

Low-Energy Theorems in Two-Nucleon Scattering

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One-pion exchange defines the longest-range part of the NN interaction, controls the near-threshold energy dependence of the scattering amplitude and imposes correlations between the coefficients in the effective range expansion. These correlations may be regarded as low-energy theorems. We discuss the low-energy theorems for unphysical pion masses and consider various applications to test recent lattice QCD results. In particular, we demonstrate that the binding energies of the deuteron and dineutron obtained by the NPLQCD Collaboration at a pion mass of $M_\pi = 450$ MeV are inconsistent with the low-energy behavior of the corresponding phase shifts within the quoted uncertainties. Using the binding energies of the deuteron and dineutron as input, we employ the low-energy theorems to predict the phase shifts and extract the scattering length and the effective range in the 3S_1 and 1S_0 channels.

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1. Introduction

Lattice-QCD allows one to calculate nuclear observables from first principles and to study their light-quark-mass-dependence (or, equivalently, pion-mass dependence). Recent advances in lattice-QCD allow fully dynamical calculations for unphysical pion masses as low as $M_\pi \simeq 300\text{--}400$ MeV in NN systems [1, 2, 3] and even for $M_\pi \sim M_\pi^{\text{phys}}$ for baryon-baryon interactions with non-zero strangeness [4, 5]. In addition to binding energies also scattering phase shifts became accessible on the lattice. For example, the NPLQCD collaboration analyzed NN scattering in 1S_0 and 3S_1 channels at $M_\pi \simeq 450$ and 800 MeV [3, 6, 7, 8] and the CalLat collaboration presented higher partial waves at $M_\pi \simeq 800$ MeV [9, 10]. In spite of large progress in lattice simulations, lattice-QCD results for the binding energies of the deuteron (3S_1) and dineutron (1S_0) for larger-than-physical pion masses are still puzzling, because there is no agreement between different calculations. Many groups report that both the deuteron and dineutron become more bound with increased pion mass [1, 2, 3, 7, 11]. On the other hand, the HAL QCD collaboration (which uses a different approach from the references above) finds no bound states in the 3S_1 and 1S_0 channels for the pion-mass range from 469 to 1171 MeV [12]. To connect lattice results at pion masses slightly larger than the physical one with experimental data one can use effective field theory (EFT) [13, 14, 15, 16, 17, 18, 19, 20, 21]. It is remarkable that many EFT calculations suggest less attraction in 3S_1 and 1S_0 channels for higher-than-physical pion masses [13, 16, 15, 21, 20]. These calculations, however, use extra assumptions (such as naturalness and resonance saturation estimates) about M_π -dependent four-nucleon contact interactions.

Alternatively, to study the properties of NN scattering at a given pion mass and to check self-consistency of lattice-QCD results, a method of low-energy theorems (LETs) can be employed [22] (see also Refs. [23, 24, 25, 26, 27, 28] for earlier studies). Specifically, using knowledge about the long-range part of the interaction and analytic properties of the scattering amplitude one can predict correlations (and, in some cases, relations) between observables. LETs were tested in Ref. [22] at the physical pion mass to predict effective range parameters for NN scattering in 3S_1 and 1S_0 channels. In Ref. [22], LETs were also generalized to unphysical pion masses and correlations between effective range expansion (ERE) parameters were derived.

Recently, the NPLQCD collaboration performed a lattice calculation of the binding energies and phase shifts in 3S_1 and 1S_0 NN scattering at $M_\pi = 450$ MeV [3]. In this contribution we follow Ref. [29] and compare these results with predictions of LETs. Specifically we use the binding energies obtained by NPLQCD as input for LETs to predict phase shifts in 3S_1 and 1S_0 channels and then to compare them to the phase shifts calculated on the lattice. We observe that the lattice phase shifts calculated at two lowest energy points are inconsistent with the lattice binding energies within quoted uncertainties. We also employ LETs to calculate the values of the scattering length and effective range, based on the lattice binding energy.

