

A Vision for Neutrino Particle Physics at the South Pole: from IceCube to PINGU

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Located at the South Pole Station in Antarctica, the IceCube Neutrino Observatory is the world's largest neutrino telescope. In the clearest part of the ice sits a more densely instrumented section, DeepCore, that is able to measure neutrinos from 5 GeV to 80 GeV. Using DeepCore, neutrino oscillations can be observed via ν_μ disappearance with precision comparable to that from accelerator experiments. With additional optical modules instrumenting the DeepCore volume, it is possible to further reduce the detector's energy threshold and improve the resolution of the detector at low energies. This allows measuring the ν_τ appearance – which accompanies ν_μ disappearance – at 10% precision or better, and determining the neutrino mass ordering. These are the key science goals of the proposed IceCube-Gen2 Phase 1 and PINGU, respectively.

Both current IceCube results on standard neutrino oscillations and sensitivities for the proposed Phase 1 and PINGU extensions of IceCube will be discussed in this talk.

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1. Introduction

Neutrino oscillations were discovered by Super-Kamiokande in 1998 [1] through the measurement of atmospheric neutrinos, and by SNO in 2002 [2] through the measurement of solar neutrinos. Since then, neutrino oscillations have repeatedly been observed using many different neutrino sources. As a result, the parameters describing the standard three flavor neutrino oscillations have been determined with increasing precision by many different experiments (see Ref. [3] and references therein) with the exception of the CP-violating phase (δ_{CP}) and the mass ordering (the sign of Δm_{32}^2). In the case of standard neutrino oscillations, their amplitude is determined by the elements of the ‘PMNS’ mixing matrix, described by the mixing angles (θ_{12} , θ_{13} , and θ_{23}) and δ_{CP} , while their period in vacuum depends on $|\Delta m_{32}^2|L/E$ and $|\Delta m_{21}^2|L/E$, where E is the neutrino energy, L is the distance between its production and detection points, and Δm_{ji}^2 is the difference between the square of the masses of the mass eigenstates ν_j and ν_i .

Atmospheric neutrinos are particularly interesting for studying neutrino oscillations. This is because they are naturally produced with energies spanning many orders of magnitude and are available for study, with L varying from a few kilometers to the Earth’s diameter of about 12700 km. In an atmospheric neutrino detector we reconstruct the neutrino direction, thereby uniquely determining the propagation baseline L for that oscillation, as well as the neutrino energy E . With these observables, we then map the neutrino oscillation pattern in the two-dimensional $L \times E$ space.

It is also worth noting that thanks to the extremely long baselines available for atmospheric neutrinos, the energies at which we observe neutrino oscillations is significantly higher than for accelerator-based experiments. This is essential for verifying that we indeed observe the same neutrino oscillations at different energies, which is what we expect with the L/E dependency. In addition we also provide neutrino oscillation measurements where nuclear re-interaction effects are significantly less important than for current accelerator experiments. This is the case because neutrinos with energy around 25 GeV, which corresponds to the first maximal muon neutrino disappearance for up-going neutrinos, mainly interact via deep inelastic scattering [4].

The higher energy also opens the possibility to search for tau neutrino appearance, as the high tau mass suppresses the tau neutrino charged current (CC) interaction at low energies. While it has been established that tau neutrinos do appear as the muon neutrinos disappear [5, 6], we have only poor constraints on the τ row of the neutrino mixing matrix [7] in comparison to the e and μ rows due to the lack of neutrino oscillation data where ν_τ appearance can be observed.

Given the Earth’s density profile [8] and the relatively large measured value of θ_{13} [9, 10, 11, 12, 13], additional neutrino mass ordering dependent effects appear at around 5 GeV to 15 GeV for core and mantle crossing trajectories [14, 15, 16, 17, 18]. While these effects have little influence on the measurement of neutrino oscillations at the first maximum, they can be used to determine the neutrino mass ordering if the neutrino direction and energy can be reconstructed with enough precision and the sample sizes are large enough. While a 3σ determination of the neutrino mass ordering is not within reach of the current IceCube detector it could be achieved with the proposed IceCube-Gen2 PINGU detector.

In this talk we will discuss recent results on standard neutrino oscillations in the IceCube Neutrino Observatory, and the sensitivity of IceCube-Gen2, a proposed augmentation of the current IceCube detector.

