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Monte-Carlo study of the phase transition in the AA-stacked bilayer graphene

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Tight-binding model of the AA-stacked bilayer graphene with screened electron-electron interactions has been studied using the Hybrid Monte Carlo simulations on the original double-layer hexagonal lattice. Instantaneous screened Coulomb potential is taken into account using Hubbard-Stratonovich transformation. G-type antiferromagnetic ordering has been studied and the phase transition with spontaneous generation of the mass gap has been observed. Dependence of the antiferromagnetic condensate on the on-site electron-electron interaction is examined.

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1. Introduction

Graphene is a two-dimensional material, which consist of carbon atoms forming a hexagonal lattice. This material has many unusual electronic and transport properties [1][2], which makes it prominent for possible applications in electronics. From the other side graphene may be considered as a desktop laboratory, where one can study nontrivial QFT effects, e.g., atomic collapse, Aharonov-Bohm effect, quantum Hall effect [3]. Graphene also plays a role of basic element for a broad class of derived materials: bilayer graphene, multilayer graphene, graphane, etc. Different types of bilayer graphene are being studied extensively nowadays, because this material is a good candidate for the basis of electronic devices with a tunable energy gap.

The properties of multilayer graphene depend heavily on the way of layer stacking. AA-stacked bilayer graphene (AA-BLG) consists of the two graphene layers, stacked in such a way, that each atom of the first layer is located exactly under the corresponding atom of the same sublattice of the second layer (cf. fig. 1). In recent years AA-BLG have received very limited attention [4-7], probably, because nowadays it is difficult to fabricate high-quality samples of the AA-BLG [8][4]. Energy spectrum of the AA-BLG is linear at low energies, consists of four bands, one electron band and one hole band cross the Fermi energy, and the Fermi surfaces of these two bands coincide [5]. Degeneration of the energy spectrum mentioned above makes the system unstable with respect to the formation of interaction-induced energy gap. In particular, on-site Coulomb interaction may lead to the generation of the energy gap accompanied by the formation of G-type AFM ordering (each spin is antiparallel to all of its nearest neighbours) [6].

It is possible to generalize the lattice formalism, developed for the monolayer graphene in [9] and [10], to the case of the AA-BLG. This model allows us to take into account not only on-site electron-electron interaction, but also long-range Coulomb interaction, which may be responsible for some nontrivial physical effects.

2. Lattice model

Model Hamiltonian of the AA-BLG has the following form:

$$\hat{H} = \hat{H}_{tb} + \hat{H}_{stag.} + \hat{H}_{int.},$$
 (2.1)

where \hat{H}_{tb} defines the tight-binding part, $\hat{H}_{stag.}$ — "staggered" part and $\hat{H}_{int.}$ — interaction Hamiltonian. Tight-binding Hamiltonian looks like:

$$\hat{H}_{tb} = -t \sum_{i=1}^{2} \sum_{\langle X_i, Y_i \rangle} (\hat{a}_{X_i}^+ \hat{a}_{Y_i} + \hat{b}_{X_i}^+ \hat{b}_{Y_i}) - t_0 \sum_{X} (\hat{a}_{X_1}^+ \hat{a}_{X_2} + \hat{b}_{X_1}^+ \hat{b}_{X_2}) + h.c.,$$
(2.2)

where *i* is the layer index, *X* and *Y* are site indices, the sum $\sum_{\langle X_i, Y_i \rangle}$ is performed over all nearestneighbour sites in the *i*th layer, $\hat{a}_{X_i}^+$, \hat{a}_{X_i} and $\hat{b}_{X_i}^+$, \hat{b}_{X_i} are the creation/annihilation operators for electrons and holes respectively. These operators are connected with standard creation and annihilation operators for the electron with spin up/spin down in the following way:

$$\hat{a}_{X,i}^+ = \hat{a}_{X,i\uparrow}^+,$$
 (2.3)

