

Improved gradient flow for step scaling function and scale setting

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The gradient flow renormalized coupling offers a simple and relatively inexpensive way to calculate the step scaling function and the lattice scale, but both applications can be hindered by large lattice artifacts. Recently we introduced an empirical non-perturbative improvement that can reduce, even remove $\mathcal{O}(a^2)$ lattice artifacts. The method is easy to implement and can be applied to any lattice gauge theory of interest both in step scaling studies and for scale setting. In this talk I will briefly review this improvement method and discuss its application for determining the discrete β function of the 8 and 12 flavor SU(3) systems and for improved scale setting in 2+1+1 flavor QCD.

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1. Introduction

The gradient flow renormalized coupling is a useful observable both in scale setting and in step scaling function studies [1, 2]. It is easy to determine with high accuracy, however it can suffer from large discretization effects [3–5]. While it is possible to systematically remove the lattice artifacts by improving the lattice action, lattice operator, and the gradient flow force at the same time, such improvement is not always practical [6]. Tree level perturbative improvement developed in ref. [7] is easy to implement and appears to be effective at weak couplings. Recently we introduced the "t-shift" improvement, an empirical approach to reduce, even remove the $\mathcal{O}(a^2)$ corrections of the step scaling function. "t-shift" improvement is a non-perturbative method that can be used and appears to be effective even at strong gauge couplings. In this talk I review this method and summarize results obtained for the discrete β function in the $N_f = 12$ and 8 flavor SU(3) systems [8, 9]. I also suggest a generalization of the "t-shift" improvement that can be used in scale setting and illustrate it using Symanzik flow data on 2+1+1 flavor HISQ configurations [10, 11].

In section 2 I give a brief description of the t-shift improved gradient flow coupling, following closely Refs. [8, 9]. Section 3 illustrates the t-shift optimization of the t_0 gradient flow scale, followed by the step scaling function study of the $N_f = 12$ and 8 flavor systems in sections 4 and 5.

2. The t-shifted gradient flow running coupling

The gradient flow renormalized coupling g_{GF}^2 at energy $\mu = \sqrt{8t}$ is defined as

$$g_{\rm GF}^2(\mu = 1/\sqrt{8t}) = \frac{1}{\mathcal{N}} \left\langle t^2 E(t) \right\rangle, \tag{2.1}$$

where t is the flow time, E denotes the energy density, and the normalization constant \mathcal{N} is chosen such that $g_{GF}^2(\mu)$ agrees with the continuum $\overline{\text{MS}}$ coupling at tree level. The t-shift improved gradient flow coupling introduced in ref. [8] replaces $g_{GF}^2(\mu; a)$ with the t-shifted coupling

$$\tilde{g}_{\rm GF}^2(\mu;a) = \frac{1}{\mathcal{N}} \left\langle t^2 E(t+\tau_0 a^2) \right\rangle, \qquad (2.2)$$

where $\tau_0 \ll t/a^2$ is a small shift in the flow time. In the continuum limit $\tau_0 a^2 \to 0$ and $\tilde{g}_{GF}^2(\mu) = g_{GF}^2(\mu)$. At finite lattice spacing $\tilde{g}_{GF}^2(\mu)$ differs from $g_{GF}^2(\mu)$ by an $\mathcal{O}(\tau_0 a^2)$ term. Since $g_{GF}^2(\mu)$ itself has $\mathcal{O}(a^2)$ cut-off corrections, an appropriate choice of τ_0 can remove those, making the t-shifted coupling $\mathcal{O}(a^2)$ improved

$$\widetilde{g}_{\text{opt}}^{2}(\mu;a) = g_{\text{GF}}^{2}(\mu;a=0) + \mathscr{O}(a^{4}[\log a]^{n},a^{4}).$$
(2.3)

The t-shift improvement contains only a single parameter, τ_0 . To achieve full $\mathcal{O}(a^2)$ improvement this τ_0 must depend on other parameters, like $\tilde{g}_{GF}^2(\mu)$ and the bare gauge coupling. Fortunately in practice it is sufficient to choose τ_0 to be a constant or only weakly $\tilde{g}_{GF}^2(\mu)$ dependent to remove most $\mathcal{O}(a^2)$ lattice artifacts. The t-shift does not have to be fully optimized, even if τ_0 does not remove all $\mathcal{O}(a^2)$ corrections, the t-shifted coupling has the correct continuum limit.