43 2. The IceCube detector

44 The IceCube Neutrino Observatory [19] is the world’s largest neutrino detector, with a total
 45 volume of about 1 km^3 in the deep glacier near the South Pole Station, Antarctica, and is in-
 46 strumented with 5160 digital optical modules (DOMs), as shown in Fig. 1. The observatory was
 47 originally designed to detect TeV – PeV neutrinos. An astrophysical flux was indeed discovered
 48 in this energy range in 2014 [20]. In 2008 the original design was augmented by creating a cen-
 49 tral region called DeepCore [21] in the deepest, clearest ice with a higher density of DOMs. This
 50 increased density of optical modules over 10 Mton of ice reduces the energy threshold of IceCube
 51 from hundreds to a few GeV and makes it possible to perform competitive neutrino oscillation
 52 measurements and searches for dark matter signals.

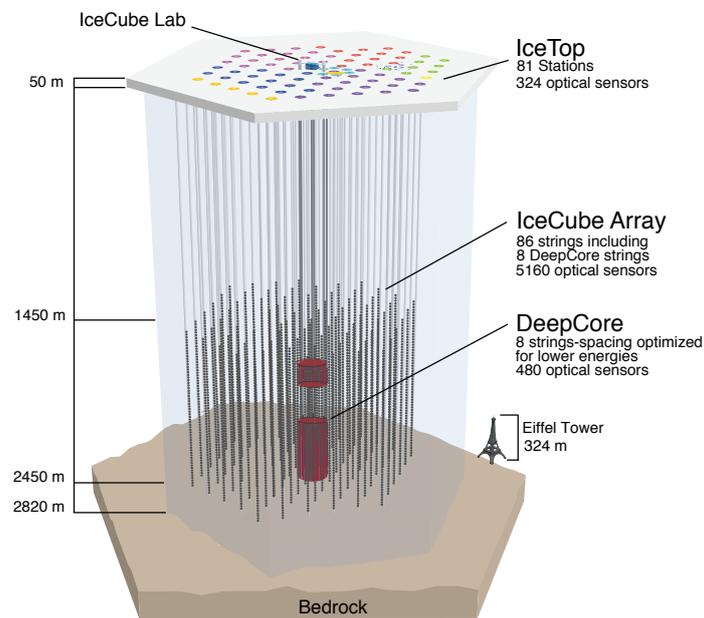


Figure 1: Diagram of the IceCube Neutrino Observatory at its completion, December 2010, with the denser DeepCore array indicated.

53 IceCube detects neutrinos by measuring the Cherenkov light produced from charged particles
 54 created by the neutrinos interacting in the ice or bedrock. The resulting hadronic or electromagnetic
 55 showers will emit most of the light close to the interaction vertex, and the observed event is roughly
 56 spherical. When a muon is produced in the neutrino interaction it propagates through the ice
 57 emitting Cherenkov light over a long distance, and the observed event is more elongated. These
 58 two topologies are identified as “cascade-like” and “track-like”, respectively.

59 For the energies relevant for standard neutrino oscillation analyses, the “track-like” sample will
 60 be composed mainly of muon neutrino interactions, while the “cascade-like” sample will be more
 61 evenly split between misidentified muon neutrinos and electron neutrinos, as shown in Fig. 2, with
 62 most of the tau neutrinos and neutral current interactions being classified as “cascade-like”. Given
 63 the principal oscillation effect observed at these energies is the $\nu_\mu \rightarrow \nu_\tau$ transition, the creation of

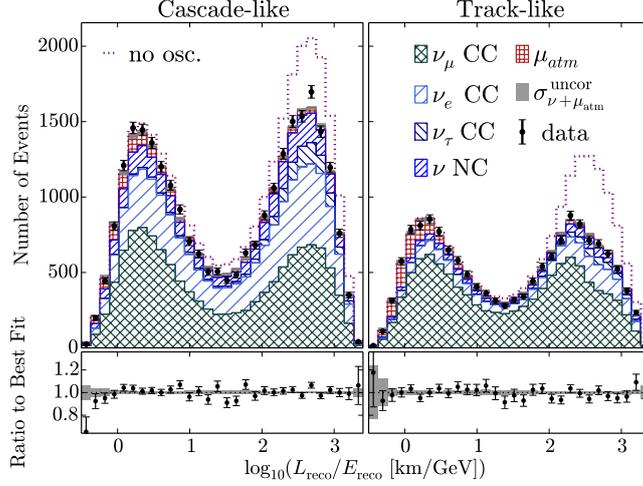


Figure 2: DeepCore ν_μ disappearance data projected onto L/E for illustration. The stacked hatched histograms are the predicted counts given the best-fit values of all parameters in the fit for each component. The dotted line shows the expectation in the absence of neutrino oscillations. The bottom plots show the ratio of the data to the fitted prediction.

