

## D-Meson Mixing in 2+1-Flavor Lattice QCD

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### Fermilab Lattice and MILC Collaborations

We present results for neutral  $D$ -meson mixing in 2+1-flavor lattice QCD. We compute the matrix elements for all five operators that contribute to  $D$  mixing at short distances, including those that only arise beyond the Standard Model. Our results have an uncertainty similar to those of the ETM collaboration (with 2 and with 2+1+1 flavors). This work shares many features with a recent publication on  $B$  mixing and with ongoing work on heavy-light decay constants from the Fermilab Lattice and MILC Collaborations.

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## 1. Introduction

These proceedings contain a status update of an ongoing calculation of  $D^0$ - $\bar{D}^0$  mixing matrix elements [1], similar to our published work on  $B^0$ - $\bar{B}^0$  mixing [2]. We present nearly final results for all five matrix elements, sufficient to describe  $D^0$ - $\bar{D}^0$  mixing not only in the Standard Model, but also in any high-energy extension that modifies only the local  $\Delta C = 2$  interaction.

In the Standard Model, neutral-meson mixing is mediated by one-loop, GIM-suppressed processes, shown in Fig. 1. In extensions of the Standard Model, other particles could appear in the boxes; there could even be tree-level flavor-changing neutral currents. Mixing has been observed in all four neutral-meson systems— $K^0$ ,  $D^0$ ,  $B^0$ , and  $B_s^0$ —but the pattern of internal quark masses and CKM factors explains why the phenomenology differs so greatly from one system to another.

Because the  $W$  bosons and  $b$  quarks have masses well above the QCD scale, mixing can be re-expressed as stemming both from a local  $\Delta C = 2$  interaction and two  $\Delta C = 1$  interactions separated by a distance of order  $1/\Lambda_{\text{QCD}}$ . From degenerate perturbation theory, the off-diagonal term in the mass-width matrix is [3]

$$M_{12} - \frac{i}{2}\Gamma_{12} \propto \langle D^0 | \mathcal{L}^{\Delta C=2} | \bar{D}^0 \rangle + \sum_n \frac{\langle D^0 | \mathcal{L}^{\Delta C=1} | n \rangle \langle n | \mathcal{L}^{\Delta C=1} | \bar{D}^0 \rangle}{M_D - E_n + i0^+}. \quad (1.1)$$

The second term is very difficult to estimate. For  $D^0$  mesons it is also not negligible, unlike for  $B^0$  and  $B_s^0$ , where  $t$ ,  $c$ , and  $u$  quarks appear in the box. (For kaons, the second term is important but not dominant.) One can relate the measured mass and width differences,  $\Delta M$  and  $\Delta \Gamma$ , to  $|M_{12}|$ ,  $|\Gamma_{12}|$ , and the relative phase  $\arg(\Gamma_{12}/M_{12})$  [4]. In some extensions of the Standard Model, only the first term and, thus,  $M_{12}$  is altered [5].

The effective Lagrangian  $\mathcal{L}^{\Delta C=2}$  (at energies below the  $b$ -quark mass) is built out of the following operators (and their Wilson coefficients) [6, 7, 8]:

$$\mathcal{O}_1 = \bar{c}\gamma^\mu L u \bar{c}\gamma_\mu L u, \quad \tilde{\mathcal{O}}_1 = \bar{c}\gamma^\mu R u \bar{c}\gamma_\mu R u, \quad (1.2)$$

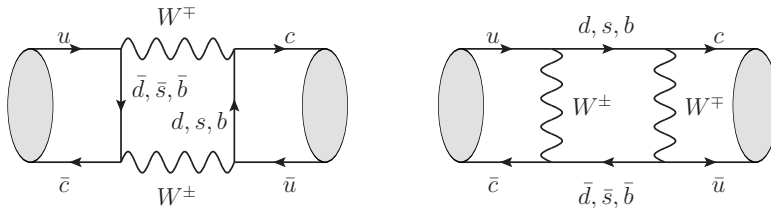
$$\mathcal{O}_2 = \bar{c}L u \bar{c}L u, \quad \tilde{\mathcal{O}}_2 = \bar{c}R u \bar{c}R u, \quad (1.3)$$