The t-shift improved t_{α} lattice scale can be defined as $t_{\alpha}^2 \langle E(t_{\alpha} + \tau_0) \rangle = \alpha$. The traditional t_0 scale correspond to $\alpha = 0.3$ but any other (reasonable) value can be used. Requiring that the



Figure 1: Left panel: The gradient flow running coupling $g_{GF}^2(\mu)$ without t-shift improvement ($\tau_0 = 0$) of the $m_s/m_l = 27$ (m3) data set as the function of t/t_0 . Errors are smaller than the width of the lines. The dashed lines signal where $\sqrt{8t}/a < 2$ and lattice artifacts could be large. Right panel: Same as the left panel but with near-optimal $\tau_0 = -0.18$ t-shift improvement.

relative scales t_{α}/t_0 are independent of the lattice spacing, i.e. identical on different configuration ensembles, defines the optimal τ_0 value as will be illustrated in section 3.

In step scaling function studies the optimal τ_0 removes the $\mathcal{O}(a^2)$ terms of the discrete β function

$$\beta_{\text{lat}}(g_c^2; s; a) = \frac{\tilde{g}_c^2(L; a) - \tilde{g}_c^2(sL; a)}{\log(s^2)}.$$
(2.4)

The subscript c in g_c^2 relates the energy scale and the lattice size, $\sqrt{8t} = 1/\mu = cL$ [12], its value is usually c = 0.2 - 0.4.

Since the gradient flow is evaluated through numerical integration, the replacement $g_{GF}^2 \rightarrow \tilde{g}_{GF}^2$ can be done by a simple shift of *t* without incurring any additional computational cost both in scale setting and step scaling studies.

3. The t-shift improved lattice scales

I illustrate the t-shift improved scale setting with 2+1+1 flavor HISQ ensembles [13, 14] using Symanzik flow data generated for ref. [10]. I consider the ensembles with physical strange mass and three different light to strange quark mass ratios: $m_s/m_l = 5$ (m1), 10 (m2) and 27 (m3). The ensembles, according to their lattice spacings, fall into four groups, $a \approx 0.15$ fm, 0.12 fm, 0.09 fm and 0.06 fm (ensembles A, B, C and D). Further details can be found in ref. [10].

The lattice artifacts of t_0/a^2 for the $m_s/m_l = 27$ data set are illustrated in the left panel of figure 1 where I compare the gradient flow running coupling $g_{GF}^2(\mu; a)$ as the function of the flow time *t* normalized by t_0 for the four different lattice spacings ensembles. Since the different curves are rescaled with their corresponding t_0/a^2 lattice scale, they are forced to cross at $t/t_0 = 1$.

The condition $t^2 \langle E \rangle = 0.3$ to set the scale is arbitrary, any (reasonable) value of α in the relation $t^2_{\alpha} \langle E(t_{\alpha} + \tau_0) \rangle = \alpha$ should predict consistent lattice scales. If the gradient flow scale had no lattice spacing corrections, the curves corresponding to different ensembles in the left panel of figure 1 would overlap in a wide range, limited only by finite volume effects at large *t* and by gradient flow integration effects at small *t*. This is obviously not the case.



Figure 2: $\sqrt{t_0/t_1}$ as the function of a^2/t_0 . The different colors/symbols correspond to different m_l/m_s ratios. Left: $\tau_0 = 0$, no t-shift improvement. The dashed lines are quadratic extrapolations. Right: $\tau_0 = -0.18$ improved gradient flow. The dashed lines are linear extrapolations excluding the coarsest (A) configuration set.