64 a ν_μ CC enhanced sample is particularly useful, as is shown by the comparison between the best
 65 fit and data to the non-oscillated hypothesis shown in Fig. 2.

66 To measure the atmospheric oscillation parameters, we fit jointly the $E \times \cos \theta_z$ distribution,
 67 where $\cos \theta_z$ is the cosine of the reconstructed neutrino zenith angle that determines L , for both the
 68 track-like and cascade-like samples, rather than the L/E distribution shown in Fig. 2. This allows
 69 simpler discrimination between the effects of the oscillations under study here and our systematic
 70 uncertainties. We consider systematic uncertainties on the neutrino flux, both as a function of
 71 energy and direction, on the neutrino cross section, on the background rejection efficiency and
 72 from detector effects.

73 The result obtained with three years of detector data, using the approach of Feldman and
 74 Cousins [22] to ensure proper coverage, is shown in Fig. 3. Our results are consistent with those
 75 obtained by other experiments [12, 23, 24, 25], even though we observe neutrino oscillations at a
 76 significantly higher energy and are thus subject to a very different set of systematic uncertainties.

77 For a more complete discussion of this result, please refer to Ref. [26].

78 In addition to measuring for the ν_μ disappearance produced by the $\nu_\mu \rightarrow \nu_\tau$ transition, Deep-
 79 Core also makes it possible to search for the appearance of ν_τ events in the “cascade-like” sample,
 80 which enables performing an inclusive ν_τ appearance rate measurement. This measurement is par-
 81 ticularly interesting as a probe to the unitarity of the PMNS matrix. This matrix would not be
 82 unitary in particular if there were additional families of neutrinos, which is a popular solution to a
 83 series of anomalies observed in various neutrino experiments [27]. Therefore, testing the unitarity
 84 of the PMNS matrix is a new way of probing the existence of sterile neutrinos. For additional
 85 discussion on direct searches for these additional families of neutrinos in IceCube, the reader is
 86 referred to Ref. [28].

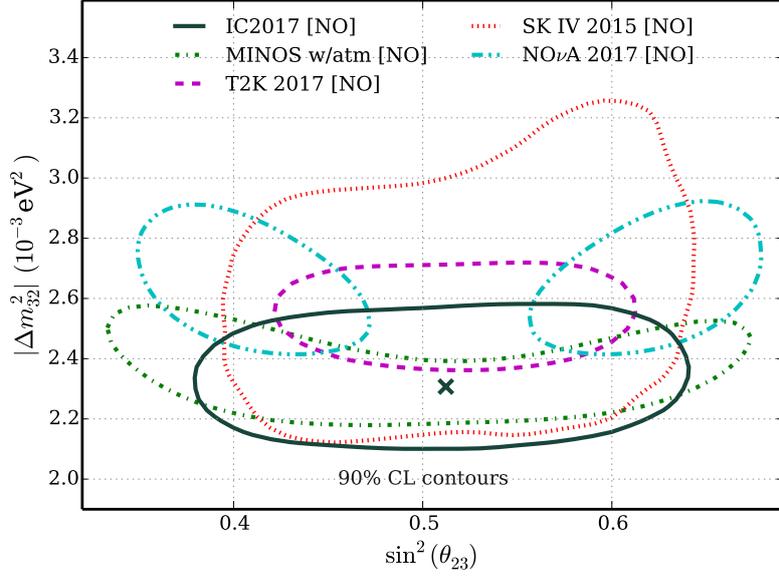


Figure 3: The 90% CL allowed region for the measurement of the atmospheric neutrino oscillation parameters with IceCube (solid line), with the cross indicating our best fit point assuming normal ordering. For comparison the results obtained by other experiments [12, 23, 24, 25] are also shown (dashed lines).