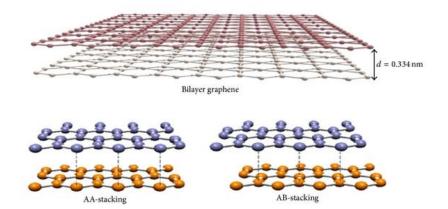


Figure 1: Two of the many possible ways of stacking in bilayer graphene.

$$\hat{b}_{X,i}^+ = \pm \hat{a}_{X,i\downarrow},\tag{2.4}$$

where the sign in (2.4) depends on the layer and sublattice: plus for the case of layer 1, sublattice A and layer 2, sublattice B, minus otherwise. t and t_0 in (2.2) represent hopping energies of electrons to the nearest-neighbour within the one layer and to the nearest-neighbour in another layer respectively. Their values (t = 2.57 eV, $t_0 = 0.36$ eV) were taken from the paper [11].

Staggered potential is defined as follows:

$$\hat{H}_{stag.} = m \sum_{i=1}^{2} \sum_{X,Y} \left[(-1)^{i+1} \delta_{X_A Y_A} + (-1)^i \delta_{X_B Y_B} \right] (\hat{a}_{X_i}^+ \hat{a}_{Y_i} + \hat{b}_{X_i}^+ \hat{b}_{Y_i}),$$
(2.5)

where *m* represents bare mass of fermions and $\delta_{X_AY_A}$ ($\delta_{X_BY_B}$) means, that the sites *X* and *Y* are equal and both belong to sublattice *A* (*B*). We need this "staggered" potential for two reasons: firstly, it serves as a primer for the ground state of G-type AFM ordering, for which the formation of energy gap was predicted, and secondly, it regularizes zero modes of the Dirac operator. In the absence of interaction AA-BLG has no energy gap [5], thus the lattice calculations have been performed for a few values of *m* and than observables were extrapolated to the point m = 0.

Finally, Coulomb part of the Hamiltionian (2.1) has the form:

$$\hat{H}_{int.} = \frac{1}{2} \sum_{i,j=1}^{2} \sum_{X,Y} \hat{q}_{X_i} V_{XY}^{ij} \hat{q}_{Y_j}, \qquad (2.6)$$

where $\hat{q}_{X_i} = \hat{a}_{X_i}^+ \hat{a}_{X_i} - \hat{b}_{X_i}^+ \hat{b}_{X_i}$ is the charge operator for the site X on the layer *i* and V_{XY}^{ij} represents interaction potential between the sites X_i and Y_j (because the Fermi velocity is approximately c/315 in AA-BLG, electron-electron interaction may be considered as instantaneous). The introduced potential V_{XY}^{ij} takes into account the screening by electrons at σ -orbitals at small distances, it is piecewise-defined: within one layer the values for the on-site interaction potential (V_{xx}) , the potentials between nearest neighbours (V_{01}) , next-to-nearest neighbours (V_{02}) and next-to-next-to-nearest-neighbours (V_{03}) are fixed and taken from [12] (table I, 3d column), while the potential

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at distances r > 2a is considered as Coulomb, starting from V_{03} . Potential for the sites, located at different layers, is introduced as usual Coulomb potential. Taking into account the screening effect in lattice model of monolayer graphene and AA-BLG can be crucial point for reproduction of real physics in simulations, because effects of electron-electron interaction are very sensitive to the modification of the short-range potentials [10].

Hereafter we will use the standard formalism of statistical QFT [13][14]. The partition function for the system is defined as follows:

$$Z = Tr\left(e^{-\beta\hat{H}}\right),\tag{2.7}$$

where $\beta = 1/T$ is the inverse temperature of electron gas. To calculate the partition function we have to perform Suzuki-Trotter decomposition:

