order to measures  $\Delta a_{\mu}$  parameters, 1.7 fb<sup>-1</sup> of the KLOE data-set have been analized as a function of sidereal time and kaon direction. The signal selection, extensively described in Ref.[3], requires two vertices with two tracks and kinematical cuts are applied to reduce background contamination. Then a global fit is performed to improve the resolution on the decay time difference.

To enhance the sensitivity to the  $\Delta a_0$  parameter, the data sample has been divided according to kaon direction with respect to  $\phi$ -meson momentum (e.g.  $P_x > 0$  or  $P_x < 0$ ) and the observable has been defined as:

$$S(\Delta\tau_i, \Delta T_{sid\,j}, \Delta\Omega_h) = S_{ijh} = \int_{\Delta\tau_i} d\Delta\tau \int_{\Delta T_{sid\,j}} dT_{sid} \int_{\Delta\Omega_h} d\Omega_{K_1} \rho(\Omega_{K_1}, T_{sid}) I(\Delta\tau, T_{sid}, \Omega_{K_1})$$
(2.6)

with  $\rho(\Omega_{K_1}, T_{sid})$  the angular and sidereal time density distribution for the first kaon  $K_1$ , produced with  $P_z > 0$ . The number of different  $\Delta \tau$  distributions used for the measurements is eight: 4 sidereal time bins × the two angular bins  $\cos \vartheta_{K_1} > 0 \cos \varphi_{K_1} > 0$  (sel. "I-III") and  $\cos \vartheta_{K_1} > 0 \cos \varphi_{K_1} < 0$ (sel. "II-IV"). The range  $\Delta \tau \in [-12:12]\tau_S$ , has been chosen to limit the effect of regeneration on the spherical beam pipe. To fit the data distributions, efficiency and resolution corrections have been applied to the theoretical expression of equation 2.6. To this purpose dedicated Monte Carlo simulations and data control samples have been used.

The final results on  $\Delta a_{\mu}$  parameters, obtained from the simultaneous fit of the eight data distributions, are reported in table 1. The total uncertainty is statistically dominated.



Figure 1: Fit results: Top (Bottom) plots are for the angular selection "I-III"("II-IV"). Black points are data and colored bands are the fit output. The errors on data are statistical only, while the fit result band includes uncertainty from Monte Carlo statistics and efficiency correction.

**Table 1:** Fit results. Errors include the statistical fluctuations and are in the expected range. The fit  $\chi^2/ndf$  is 211.7/184 corresponding to a p-value of 8%.

Par.	Fit output $(10^{-18} \text{ GeV})$	Correlation matrix			
		$\Delta a_0$	$\Delta a_X$	$\Delta a_Y$	$\Delta a_Z$
$\Delta a_0$	$(-6.0 \pm 7.7_{stat} \pm 3.1_{syst})$	1.000	0.304	-0.187	0.483
$\Delta a_X$	$(0.9 \pm 1.5_{stat} \pm 0.6_{syst})$	0.304	1.000	-0.045	0.069
$\Delta a_Y$	$(-2.0 \pm 1.5_{stat} \pm 0.5_{syst})$	-0.187	-0.045	1.000	-0.104
$\Delta a_Z$	$(3.1 \pm 1.7_{stat} \pm 0.6_{syst})$	0.483	0.069	-0.104	1.000

# **3.** *CP* **Symmetry:** $K_S \rightarrow \pi^0 \pi^0 \pi^0$

The  $K_S \rightarrow 3 \pi^0$  decay is a pure *CP* violating process. The related *CP* violation parameter is defined as the ratio of  $K_S$  to  $K_L$  decay amplitudes  $\eta_{000} = A(K_S \rightarrow 3\pi^0)/A(K_L \rightarrow 3\pi^0) = \varepsilon_S + \varepsilon'_{000}$  with  $\varepsilon_S = \varepsilon + \delta$  related to the  $K_S CP$  impurity and  $\varepsilon'_{000}$  to direct *CP* violation. In Chiral Pertubation Theory at the lowest order  $\varepsilon'_{000} = -2\varepsilon'$  [4, 5], with  $\varepsilon'$  the direct *CP* violation parameter in  $\pi\pi$  decays. Thus  $\eta_{000} \simeq \varepsilon_S$  and BR( $K_S \rightarrow 3\pi^0) \simeq 1.9 \times 10^{-9}$  to an accuracy of a few %, in the Standard Model and assuming *CPT* invariance  $\varepsilon_S = \varepsilon$ . Therefore the direct observation of this decay would unambiguously sign *CP* violation in the mixing and/or in the decay. The previous best upper limit on BR( $K_S \rightarrow 3\pi^0$ ) was obtained with the KLOE experiment using 450 pb<sup>-1</sup> integrated luminosity, exploting the unique feature of a  $K_S$  beam available at a  $\phi$ -factory and provided by events tagged by  $K_L$  interactions in the EMC, hereafter  $K_L$ -crash [6]. This limit has been updated using the entire 1.7 fb<sup>-1</sup> KLOE data set and improving the  $K_S$  tagging algorithm hardening the  $\beta^*(K_L)$  cut for the  $K_L$  -crash identification [7]. The signal selection requires six neutral clusters coming from the IP. The main background originates from the  $K_S \rightarrow 2\pi^0$  events with two spurious clusters from fragmentation of the electromagnetic showers, hereafter splitting, or accidental activity. The analysis performed on the entire KLOE data set has improved the clustering procedure to reject splitting. A second source of background comes from fake  $K_L$  -crash identification from  $\phi \rightarrow K_S K_L \rightarrow \pi^+ \pi^-, 3\pi^0$  events. In these events, charged pions from  $K_S$  decays interact in the DAΦNE low- $\beta$  intersection quadrupoles simulating the  $K_L$  interaction in the calorimeter, and  $K_L$ decays close to the IP produce the six photons required from the signal selection. This background is suppressed rejecting events with charged particles coming from the vicinity of the IP, and then cutting on the reconstructed velocity and energy of the tagging  $K_L$ .