87 The afore mentioned study of ν_τ appearance is currently underway in IceCube, however no
 88 results are available presently. Using the same event selection as for the result discussed above,
 89 we expect about 40% precision on the tau neutrino normalization, which would be comparable or
 90 better than the currently available measurements of the tau neutrino appearance rate [5, 6].

91 3. The IceCube-Gen2 Phase1 upgrade

92 A significant enhancement of the ν_τ appearance measurement, leading to a 10% precision on
 93 the ν_τ normalization, requires improvements to the detector. These will aid in the ν_μ CC identifica-
 94 tion, in order to reduce the fraction of the “cascade-like” sample composed of misidentified ν_μ CC
 95 events, and in the reconstruction of those “cascade-like” events.

96 The IceCube-Gen2 Phase 1 upgrade proposal addresses these goals by augmenting the current
 97 detector with seven new detection strings filling in a small fraction of the DeepCore sub-array, as
 98 is shown in Fig. 4. Furthermore, these new strings will be instrumented with multi-PMT optical
 99 modules (mDOM), with smaller vertical spacing on the string to significantly increase the photon
 100 detection efficiency in that region of the detector.

101 The additional optical modules deployed with the Phase 1 upgrade will contain new calibration
 102 devices whose advantages are twofold: not only will they improve the understanding of the Phase 1
 103 detector and related systematics, but the knowledge gained will also serve as motivation to re-
 104 analyse all data taken before the deployment of Phase 1.

105 The re-analysis of the data is particularly interesting in the context of neutrino astronomy.
 106 The reason is that ice systematics are significantly limiting the angular resolution of highest energy

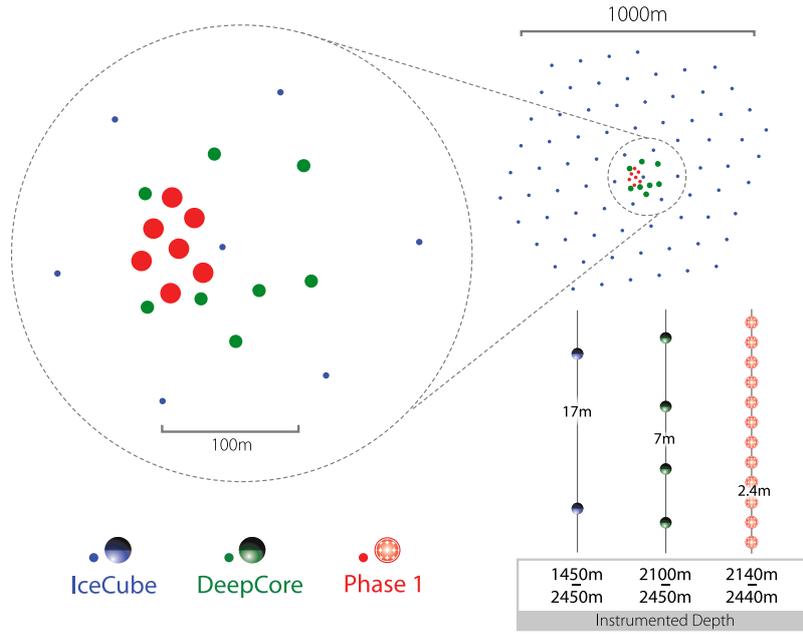


Figure 4: Diagram of the IceCube-Gen2 Phase 1 upgrade in relation to the full IceCube detector. The size of the circles in the zoomed in version indicate the relative photocathode area for optical modules of each string.

107 “cascade-like” events, which makes looking for corresponding sources in electromagnetic channels
 108 significantly more challenging. In the following, however, we focus exclusively on the impact of
 109 the Phase 1 upgrade on the neutrino oscillation measurement discussed previously.

110 Employing the same techniques as in IceCube analysis, we expect a strong enhancement of the
 111 precision at which we can determine $\sin^2 \theta_{23}$ and $|\Delta m_{32}^2|$, and we expect better than 10% precision
 112 on the measurement of the tau neutrino normalization. The expected sensitivity to both these
 113 analyses is shown in Fig. 5.