$$Tr\left(e^{-\beta\hat{H}}\right) = Tr\left(e^{-\Delta\tau(\hat{H}_{tb}+\hat{H}_{stag.}+\hat{H}_{int.})}\right)^{N_t} =$$
$$= Tr\left(e^{-\Delta\tau(\hat{H}_{tb}+\hat{H}_{stag.})}e^{-\Delta\tau\hat{H}_{int.}}e^{-\Delta\tau(\hat{H}_{tb}+\hat{H}_{stag.})}e^{-\Delta\tau\hat{H}_{int.}}\dots\right) + O(\Delta\tau^2),$$
(2.8)

where $\Delta \tau = \beta / N_{\tau}$, and insert Grassmannian coherent states between the exponentials [13]. The point here is that $\hat{H}_{int.}$ is not the quadratic form of \hat{a} and \hat{b} , so it is convenient to decompose $e^{-\Delta \tau \hat{H}_{int.}}$ using the Hubbard-Stratonovich transformation [15]:

$$e^{-\frac{\Delta\tau}{2}\sum_{X,Y}\hat{q}_X V_{XY}^{ij}\hat{q}_Y} = \int \mathscr{D}\varphi e^{-\frac{1}{2\Delta\tau}\sum_{X,Y}\varphi_X V_{XY}^{-1}\varphi_Y - i\sum_X\varphi_X\hat{q}_X},$$
(2.9)

where φ_X defines real-valued scalar field (Hubbard field) at the site X (layer indices on the r.h.s. of (2.9) were omitted for notation compactness). After some algebra, we arrive at the following expression for the partition function:

$$Z = \int \mathscr{D}\varphi \det(M^+M) e^{-S[\varphi]}, \qquad (2.10)$$

where *M* is the fermionic operator for electrons,

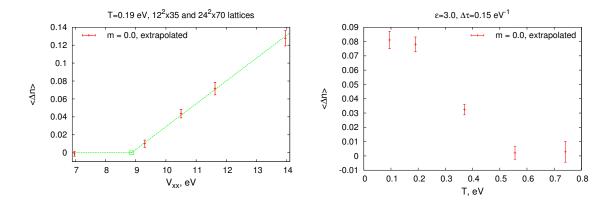
$$S[\varphi] = \frac{1}{2\Delta\tau} \sum_{\tau} \sum_{i,j=1}^{2} \sum_{X,Y} \varphi_{Xi}^{\tau} \left(\hat{V}^{-1} \right)_{XY}^{ij} \varphi_{Yj}^{\tau}$$
(2.11)

represents Hubbard action, and Hubbard fields in this sum are located only at odd time layers. It is important to note, that due to the decomposition (2.8) the space-time lattice has $2N_t$ time layers, p.b.c. in time direction are introduced for Hubbard fields. Dirac operator *M* has the following form ($t = 0, ..., N_t - 1$, a.p.b.c. in time direction are considered for fermion fields):

$$M_{XiYj}^{\tau'\tau} = \delta^{\tau',\tau} \delta_{ij} \delta_{XY} - \delta^{\tau',2t} (\delta_{ij} \delta_{XY} + \Delta \tau A_{XiYj}) \delta^{\tau,2t+1} - \delta^{\tau',2t+1} \delta_{ij} \delta_{XY} e^{i\varphi_{Xi}^{2t+2}} \delta^{\tau,2t+2}$$
(2.12)

where A^{XiYj} is a real matrix and is defined as follows:

$$A_{XiYj} = t \,\delta_{ij} \left(\delta_{X \in A} \sum_{b=0}^{2} \delta_{Y,X+\rho_{b}} + \delta_{Y \in B} \sum_{b=0}^{2} \delta_{X,Y-\rho_{b}} \right) + t_{0} \delta_{XY} \left(\delta_{i1} \delta_{j2} + \delta_{i2} \delta_{j1} \right) - \qquad (2.13)$$
$$-m \delta_{ij} \left((-1)^{i+1} \delta_{X_{A}Y_{A}} + (-1)^{i} \delta_{X_{B}Y_{B}} \right).$$



on the on-site interaction potential V_{xx} at fixed tem- on the temperature. All potentials, except V_{xx} , were perature. AFM condensate vanishes at the value rescaled by the factor of $\varepsilon = 3.0$: $V_{XY}^{ij} \rightarrow V_{XY}^{ij}/3.0$. $V_{xx}^c = (8.89 \pm 0.33) \text{ eV.}$

Figure 2: The dependence of the AFM condensate Figure 3: The dependence of the AFM condensate

 ρ_b in (2.13) denote a set of three vectors, which point from the site of the sublattice A within hexagonal lattice to its nearest neighbours belonging to sublattice B.

