

## Loop mixing of the opposite parity fermion fields and its manifestation in $\pi N$ scattering

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We develop a variant of  $K$ -matrix, which includes the effect of opposite parity fermions (OPF) mixing, and apply it for description of  $\pi N$  partial waves  $S_{11}$  and  $P_{11}$ . OPF-mixing leads to appearance of negative energy poles in  $K$ -matrix and restoration of MacDowell symmetry, relating two partial waves. Joint analysis of PWA results for  $S_{11}$  and  $P_{11}$  confirms significance of this effect.

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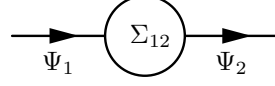
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## 1. Introduction

For fermions there exists a non-standard mixing, when fermion fields with opposite parities are mixing at loop level while parity is conserved in vertex (shortly OPF-mixing):



It is possible because fermion and antifermion have different parities. This effect was investigated in detail in Ref. [1] and was applied to  $\pi N$  scattering, where it leads to relation between two partial waves. In Ref. [1], the simplest physical example of manifestation of this effect was found: the partial waves  $P_{13}$  and  $D_{13}$ , where baryons  $J = 3/2^\pm$  are produced. The OPF-mixing effect is identified in the partial wave  $P_{13}$  as rather specific interference of resonance with background generated by resonance state in  $D_{13}$  wave. The above-mentioned relation between partial waves mainly influences on a wave with lower orbital momentum and it is used as additional source of information about structure of wave with higher  $l$ .

Another physical example, where OPF-mixing may be essential, is related to the partial waves  $S_{11}$  and  $P_{11}$ , where resonances  $J^P = 1/2^\pm, I = 1/2$  are produced. Most interesting object here is the Roper resonance  $N(1440)$ , which has some unusual properties and problems with quark-models identification, see, e.g. Refs. [2, 3, 4, 5, 6, 7, 8, 9, 10]. However, in presence of several resonance states the approach of Ref. [1], that uses a matrix propagator, becomes too cumbersome. Alternatively, for description of OPF-mixing, one can use the  $K$ -matrix approach, which works for any number of states and channels.

Here we present the  $K$ -matrix approach for  $\pi N$  partial amplitudes with accounting of the OPF-mixing effect and apply it for description of  $S_{11}$  and  $P_{11}$  partial waves. Most serious changing as compared with its standard form is the appearance of negative energy poles in  $K$ -matrix. If, besides, we use QFT to calculate tree amplitudes (i.e.  $K$ -matrix), starting from effective Lagrangians, we obtain the partial amplitudes  $\pi N \rightarrow \pi N$  satisfying the MacDowell symmetry condition:

$$f_{l,+}(W) = -f_{l+1,-}(-W), \quad (1.1)$$

which was obtained in Ref. [11] from general analytic properties of amplitudes.

We use the obtained  $K$ -matrix to describe results of partial wave analysis for  $S_{11}$  and  $P_{11}$  amplitudes. The main purpose is to see the manifestation of OPF-mixing and it naturally leads to joint fitting of these two waves.

## 2. OPF-mixing and $K$ -matrix

We need to discuss the effect of OPF-mixing in amplitudes of  $\pi N$  scattering and its implementation in framework of  $K$ -matrix description. For a first step one may restrict oneself by a simplified case: two resonance states and two channels.

Effective Lagrangians  $\pi NN'$  without derivatives and conserving the parity:

$$\mathcal{L}_{\text{int}} = g_1 \bar{N}_1(x) N(x) \phi(x) + \text{h.c.}, \quad \text{for } J^P(N_1) = 1/2^-, \quad (2.1)$$

$$\mathcal{L}_{\text{int}} = ig_2 \bar{N}_2(x) \gamma^5 N(x) \phi(x) + \text{h.c.}, \quad \text{for } J^P(N_2) = 1/2^+. \quad (2.2)$$

Let us consider two baryon states of opposite parities with masses  $m_1$  ( $J^P = 1/2^-$ ),  $m_2$  ( $J^P = 1/2^+$ ) and two intermediate states  $\pi N$ ,  $\eta N$ . Using the effective Lagrangians we can calculate contributions of states  $N_1, N_2$  to partial waves at tree level:

$s$ -wave amplitudes:

$$\begin{aligned} f_{s,+}^{\text{tree}}(\pi N \rightarrow \pi N) &= -\frac{(E_N^{(\pi)} + m_N)}{8\pi W} \left( \frac{g_{1,\pi}^2}{W - m_1} + \frac{g_{2,\pi}^2}{W + m_2} \right), \\ f_{s,+}^{\text{tree}}(\pi N \rightarrow \eta N) &= -\frac{\sqrt{(E_N^{(\pi)} + m_N)(E_N^{(\eta)} + m_N)}}{8\pi W} \left( \frac{g_{1,\pi}g_{1,\eta}}{W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{W + m_2} \right), \\ f_{s,+}^{\text{tree}}(\eta N \rightarrow \eta N) &= -\frac{(E_N^{(\eta)} + m_N)}{8\pi W} \left( \frac{g_{1,\eta}^2}{W - m_1} + \frac{g_{2,\eta}^2}{W + m_2} \right) \end{aligned} \quad (2.3)$$

and  $p$ -wave amplitudes:

$$\begin{aligned} f_{p,-}^{\text{tree}}(\pi N \rightarrow \pi N) &= \frac{(E_N^{(\pi)} - m_N)}{8\pi W} \left( \frac{g_{1,\pi}^2}{-W - m_1} + \frac{g_{2,\pi}^2}{-W + m_2} \right), \\ f_{p,-}^{\text{tree}}(\pi N \rightarrow \eta N) &= \frac{\sqrt{(E_N^{(\pi)} - m_N)(E_N^{(\eta)} - m_N)}}{8\pi W} \left( \frac{g_{1,\pi}g_{1,\eta}}{-W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{-W + m_2} \right), \\ f_{p,-}^{\text{tree}}(\eta N \rightarrow \eta N) &= \frac{(E_N^{(\eta)} - m_N)}{8\pi W} \left( \frac{g_{1,\eta}^2}{-W - m_1} + \frac{g_{2,\eta}^2}{-W + m_2} \right). \end{aligned} \quad (2.4)$$

Here  $W = \sqrt{s}$  is the total CMS energy and  $E_N^{(\pi)}$  ( $E_N^{(\eta)}$ ) is nucleon CMS energy of system  $\pi N$  ( $\eta N$ )

$$E_N^{(\pi)} = \frac{W^2 + m_N^2 - m_\pi^2}{2W}. \quad (2.5)$$

Short notations for coupling constants, e.g.  $g_{1,\pi} = g_{N_1\pi N}$ .

The tree amplitudes (2.3)–(2.4) contain poles with both positive and negative energy, originated from propagators of  $N_1$  and  $N_2$  fields of opposite parities. Accounting the loop transitions results in dressing of states and also in mixing of these two fields.

Note that  $W \rightarrow -W$  replacement gives

$$E_N^{(\pi)} + m_N \rightarrow -(E_N^{(\pi)} - m_N), \quad (2.6)$$

so tree amplitudes (2.3)–(2.4) exhibit the MacDowell symmetry property [11]

$$f_{p,-}(W) = -f_{s,+}(-W). \quad (2.7)$$

In  $K$ -matrix representation for partial amplitudes

$$f = K(1 - iPK)^{-1}, \quad (2.8)$$

diagonal matrix  $iP$ , constructed from CMS momenta, originates from imaginary part of a loop. Therefore,  $K$ -matrix here is simply a matrix of tree amplitudes that should be identified with amplitudes (2.3), (2.4).

As a result we come to representation of partial amplitudes for  $s$ - and  $p$ -waves

$$f_s(W) = K_s(W)(1 - iP K_s(W))^{-1}, \quad f_p(W) = K_p(W)(1 - iP K_p(W))^{-1}, \quad (2.9)$$

where the matrices  $K_s, K_p$  (i.e. tree amplitudes (2.3), (2.4)), may be written in factorized form

$$K_s = -\frac{1}{8\pi} \rho_s \hat{K}_s \rho_s, \quad K_p = \frac{1}{8\pi} \rho_p \hat{K}_p \rho_p. \quad (2.10)$$

