EFT determination of the heavy-hybrid spin potential

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We study the spin splitting in the heavy quarkonium hybrid spectrum within the framework of a nonrelativistic effective field theory. We derive for the first time the spin-dependent part of the heavy-quark-antiquark potential for heavy quarkonium hybrids to order $1/m^2$ in the heavy-quark-mass expansion. We find that several operators that are not found in standard quarkonia appear, most remarkably an operator suppressed by only one power of the heavy-quark mass. By matching the weakly-coupled pNRQCD to the effective field theory in the regime of short heavy-quark-antiquark distances, we work out the matching coefficients of the spin-dependent operators, which are factorized into a perturbative and a nonperturbative part. The nonperturbative part can be expressed in terms of purely gluonic correlators. We fit the nonperturbative parts of the matching coefficients to lattice data of the charmonium hybrid spectrum and obtain results that respect the power counting. Using the obtained nonperturbative pieces, we compute the bottomonium hybrid spectrum with the spin-dependent potential, for which results from the lattice are still sparse.

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1. Introduction

Since the discovery of the $X(3872)$ by the Belle Collaboration in 2003 [1], more than two dozen of nontraditional charmonium- and bottomonium-like states, the so-called XYZ mesons, have been observed at B-factories (BaBar, Belle and CLEO), $\tau$-charm facilities (CLEO-c, BESIII) and also proton-(anti)proton colliders (CDF, D0, LHCb, ATLAS, CMS). There is strong evidence that some of these states are non-conventional hadrons, in the sense that they do not fit into the quark-model picture. Various theoretical interpretations of these exotic hadrons have been proposed and studied (see, e.g., the reviews [2, 3, 4, 5] for more details on the experimental and theoretical status of the subject). One attractive interpretation of the XYZ mesons is the heavy quarkonium hybrid, which contains a heavy quark and a heavy antiquark, together with a gluonic excitation. While other interpretations of the XYZ mesons are multiquark states, which under some circumstances have an electromagnetic analogue in molecular states, quarkonium hybrids, having a gluonic excitation, shows a unique feature of QCD.

There are two approaches fully rooted in QCD to the computation of the spectra of quarkonium hybrids: lattice simulations and effective field theories (EFTs). Studies of heavy hybrids on the lattice have traditionally focused on the charmonium sector. Calculations in the bottomonium sector are more challenging since smaller lattice spacings are required. A pioneering quenched calculation of the excited charmonium spectrum was presented in Ref. [6]. This study was extended by the RQCD collaboration [7, 8] and by the Hadron Spectrum Collaboration [9, 10]. The common result in all these studies has been the identification of the lowest hybrid charmonium spin-multiplet at about $4.3\text{GeV}$ containing a state with exotic quantum numbers $J^{PC} = 1^{-+}$.

The EFT approach exploits the hierarchy of scales in quarkonium hybrids: $m \gg mv \gg \Lambda_{\text{QCD}} \gg mv^2$, where $m$ is the mass of the heavy quark and $v$ the relative velocity between the heavy quark and antiquark. Most remarkably is $\Lambda_{\text{QCD}} \gg mv^2$, as can be deduced from the lattice data, which show that an energy gap exists between the gluonic excitations and the excitations of the heavy-quark-antiquark pair [11, 12, 13, 14]. Note that the nonperturbative gluon dynamics occurs at the scale $\Lambda_{\text{QCD}}$. As a result, the heavy-quark-antiquark pair binds in the background potential created by the gluonic excitations, thus justifying the Born–Oppenheimer approximation [15, 11, 16, 17]. Computation of the heavy hybrid spectrum using a rigorous and systematic EFT approach has been performed [18, 19, 20]. In the EFT approach, the interquark potential factorizes into perturbative and nonperturbative contributions. The former can be calculated in perturbation theory, while the latter are parametrized according to the power counting, and can in principle be calculated on the lattice.

In this paper, we report our study of the spin splitting in the quarkonium hybrid spectrum using the EFT approach [21]. In Section 2, we construct the EFT for the quarkonium hybrids at the scale $mv^2$ by integrating out the scale $\Lambda_{\text{QCD}}$ in weakly-coupled pNRQCD in the regime of short interquark distances, obtaining the spin-dependent potential in the hybrid EFT to $O(1/m^2)$. The spin-dependent potential is shown to be parametrized by several nonperturbative parameters, which can be expressed in terms of purely gluonic correlators (details to be published in [22]). In Section 3, we fit the nonperturbative parameters in the EFT to lattice data of the charmonium hybrid spectrum. From the obtained nonperturbative parameters, we predict the the bottomonium hybrid spectrum. We conclude in Section 4.
2. Derivation of the spin-dependent potential