The formation of the energy gap in the AA-BLG energy spectrum may be examined by the calculation of the AFM condensate:

$$\Delta n = n_{1A\uparrow} - n_{2A\uparrow} = n_{1B\downarrow} - n_{2B\downarrow}, \qquad (2.14)$$

where $n_{i\alpha\uparrow(\downarrow)}$ denotes the number of electrons with spin up (spin down) on the layer i = 1, 2 and sublattice $\alpha = A, B$. Only simultaneous breaking of interlayer and sublattice symmetries may lead to the gap opening [6]. Moreover, the energy gap may be evaluated within the mean field approximation as $\Delta = V_{xx} \Delta n/2$ at small enough Δn . Thermodynamic expectation variable for (2.14) has the following form:

$$\left\langle \Delta n \right\rangle = \frac{1}{N_{\tau}N} \sum_{\tau} \left\langle \sum_{X \in A} \left(\hat{M}_{X2X2}^{-1} - \hat{M}_{X1X1}^{-1} \right) \right\rangle = \frac{1}{N_{\tau}N} \sum_{\tau} \left\langle \sum_{X \in B} \left(\hat{M}_{X1X1}^{-1} - \hat{M}_{X2X2}^{-1} \right) \right\rangle, \quad (2.15)$$

where N represents the number of spatial lattice sites in one sublattice.

3. Numerical results and discussion

Simulations were performed on the lattices with spatial size from 12×12 to 24×24 and temporal sizes from $N_{\tau} = 9$ to $N_{\tau} = 70$, $\Delta \tau = 0.15 \text{ eV}^{-1}$ (the temperature of electron gas is defined as $T = 1/(N_\tau \Delta \tau)$, which follows from (2.8)). The following bare fermion masses were examined for each lattice: m = 0.1 eV, 0.15 eV, 0.2 eV, 0.25 eV, 0.3 eV; than the quadratic extrapolation to m = 0.0 was performed for the calculation of the AFM condensate. We generated 150 — 300 statistically independent configurations for every value of mass, Φ -algorithm was used in simulations.

The first result is presented at Fig. 2 and shows the dependence of AFM condensate (2.15)on the on-site interaction potential V_{xx} (other potentials are fixed) at particular temperature. This dependence is almost linear and linear extrapolation yields to the critical value $V_{xx}^c = (8.89 \pm 0.33)$ eV, where $\langle \Delta n \rangle$ vanishes. On the contrary, mean-field approximation with only on-site Coulomb interaction taken into account predicts nonvanishing exponential dependence for the energy gap [6]. From this one can conclude, that long-range electron-electron interactions work against the formation of the energy gap and produce a noticeable impact on the collective phenomena in the AA-BLG.

The second result is shown at Fig. 3 and represents the dependence of $\langle \Delta n \rangle$ on temperature for the fixed values of potentials. In these measurements all potentials, except V_{xx} , were rescaled by the factor of ε : $V_{XY}^{ij} \rightarrow V_{XY}^{ij}/\varepsilon$ (inscription " $\varepsilon = 3.0$ " in the caption over the graph). Thus ε may be considered as a dielectric permittivity of the substrate, on which AA-BLG sample is located. The plateua for $\langle \Delta n \rangle$ at small temperatures and the transition at $T \approx 0.37$ eV can be clearly seen at the Fig. 3, and $\langle \Delta n \rangle \approx 0$, what means, that AFM ordering is destroyed by thermal fluctuations.

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