Here  $\rho_s, \rho_p$  are

$$\rho_s(W) = \begin{pmatrix} \sqrt{\frac{E_N^{(\pi)} + m_N}{W}}, & 0 \\ 0, & \sqrt{\frac{E_N^{(\eta)} + m_N}{W}} \end{pmatrix}, \quad (2.11)$$

$$\rho_p(W) = \begin{pmatrix} \sqrt{\frac{E_N^{(\pi)} - m_N}{W}}, & 0 \\ 0, & \sqrt{\frac{E_N^{(\eta)} - m_N}{W}} \end{pmatrix}, \quad (2.12)$$

and matrix  $P$  consists of CMS momenta as analytic functions of  $W$ . In this case ‘‘primitive’’  $K$ -matrices contain poles with both positive and negative energies

$$\hat{K}_s(W) = \begin{pmatrix} \frac{g_{1,\pi}^2}{W - m_1} + \frac{g_{2,\pi}^2}{W + m_2}, & \frac{g_{1,\pi}g_{2,\eta}}{W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{W + m_2} \\ \frac{g_{1,\pi}g_{2,\eta}}{W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{W + m_2}, & \frac{g_{1,\eta}^2}{W - m_1} + \frac{g_{2,\eta}^2}{W + m_2} \end{pmatrix}, \quad (2.13)$$

$$\hat{K}_p(W) = \hat{K}_s(-W) = \begin{pmatrix} \frac{g_{1,\pi}^2}{-W - m_1} + \frac{g_{2,\pi}^2}{-W + m_2}, & \frac{g_{1,\pi}g_{2,\eta}}{-W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{-W + m_2} \\ \frac{g_{1,\pi}g_{2,\eta}}{-W - m_1} + \frac{g_{2,\pi}g_{2,\eta}}{-W + m_2}, & \frac{g_{1,\eta}^2}{-W - m_1} + \frac{g_{2,\eta}^2}{-W + m_2} \end{pmatrix}. \quad (2.14)$$

Recall that  $m_1$  is mass of  $J^P = 1/2^-$  state and  $m_2$  is mass of  $J^P = 1/2^+$  one. Generalization of this construction for the case of more channels and states is obvious.

Since CMS momenta have the property  $P(-W) = -P(W)$ , the MacDowell symmetry property (2.7) is extended from tree amplitudes to unitarized  $K$ -matrix ones (2.9).

From a common sense one can expect that negative energy pole should give a negligible effect in physical energy region. However, this is not the case if corresponding coupling constant is large  $|g_{2,\pi}| \gg |g_{1,\pi}|$ . One can compare decay width of  $s$ - and  $p$ -states

$$\Gamma(N_1 \rightarrow \pi N) = g_{N_1 \pi N}^2 \Phi_s, \quad \Gamma(N_2 \rightarrow \pi N) = g_{N_2 \pi N}^2 \Phi_p, \quad (2.15)$$

where  $\Phi_s, \Phi_p$  are corresponding phase volumes. For resonance states not far from threshold, with masses, e.g. 1.5–1.7 GeV, phase volumes differ greatly,  $\Phi_s \gg \Phi_p$ . If both resonances have typical hadronic width  $\Gamma \sim 100$  MeV, then coupling constants differ dramatically too,  $|g_{N_2 \pi N}| \gg |g_{N_1 \pi N}|$ .

Above we use the simplest effective Lagrangians (2.1)–(2.2) to derive tree amplitudes. However, it is well-known, that spontaneous breaking of chiral symmetry requires pion field to appear in Lagrangian only through derivatives

$$\mathcal{L}_{\text{int}} = f_2 \bar{N}_2(x) \gamma^5 \gamma^\mu N(x) \partial_\mu \phi(x) + \text{h.c.}, \quad J^P = 1/2^+, \quad f_2 = \frac{g_2}{m_2 + m_N}. \quad (2.16)$$

It is not difficult to understand how inclusion of derivative changes tree amplitudes and, hence  $K$ -matrix. Pole contribution  $\pi(k_1)N(p_1) \rightarrow N_2(p) \rightarrow \pi(k_2)N(p_2)$  in that case takes the form:

$$T = f_2^2 \bar{u}(p_2) \gamma^5 \hat{k}_2 \frac{1}{\hat{p} - M} \gamma^5 \hat{k}_1 u(p_1). \quad (2.17)$$

