

Search for *CP* violation and rare decays in charm sector at Belle

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Measurement of branching fractions, and *CP* asymmetries in D^0 decays are interesting as any difference with respect to the Standard Model prediction would be an indication of new physics. Using the full data sample collected with the Belle detector located at the KEKB asymmetricenergy e^+e^- (3.5 on 8 GeV) collider, we present the results of the first measurement of *T*-odd moments in the decay $D^0 \rightarrow K_S \pi^+ \pi^- \pi^0$; measurement of branching fractions and *CP* asymmetries in $D^0 \rightarrow V\gamma(V = \phi, K^{*0}, \rho^0)$, $K_S^0 K_S^0$ decays; and the first search for D^0 decays to invisible final states.

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1. Introduction

In the Standard Model (SM), Charge-Parity (*CP*) violation in charm meson decays is predicted to be very small $[\mathcal{O}(10^{-3})]$. Any enhancement with respect to the SM prediction can be due to new particles or new interactions which are not included in the SM [1]. Here, we present the results of the first measurement of the *T*-odd moment asymmetry in the decay $D^0 \to K_S \pi^+ \pi^- \pi^0$; the branching fractions and *CP* asymmetries in $D^0 \to V\gamma(V = \phi, K^{*0}, \rho^0), D^0 \to K_S^0 K_S^0$ decays; and the first search for D^0 decays to invisible final states using the full Belle data set which corresponds to an integrated luminosity of around 1 ab⁻¹.

2. First measurement of the *T*-odd moments in the decay $D^0 \rightarrow K_S \pi^+ \pi^- \pi^0$

The self conjugate decay to the final state $D^0 \to K_S \pi^+ \pi^- \pi^0$ has a large branching fraction of 5.2% [2] which allows for a precise test of *CP* symmetry as a sample of $\mathcal{O}(10^6)$ decays is expected. Previously the decay was studied by the MARK III Collaboration with a sample of only 140 events which corresponds to a data sample of 9.56 pb⁻¹ [3]. Here, we present the first measurement of the time-reversal (*T*) asymmetry in $D^0 \to K_S \pi^+ \pi^- \pi^0$ decays which has two neutral particles in the final state. The measurement is sensitive to *CP* violation via the *CPT* theorem. The measurement is performed via the scalar triple product: $C_T = \mathbf{p}_{\mathbf{K}_S} \cdot (\mathbf{p}_{\pi^+} \times \mathbf{p}_{\pi^-})$, where $\mathbf{p}_{\mathbf{K}_S}$, \mathbf{p}_{π^+} , and \mathbf{p}_{π^-} are the momenta of the D^0 daughters K_S , π^+ , π^- respectively. Similarly, \bar{C}_T can be defined for the \bar{D}^0 daughter particles. The two asymmetry parameters for D^0 and \bar{D}^0 are defined as

$$A_T = \frac{\Gamma(C_T > 0) - \Gamma(C_T < 0)}{\Gamma(C_T > 0) + \Gamma(C_T < 0)}, \quad \bar{A}_T = \frac{\Gamma(-\bar{C}_T > 0) - \Gamma(-\bar{C}_T < 0)}{\Gamma(-\bar{C}_T > 0) + \Gamma(-\bar{C}_T < 0)}.$$
(2.1)

Here Γ is the partial decay rate. The above asymmetries can be nonzero due to the final state interaction (FSI) effects [4], but these effects are eliminated by taking the difference between A_T and \bar{A}_T as a *CP* violation sensitive parameter which is defined as

$$a_{CP}^{T-odd} = \frac{1}{2} (A_T - \bar{A}_T).$$
(2.2)

2.1 Signal extraction

By using the analysis technique as described in [5], $D^{*\pm}$ and D^0 mesons are reconstructed from the decays: $D^{*\pm} \rightarrow D^0 \pi_{\text{slow}}^{\pm}$, $D^0 \rightarrow K_S \pi^+ \pi^- \pi^0$. As the final state is the same for both D^0 and \bar{D}^0 , so we use the charge of π_{slow}^{\pm} to distinguish the flavour of the *D* meson. The π_{slow}^{\pm} is so called because it carries a small momentum compared to the D^0 meson. We extract the signal yield by performing a two-dimensional unbinned maximum likelihood fit to the mass difference $\Delta M(M_{D^{*\pm}} - M_{D^0})$ and M_{D^0} , where $M_{D^{*\pm}}$ and M_{D^0} are the masses of $D^{*\pm}$ and D^0 mesons respectively. The fit results are shown in Figure 1. The total signal yield extracted from the above fit is 744, 509 ± 1,622 events.