2. Formalism of low-energy theorems

In this section we follow Refs. [22, 29] to briefly summarize the main points of the LETs formalism. We consider low-energy NN scattering using a potential which can be separated into the long- and short-range parts, where the long-range part corresponds to the one-pion exchange

potential (OPEP). The short-distance part is unknown, but assumed to be sufficiently short-ranged such that its impact can be parameterized just by several parameters.¹ At leading order (LO) we assume that the effect of the short-range potential can be parameterized by a single parameter (for a given partial wave). At next-to-leading order (NLO) we parameterize it by two parameters and at higher orders more parameters should be introduced. After solving the nonperturbative scattering problem for the sum of the short- and long-range potentials we obtain the scattering observables and binding energies. Since the long-range interaction is fixed, all observables turn out to be functions of several unknown parameters which parameterize effects of the short-range interaction. This implies that all scattering observables are correlated by long-range interactions. These correlations can be interpreted as LETs. Specifically, at LO all observables are functions of a single parameter. Thus, we can choose one observable (for example, the scattering length) and re-express all remaining observables in terms of this single observable.

To implement LETs, we use the EFT formulation discussed in Refs. [22, 29]. The scattering amplitude T in this formulation is calculated as a solution of the Lippmann-Schwinger-type integral equation introduced originally by Kadyshevsky [31]:

$$T(\vec{p}, \vec{p}', k) = V(\vec{p}, \vec{p}') + \int d^3q V(\vec{p}, \vec{q}) G(k, q) T(\vec{q}, \vec{p}', k), \quad (2.1)$$

where $V = V_{\text{Long}} + V_{\text{Short}}$, the free Green's function is defined as

$$G(k, q) = \frac{m_N^2}{2(2\pi)^3} \frac{1}{(\vec{q}^2 + m_N^2) (E_k - \sqrt{\vec{q}^2 + m_N^2} + i\epsilon)}, \quad (2.2)$$

\vec{p} (\vec{p}') denotes the incoming (outgoing) three-momentum of the nucleon in the cms and $E_k = \sqrt{\vec{k}^2 + m_N^2}$ with m_N standing for the nucleon mass and \vec{k} being the corresponding three-momentum. The long-range part of the interaction potential is defined by one-pion-exchange potential (OPEP):

$$V_{\text{Long}}(\vec{p}, \vec{p}') = -\frac{g_A^2}{4F_\pi^2} \frac{\vec{\sigma}_1 \cdot (\vec{p} - \vec{p}') \vec{\sigma}_2 \cdot (\vec{p} - \vec{p}')}{(\vec{p} - \vec{p}')^2 + M_\pi^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2, \quad (2.3)$$

where $\vec{\sigma}_i$ ($\boldsymbol{\tau}_i$) denote the spin (isospin) Pauli matrices of the nucleon i , g_A is axial vector coupling of the nucleon and F_π is the pion decay constant. The short-range interaction at LO is parameterized by a derivative-less (momentum-independent, zero-range) contact interaction $V_{\text{Short}}^{\text{LO}} = C_0$. We use the Kadyshevsky equation (2.1)–(2.2) instead of the standard Lippmann-Schwinger equation (LSE), because for the potentials we are considering, this equation is proven to be exactly renormalizable [32]. Therefore one can set the cutoff in Eq. (2.1) to infinity to avoid practical problems related with the finite cutoff artifacts. In principle, one can also use the standard LSE with a finite cutoff to implement the LETs, if the finite-cutoff effects are properly controlled.

At NLO, the finite-range effects of the short range interaction are taken into account. To introduce NLO contact interactions and still preserve explicit renormalizability we use the resonance

¹Effects of the short range potential on low-energy scattering can be parameterized in various ways. For example, one can parameterize them by the scattering length, effective range and shape parameters of the short-range part of the potential [30]. In the EFT formulation, the effects of short range interactions are encoded in low-energy constants.

saturation method [22]. The NLO correction to the potential is introduced as a heavy-meson exchange potential:

$$V_{\text{Short}}^{\text{NLO}}(\vec{p}, \vec{p}') = \beta \frac{\vec{\sigma}_1 \cdot (\vec{p} - \vec{p}') \vec{\sigma}_2 \cdot (\vec{p} - \vec{p}')}{(\vec{p} - \vec{p}')^2 + M^2}, \quad (2.4)$$

where the heavy-meson mass M is chosen to be 700 MeV and the strength β parameterizes the finite-range effects of the short range interaction. For the physical pion mass the value of β is fitted to reproduce the empirical value of the effective range in the 1S_0 and the 3S_1 channels (separately for each partial wave). The functional form of the NLO term (i.e. spin-angular structure, the value of heavy-meson mass) is not important as long as this term remains of a short range.