$$\mathcal{O}_3 = \bar{c}^\alpha L u^\beta \bar{c}^\beta L u^\alpha, \quad \tilde{\mathcal{O}}_3 = \bar{c}^\alpha R u^\beta \bar{c}^\beta R u^\alpha, \quad (1.4)$$

$$\mathcal{O}_4 = \bar{c}L u \bar{c}R u, \quad (1.5)$$

$$\mathcal{O}_5 = \bar{c}^\alpha L u^\beta \bar{c}^\beta R u^\alpha, \quad (1.6)$$

where  $L$  ( $R$ ) denotes a left-(right-)handed projector on the Dirac indices, and  $\alpha$  and  $\beta$  are color indices. By parity conservation in QCD,  $\langle D^0 | \tilde{\mathcal{O}}_i | \bar{D}^0 \rangle = \langle D^0 | \mathcal{O}_i | \bar{D}^0 \rangle$ ,  $i = 1, 2, 3$ . Thus, the five matrix elements  $\langle D^0 | \mathcal{O}_i | \bar{D}^0 \rangle$ ,  $i = 1, \dots, 5$ , suffice to describe the short-distance part of all  $\Delta C = 2$  processes, whether their origin is  $W$ - $b$  box or something else. In these proceedings, we report on a calculation of all five matrix elements using lattice QCD with 2+1 flavors of sea quarks.



**Figure 1:** Box diagrams mediating  $D^0$ - $\bar{D}^0$  mixing in the Standard Model.

## 2. Lattice-QCD calculation

Our  $D$ -meson calculations have much in common with our published  $B$ -meson work [2]. We use the same ensembles (generated by the MILC collaboration) with 2+1 flavors of sea quark [9]. The light quarks (valence and sea) are based on the staggered asqtad action; the heavy  $c$  (or  $b$ ) quark on the Fermilab interpretation of the clover action. The lattice spacings for the ensembles satisfy  $a \approx 0.045$  fm,  $\approx 0.06$  fm,  $\approx 0.09$  fm, and  $\approx 0.12$  fm. The sea-quark masses yield pions with

$$177 \text{ MeV} \lesssim M_\pi \lesssim 555 \text{ MeV}, \quad (2.1)$$

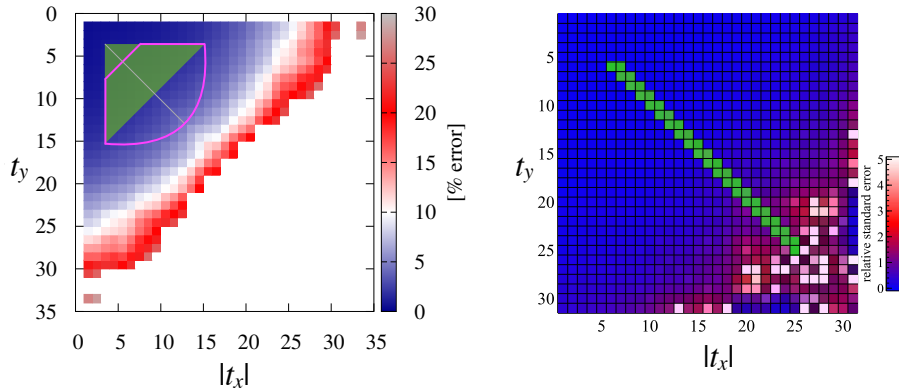
$$257 \text{ MeV} \lesssim M_\pi^{\text{mns}} \lesssim 670 \text{ MeV}, \quad (2.2)$$

The ensembles contain 600–2200 gauge-field configurations, and we use 4 or 8 sources/config.

To carry out the chiral-continuum extrapolation, we take into account the subtle way in which spin emerges for staggered fermions with staggered-Wilson four-fermion lattice operators. The three-point correlation function, it turns out, contains contributions not only from the continuum-limit operator of desired spin, but also some of the wrong spin [10]. Because only the five operators in Eqs. (1.2)–(1.6) can arise, we automatically have the information needed to disentangle this effect. We use the one-loop chiral-perturbation-theory formulas of Ref. [10] to remove the wrong-spin contribution in the course of our chiral-continuum fit.

