

Further Study of BRST-Symmetry Breaking on the Lattice

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We evaluate the so-called Bose-ghost propagator $Q(p^2)$ for SU(2) gauge theory in minimal Landau gauge, considering lattice volumes up to 120^4 and physical lattice extents up to $13.5 \, fm$. In particular, we investigate discretization effects, as well as the infinite-volume and continuum limits. We recall that a nonzero value for this quantity provides direct evidence of BRST-symmetry breaking, related to the restriction of the functional measure to the first Gribov region. Our results show that the prediction (from cluster decomposition) for $Q(p^2)$ in terms of gluon and ghost propagators is better satisfied as the continuum limit is approached.

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1. BRST-Symmetry Breaking

The minimal Landau gauge in Yang-Mills theories [1] is obtained by restricting the functional integral to the set of transverse gauge configurations for which the Faddeev-Popov (FP) matrix \mathcal{M} is non-negative, the so-called first Gribov region Ω . On the lattice, this gauge condition is imposed by considering a minimization procedure. On the contrary, in the Gribov-Zwanziger (GZ) approach in the continuum [2], this restriction is forced by adding a nonlocal horizon-function term $\gamma^4 S_h$ to the usual (Landau-gauge) action. The resulting (nonlocal) GZ action may be localized by introducing the auxiliary fields $\phi_{\mu}^{ab}(x)$ and $\omega_{\nu}^{cd}(y)$, yielding $S_{GZ} = S_{YM} + S_{gf} + S_{aux} + S_{\gamma}$. Here, S_{YM} is the usual four-dimensional Yang-Mills action, S_{gf} is the covariant-gauge-fixing term, S_{aux} is defined as

$$S_{\text{aux}} = \int \mathrm{d}^4 x \left[\overline{\phi}^{ac}_{\mu} \partial_{\nu} \left(D^{ab}_{\nu} \phi^{bc}_{\mu} \right) - \overline{\omega}^{ac}_{\mu} \partial_{\nu} \left(D^{ab}_{\nu} \omega^{bc}_{\mu} \right) - g_0 \left(\partial_{\nu} \overline{\omega}^{ac}_{\mu} \right) f^{abd} D^{be}_{\nu} \eta^e \phi^{dc}_{\mu} \right]$$

and is necessary to localize the horizon function, and S_{γ} , given by

$$S_{\gamma} = \int \mathrm{d}^4 x \left[\gamma^2 D^{ab}_{\mu} \left(\phi^{ab}_{\mu} + \overline{\phi}^{ab}_{\mu} \right) - 4 \left(N^2_c - 1 \right) \gamma^4 \right],$$

allows one to fix the γ parameter through the so-called horizon condition. Also, one can define [3] for these fields a nilpotent BRST transformation *s* that is a simple extension of the usual (perturbative) BRST transformation leaving $S_{YM} + S_{gf}$ invariant. However, in the GZ case, the BRST symmetry *s* is broken by terms proportional to a power of the Gribov parameter γ . Since a nonzero value of γ is related to the restriction of the functional integration to Ω , it is somewhat natural to expect a breaking of the (extended) BRST symmetry *s*, as a direct consequence of the nonperturbative gauge-fixing.¹ More precisely —as nicely explained in Ref. [7]— an infinitesimal gauge transformation is formally equivalent to a (perturbative) BRST transformation. Since the region Ω is free of infinitesimal gauge copies, applying *s* to a configuration in Ω should result in a configuration outside Ω . The breaking of the BRST symmetry in minimal Landau gauge is then inevitable, since the functional integration is limited to the region Ω . This interpretation is supported by the introduction [6] of a nilpotent nonperturbative BRST transformation s_{γ} that leaves the local GZ action invariant. The new symmetry is a simple modification of the extended BRST transformation *s*, by adding (for some of the fields) a nonlocal term proportional to a power of the Gribov parameter γ .

2. The Bose-Ghost Propagator

As implied above, the Gribov parameter γ is not introduced explicitly on the lattice, since in this case the restriction of gauge-configuration space to the region Ω is achieved by numerical minimization. Nevertheless, the breaking of the BRST symmetry *s* induced by the GZ action may be investigated by the lattice computation of suitable observables, such as the so-called Bose-ghost propagator

 $Q_{\mu\nu}^{abcd}(x,y) = \langle s(\phi_{\mu}^{ab}(x)\overline{\omega}_{\nu}^{cd}(y)) \rangle = \langle \omega_{\mu}^{ab}(x)\overline{\omega}_{\nu}^{cd}(y) + \phi_{\mu}^{ab}(x)\overline{\phi}_{\nu}^{cd}(y) \rangle.$

¹This issue has been investigated in several works (see e.g. [4, 5, 6, 7] and references therein).

Since this quantity is BRST-exact with respect to the (extended) BRST transformation *s*, it should be zero for a BRST-invariant theory, but it does not necessarily vanish if the symmetry *s* is broken. On the lattice, however, one does not have direct access to the auxiliary fields $(\overline{\phi}_{\mu}^{ac}, \phi_{\mu}^{ac})$ and $(\overline{\omega}_{\mu}^{ac}, \omega_{\mu}^{ac})$. Nevertheless, these fields enter the continuum action at most quadratically and they can be integrated out exactly, giving for the Bose-ghost propagator an expression that is suitable for lattice simulations. This yields

$$Q_{\mu\nu}^{abcd}(x-y) = \gamma^4 \left\langle R_{\mu}^{ab}(x) R_{\nu}^{cd}(y) \right\rangle , \qquad (2.1)$$

where

$$R^{ac}_{\mu}(x) = \int d^4 z \, (\mathcal{M}^{-1})^{ae}(x,z) \, B^{ec}_{\mu}(z) \tag{2.2}$$