The first step in constructing the quarkonium hybrid EFT is to integrate out the scale $m$, which produces the well-known EFT called NRQCD [23, 24, 25]. The next step is to integrate out the scale $mv$. In the regime of short interquark distances, this step can be performed in perturbation theory and one arrives at the so-called weakly-coupled pNRQCD [26, 27]. To arrive at the hybrid EFT at the scale $mv^2$, we perform a matching between weakly-coupled pNRQCD and the hybrid EFT in the short-distance regime $r \ll 1/\Lambda_{QCD}$. First, we have to define the degrees of freedom in the hybrid EFT. Following [18] we classify quarkonium hybrids according to their behavior at $r \to 0$ in the static limit. In this limit, quarkonium hybrids reduce to gluelumps, which are bound states made of a color-octet heavy-quark-antiquark pair and some gluonic fields in a color-octet configuration localized at the center of mass of the heavy-quark-antiquark pair [28, 27, 18]. A basis of gluelump states can be written as

$$|\kappa, \lambda\rangle = P_{k\lambda}^\dagger O^{\dagger} (r, R) G_{k\lambda}^\dagger (R) |0\rangle,$$  \hspace{1cm} (2.1)

where $O^\dagger$ is the field operator for a color-octet heavy-quark-antiquark pair in pNRQCD. $r$ and $R$ are the relative and center-of-mass coordinates of the heavy-quark-antiquark pair. $G_{k\lambda}^\dagger$ is the operator that creates a gluonic excitation with quantum number $\kappa = KPC$, with $K$ the angular momentum of the gluonic degrees of freedom. $P_{k\lambda}^\dagger$ is the projector that projects the gluonic degrees of freedom to an eigenstate of $K \cdot \mathbf{r}$ with eigenvalue $\lambda$. The states $|\kappa, \lambda\rangle$ live in representations, characterized by $\lambda$, of the cylindrical-symmetry group $D_{\infty h}$ (with $P$ replaced by $CP$), which is the same symmetry group of diatomic molecules. The degrees of freedom in the quarkonium hybrid EFT are the fields $\Psi_{k\lambda}(t, r, R)$ associated to the states $|\kappa, \lambda\rangle$ [18, 20]. The Lagrangian of the quarkonium hybrid EFT resulting from the matching has the form

$$L_{\text{hybrid}} = \int d^3r d^3r' \sum_{\kappa, \kappa', \lambda, \lambda'} \Psi_{k\lambda}^\dagger (r, R, t) \left\{ i \partial_t - V_{k\lambda\lambda'}(r) + P_{k\lambda}^\dagger \frac{\nabla^2}{m} P_{k\lambda'} \right\} \Psi_{k\lambda'}(r, R, t) + \ldots,$$  \hspace{1cm} (2.2)

where the ellipsis stands for operators producing transitions to standard quarkonium states and transitions between hybrid states of different $\kappa$. The former are beyond the scope of this work and the latter are suppressed. The potential $V_{k\lambda\lambda'}(r)$ can be expanded in $1/m$ as

$$V_{k\lambda\lambda'}(r) = V_{k\lambda}(r) \delta_{\lambda\lambda'} + \frac{V_{k\lambda\lambda'}^{(1)}(r)}{m} + \frac{V_{k\lambda\lambda'}^{(2)}(r)}{m^2} + \ldots.$$  \hspace{1cm} (2.3)

We will write

$$V_{k\lambda\lambda'}^{(1)}(r) = V_{k\lambda\lambda'}^{(1) \text{SD}}(r) + V_{k\lambda\lambda'}^{(1) \text{SI}}(r),$$  \hspace{1cm} (2.4)

$$V_{k\lambda\lambda'}^{(2)}(r) = V_{k\lambda\lambda'}^{(2) \text{SD}}(r) + V_{k\lambda\lambda'}^{(2) \text{SI}}(r),$$  \hspace{1cm} (2.5)

where the subscripts “SD” and “SI” stand for “spin-dependent” and “spin-independent” respectively. $V_{k\lambda}(r)$ is the static potential

$$V_{k\lambda}^{(0)}(r) = \Lambda_k + V_o^{(0)}(r) + \ldots.$$  \hspace{1cm} (2.6)
where \( \Lambda_\kappa \) is the gluellump energy, computable on the lattice [13], and \( V^0_\kappa(r) \) is the static octet potential in the Lagrangian of weakly-coupled pNRQCD. In this paper, we will only consider the lowest-lying gluellumps \( \kappa = 1^{+-} \), for which we will simply write subscripts \( \kappa \) as 1. The spin-dependent potentials have the form