To get quantitative predictions based on LETs, we solve the coupled-channel² integral equation (2.1) numerically in the partial wave basis and get the scattering amplitude T as a function of the contact terms. For an uncoupled channel with zero orbital angular momentum (1S_0), the on-shell scattering amplitude $T(k) \equiv T(k, k, k)$ can be expressed in terms of the so-called effective range function $F(k) \equiv k \cot \delta(k)$ via

$$T(k) = -\frac{16\pi^2}{m_N} \frac{1}{F(k) - ik}. \quad (2.5)$$

The effective range function is easier to parameterize than the scattering amplitude, because it does not have the kinematic unitarity cut and is a real meromorphic function of k^2 in the vicinity of $k = 0$ [33, 34]. It can be expanded into Taylor-series around $k = 0$ leading to the effective range expansion:

$$F(k) = k \cot \delta(k) = -\frac{1}{a} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + v_4k^8 + \dots, \quad (2.6)$$

where a is the scattering length, r is the effective range, and v_i are the so-called shape parameters. For the coupled-channel $^3S_1 - ^3D_1$ problem the above formalism is generalized to include the 3D_1 phase shift and $^3S_1 - ^3D_1$ mixing angle.

To implement the LETs at LO, a single observable is used as input to fix the short range parameter, and other observables are then predicted. Alternatively, one can plot scattering observables as functions of the input. For example, the ERE parameters as functions of the scattering length are calculated in Ref. [22]. For NLO LETs one has to fix one more parameter. At the physical pion mass we use the empirical values of the effective range in 1S_0 and 3S_1 channels to fix the NLO parameters.

Generalization of LETs to unphysical pion masses is performed in a straightforward way [22]. The largest effect comes from the variation of the pion mass in the propagator of OPEP. Additional effects come from the M_π -dependence of F_π , m_N , and g_A . We use the fits of lattice data performed in Ref. [22] to determine F_π , m_N , and g_A at unphysical pion masses.

The short-range parameters should be fixed from observables at a given unphysical pion mass. For LO LETs the single short-range parameter can be determined, for example, from the scattering length or from the binding energy at a given unphysical pion mass. Unlike chiral extrapolations, no assumptions are made about M_π -dependence of the short range parameter C_0 .

At NLO there is one more parameter, which parameterizes the short-range effects. There are several strategies which can be used to determine its value or a range of values. If there is enough

²We solve coupled-channel equation for $^3S_1 - ^3D_1$ case, and single channel equation for 1S_0 case.

lattice data, it can be fixed from some observable at the unphysical pion mass. In practice, we assume that the parameter β does not change dramatically compared to its value at the physical pion mass. Note that unnaturally large values of β will increase the finite-range effects of the short range potential and thus violate our main assumption that long-range effects are dominated by OPEP. To quantify the range of β values, we introduce dimensionless parameter $\delta\beta$, which defines the natural range of β for pion masses larger than the physical one. Formally, we restrict the M_π^2 -dependence of β by the following inequality:

$$1 - \delta\beta \left| \frac{M_\pi^2 - (M_\pi^{\text{phys}})^2}{\Delta M_\pi^2} \right| \leq \frac{\beta(M_\pi)}{\beta(M_\pi^{\text{phys}})} \leq 1 + \delta\beta \left| \frac{M_\pi^2 - (M_\pi^{\text{phys}})^2}{\Delta M_\pi^2} \right|, \quad (2.7)$$