With the use of equations of motion, we see that inclusion of derivative at vertex leads to the following modification of resonance contribution

$$g_2^2 \frac{1}{\hat{p} - M} \rightarrow f_2^2 (\hat{p} + m_N) \frac{1}{\hat{p} - M} (\hat{p} + m_N). \quad (2.18)$$

Separation of the positive and negative energy poles is performed with the off-shell projector operators  $\Lambda^\pm = 1/2(1 \pm \hat{p}/W)$

$$f_2^2 (\hat{p} + m_N) \frac{1}{\hat{p} - m_N} (\hat{p} + m_N) = \Lambda^+ \frac{f_2^2 (W + m_N)^2}{W - M} + \Lambda^- \frac{f_2^2 (W - m_N)^2}{-W - M}, \quad (2.19)$$

where the first term gives contribution to  $p$ -wave and second one to  $s$ -wave. Modification of the pole contributions in “primitive”  $K$ -matrices (2.13)–(2.14) is evident

$$g_2^2 \rightarrow f_2^2 (W - m_N)^2, \quad \text{for } s\text{-wave}, \quad (2.20)$$

$$g_2^2 \rightarrow f_2^2 (W + m_N)^2, \quad \text{for } p\text{-wave}. \quad (2.21)$$

One can expect that the inclusion of derivatives most strongly affects on threshold properties of  $s$ -wave due to dumping factor  $(W - m_N)^2$ .

### 3. Partial amplitudes $P_{11}$ and $S_{11}$ of $\pi N$ scattering

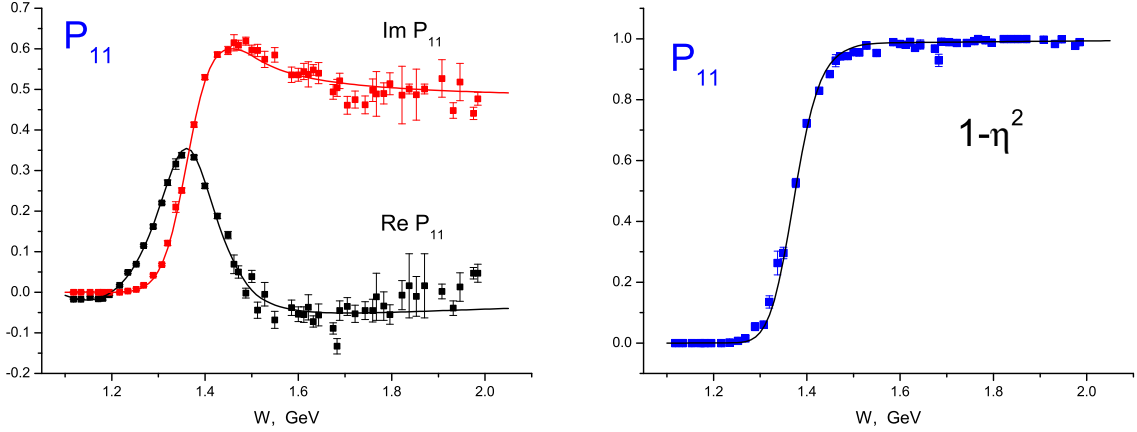
First of all, let us try to describe  $S_{11}$  and  $P_{11}$  waves separately.  $p$ -wave is described rather well by our formulas with derivative in vertex (2.20)–(2.21), see Fig. 1 where solid lines represent our amplitudes (2.9)–(2.14) in the presence of derivative in vertex (2.20)–(2.21). In this case the  $s$ -wave states are missing in amplitudes, the  $p$ -wave  $K$ -matrix has two positive energy poles.

Quality of description is defined by:

$$\chi^2/\text{DOF} = 273/95. \quad (3.1)$$

The use of vertices without derivative leads to impairment of quality of description:  $\chi^2 > 350$ , again we need two poles with close masses.