The operators in Eqs. (1.2)–(1.6) require renormalization for any ultraviolet regulator. We carry out the renormalization of the lattice operators corresponding to Eqs. (1.2)–(1.6) together with matching to  $\overline{\text{MS}}$  schemes in continuum QCD. We use a mostly nonperturbative method to handle the largest lattice-to-continuum matching corrections [11, 12], supplemented with a one-loop calculation of the remaining, small renormalization parts [13, 2]. We choose the renormalization scale for  $D$ -meson matrix elements to be 3 GeV, while we chose  $m_b$  for  $B_{(s)}$  mesons.

The main difference between our work on  $D$  vs.  $B_{(s)}$  mesons is the analysis of the correlation functions. The signal-to-noise ratio is much better for  $D$ -meson correlators. For the two-point correlators, the optimal time range  $t_{\min} \lesssim t \lesssim t_{\max}$  differs:  $t_{\min} \approx 0.7(0.2)$  fm,  $t_{\max} \approx 3.0(2.4)$  fm for  $D$  ( $B_{(s)}$ ) mesons. The difference for the three-point correlators is more striking. We fix the four-quark operators at  $t = 0$  and the meson creation (annihilation) operator at time  $t_x < 0$  ( $t_y > 0$ ). As



**Figure 2:** Fitting ranges for three-point correlators: triangular (green) and-or fan-shaped (magenta) regions for  $B$  mixing (left); two-strip diagonal region (green) for  $D$  mixing (right). Background color shows the signal-to-noise ratio from good (blue) to bad (red).

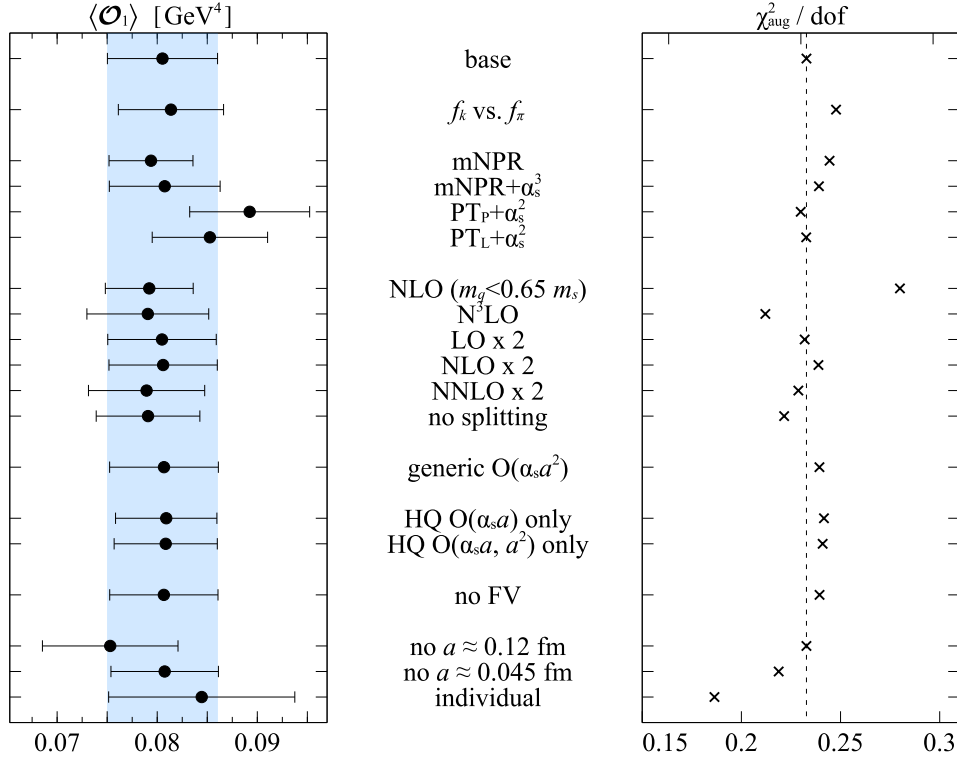
shown in Fig. 2, we use a triangular and-or fan-shaped region in the  $|t_x|$ - $t_y$  plane for  $B_{(s)}$  mesons [2], while we use a long diagonal of width 2 for  $D$  mixing,  $\{|t_x| = t_y\} \cup \{|t_x| = t_y + 1\}$ . The long diagonal makes it easier to disentangle the lowest-lying state, if the signal persists that far. A simultaneous fit to two- and three-point functions is used to extract the matrix elements  $\langle O_i \rangle \equiv \langle D^0 | O_i | \bar{D}^0 \rangle$ .