2.2 Results

The asymmetry obtained for the decay is $A_T = (11.60 \pm 0.19)\%$, the large value of which is due to the FSI effects. The *T*-odd moment asymmetry is $a_{CP}^{T-\text{odd}} = (-0.28 \pm 1.38^{+0.23}_{-0.76}) \times 10^{-3}$





Figure 1: [colour online]. The signal-enhanced logarithmic distributions of (a) ΔM and (b) M_{D^0} for D^0 with $C_T > 0$, (c) ΔM and (d) M_{D^0} for D^0 with $C_T < 0$, (e) ΔM and (f) M_{D^0} for \bar{D}^0 with $-\bar{C}_T > 0$, (g) ΔM and (h) M_{D^0}) for \bar{D}^0 with $-\bar{C}_T < 0$. In these plots, points with error bars represent data, while the total best-fit projections are shown by the solid blue curves, for which the combinatorial background component is shown by the dotted magenta curves and the random π_{slow}^{\pm} background is shown by the dashed red curves. The normalised residuals (pulls) are shown above each plot.

which is consistent with no *CP* violation [5]. The $K_S \pi^+ \pi^- \pi^0$ phase space has been divided in to nine bins depending upon the intermediate resonance contributions as listed in Table 1. The results of $a_{CP}^{T-\text{odd}}$ in all bins are also consistent with no *CP* violation, but A_T can vary significantly due to different resonance contributions. The result constitutes one of the most precise tests of *CP* violation in the *D* meson system, but it is still statistically dominated, and hence the sensitivity can be improved by the upcoming Belle II experiment [6].

3. Search for *CP* asymmetry in $D^0 \rightarrow V \gamma(V = \phi, \bar{K}^{*0}, \rho^0)$ decays

Radiative charm decays are dominated by long range non-perturbative processes that can enhance the branching fractions up to $\mathcal{O}(10^{-4})$ from $\mathcal{O}(10^{-8})$ which is obtained from short range processes. Again measurement of branching fractions can be used to test QCD based theoretical calculations of long-distance dynamics. Further, radiative charm decays are sensitive to new physics (NP) via *CP* asymmetry (\mathscr{A}_{CP}). Theoretical calculations [7, 8] predict that in SM extensions chromomagnetic dipole operators can raise the value of \mathscr{A}_{CP} up to several percent for $D^0 \rightarrow \phi \gamma$ and $D^0 \rightarrow \rho^0 \gamma$ decays. The decay $D^0 \rightarrow \phi \gamma$ has been observed by Belle [9] using a data set of 78.1 fb⁻¹. Later with a 387.1 fb⁻¹ data sample the BaBar collaboration [10] measured branching fractions for both the decays $D^0 \rightarrow \phi \gamma$ and $D^0 \rightarrow \bar{K}^{*0} \gamma$. The decay $D^0 \rightarrow \rho^0 \gamma$ had not been observed before, but with a 4.8 fb⁻¹ data set CLEO II [11] had placed an upper limit of $\mathscr{B}(D^0 \rightarrow \rho^0 \gamma) < 2.4 \times 10^{-4}$. No \mathscr{A}_{CP} measurements had been performed in $D^0 \rightarrow V \gamma$ decays.