To quantify the lattice artifacts I compare two different scales, t_0 at $\alpha = 0.3$ and t_1 at $\alpha = 0.35$. The left panel of figure 2 shows the ratio $\sqrt{t_0/t_1}$ as the function of the lattice spacing a^2 for all three m_s/m_l data sets. This ratio would be constant if there were no lattice artifacts, but as the figure shows $\sqrt{t_0/t_1}$ is not only not constant, it does not even show a linear dependence on a^2 .

Introducing a small τ_0 shift changes the predicted scales slightly and improves the scaling considerably. The right panel of figure 2 shows the ratio $\sqrt{t_0/t_1}$ with $\tau_0 = -0.18$. This value was obtained by trial-end-error without attempting to identify a precise optimal value: any τ_0 is correct in the sense that it should predict the same continuum limit.

Since I use the same τ_0 value at every bare gauge coupling, I do not expect full $\mathcal{O}(a^2)$ improvement. Nevertheless the three finest data sets predict a nearly constant value for $\sqrt{t_0/t_1}$ suggesting only minimal lattice artifacts. At the same time the coarsest "A" data set at $a \approx 0.15$ fm shows significantly cut-off corrections and cannot be included in any reasonable continuum limit extrapolation. It appears that the coarse $a \approx 0.15$ fm configurations are not in the $\mathcal{O}(a^2)$ scaling region of the quantity t_0 . Without t-shift improvement the rather large lattice artifacts mask this problem.

The right panel of figure 1 is the analogue of the left panel showing the gradient flow running coupling now with near-optimal $\tau_0 = -0.18$ t-shift for the m3 ensembles. $\tilde{g}_{GF}^2(\mu; a)$ shows little variation with the lattice spacing after the initial gradient flow integration artifacts die out, a significant improvement over the $\tau_0 = 0$ case in the left panel.

It is worth considering other lattice scales to check lattice artifacts with and without the t-shift improvement. As an example in figure 3 I show $\sqrt{t_0}/w_0$ with $\tau_0 = 0.0$ and -0.18. Lattice corrections are significant without t-shift, while the t-shifted ratio is almost constant and can be extrapolated linearly if only the three finer data sets are considered. As before, he coarsest "A" configuration sets do not appear to be in the $\mathcal{O}(a^2)$ scaling regime. Comparing t_0 to the more conventional r_1 Sommer scale leads to very similar conclusions.

In a recent study of 4 light and 8 heavy flavors we used the gradient flow coupling in a similar way. We found that a small t-shift removed most observable lattice artifacts in the infrared, showing the emergence of walking behavior as the mass of the 8 heavy flavors decreased [15]



Figure 3: Same as figure 2 but for the ratio $\sqrt{t_0}/w_0$.



Figure 4: Left panel: The $N_f = 12$ running coupling $g_c^2(L)$ versus the bare coupling β_F on several volumes, for c = 0.2. Right panel: Continuum extrapolations of the 12-flavor finite volume IRFP $g_{\star}^2(L)$, with several different *t*-shift coefficients τ_0 for fixed scale change s = 2.

4. $N_f = 12$ flavors

The12-flavor 3-color system has been investigated by several groups with somewhat controversial conclusions. While recent finite size scaling analysis suggests that the infrared behavior of this system is consistent with conformality and mass anomalous dimension $\gamma_m = 0.235(5)$ [16, 17], a step scaling function study remains the most reliable method to identify its infrared fixed point.

In ref. [8] we used nHYP smeared staggered fermions and considered six different volumes up to 36^4 . We performed the simulations in the m = 0 chiral limit and the range of volumes allowed us to carry out step scaling analyses with scale changes s = 4/3, 3/2 and 2. We chose the gradient flow coupling g_c^2 with c = 0.2 to minimize the statistical errors, though we verified that other c values (0.25 - 0.35) gave consistent results.