with $\Delta M_\pi^2 \equiv (M_\pi^{\text{ref}})^2 - (M_\pi^{\text{phys}})^2$, $M_\pi^{\text{ref}} = 500$ MeV, and $\beta(M_\pi^{\text{phys}})$ fixed at the physical pion mass. For our central 3S_1 results we use $\delta\beta = 0.5$, which means that β can change by 50% when going from the physical value of M_π to $M_\pi = 500$ MeV. This choice of $\delta\beta$ is motivated by the fact that it would cover the known M_π -dependence of g_A , F_π and m_N if the same procedure is applied to these quantities. In the next section, we will also give results corresponding to the more conservative choice of $\delta\beta = 1.0$. For calculations in the 1S_0 channel we use $\delta\beta = 1.0$, due to a larger role of short-range forces in that channel. We have checked that introducing a reasonable³ M_π -dependence in the heavy meson mass does not increase the uncertainty, because its effect is simulated by the variation of β .

3. Analysis of NPLQCD results at $M_\pi = 450$ MeV

The NPLQCD collaboration in Ref. [3] reported their results for lattice calculations of the deuteron and dineutron binding energies and the 1S_0 and 3S_1 phase shifts at the pion mass $M_\pi \simeq 450$ MeV. The deuteron and dineutron binding energies quoted in Ref. [3] at $M_\pi \simeq 450$ MeV are

$$B_d = 14.4({}^{+3.2}_{-2.6}) \text{ MeV}, \quad B_{nn} = 12.5({}^{+3.0}_{-5.0}) \text{ MeV}, \quad (3.1)$$

where the errors come from statistical, systematic, and extrapolation uncertainties combined in quadrature. The phase shifts calculated in Ref. [3] and the corresponding uncertainties are shown as filled black regions in Fig. 1 (left) for 3S_1 and Fig. 2 (left) for 1S_0 . Further, the obtained deuteron binding energy and two lowest-energy phase shift data points are used in Ref. [3] to extract the ERE parameters using the effective range approximation

$$k \cot \delta \simeq -\frac{1}{a} + \frac{1}{2} r k^2. \quad (3.2)$$

The result of this fit is shown by gray bands in Fig. 1 (right) and Fig. 2 (right). The inverse scattering length and the effective range extracted from this fit in Ref. [3] read:

$$(M_\pi a_{\text{NPLQCD}}^{({}^3S_1)})^{-1} = -0.04({}^{+0.07}_{-0.10})({}^{+0.08}_{-0.17}), \quad M_\pi r_{\text{NPLQCD}}^{({}^3S_1)} = 7.8({}^{+2.2}_{-1.5})({}^{+3.5}_{-1.7}), \quad (3.3)$$

$$(M_\pi a_{\text{NPLQCD}}^{({}^1S_0)})^{-1} = 0.021({}^{+0.028}_{-0.036})({}^{+0.032}_{-0.063}), \quad M_\pi r_{\text{NPLQCD}}^{({}^1S_0)} = 6.7({}^{+1.0}_{-0.8})({}^{+2.0}_{-1.3}), \quad (3.4)$$

where the uncertainties in the first and second brackets are statistical and systematic, respectively.

³Of the same order as M_π -dependence of other masses.