\[
V^{(1)}_{1\alpha\lambda'\kappa}(r) = V_{1SK}(r) \left( P^{(1)}_{1\alpha} K^{(1)}_{1\alpha'} P^{(1)}_{1\lambda'} \right) \cdot S + \ldots \tag{2.7}
\]

\[
V^{(2)}_{1\alpha\lambda'\kappa}(r) = V_{1SL\alpha}(r) \left( P^{(2)}_{1\alpha} L^{(1)}_{Q\bar Q} P^{(2)}_{1\lambda'} \right) \cdot S + V_{1SL\bar \alpha}(r) \left( L^{(1)}_{Q\bar Q} S^{(1)}_{\kappa} + S^{(1)}_{Q\bar Q} L^{(1)}_{Q\bar Q} \right) P^{(2)}_{1\lambda'} \label{eq:2.8}
\]

where \( L_{Q\bar Q} \) is the orbital angular momentum of the heavy-quark-antiquark pair, \( S_1 \) and \( S_2 \) are the spin vectors of the heavy quark and heavy antiquark respectively, \( S = S_1 + S_2 \) and \( S_{12} = 12(\mathbf{S}_1 \cdot \mathbf{r})(\mathbf{S}_2 \cdot \mathbf{r}) - 4S_1 \cdot S_2 \). \((K^{(1)})^j = i e^{ijk} \) is the angular momentum operator for the spin-1 gluonic degrees of freedom. The projectors \( P^{(1)}_{1\lambda} \) are given by \( P^{(1)}_{1\lambda} = r^{\lambda}_0 = \hat{r} \cdot \hat{P} \), \( P^{(2)}_{1\lambda} = r^{\pm}_{1\lambda} = \mp (\hat{\theta}^{\pm} \pm i \hat{\phi}^{\pm}) / \sqrt{2} \). The ellipses in Eqs. (2.7) and (2.8) stand for terms suppressed by powers of \( r \Lambda_{QCD} \). It should be noted from Eq. (2.7) that the spin-dependent potential for quarkonium hybrids appears at order \( 1/m \), as opposed to traditional quarkonia, for which the spin-dependent potential enters at order \( 1/m^2 \).

In addition, owing to the cylindrical symmetry in quarkonium hybrids, the \( 1/m^2 \)-spin-dependent potential in Eq. (2.8) has much involved structure than that of traditional quarkonia, which has rotational symmetry instead. In the matching, the object to consider is the two-point function:

\[
I_{\kappa\lambda\lambda'}(r, R) = \lim_{T \to \infty} \langle 0 | P^{(1)}_{1\alpha} G^{(1)}_{\kappa} (r, T/2) O^\beta (r, R, T/2) O^{\beta'} (r', -T/2) P^{(2)}_{1\lambda} G^{(2)}_{\kappa} (R', -T/2) | 0 \rangle. \tag{2.9}
\]

The matching is schematically depicted in Fig. 1. In the figure, the single, double and curly lines represent the heavy-quark singlet, heavy-quark octet and gluon fields respectively. The black dots stand for vertices from weakly-coupled pNRQCD that involve a chromoelectric or chromomagnetic field. The shaded blocks represent the nonperturbative gluon dynamics. Diagram (a) implies that the sum of the perturbative octet potential in pNRQCD and the gluellump energy appears in the quarkonium hybrid EFT. Diagrams (b)-(g) involve insertions of gluon field strengths, which give rise to nonperturbative gluonic correlators. Denote the nonperturbative part of the coefficients \( V_i(r) \) on the right-hand side of Eqs. (2.7) and (2.8) by \( V_{i\text{np}}(r) \). Then from the multipole expansion we know that \( V_{i\text{np}}(r) \) can be expanded as \( V_{i\text{np}}(r) = V_{i\text{np}}^{(0)}(r) + V_{i\text{np}}^{(1)}(r)^2 + \ldots \). Therefore, working to leading order and next-to-leading order in the multipole expansion for the \( 1/m^2 \) and \( 1/m \) terms respectively, we arrive at \( V_{SK}(r) = V_{SK}^{(0)}(r) + V_{SK}^{(1)}(r)^2 \), \( V_{SKb}(r) = V_{SKb}^{(0)}(r) + V_{SKb}^{(1)}(r)^2 \), \( V_{SL\alpha}(r) = V_{SL\alpha}^{(0)}(r) + V_{SL\alpha}^{(1)}(r)^2 \), \( V_{SL\bar \alpha}(r) = V_{SL\bar \alpha}^{(0)}(r) + V_{SL\bar \alpha}^{(1)}(r)^2 \), where \( V_{SL\alpha}(r) \), \( V_{SL\bar \alpha}(r) \) and \( V_{SL\alpha}(r) \) are the perturbative spin-dependent octet potential in pNRQCD. \( V_{i\text{np}}^{(j)}(r) \) can be expressed as a product of a perturbative coefficient and a gluonic correlator. For example, diagram (b) in Fig. 1 involves an insertion of the \( c_F S \cdot B/m \) vertex. This diagram gives

\[
V_{SK}^{(0)}(r) = c_F U^K_R, \tag{2.10}
\]
Table 1: Lowest-lying quarkonium hybrid multiplets

