

# Collisionless shock formation and X-ray emission around supernova shock breakout

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We study analytically and numerically the formation of the collisionless shock around supernova shock breakout. Following core collapse, a radiation-dominated shock travels through the supernova progenitor. The collisionless shock (CS) is usually expected to form during breakout, when the radiation-dominated shock reaches the optically thin layers of the progenitor. In this work, we show that for some progenitors surrounded with optically thick winds, the collisionless shock forms before breakout. An X-ray flash would occur at shock breakout, even for 'slow' shocks. High-energy neutrinos with  $E \gtrsim 100\,\text{GeV} - 1\,\text{TeV}$  would precede the photon flash by typically a few minutes (Wolf-Rayet progenitors), up to  $\sim 10$  hours (red supergiants) [1]. SN 2008D/XRF 080109 may have been an event for which a CS is formed before breakout.

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# 1. Introduction

During a core-collapse supernova (SN), a radiation-dominated shock (RDS) propagates inside the (optically thick) hydrostatic core of the progenitor. The pressure in the downstream of the shock is dominated by radiation pressure [2, 3]. The width of a RDS ( $\simeq \lambda c/3u_s$ , where  $u_s$  is the shock velocity and  $\lambda$  the photon mean free path) is larger than the gyroradius of suprathermal particles, which therefore cannot be accelerated via diffusive shock acceleration. When the shock reaches the optically thin outer layers of the star, photons cannot remain confined in its immediate downstream, and escape, emitting a flash of photons –shock breakout (SB). The RDS then stalls. See e.g. [4, 5, 6, 7, 8] for more details on SB, and e.g. [9, 10, 11, 12, 13] for recent works on the subject. A few SB flashes have been detected [14, 15, 16, 17, 18, 19, 20, 21]. A collisionless shock (CS) is expected to form later [5, 6, 22, 23]. Once the CS is formed, particle acceleration may start. Some SN progenitors are thought to shed mass at a remarkable rate prior to the explosion [24], and some are likely to be surrounded with optically thick winds [25, 26]. For the case of an optically thick wind, shock breakout occurs in the wind, at an optical depth  $\tau_{br}$  approximately equal to  $c/u_s = \beta_s^{-1}$  [9]. A CS must appear during SB [27, 28, 29, 30, 31, 32].

In this study, we demonstrate that the CS forms *before* shock breakout for some progenitors enshrouded in thick winds [1]. We predict that for such progenitors, X-rays would be emitted from the very beginning of shock breakout, even for 'slow' shocks. We find that supernova SN 2008D/XRF 080109, discovered by *Swift* [19], may be an explosion where the CS formed before shock breakout –see Section 4.

# 2. Formation of a collisionless shock before supernova shock breakout from a thick wind

For progenitors surrounded with optically thin winds, the flash of photons at SB accelerates the low density circumstellar medium to a velocity about  $\propto r^{-2}$  (r is the distance to the center of the progenitor). The shocked outer layers of the star then push supersonically into the more slowly moving circumstellar material at larger r, which leads to the formation of a collisionless shock. We verified, with the 1D spherical radiation-hydrodynamics code presented in [1], that a CS forms after SB for a progenitor in an optically thin wind. In this case, the RDS stalls when entering optically thin material.

However, in some situations, the RDS can also stall inside optically thick material, and lead to the formation of a (radiative) CS. We find that this happens for some supernovae exploding in optically thick winds. For thick winds, shock breakout occurs at a radius  $r_{\rm br}$ , which is larger than the radius of the star  $r_*$ . When the progenitor is surrounded with a very dense wind, such as for Type IIn SNe, the RDS survives the transition from the core to the thick wind at  $r = r_*$ . On the contrary, we find that when the wind is only moderately thick, the RDS stalls when exiting the hydrostatic core at  $r = r_*$ , and a radiative CS forms in the wind at  $r_* < r < r_{\rm br}$ , before SB (i.e. before photons start to escape from the thick layers of the wind).