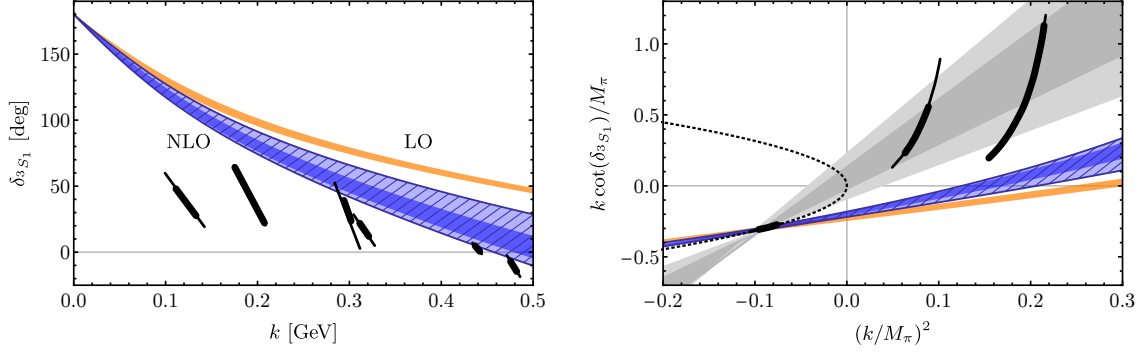


Figure 1: Neutron-proton phase shifts (left panel) and the effective-range function (right panel) in the 3S_1 channel calculated on the lattice at $M_\pi \sim 450$ MeV [3] (filled black regions) in comparison with the predictions based on the LETs at LO (orange light-shaded bands) and NLO (blue dark-shaded and hatched blue light-shaded bands) using the NPLQCD result for the deuteron binding energy B_d as input. The uncertainty at LO shown by the orange bands is entirely given by the uncertainty of B_d in Eq. (3.1). The NLO dark-shaded (hatched light-shaded) bands correspond to the uncertainty in B_d and the theoretical uncertainty of the LETs estimated via the variation of β with $\delta\beta = 0.5$ ($\delta\beta = 1.0$) combined in quadrature. The gray light- and dark-shaded bands in the right panel depict the fit results of the lattice points of Ref. [3] based on the effective range approximation (3.5). The energy of the bound (virtual) states corresponds to the intersection points of the effective-range function $k \cot(\delta^{3S_1})$ and the unitarity term $ik/M_\pi = \pm \sqrt{-(k/M_\pi)^2}$, shown by the dotted line in the right panel, in the lower (upper) half-plane. The phase shift corresponds to the Blatt-Biedenharn parametrization of the S-matrix [35].

3.1 Application of LETs to 3S_1 channel

We now take NPLQCD's value of the deuteron binding energy B_d of Eq. (3.1) as input and employ LETs to predict the phase shifts in the 3S_1 channel. At LO, the single parameter in LETs is fixed by the deuteron binding energy, and at NLO an extra parameter is fixed as discussed in Section 2. Our LET-based prediction of the phase shift and the effective range function are shown in Fig. 1 as orange (LO) and blue (NLO) bands. The width of the LO (orange) band corresponds to the uncertainty in the input binding energy of Eq. (3.1) and does not include the theoretical uncertainty of the LETs. The accuracy of the NLO LETs can be estimated by the width of the blue band, which corresponds to the variation of β parameter using $\delta\beta = 0.5$. This variation is consistent with the shift from LO to NLO LETs. As can be seen from Fig. 1 (left), the NLO LET prediction for 3S_1 phase shifts agrees with lattice results at higher momenta, but disagrees at lower momenta within reported uncertainties. It is also remarkable that the lattice phase shifts tend to 0° at $k = 0$, while phase shifts generated by LETs go to 180° in agreement with the Levinson's theorem. We have checked that using a much stronger M_π -dependence of β than of other coupling constants and masses does not change our conclusions. For illustration, the results of NLO LETs with $\delta\beta = 1$ are shown in Fig. 1 as hatched blue band. We observe that even a very conservative estimation of NLO terms cannot remove the disagreement between LETs and lattice data at low energies.

As a next step, we extract the scattering length and effective range corresponding to the phase

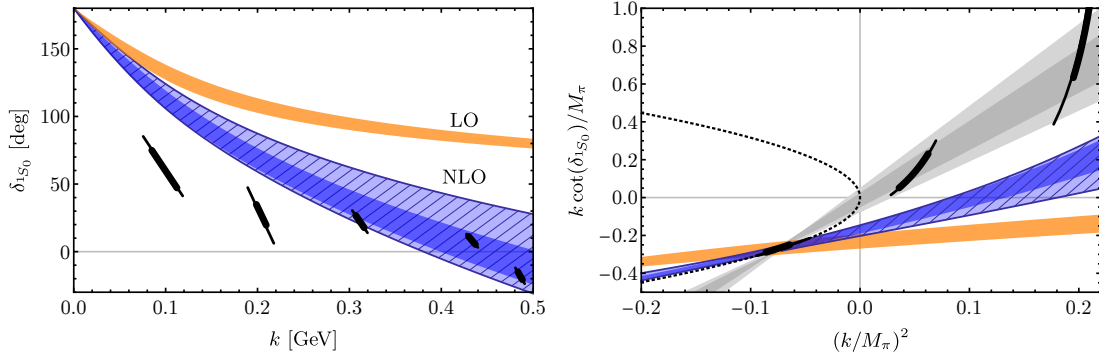


Figure 2: Two-nucleon phase shifts (left panel) and the effective-range function (right panel) in the 1S_0 channel calculated on the lattice at $M_\pi \sim 450$ MeV [3] in comparison with the predictions based on the LETs at LO and NLO using the NPLQCD result for the dineutron binding energy of Eq. (3.1) as input. For notation see Fig. 1.