Bin	Resonance	Invariant mass	$A_T(\times 10^{-2})$	$a_{CP}^{T-\text{odd}}(\times 10^{-3})$
		requirement (MeV/ c^2)		
1	$K_S^0\eta$	$M_{\pi^+\pi^-\pi^0} < 590$	$0.2\pm1.3\pm0.4$	$4.6 \pm 9.5 \pm 0.2$
2	$K_S^0 \omega$	$762 < M_{\pi^+\pi^-\pi^0} < 802$	$3.6\pm0.5\pm0.5$	$-1.7\pm 3.2\pm 0.7$
3	$K^{*-}\rho^+$	$790 < M_{K_S^0 \pi^-} < 994$	$6.9\pm0.3~^{+0.6}_{-0.5}$	$0.0 \pm 2.0^{+1.6}_{-1.4}$
		$610 < M_{\pi^+\pi^0} < 960$		
4	$K^{*+} ho^-$	$790 < M_{K_{\rm S}^0\pi^+} < 994$	$22.0\pm0.6\pm0.6$	$1.2 \pm 4.4^{+0.3}_{-0.4}$
		$610 < M_{\pi^-\pi^0} < 960$		
5	$K^{*-}\pi^+\pi^0$	$790 < M_{K_{\rm S}^0 \pi^-} < 994$	$25.5\pm0.7\pm0.5$	$-7.1\pm5.2^{+1.2}_{-1.3}$
6	$K^{*+}\pi^-\pi^0$	$790 < M_{K_c^0 \pi^+}^{3} < 994$	$24.5 \pm 1.0 \ ^{+0.7}_{-0.6}$	$-3.9\pm7.3^{+2.4}_{-1.2}$
7	$K^{*0}\pi^+\pi^-$	$790 < M_{K_{\rm S}^0 \pi^0} < 994$	$19.7\pm0.8~^{+0.4}_{-0.5}$	$0.0\pm5.6^{+1.1}_{-0.9}$
8	$K^0_S ho^+\pi^-$	$610 < M_{\pi^+\pi^0} < 960$	$13.2\pm0.9\pm0.4$	$7.6 \pm 6.1^{+0.2}_{-0.0}$
9	Remainder	_	$20.5 \pm 1.0 \ ^{+0.5}_{-0.6}$	$1.8 \pm 7.4^{+2.1}_{-5.3}$

Table 1: A_T and $a_{CP}^{T-\text{odd}}$ values from different regions of $D^0 \to K_S^0 \pi^+ \pi^- \pi^0$ phase space.

3.1 Analysis overview

We measure branching fractions and *CP* asymmetries for the decay modes: $D^0 \rightarrow \phi(K^+K^-)\gamma$, $D^0 \rightarrow \rho^0(\pi^+\pi^-)\gamma$, and $D^0 \rightarrow \bar{K}^{*0}(K^-\pi^+)\gamma$ relative to the normalisation modes: $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$ and $D^0 \rightarrow K^-\pi^+$ respectively. To cancel several sources of systematic uncertainties that are common to both the signal and normalisation modes, we choose the normalisation modes that decay to the same charged final state particles as the signal decays. D^0 mesons are reconstructed from the decay $D^{*\pm} \rightarrow D^0 \pi^{\pm}_{\text{slow}}$ in order to identify the flavour of the D^0 meson and to suppress combinatorial background.

The signal branching fraction \mathscr{B}_{sig} is defined as

$$\mathscr{B}_{sig} = \mathscr{B}_{norm} \times \frac{N_{sig}}{N_{norm}} \times \frac{\varepsilon_{norm}}{\varepsilon_{sig}}.$$
(3.1)

Here, *N* is the extracted signal yield, ε the reconstructed efficiency, and \mathscr{B} is the branching fraction. The measured raw asymmetry is

$$\mathscr{A}_{raw} = \frac{N(D^0 \to f) - N(\bar{D}^0 \to \bar{f})}{N(D^0 \to f) + N(\bar{D}^0 \to \bar{f})} = \mathscr{A}_{CP} + \mathscr{A}_{FB} + \mathscr{A}_{\varepsilon}^{\pm}, \tag{3.2}$$

which is the sum of the physical *CP* asymmetry (\mathscr{A}_{CP}), the forward-backward production asymmetry (\mathscr{A}_{FB}), and the asymmetry due to different detection efficiencies for positively and negatively charged particles ($\mathscr{A}_{\varepsilon}^{\pm}$). \mathscr{A}_{FB} and $\mathscr{A}_{\varepsilon}^{\pm}$ are eliminated by comparing with the normalisation mode. Hence the \mathscr{A}_{CP} asymmetry of the signal mode can be expressed as

$$\mathscr{A}_{CP}^{sig} = \mathscr{A}_{raw}^{sig} - \mathscr{A}_{raw}^{norm} + \mathscr{A}_{CP}^{norm}$$
(3.3)

 \mathscr{A}_{CP}^{norm} is the world average *CP* asymmetry of the normalisation mode [2].

3.2 Signal extraction

By using the analysis technique as described in [12], signal events are extracted via a simultaneous unbinned maximum likelihood fit to the mass of D^0 meson $M(D^0)$ and cosine of the helicity

angle $cos(\theta_H)$, where $cos(\theta_H)$ is the angle between the D^0 and a daughter particle of the V meson in the rest frame of the V meson.