Let us consider two concentric shells in the thick wind, with respective radii  $r_1(t)$  and  $r_2(t)$ , and velocities  $u_1 = u_s$  and  $u_2$  -see Fig. 1 (left panel). They are chosen such that  $r_1(t=0) = r_*$ , and  $r_* < r_2(t=0) < r_* + \lambda/\beta_s$ , where  $\lambda$  is the photon mean free path in the wind at  $r \simeq r_*$ . In

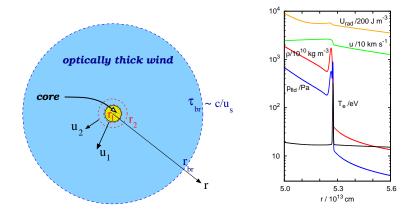


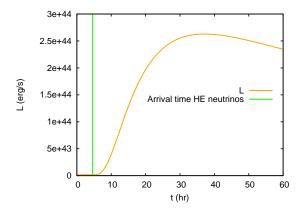
Figure 1: Left panel: Schematic description of the problem. A star with radius  $r_* = r_1(t=0)$  (yellow) is surrounded with an optically thick wind (part at  $r < r_{\rm br}$  in blue). Shock breakout occurs at  $r_{\rm br} \gg r_*$ . The density of the core is  $\gg$  than the density of the surrounding wind. The radiation-dominated shock leaves the core and enters the wind at t=0. A collisionless shock forms when the shell at  $r_1(t)$  hits supersonically the shell at  $r_2(t)$  ( $r_2(0) > r_1(0)$ ), which can happen at  $r < r_{\rm br}$ , before breakout; **Right panel:** Simulation of a red supergiant exploding in a thick wind. Zoom around the region where the CS appears (discontinuity in the green –velocity– curve around  $r \approx 5.3 \cdot 10^{13}$  cm, at  $\approx r_{\rm br}/2$  and  $\approx 1.6 \, r_*$  for this simulation). Spikes in fluid pressure  $p_{\rm fld}$  and electron temperature  $T_{\rm e}$  can be seen in the CS immediate downstream.

the limiting case where all photons that have accelerated the shell at  $r_1$  also pass through the shell at  $r_2$  (no absorption), the velocity reached by the latter shell cannot exceed  $u_2 \leq u_1 \left(r_*/r_2(0)\right)^2 + \kappa E_{\rm r}/4\pi c r_2^2(0)$ , where  $\kappa$  is the opacity and  $E_{\rm r} \simeq \int_{r_*}^{r_2(0)} 4\pi r^2 \frac{1}{2\kappa\lambda} u_{\rm s}^2 dr$  is an upper limit on the energy that can be radiated through the shell at  $r_2$  by the fluid between  $r_1$  and  $r_2$ . If  $E_{\rm r}$  is sufficiently large, it can compensate for the  $(r_*/r_2(0))^2$  factor:  $u_2$  then remains  $\geq u_1$  and the RDS survives in the wind. If the wind between the two shells cannot radiate enough photons through the shell at  $r_2$  to compensate for the dilution of photons due to shock curvature, then the shell at  $r_1$  can catch up and hit supersonically the shell at  $r_2$ , at a radius  $r < r_{\rm br}$  (i.e. before SB). A CS then forms before shock breakout. One can show that this happens when [1]:  $\beta_{\rm s} \lesssim 10\,\lambda/r_*$ . For a  $r^{-2}$  wind, this condition becomes:

$$\beta_{\rm s} \lesssim 0.1 \left(\frac{u_{\rm w}}{10 \,\mathrm{km/s}}\right) \left(\frac{r_{*}}{10^{13} \,\mathrm{cm}}\right) \left(\frac{5 \cdot 10^{-4} \,\mathrm{M_{\odot}/yr}}{\dot{M}}\right),\tag{2.1}$$

where  $u_{\rm w}$  and  $\dot{M}$  are respectively the wind velocity and the mass-loss rate of the progenitor. Wolf-Rayet stars and red supergiants with relatively large  $\dot{M}$  before the explosion are good candidates, but not progenitors of Type IIn SNe. SN 2008D may satisfy this inequality, see discussion in Section 4. For progenitors with wind densities  $\propto r^{-2}$ , this corresponds  $r_{\rm br} \approx 10 \, r_*$ . However, this scenario can also be satisfied for progenitors with  $r_{\rm br}/r_* \gg 10$ , if, for example, the wind density profile is flatter than  $r^{-2}$  at  $r < r_{\rm br}$ , due to a change in the mass-loss rate with time.

We verified this with our radiation-hydrodynamics code: Fig. 1 (right panel) shows the formation of a CS before breakout for a red supergiant exploding in a thick wind, see caption for details. Once formed, the CS continues to propagate to  $r \gg r_{\rm br}$ .



**Figure 2:** Simulation of a red supergiant exploding in a thick wind, see text for parameters. Orange line for the luminosity L(t). The green line shows the arrival time of the first secondary  $\sim$  TeV neutrinos from particles accelerated at  $r < r_{\rm br}$ . Around the peak, and at later times, most of the energy is radiated in X-rays, which are emitted by the CS propagating, at these times, in the *thin* part of the wind at  $r > r_{\rm br}$ .