### 3. Chiral-continuum extrapolation

To carry out the chiral-continuum extrapolation, we develop a fit function based on chiral perturbation theory ( $\chi$ PT), Symanzik effective field theory, and heavy-quark effective theory (HQET). It takes the form

$$F_i = F_i^{\text{logs}} + F_i^{\text{analytic}} + F_i^{\text{HQ disc}} + F_i^{\alpha_s a^2 \text{ gen}} + F_i^{\text{renorm}} + F_i^{\kappa}, \quad (3.1)$$

where  $F_i^{\text{logs}}$  denotes the next-to-leading order description from heavy-meson rooted staggered  $\chi$ PT, with nonanalytic terms including those that disentangle the wrong-spin contributions [10];  $F_i^{\text{analytic}}$  is a polynomial of various terms that arise in  $\chi$ PT at next-to-leading or higher order;  $F_i^{\text{HQ disc}}$  describes heavy-quark discretization effects using HQET as a theory of cutoff effects [12];  $F_i^{\alpha_s a^2 \text{ gen}}$  parametrizes generic cutoff effects of light quarks and gluons, à la Symanzik; and  $F_i^{\text{renorm}}$  allows the fit to be sensitive to higher orders in  $\alpha_s$  for matching and renormalization. Finally,  $F_i^{\kappa}$  incorporates a correction for tuning the charm-quark hopping parameter  $\kappa$ , based on extra runs at  $a \approx 0.12$  fm.



**Figure 3:** Stability of the chiral-continuum extrapolation for several variants of the fit function  $F_i$ :  $\langle O_1 \rangle$  (left), minimized  $\chi_{\text{aug}}^2 / \text{dof}$  (right). Stability plots for the other  $\langle O_i \rangle$  look similar.

BBGLN [16]	$\langle \mathcal{O}_i \rangle / M_D$ (GeV <sup>3</sup> )	$f_{B_q}^2 B_{B_q}$ (GeV <sup>2</sup> )	
		$q = d$	$q = s$
$\mathcal{O}_1$	0.0432(29)(9)	0.0342(29)(7)	0.0498(30)(10)
$\mathcal{O}_2$	-0.0833(38)(17)	0.0303(27)(6)	0.0449(29)(9)
$\mathcal{O}_3$	0.0248(16)(5)	0.0399(77)(8)	0.0571(77)(11)
$\mathcal{O}_4$	0.1469(69)(30)	0.0390(28)(8)	0.0534(30)(11)
$\mathcal{O}_5$	0.0554(38)(11)	0.0361(35)(7)	0.0493(36)(10)
$\mu$	3 GeV	$m_b$	$m_b$

**Table 1:** Results for  $D$  [this work] and  $B$  [2] mixing in the renormalization scheme of Ref. [16].

Both the renormalization and wrong-spin effects mix operators 1, 2, and 3 with each other, and also 4 and 5 with each other. It is thus natural to fit the matrix elements in each sector simultaneously. Some ingredients in  $F_i^{\text{logs}}$  are common for all  $i$ , such as masses,  $f_\pi$ , light-meson  $\chi$ PT constants [14], and the  $D^*-D-\pi$  coupling. We introduce these external inputs with Gaussian priors, for example  $g_{D^*D\pi} = 0.53 \pm 0.8$ . Because of these common ingredients, we choose to fit all five matrix elements simultaneously. We form a  $\chi^2$  function from  $F_i - \langle \bar{\mathcal{O}}_i \rangle$  and the sample covariance matrix of the  $\langle \bar{\mathcal{O}}_i \rangle$ , where  $\bar{\mathcal{O}}_i$  denotes the renormalized lattice operators (which differ from the continuum  $\mathcal{O}_i$  by discretization effects and higher-order matching effects). We then augment this  $\chi^2$  with Gaussian priors for the fit parameters implied in Eq. (3.1), choosing a central value of 0 and width of  $\pm 1$  in natural units for  $\chi$ PT and HQET [15] and minimize the resulting  $\chi_{\text{aug}}^2$ . We reconstitute the fit function at zero lattice spacing and physical quark masses to obtain our estimate of the  $\langle \mathcal{O}_i \rangle$  and their uncertainty.