Both variants give a negative background contribution to  $S_{11}$  wave, comparable in magnitude with other contributions, as it seen on Fig. 2. This figure shows background contribution to  $s$ -wave generated by  $p$ -wave states, i.e. in this case  $K$ -matrix for  $s$ -wave (2.13) has only negative



**Figure 1:** The results of fitting of  $P_{11}$ -wave of  $\pi N$  scattering. Dots show results of PWA [12], solid lines represent our amplitudes in the presence of derivative in vertex.  $K$ -matrix has only  $p$ -wave states. On the right side:  $p$ -wave inelasticity [12], the curve corresponds to lines on the left side. Partial wave normalization corresponds to Ref. [12]:  $\text{Im}f = |f|^2 + (1 - \eta^2)/4$ .

energy poles. Variant without derivative in vertex gives a larger background contribution, rapidly changing near thresholds. It seems that description of  $P_{11}$  partial wave without derivative in vertices contradicts to data on  $S_{11}$ . On Fig. 2 some typical curves are shown, there exist different variants with sharp behavior near thresholds. The presence of derivative in a vertex suppresses the threshold region in background contribution due to factor  $(W - m_N)^2$ , but in resonance region this is rather large contribution, see Fig. 2.

Attempt to describe  $S_{11}$  without background has no success: it doesn't allow to reach even qualitative agreement with PWA.

As a next step, let us add the background contribution, arising from  $p$ -wave states (solid lines on Fig. 1) with fixed parameters of  $p$ -wave.

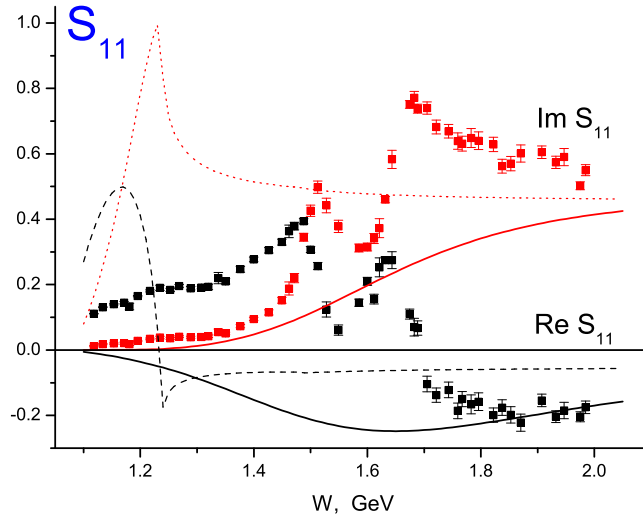
One can see from Fig. 3 that quality of description is unsatisfactory in this case but double-peak behavior is arisen in partial wave for the first time. It means that to describe  $S_{11}$  wave a background contribution is necessary and its value is close to solid line curves at Fig. 1

#### 4. Joint fit of $S_{11}$ and $P_{11}$

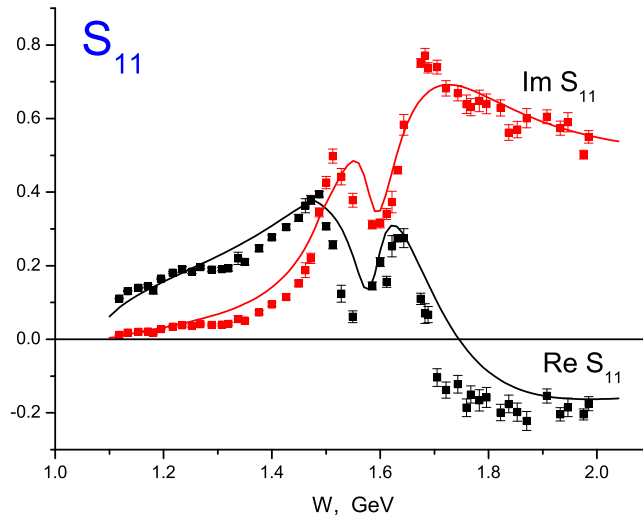
Let's perform the joint analysis of  $S_{11}$  and  $P_{11}$  amplitudes, when resonance states in one wave generate background in other and vice versa. In this case  $K$ -matrices (2.13)–(2.14) have poles with both positive and negative energies: we use two  $s$ -wave and two  $p$ -wave poles. This leads to noticeable improvement of description, as can be seen from Fig. 4; in this case  $\chi^2/\text{DOF} = 850/190$ .