## 3. Particle acceleration and high-energy neutrinos preceding an X-ray flash

When the CS forms before shock breakout, it injects X-ray photons with energies  $\gtrsim (1-10)$  keV in the optically thick wind. Thus, we predict that for progenitors following our scenario, the photon flash at breakout will contain X-rays since the very beginning of SB, even for 'slow' shocks. These hard photons reflect the presence of the hot downstream of the CS. If no CS were present before SB, the first photons to escape at SB would be softer:  $\sim (10-100)$  eV for a 'slow' RDS ( $\beta_8 \approx 0.1$ ).

We have shown in [1] that particles can be accelerated at the CS, at  $r < r_{\rm br}$ , via diffusive shock acceleration. Energies  $E \gtrsim (1-10)$  TeV can be reached. Secondary high-energy neutrinos are then produced in the optically thick layers of the wind and can reach the observer before the flash of photons at breakout. For an event following this scenario and occurring in the Milky Way or in the Magellanic Clouds, high-energy neutrinos would be detectable by IceCube before photons from breakout [1]. More neutrinos would be produced later, in the post-shock breakout phase.

We simulate the explosion of a red supergiant with  $r_*=10^{13}$  cm and  $\beta_s\simeq 0.09$ , in a thick wind with density  $\propto r^{-2}$  and  $r_{\rm br}\simeq 10^{14}$  cm. We show in Figure 2 the evolution with time of its luminosity L. The arrival time of the first  $\sim$  TeV neutrinos, for a given observer, is shown in green: In this case, secondary neutrinos arrive several hours before SB. For a Wolf-Rayet progenitor, they would rather arrive a few minutes before SB.

#### 4. SN 2008D / XRF 080109

The X-ray flash XRF 080109, observed by *Swift* [19], has been suggested to be the signature of shock breakout for Type Ibc supernova SN 2008D. We suggest here that the CS may have formed before SB for SN 2008D/XRF 080109. Svirski & Nakar [34] proposed that the progenitor was a Wolf-Rayet star surrounded with a moderately thick wind ( $r_{\rm br} \lesssim 10\,r_*$ ). They suggested that the progenitor underwent enhanced mass-loss prior to the explosion, for  $\lesssim 10\,\rm d$ , with mass-loss rate

 $\dot{M} \approx 2 \cdot 10^{-4} \,\mathrm{M_{\odot}} \,\mathrm{yr^{-1}}$  and wind velocity  $u_{\mathrm{w}} \approx 1000 \,\mathrm{km} \,\mathrm{s^{-1}}$ . For a shock velocity  $\beta_{\mathrm{s}} \approx 0.25$ , and progenitor radius  $r_{\mathrm{*}} \approx 10^{11} \,\mathrm{cm}$ , SN 2008D would satisfy Inequality (2.1), making it a possible candidate for our theory.

This may ease the tension between duration of the flash of photons, and radiated energy (e.g. [13]). A relatively 'low' flux of photons at SB would be consistent with our scenario, where a fraction of the energy would already be in the thermal plasma in the downstream of a CS, when the shock starts to reach  $r_{\rm br}$ .

A more detailed modelling of the spectrum a breakout should lead to a better understanding of when the radiative CS of SN 2008D was formed.

## 5. Conclusions and perspectives

For a SN occurring in an optically thin wind, the collisionless shock always forms during or after shock breakout, which implies that particle acceleration cannot start before the beginning of the photon flash.

We have shown here that for some stars exploding in optically thick winds, the RDS stalls in the wind at  $r < r_{\rm br}$ , and a radiative CS starts to appear *before* SB, within the remains of the former RDS. See condition in Section 2. We find that particles can be accelerated to  $\gtrsim$  TeV energies at the CS. If such an event were to occur within  $\sim 100$  kpc from Earth, IceCube would be able to detect secondary high-energy neutrinos (from these cosmic rays), arriving before the flash of photons at shock breakout :  $\approx$  a few minutes before SB for Wolf-Rayets, up to  $\sim 10$  hours for red supergiants.

A CS may have formed before SB for SN 2008D/XRF 080109, assuming a progenitor with the parameters proposed in Reference [34]. This gives another important reason to search for SN 2008D-like events in the future. One can use them to study the formation times of CS with respect to shock breakout, and understand better the onset of particle acceleration and magnetic field amplification at supernovae occurring in dense winds. Higher energies are reached after SB: Protons (and nuclei) are expected to be accelerated to energies  $E \gtrsim \text{PeV}$  during the first few days or decades following a SN in a dense circumstellar wind [33].

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