shifts generated by LETs. We obtain the following results based on LO and NLO calculations:

$$\begin{aligned}
 (M_\pi a_{\text{LET, LO}}^{(^3S_1)})^{-1} &= 0.229^{(+0.019)}_{(-0.018)}, & M_\pi r_{\text{LET, LO}}^{(^3S_1)} &= 1.62^{(+0.06)}_{(-0.06)}, \\
 (M_\pi a_{\text{LET, NLO}}^{(^3S_1)})^{-1} &= 0.196^{(+0.014)}_{(-0.013)} \begin{matrix} (+0.007) \\ (-0.004) \end{matrix}, & M_\pi r_{\text{LET, NLO}}^{(^3S_1)} &= 2.44^{(+0.08)}_{(-0.08)} \begin{matrix} (+0.12) \\ (-0.17) \end{matrix},
 \end{aligned} \tag{3.5}$$

where uncertainties in the first brackets correspond to the error bars of the input deuteron binding energy of Eq. (3.1), and for NLO results the second error bars correspond to the variation of the NLO parameter $\delta\beta = 0.5$. These results are in contradiction with the values obtained by the NPLQCD Collaboration, given in Eq. (3.3). Specifically, our effective range is a factor of three smaller than the result of NPLQCD.

The effective-range-expansion fit of Ref. [3] may suffer from self-inconsistency issues discussed in Ref. [29]. To illustrate this point we consider the relation between the scattering amplitude and the effective range function given by Eq. (2.5). According to Eq. (2.5), every solution of the equation

$$F(k) = ik \tag{3.6}$$

corresponds to a pole in the scattering amplitude. Graphical solutions of this equation are visualized in Fig. 1 (right), where each intersection of $F(k^2)$ with the ik term (dotted curve) indicates a bound or virtual state. The function $F(k^2)$ corresponding to the ERE fit done in Ref. [3] crosses the ik term twice: the first intersection corresponds to Eq. (3.1) and the second intersection in the vicinity of zero corresponds to a shallow pole in the scattering amplitude. This implies that the deeper bound state (Eq. (3.1)) is outside of the ERE validity range, and the ERE fit is not self-consistent. See Ref. [29] for the extended discussion of this issue.

3.2 Application of LETs to 1S_0 channel

In this section we use the LETs to check consistency of the lattice results in the 1S_0 channel. First, we take the NPLQCD's dineutron binding energy in Eq. (3.1) as input for the LETs and predict the 1S_0 phase shifts at LO and NLO. Our results are shown in Fig. 2 as orange (LO) and

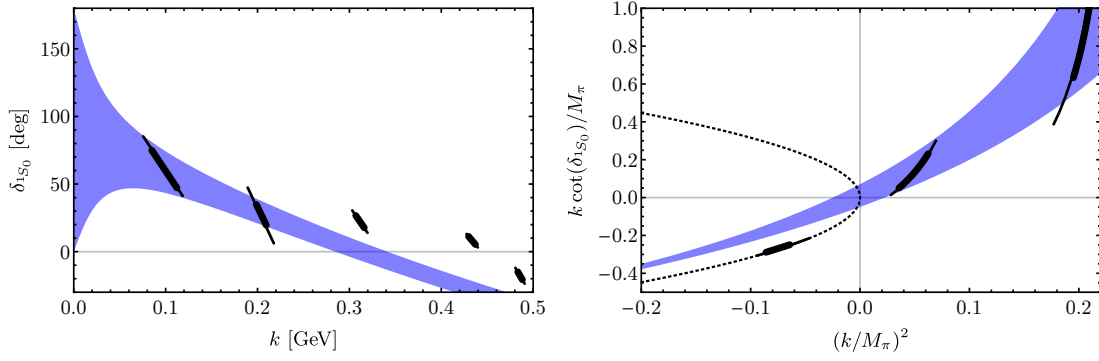


Figure 3: Two-nucleon phase shifts (left panel) and the effective-range function (right panel) in the 1S_0 channel calculated on the lattice at $M_\pi \sim 450$ MeV [3] in comparison with the predictions based on the LETs at NLO (blue shaded bands) using the scattering length in Eq. (3.8) as input. For remaining notation see Fig. 1.