and $B^{ec}_{\mu}(z)$ is given by the covariant derivative $D^{ec}_{\mu}(z)$. One can also note that, at the classical level, the total derivatives $\partial_{\mu}(\phi^{aa}_{\mu} + \overline{\phi}^{aa}_{\mu})$ in the action S_{γ} can be neglected [3, 4]. In this case the expression for $B^{ec}_{\mu}(z)$ simplifies to

$$B_{\mu}^{ec}(z) = g_0 f^{ebc} A_{\mu}^b(z) , \qquad (2.3)$$

as in Ref. [4]. Let us stress that, in both cases, the expression for $Q_{\mu\nu}^{abcd}(x-y)$ in Eq. (2.1) depends only on the gauge field $A_{\mu}^{b}(z)$ and can be evaluated on the lattice.

3. Numerical Simulations and Results

The first numerical evaluation of the Bose-ghost propagator in minimal Landau gauge was presented —for the SU(2) case in four space-time dimensions— in Refs. [8, 9]. In particular, we evaluated the scalar function $Q(k^2)$ defined [for the SU(N_c) gauge group] through the relation

$$Q^{ac}(k)\equiv Q^{abcb}_{\mu\mu}(k)\equiv \delta^{ac}N_c\,P_{\mu\mu}(k)\,Q(k^2)\,,$$

where $P_{\mu\nu}(k)$ is the usual transverse projector and k is the wave vector with components $k_{\mu} = 0, 1, ..., N - 1$, for a lattice of N points per directions. The lattice momentum $p^2(k)$ is obtained using the improved definition (see Ref. [8]). This calculation has been recently extended in Ref. [10], where we have investigated the approach to the infinite-volume and continuum limits by considering four different values of the lattice coupling β and different lattice volumes $V = N^4$, with physical volumes ranging from about $(3.366 fm)^4$ to $(13.462 fm)^4$. We find no significant finite-volume effects in the data. As for discretization effects, we observe small such effects for the coarser lattices, especially in the IR region. We also tested three different discretizations² for the sources $B^{bc}_{\mu}(x)$, used in the inversion of the FP matrix \mathcal{M} , and find that the data are fairly independent of the chosen lattice discretization of these sources.

Our results concerning the BRST symmetry-breaking and the form of the Bose-ghost propagator are similar to the previous analysis [8, 9], i.e. we find a $1/p^6$ behavior at large momenta and a double-pole singularity at small momenta,³ in agreement with the one-loop analysis carried out

²See Eqs. (30), (31) and (32) in Ref. [10].

³As proven in Ref. [10], the Fourier transform of the quantity $R_{\mu}^{ac}(x)$, defined in Eq. (2.2) above, is trivially equal to 0 at zero momentum, i.e. $\sum_{x} R_{\mu}^{ac}(x) = 0$. Thus, one needs to consider sufficiently large lattice volumes, in order to have the IR behavior of the Bose-ghost propagator under control.

in Ref. [11]. These behaviors can be clearly seen in Fig. 1, where we fit the data for the Bose-ghost propagator $Q(p^2)$ using the fitting function

$$f(p^2) = \frac{c}{p^4} \frac{p^2 + s}{p^4 + u^2 p^2 + t^2},$$
(3.1)

which can be related [see Eq. (3.2) below] to an IR-free FP ghost propagator $G(p^2) \sim 1/p^2$ in combination with a massive gluon propagator $D(p^2)$.



Figure 1: The Bose-ghost propagator $Q(p^2)$ as a function of the (improved) lattice momentum squared p^2 . Here we used as sources $B_{\mu}^{ec}(z)$ the formula reported in Eq. (32) of Ref. [10]. We plot data for $\beta_2 \approx 2.44$, $V = 96^4$ (•) and $\beta_3 \approx 2.51$, $V = 120^4$ (•), after applying a matching procedure [12] to the former set of data. We also plot, for $V = 120^4$, a fit using the fitting function (3.1). Note the logarithmic scale on both axes.

In Figs. 2 and 3 we compare the Bose-ghost propagator $Q(p^2)$ to the product $g_0^2 G^2(p^2) D(p^2)$, where g_0 is the bare coupling constant. To this end, the data of the Bose-ghost propagator have been rescaled in order to agree with the data of the product $g_0^2 G^2(p^2) D(p^2)$ at the largest momentum.⁴ This comparison is based on the result

$$Q(p^2) \sim g_0^2 G^2(p^2) D(p^2) ,$$
 (3.2)

obtained in Ref. [4] using a cluster decomposition. Even though there is a clear discrepancy between these two quantities we find that this discordance seems to decrease when the continuum limit is considered.

⁴This is equivalent to imposing a given renormalization condition for the propagators at the largest momentum.



Figure 2: The Bose-ghost propagator $Q(p^2)$ (•) and the product $g_0^2 G^2(p^2) D(p^2)$ (•) as a function of the (improved) lattice momentum squared p^2 for the lattice volume $V = 64^4$ at β_0 . Here we used as sources $B_{\mu}^{ec}(z)$ the formula reported in Eq. (32) of Ref. [10]. Note the logarithmic scale on both axes.

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Figure 3: The Bose-ghost propagator $Q(p^2)$ (•) and the product $g_0^2 G^2(p^2) D(p^2)$ (•) as a function of the (improved) lattice momentum squared p^2 for the lattice volume $V = 120^4$ at $\beta_3 \approx 2.51$. Here we used as sources $B_u^{ec}(z)$ the formula reported in Eq. (32) of Ref. [10]. Note the logarithmic scale on both axes.

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