114 It is worth highlighting that we expect a $\sim 3\sigma$ exclusion of maximal mixing and of the second
 115 octant of θ_{23} with IceCube-Gen2 Phase 1 if the true value of θ_{23} corresponds to the best fit from
 116 NOVA [23] in the first octant. Phase 1 should also be able to determine the neutrino mass ordering
 117 at the 3σ level in 3-8 years, depending on the true value of θ_{23} .

118 4. The IceCube-Gen2 PINGU detector

119 As discussed previously, matter effects will induce changes in the neutrino oscillation pattern
 120 around 5 GeV to 15 GeV due to the Earth density profile. These will mainly impact neutrinos
 121 (anti-neutrinos) if the neutrino mass ordering is normal (inverted). While the atmospheric fluxes
 122 of neutrinos and anti-neutrinos are of similar magnitude, the difference between the interaction
 123 cross-section of neutrinos and anti-neutrinos [4] makes it possible to measure the neutrino mass
 124 ordering even without having a detector capable of differentiating neutrinos from anti-neutrinos as
 125 proposed by [30, 31]. This is achieved by comparing the observed neutrino distribution with the
 126 expected distributions under the normal (NO) and inverted (IO) orderings as a function of $L \times E$, in

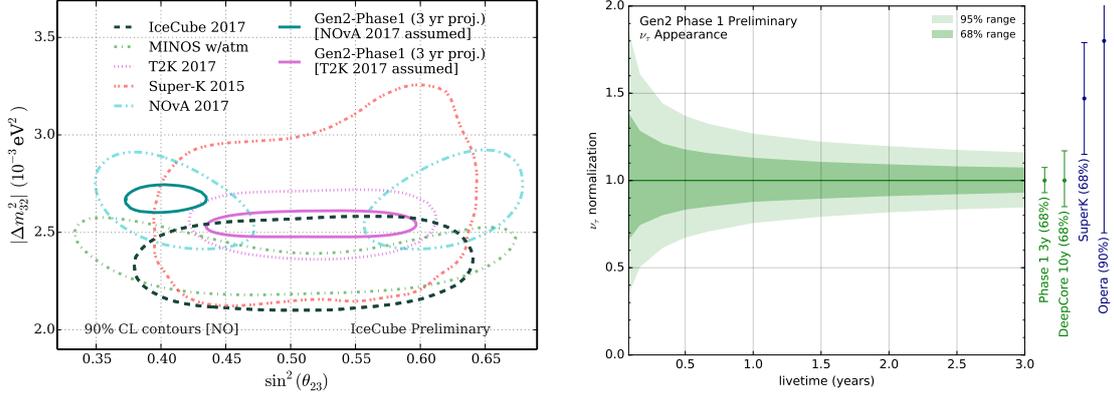


Figure 5: Sensitivity of the Phase 1 upgrade to $\sin^2 \theta_{23}$ and $|\Delta m_{32}^2|$ using 3 years of data (left) and to the ν_τ normalization as a function of time (right). In both cases for comparison the results obtained by current experiments ([12, 23, 24, 25, 26] on the left and [5, 29] on the right) are also shown.

127 analogy to the muon neutrino disappearance analysis discussed earlier in these proceedings. While
 128 the expected difference between these two cases is small, the change produces a distinctive pattern
 129 in the $L \times E$ distribution, as shown in Fig. 6. It can only be resolved unambiguously with sufficient
 130 measurement precision in the key energy range between 5 GeV and 15 GeV.