At last, background can be generated not only by negative energy poles but by other terms. We accounted it by adding to elastic amplitudes  $\pi N \rightarrow \pi N$  a smooth contributions of the form:

$$\hat{K}_s^B = A + B(W - m_N)^2, \quad \hat{K}_p^B = A + B(W + m_N)^2, \quad (4.1)$$

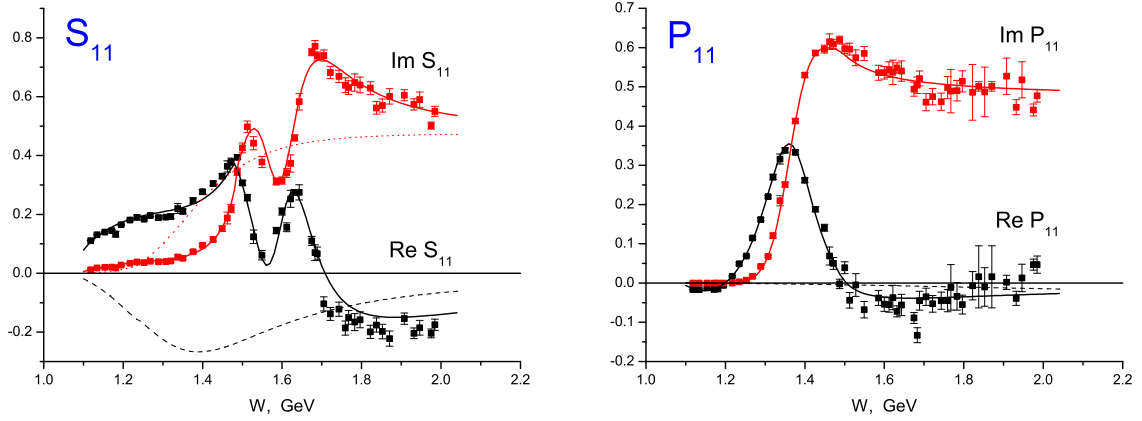


**Figure 2:** Background contribution to  $s$ -wave, generated by  $p$ -wave states. Solid lines represent variant with derivative in vertex (corresponding to curves on Fig. 1), dashed lines – variant without derivative in vertex.

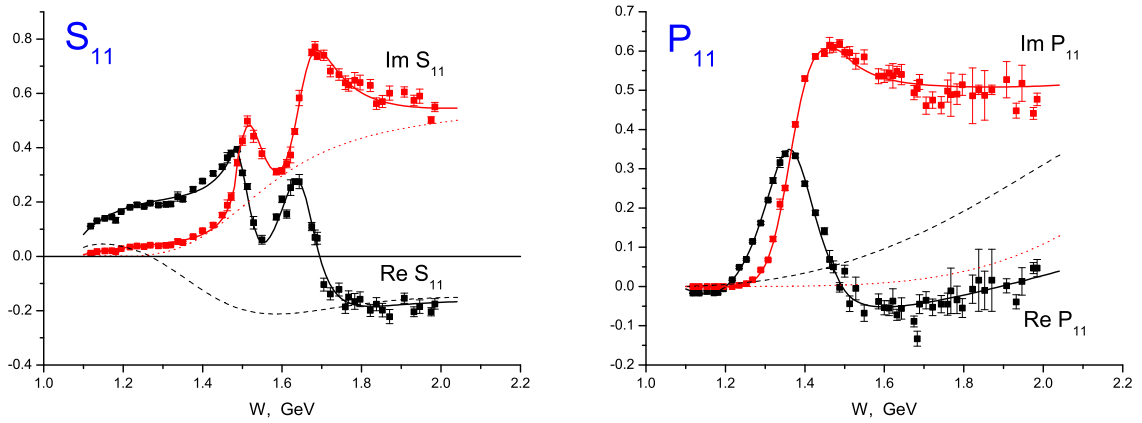


**Figure 3:** Results of  $s$ -wave fitting with fixed parameters for  $p$ -wave states. Parameters of  $p$ -wave correspond to curves on Fig. 1,  $s$ -wave contains two states with  $K$ -matrix masses 1.55 and 1.75 GeV.

which do not violate the MacDowell symmetry property. Note that we have quite good description  $\chi^2/\text{DOF} = 584/187$  and background contribution in  $S_{11}$  is close to simplest variant of Fig. 2.