blue (NLO) bands. Notice, that the shift between LO and NLO LETs results in the 1S_0 channel is larger than in the 3S_1 case because the OPEP strength (and thus the predictive power of LETs) is lower in the 1S_0 . For this reason, to estimate NLO LETs theoretical uncertainty in the 1S_0 channel we use an increased range of the NLO parameter corresponding to $\delta\beta = 1$. Expanding the phase shift predicted by LETs, we get the scattering length and effective range:

$$\begin{aligned} (M_\pi a_{\text{LET, LO}}^{(1S_0)})^{-1} &= 0.244^{(+0.026)}_{(-0.051)}, & M_\pi r_{\text{LET, LO}}^{(1S_0)} &= 0.90^{(+0.14)}_{(-0.06)}, \\ (M_\pi a_{\text{LET, NLO}}^{(1S_0)})^{-1} &= 0.175^{(+0.013)}_{(-0.028)} \begin{pmatrix} +0.024 \\ -0.008 \end{pmatrix}, & M_\pi r_{\text{LET, NLO}}^{(1S_0)} &= 2.86^{(+0.27)}_{(-0.12)} \begin{pmatrix} +0.27 \\ -0.74 \end{pmatrix}, \end{aligned} \quad (3.7)$$

where the NLO theoretical uncertainty is calculated assuming $\delta\beta = 1$. Comparing 1S_0 LETs predictions with lattice results we obtain similar conclusions as for the 3S_1 channel. Namely, the phase shifts are consistent at larger energies, but disagree at lower ones. Consequently, the LETs results for a and r also disagree with the NPLQCD fit, which has the same self-consistency issues as in the 3S_1 case.

Low-energy theorems can be also used to *predict* binding energies from low-energy phase shifts data (or, equivalently, from ERE parameters). To illustrate this application, we choose the value of the 1S_0 scattering length in a range which is consistent with the two lowest phase shift data points on the lattice:

$$(M_\pi a^{(1S_0)})^{-1} = -0.01 \pm 0.06. \quad (3.8)$$

Using this scattering length as input for LETs, we calculate the phase shifts and the dineutron binding energy. Predicted phase shifts and the corresponding effective range function are shown in Fig. 3. In this case, the dineutron shows up as a shallow bound (or virtual) state with the energy:

$$B_{nn} < 0.5 \text{ MeV} \quad B_{nn}^{\text{virtual}} < 0.6 \text{ MeV}. \quad (3.9)$$

Note that in this case, there is no deeper bound state.

4. Conclusion

Low-energy theorems can be understood as correlations between scattering observables, which result from the analytic properties of the one-pion exchange potential — the longest-range part of the NN interaction. We have applied low-energy theorems to NN scattering at unphysical pion masses to check and augment recent lattice QCD results.

Specifically, we have shown that the binding energies of the deuteron and dineutron obtained by the NPLQCD Collaboration at the pion mass of $M_\pi = 450$ MeV are inconsistent with the low-energy behavior of the corresponding phase shifts within the quoted uncertainties. This may indicate underestimated systematic uncertainties or issues with the determination of phase shifts or the binding energies on the lattice.

We use the NPLQCD binding energies of the deuteron and dineutron as input for the low-energy theorems to predict the phase shifts and to extract the scattering length and the effective range in the 3S_1 and 1S_0 channels. The values we obtain are much smaller in magnitude than the corresponding lattice results. If we use the lattice value of the 1S_0 scattering length as input for LETs, then the dineutron is expected to be a shallow bound or virtual state.

We have illustrated how LETs can be used to predict scattering observables for unphysical pion masses and to perform consistency checks of lattice results when several scattering observables are calculated on the lattice.

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