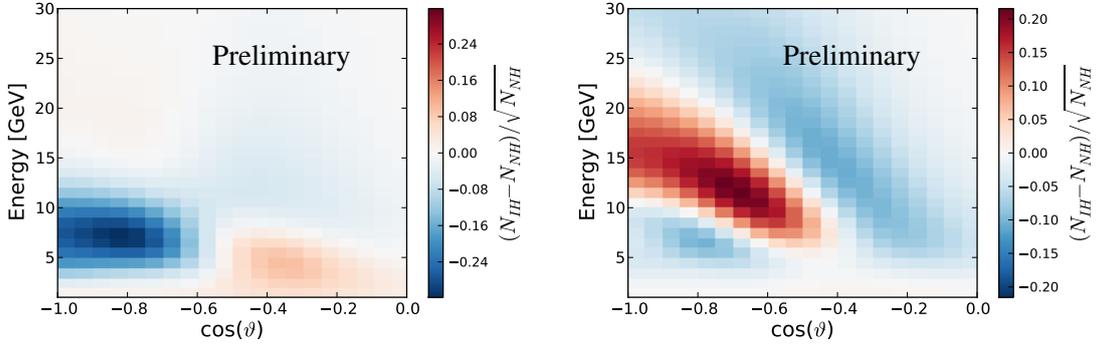


Figure 6: Neutrino mass ordering distinguishability metric as defined in [31] for ‘cascade-like’ (left) and ‘track-like’ (right) events for one year of simulated IceCube-Gen2 PINGU data.

131 In order to reach $\sim 3\sigma$ sensitivity for any true θ_{23} value within 4 years another detector upgrade
 132 is required: PINGU. Following the same idea outlined for Phase 1, in PINGU we propose to add
 133 26 strings to the current IceCube detector (that is, 19 strings in addition to the Phase 1 detector), all
 134 of those in the DeepCore region. While in all current studies for the PINGU detector we have used
 135 optical modules similar to the ones used in IceCube, we plan on changing those to the mDOMs
 136 proposed for Phase 1 which should further enhance our sensitivity. The expected median sensitivity
 137 to the neutrino mass ordering in IceCube-Gen2 PINGU is shown in Fig. 7.

138 In addition to contributions to the determination of the neutrino mass ordering highlighted

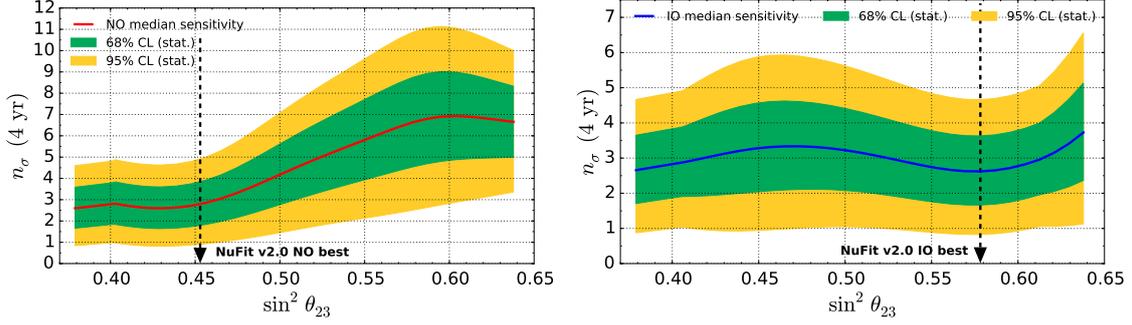


Figure 7: Neutrino mass ordering sensitivity for PINGU assuming normal (left) or inverted (right) ordering as a function of the true θ_{23} value for 4 years of data. The arrows show the best fit values for θ_{23} obtained by a recent global fit [32].

139 above, PINGU will also significantly contribute to the other neutrino oscillation studies discussed
 140 here. For additional information on PINGU, please refer to Ref. [33].

141 5. Conclusions

142 Atmospheric neutrinos have remained a valuable tool to study neutrino oscillations. Using
 143 these IceCube has measured the neutrino oscillation parameters with a precision that is competitive
 144 to that of accelerator based experiments, but at a significantly higher energy. This makes our results
 145 complementary to those from accelerator based experiments in the context of testing the standard
 146 neutrino oscillation framework. Additional studies with atmospheric neutrinos in IceCube are still
 147 underway to improve the precision of our current results and also aiming towards the first tau
 148 neutrino appearance measurement from IceCube.

149 Going beyond the current detector, proposed extensions to IceCube have a rich physics pro-
 150 gram. In particular, they will be able to significantly improve IceCube’s sensitivity to the atmo-
 151 spheric neutrino oscillation parameters, to tau neutrino appearance searches and to the neutrino
 152 mass ordering. With Phase 1, we expect to achieve a precision of better than 10% on the measure-
 153 ment of the tau neutrino appearance rate, which would greatly facilitate testing the unitarity of the
 154 τ row of the PMNS matrix. PINGU will be able to make a 3σ determination of the neutrino mass
 155 ordering within 4 years, independent of the true value of θ_{23} .

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