**Figure 4:** Result of joint fitting of  $S_{11}$  and  $P_{11}$ -waves of  $\pi N$  scattering. Dashed lines show real and imaginary parts of (unitarized) background contribution.



**Figure 5:** Result of joint fitting of  $S_{11}$  and  $P_{11}$  waves of  $\pi N$  scattering.

## 5. Poles in complex plane

In Table 1 we present the pole masses and widths obtained by continuation of our amplitudes to complex  $W$  plane. As a whole, we see that our values for  $m_p$ ,  $\Gamma_p$  are rather close to previously obtained. The only hint for disagreement is appearance at some sheets of a stable pole  $1/2^+$  with  $m_p \approx 1500$  MeV instead of generally accepted mass  $m_p \approx 1365$  MeV.

## 6. Conclusions

In this report we investigated the manifestation of OPF-mixing in  $\pi N$  partial waves  $S_{11}$  and  $P_{11}$ , where baryons  $1/2^\pm$ ,  $I = 1/2$  are produced. We found that the effect of mixing of fermion fields with opposite parity can be readily realized in the framework of  $K$ -matrix approach. It allows to



Partial wave, PDG values	This work	Some other works
$S_{11}, 1/2^-$ N(1535) (1510, 70) N(1650) (1655, 165)	(1507, 87) (1659, 149)	(1502, 95), (1648, 80) [12] (1519, 129), (1669, 136) [13]
$P_{11}, 1/2^+$ N(1440) (1365, 190)	(1365, 194) (1500, 160)	(1359, 162) [12] (1385, 164) [14] (1387, 147) [13]

**Table 1:** Pole masses and widths ( $M_R, \Gamma_R$ ) extracted from poles position in the complex plane  $W$ :  $W_0 = M_R - i\Gamma_R/2$ .

have simple expressions for amplitudes in the case of any resonance states and reaction channels. Note that  $s$ - and  $p$ -wave  $K$ -matrices, (2.13)–(2.14), have poles with both positive and negative energies and are related with each other by  $\hat{K}_p(W) = \hat{K}_s(-W)$ .

The so-constructed partial waves possess the well-known MacDowell symmetry that connects two partial waves under substitution  $W \rightarrow -W$ . Up to now, this symmetry did not play any role in data analysis since it connects physical and unphysical regions. However, taking OPF-mixing into account, MacDowell symmetry leads to physical consequences: resonance in one partial wave gives rise to background contribution in another and vice versa. This connection between two waves, as in case of  $3/2^\pm$  resonances,[1] works mainly in one direction: it generates large negative background in a wave with lower orbital momentum. So we come to idea of joint analysis of two partial waves and it allows to get an additional information about dynamics in higher  $l$  wave. Such an example can be seen at Fig. 2, where two variants of background in  $S_{11}$  are depicted.

Our main purpose here was to see the effects of OPF-mixing in the amplitudes  $S_{11}$ ,  $P_{11}$  and to estimate their value. So, following Ref. [15], we have used simplified three-channel formalism in which  $\sigma N$  is some quasi-channel, imitating different  $\pi\pi N$  intermediate states. In spite of the rough approach we obtained rather good description of  $S_{11}$  and  $P_{11}$  waves, comparable well with more comprehensive analyses [16, 17, 18, 19] with number of channels up to 6. We suppose that OPF-mixing (or MacDowell symmetry) can be taken into account not only in  $K$ -matrix formalism but in framework of more detailed dynamical multi-channel approach.

Note that obtained pole positions not always coincide with the results of previous analyses. For example, for  $N(1440)$  state we found on most sheets a very stable pole with  $\text{Re}W \approx 1500$  MeV instead of "standard" value  $\approx 1360$  MeV, see Table 1. After various verifications we suppose that this is result of crudity of used approximation (effective  $\sigma N$  channel). But it is possible that there exists some dependency on details of description and it needs more close investigation.

Summarizing, we found out that effect of a loop OPF-mixing is seen in PWA results as a connection between partial waves  $S_{11}$  and  $P_{11}$ . We assume that this connection may be of interest as possibility to obtain additional information about  $P_{11}$  wave and baryons  $1/2^+$ .

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