The left panel of figure 4 shows the running coupling $g_c^2(L)$ as the function of the bare gauge coupling β_F for different volumes. The interpolating curves are polynomial fits that cross in the range $6.0 \le \beta_F \le 6.5$. The crossing from lattices with linear size *L* and *sL* defines the finitevolume IRFP coupling $g_{\star}^2(L;s)$. If the IRFP exists in the continuum limit then the extrapolation $\lim_{(a/L)^2 \to 0} g_{\star}^2(L;s) \equiv g_{\star}^2$ has to be finite and independent of the scale change *s*. The right panel of



Figure 5: Continuum-extrapolated discrete β function for scale change s = 3/2 with c = 0.25 (left) and 0.3 (right). In each plot we include once- and twice-smeared results using the optimal $\tau_{opt} = 0.07$ and 0.18, respectively, as well as two-loop perturbation theory (solid line) and the four-loop perturbative prediction in the \overline{MS} scheme (dotted line). The darker error bands indicate our statistical uncertainties, while the lighter error bands show the total uncertainties, with statistical and systematic errors added in quadrature.

figure 4 illustrates the continuum extrapolation of $g_{\star}^2(L)$ with scale change s = 2 for various choices of the *t*-shift parameter τ_0 . Most lattice cut-off effects are removed with *t*-shift, $\tau_{opt} \approx 0.04$.

The near-optimal $\tau_{opt} \approx 0.04$ turns out to be also near-optimal for scale changes s = 3/2 and 4/3, making the extrapolation to the continuum very stable, predicting that the IR fixed point is at renormalized coupling $g_{\star}^2 = 6.18(20)$ in the c = 0.2 scheme.

5. $N_f = 8$ fundamental flavors

The $N_f = 8$ flavor SU(3) system has received significant attention as a chirally broken system near the conformal window and candidate model for walking behavior. 2-loop perturbation theory predicts that $N_f = 8$ is below the conformal window though at 3- and 4-loop the $\overline{\text{MS}} \beta$ function develops an infrared fixed point at strong coupling. In ref. [9] we studied the step scaling function of this model using two different lattice actions, one with once the other with twice nHYP smeared staggered fermions. The continuum extrapolated step scaling function must be independent of the lattice action so comparing different actions at identical renormalized couplings can give insight of systematical errors.

Our numerical simulations were carried out in the m = 0 chiral limit. We did not observe spontaneous chiral symmetry breaking even though we probed fairly large renormalized couplings, reaching $\tilde{g}_c^2(L) = 18.0(1)$ on our largest 30⁴ volume with two nHYP smearing steps.

Figure 5 summarizes the results for the continuum-extrapolated discrete β function both with c = 0.25 and 0.3. The once- and twice-smeared results are consistent and predict a β function that is significantly smaller than the perturbative universal 2-loop value. Our results are even below the (non-universal) 4-loop $\overline{\text{MS}}$ prediction, though the non-perturbative results increase monotonically in the range accessible in our simulations.

It would be very interesting to repeat this calculation with Wilson or domain wall fermions. Those actions might be able to probe stronger couplings and reach the expected chirally broken regime. Testing universality between different fermion formulations would be important as well.

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6. Conclusion

In this paper I described several applications of the "t-shift" improved gradient flow coupling. I illustrated this approach for scale setting on 2+1+1 flavor HISQ configurations and showed that after optimization the ratios $\sqrt{t_0}/w_0$ or $\sqrt{t_0}/r_1$ show negligible dependence on the lattice spacing.

The t-shift improved coupling reduces $\mathcal{O}(a^2)$ lattice artifacts of the discrete β function, improving the accuracy of continuum extrapolations in step scaling studies. Our results in the $N_f = 12$ SU(3) system predict an infrared conformal fixed point. With 8 flavors we could follow the discrete β function up to $\tilde{g}_c^2(L) \approx 18$. We found that the discrete β function, while significantly smaller than the 2-loop prediction, increases monotonically up to this gauge coupling.

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