The dominant backgrounds arise from the decays $D^0 \rightarrow h^+ h^- \pi^0$ $(h = K, \pi)$, with the π^0 decaying to a pair of photons. If one of the daughter photons is missed in the reconstruction, the final state mimics the signal. Such events are suppressed by using a dedicated π^0 veto which uses an artificial neural network. The new veto rejects about 60% of the background (and rejects 13% more background than the previous veto used in the Belle analysis) while retaining 85% of the signal.

The fit results are shown in Figure 2 for all three signal modes. The extracted signal yields are $500 \pm 85 \ (\rho^0 \gamma \text{ mode}), 9104 \pm 396 \ (\bar{K}^{*0} \gamma \text{ mode}), 524 \pm 35 \text{ events } (\phi \gamma \text{ mode}).$

signal π⁰ bkg.

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2000

signal π⁰ bkg.

η bkg. combinatorial 300 other D⁰ irreducible bkg Events / (7.8 MeV/c²) Events / (7.8 MeV/c²) other D⁰ irreducible bka 200 1000 100 0 0 1.9 1.8 1.9 2 1.7 1.8 2 M(D⁰) (GeV/c²) M(D⁰) (GeV/c²) signal π⁰ bkg. 40 60 other D⁰ combinatorial Events / (7.8 MeV/c²) Events / (0.04) 40 20 20 0⊾ _1 0 1.7 1.8 1.9 -0.5 0 0.5 M(D⁰) (GeV/c²) cos(θ_H) **Figure 2:** [colour online]. The signal enhanced plot of the combined $M(D^0)$ distributions for $D^0 \rightarrow \rho^0 \gamma$

(top left) and $D^0 \to \bar{K}^{*0}\gamma$ (top right). The bottom plots are the signal enhanced $M(D^0)$ (left) and $\cos(\theta_H)$ (right) distributions for $D^0 \rightarrow \phi \gamma$. In these plots, points with error bars represent data while the total best-fit projection is shown with the solid blue curve, with the fit components identified in the panel legend.

3.3 Results

The obtained branching fractions are

$$\mathscr{B}(D^0 \to \rho^0 \gamma) = (1.77 \pm 0.30 \pm 0.07) \times 10^{-5}, \tag{3.4}$$

$$\mathscr{B}(D^0 \to \phi \gamma) = (2.76 \pm 0.19 \pm 0.10) \times 10^{-5}, \tag{3.5}$$

$$\mathscr{B}(D^0 \to \bar{K}^{*0}\gamma) = (4.66 \pm 0.21 \pm 0.21) \times 10^{-4},$$
(3.6)

where the first uncertainty is statistical and the second is systematic. For the ρ^0 mode we report the first observation of the decay. The result for the ϕ mode is an improvement on the previous Belle result but is consistent with the world average [2], and the result of \bar{K}^{*0} mode is 3.3 σ above the result of the BaBar analysis.

We also report the first measurement of \mathscr{A}_{CP} in the decays $D^0 \to V\gamma$. The results are

$$\mathscr{A}_{CP}(D^0 \to \rho^0 \gamma) = +(0.056 \pm 0.152 \pm 0.006), \tag{3.7}$$

$$\mathscr{A}_{CP}(D^0 \to \phi \gamma) = -(0.094 \pm 0.066 \pm 0.001), \tag{3.8}$$

$$\mathscr{A}_{CP}(D^0 \to \bar{K}^{*0}\gamma) = -(0.003 \pm 0.020 \pm 0.000), \tag{3.9}$$

and are consistent with no *CP* violation, but the statistical uncertainty is dominant, and hence the sensitivity can be greatly enhanced by the Belle II experiment [6].

4. Measurement of *CP* asymmetry in $D^0 \rightarrow K_S^0 K_S^0$ decay

Singly Cabibbo-suppressed decays like $D^0 \rightarrow K_S^0 K_S^0$ are interesting as the possibility of interference with NP amplitudes could lead to large nonzero *CP* violation. A recent SM based calculation obtains an upper limit of 1.1% for direct *CP* violation in this decay [13]. The previous search for this decay was first performed by CLEO [14] using a data sample of 13.7 fb⁻¹ and measured a *CP* asymmetry of $(-23 \pm 19)\%$. Later LHCb measured the *CP* asymmetry as $(-2.9 \pm 5.2 \pm 2.2)\%$ [15]. Both the results are consistent with no *CP* violation. Recently BESIII, using a data sample of 2.93 fb⁻¹, reported a branching fraction of $(1.67 \pm 0.11 \pm 0.11) \times 10^{-4}$ [16] for this mode. Belle significantly improved these measurements using a data sample of 921 fb⁻¹.