A kinematic fit with 11 constraints is performed with energy and momentum conservation, the kaon mass and the velocity of the six photons. In order to reject events with cluster splittings and accidentals, the correlations between two  $\chi^2$ -like discriminating variables,  $\chi^2_{3\pi}$  and  $\chi^2_{2\pi}$ , is used. The  $\chi^2_{3\pi}$  variable verifies the signal hypothesis by looking at the reconstructed masses of three pions. The  $\chi^2_{2\pi}$  variable is calculated selecting four out of the six clusters providing the best kinematic agreement with the  $K_S \rightarrow \pi^0 \pi^0 \rightarrow 4\gamma$  hypothesis. The pairing of the clusters is based on the requirement  $m_{\gamma\gamma} = m_{\pi^0}$ , and on the opening angle of the reconstructed pions trajectories in the  $K_S$  center of mass frame. To improve the quality of the photon selection we cut on  $\Delta E = M_{\phi}/2 - M_{\phi}/2$  $\sum E_{\gamma_i}/\sigma_E$  summing over the four photons chosen to calculate  $\chi^2_{2\pi}$ , and  $\sigma_E$  is the energy resolution. For signal events the missing  $\pi^0$  implies  $\Delta E \sim M_{\pi^0}/\sigma_E$ . To refine the rejection of events with split clusters, a cut on the minimal distance between photon clusters is applied. No events were found on data in the signal region and no background events were found in the simulated Monte Carlo sample with twice the data statistics. In the conservative assumption of no background, the upper limit  $UL(N_{3\pi^0}) = 2.3$  at 90% C.L. on the expected signal events has been obtained. Correcting for the signal selection efficiency  $\varepsilon_{3\pi^0} = 0.23 \pm 0.01$  and normalizing for  $(1.142 \pm 0.005) \times 10^8 K_S \rightarrow$  $\pi^0 \pi^0$  events found in the K<sub>S</sub> tagged sample, the upper limit [6].

$$BR(K_S \rightarrow 3\pi^0) < 2.6 \times 10^{-8}$$
at 90% C.L.

has been set, almost five times lower than our previous result [6]. This limit can be directly translated in  $|\eta_{000}| < 0.0088$  at 90% C.L. [6].

### 4. The upgraded apparatus: KLOE-2

KLOE-2 represents the continuation of KLOE, at an upgraded DA $\Phi$ NE machine, with a new physics program mainly focused on  $K_S$ ,  $\eta$  and  $\eta'$  rare decays as well as on kaon interferometry and search for physics beyond the Standard Model [8]. To study  $\gamma\gamma$ -physics, two pairs of electronpositron taggers have been installed: the Low Energy Tagger (LET) [9], inside the KLOE apparatus, and the High Energy Tagger (HET) [10] along the beam lines outside the KLOE detector. More recently the detector has been upgraded with: i) CCALT, a pair of crystal calorimeters positioned near the interaction region to improve the angular acceptance for low- $\theta$  photons [11], 2) QCALT, a pair of tile calorimeters [12], covering the quadrupoles inside the KLOE detector and along the beam pipe, to improve the angular coverage for particles coming from the active volume of the

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Drift Chamber, iii) Inner Tracker, four layers of cylindrical triple-GEM-based detectors to improve the vertex reconstruction near the interaction point [13].

The  $K_S \rightarrow \pi^0 \pi^0 \pi^0$  search at KLOE-2 will benefit from new low-theta calorimeters insertion, improving acceptance coverage and reducing backgrounds, and with the increased statistics the first observation of this decay at KLOE-2 seems feasible.

The sensitivity of *CPT* and Lorentz Invariance tests at KLOE-2 will improve both with the increased statistics and the IT insertion on the new interaction region (IR). The IT will improve the resolution on the vertex position and the new IR with a larger beam crossing angle (from 25 to 60 mrad) will enhance the effect of asymmetry between the two kaons and therefore the difference between  $\delta_K(\vec{P}_1)$  and  $\delta_K(\vec{P}_2)$ . The expected sensitivity should increase up to  $10^{-19}$  GeV for all the  $\Delta a_\mu$  parameters.

# 5. Conclusions

The Kaon sector proves to be still a powerful tool to explore fundamental symmetries. The expected sensitivity of  $10^{-18}$  GeV on Standard Model Extension parameters  $\Delta a_{\mu}$  from Kaon sector has been reached exploring kaon interferometry. The new best upper limit on BR( $K_S \rightarrow \pi^0 \pi^0 \pi^0$ ) and  $|\eta_{000}|$  has been set.

KLOE-2 physics run is in preparation. New detectors have been integrated on DAΦNE beam-pipe. Both KLOE-2 and DAΦNE commissioning have then started.

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