We have 510 data points for  $\langle \bar{\mathcal{O}}_i \rangle$ , ranging over the ensembles, valence-quark masses, and five operators. In our base version of  $F_i$ , there are 127 parameters. To check whether the final results are robust, we repeat the procedure with several variants of  $F_i$ , as illustrated in Fig. 3. We express the  $\chi$ PT with  $f_K$  instead of  $f_\pi$ ; we choose different orders of  $\alpha_s$  in  $F_i^{\text{renorm}}$  and even replace the mostly nonperturbative (mNPR) matching with a fully perturbative (PT) one; we check various alternatives for the polynomial  $F_i^{\text{analytic}}$  (NLO, NNLO, N<sup>3</sup>LO); we check what happens when the  $\chi$ PT prior widths in  $F_i^{\text{analytic}}$  are doubled; we check alternatives for the heavy-quark discretization errors; we substitute infinite-volume one-loop integrals for the finite-volume sums in one-loop  $\chi$ PT; we omit the data from the coarsest or finest lattice spacing; we fit each matrix element separately, thereby ignoring data constraints on wrong-spin contributions. As one can see from Fig. 3, the results for the  $\langle \mathcal{O}_1 \rangle$  are very stable, so we take these variations in the fit as cross checks. The same applies to the other  $\langle \mathcal{O}_i \rangle$ . The largest deviations are  $\sim 1\sigma$  and come from fits that omit important information. Our nearly final results for  $D$  mixing are given in Table 1, together with published results for  $B_{(s)}$  mixing from Ref. [2]. These matrix elements (as noted above) depend on the renormalization scheme; the tabulated results are in the  $\overline{\text{MS}}$  scheme with naive (fully commuting)  $\gamma^5$  and the evanescent-operator basis used by Beneke, Buchalla, Greub, Lenz, and Nierste (BBGLN) [16].

The MILC asqtad ensembles omit the charmed-quark sea. As in Ref. [2], we assign an additional 2% uncertainty to account for this omission. This uncertainty is given separately, in the second set of parentheses, in Table 1.

## 4. Outlook

Our results agree well with and have similar uncertainty as previous lattice-QCD results from the ETM collaboration, with 2 [17] or 2+1+1 [18] flavors in the sea. The comparison of these results tests not only the flavor-dependence of the matrix elements but also the sensitivity to lattice fermion formulation: ETM employs twisted-mass Wilson fermions, while we employ staggered fermions. All these calculations use several lattice spacings and take the continuum limit. References [17, 18] report the so-called “bag factors” often used in phenomenology [7]; a detailed comparison would require choices of quark masses and decay constants (and their uncertainties) that would obscure the error budget of one or the other set of results. We have a set of calculations underway [19] to compute the  $D$ - and  $B_{(s)}$ -meson decay constants on the same ensembles and will report the bag factors then.

Estimates of the contribution to  $M_{12}$  of the second term in Eq. (1.1) range over  $(10^{-3}-10^{-2})\Gamma$  [20], where  $\Gamma$  is the total width of the neutral  $D$  meson. It turns out, however, that all Standard-Model phases appearing in Eq. (1.1) are small. Thus, in a TeV-scale model that might produce a large phase in  $M_{12}$ , the results for the  $\langle \mathcal{O}_i \rangle$  can be used to constrain the model’s parameters. Furthermore, until a method is developed to tame the second term in Eq. (1.1), the accuracy achieved in this work and Refs. [17, 18] should suffice for this purpose.

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