4.1 Analysis overview

We measure branching fractions and *CP* asymmetries for the decay $D^0 \rightarrow K_S^0 K_S^0$, relative to the normalisation mode $D^0 \rightarrow K_S^0 \pi^0$. Similarly to the other two analyses described above, D^0 mesons are reconstructed from the decay $D^{*\pm} \rightarrow D^0 \pi_{\text{slow}}^{\pm}$ in order to identify the flavour of the D^0 meson and to suppress combinatorial background.

The measured raw asymmetry is

$$\mathscr{A}_{raw} = \frac{N(D^0 \to f) - N(\bar{D}^0 \to \bar{f})}{N(D^0 \to f) + N(\bar{D}^0 \to \bar{f})} = \mathscr{A}_{CP} + \mathscr{A}_{FB} + \mathscr{A}_{\varepsilon}^{\pm} + \mathscr{A}_{\varepsilon}^{K}, \tag{4.1}$$

and the \mathscr{A}_{CP} asymmetry of the signal mode can be expressed as

$$\mathscr{A}_{CP}^{sig} = \mathscr{A}_{raw}^{sig} - \mathscr{A}_{raw}^{norm} + \mathscr{A}_{CP}^{norm} + + \mathscr{A}_{\varepsilon}^{K}, \qquad (4.2)$$

where $\mathscr{A}_{\varepsilon}^{K} = (-0.11 \pm 0.01)\%$ [17] is the asymmetry originating from the different strong interactions of K^{0} and \bar{K}^{0} mesons with detector material.

4.2 Signal extraction

By using the analysis technique as described in [18], signal is extracted via a simultaneous unbinned maximum likelihood fit of the ΔM distributions for D^{*+} and D^{*-} . The fit results are shown in Figure 3. The extracted signal yields are 4755 ± 79 ($K_S^0 K_S^0$ mode) and 475439 ± 767 events ($K_S^0 \pi^0$ normalisation mode).



Figure 3: [colour online]. Distributions of the mass difference ΔM for selected D^{*+} (left) and D^{*-} (right) candidates, reconstructed from $D^0 \to K_S^0 \pi^0$ (top) and $D^0 \to K_S^0 K_S^0$ (bottom). In these plots, points with error bars represent data while the total best-fit projection is shown with the solid blue curve, with the signal component (long-dashed red curve), the peaking background component (dotted cyan), and the combinatorial background component (dashed blue) shown. The normalised residuals (pulls) are shown below each plot.

4.3 Result

The results for the branching fraction and CP asymmetry are

$$\mathscr{B}(D^0 \to K^0_S K^0_S) = (1.32 \pm 0.02 \pm 0.04 \pm 0.04) \times 10^{-4}, \tag{4.3}$$

$$\mathscr{A}_{CP}(D^0 \to K^0_S K^0_S) = -(0.02 \pm 1.53 \pm 0.02 \pm 0.17). \tag{4.4}$$

where the first uncertainty is statistical, the second is the systematic, and the third is due to the uncertainty on $A_{CP}(\mathscr{B})$ of $D^0 \to K_S^0 \pi^0$. The result of \mathscr{A}_{CP} is consistent with no *CP* violation and represents a significant improvement over the previous measurements. The branching fraction is consistent with the world average and 2.3 σ away from the recent BESIII measurement. Both results are the most precise measurements made for the $D^0 \to K_S^0 K_S^0$ decay.

5. First search for D^0 decays to invisible final states

By using the analysis technique as described in [19], the signal yield is extracted from a two-

dimensional extended unbinned maximum likelihood fit to M_{D^0} and the residual energy in the ECL E_{ECL} . The projections of the fit are shown in Figure 4. The extracted signal yield is $-6.3^{+22.5}_{-21.0}$ events, which is consistent with zero.



Figure 4: [colour online]. In these plots, points with error bars represent data while the total fit projection is shown with the solid black curve, with the fit components identified in the panel legend.

The upper limit on the branching fraction obtained for this decay is 9.4×10^{-5} at the 90% confidence level.

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