<table>
<thead>
<tr>
<th>Multiplet</th>
<th>$l$</th>
<th>$J^{PC}(s=0)$</th>
<th>$J^{PC}(s=1)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$H_1$</td>
<td>1</td>
<td>$1^{--}$</td>
<td>$(0,1,2)^{--}$</td>
</tr>
<tr>
<td>$H_2$</td>
<td>1</td>
<td>$1^{++}$</td>
<td>$(0,1,2)^{++}$</td>
</tr>
<tr>
<td>$H_3$</td>
<td>0</td>
<td>$0^{++}$</td>
<td>$1^{+-}$</td>
</tr>
<tr>
<td>$H_4$</td>
<td>2</td>
<td>$2^{++}$</td>
<td>$(1,2,3)^{++}$</td>
</tr>
</tbody>
</table>

where $c_F$ is a perturbative coefficient, and $\hat{U}_B^K$ is a gluonic correlator:

$$
\hat{U}_B^K = \lim_{T \to \infty} \frac{i e^{i A_1}}{T} \int_{-T/2}^{T/2} dt \langle 0 | G^{at}(T/2) \cdot (g B_{adj}^a(t) \times G^c(-T/2)) | 0 \rangle,
$$

with $B_{adj}^a = i f^{abc} B^b$. The gluonic correlator can in principle be calculated on the lattice. Expressions of the other $V_{np}^{ip(j)}$ in terms of gluonic correlators will be presented in [22].

Figure 1: Matching of two-point function in the hybrid EFT on the left-hand side to weakly-coupled $pN$-RQCD on the right-hand side. The single, double and curly lines represent the heavy-quark singlet, heavy-quark octet and gluon fields respectively. The black dots stand for vertices from weakly-coupled pNRQCD that involve a chromoelectric or chromomagnetic field. The shaded blobs represent the nonperturbative gluon dynamics.

3. Numerical results

We obtain the spin splitting of the quarkonium hybrid spectrum by applying time-independent perturbation theory to the spin-dependent potentials in Eqs. (2.7)-(2.8). We carry out perturbation theory to second order for the $V_{SK}^{np(0)}$-term in Eq. (2.7), and to first order for the $V_{SK}^{np(1)}$-term and $V_{SKb}^{np(0)}$-term in Eq. (2.7) and the $1/m^2$-suppressed operators in Eq. (2.8). Note that in first-order perturbation theory the $V_{SKb}^{np(0)}$-term gives zero contribution. The zeroth-order wavefunctions are obtained following the procedure described in Ref. [18], by solving a set of coupled Schrödinger equations. We will present the results for the four lowest-lying spin-multiplets shown in Table 1. In Table 1, $l(l+1)$ is the eigenvalue of $(L_Q Q + K)^2$. The six nonperturbative parameters $V_{SK}^{np(0)}$, $V_{SK}^{np(1)}$, $V_{SLu}^{np(0)}$, $V_{SLb}^{np(0)}$, $V_S^{np(0)}$, $V_{S^2}^{np(0)}$, that appear in the spin-dependent potentials are obtained by fitting the charmonium hybrid spectrum obtained from our calculation to the lattice data from
Figure 2: Spectrum of the four lowest-lying charmonium hybrid multiplets. The lattice results from Ref. [10] are plotted in purple. In green we plotted the perturbative contributions to the spin-dependent operators in Eq. (2.8) added to the spin average of the lattice results (red dashed line). In blue we show the full result of the spin-dependent operators of Eqs. (2.7)-(2.8) including perturbative and nonperturbative contributions. The unknown nonperturbative matching coefficients are fitted to reproduce the lattice data. The height of the boxes indicate the uncertainty as detailed in the text.

Ref. [10], in which a pion mass of $m_\pi \approx 240$ MeV is used. We take the values $m_{RS}(1\text{GeV}) = 1.477$ GeV [29] and $\alpha_s$ at 4-loops with three light flavors, $\alpha_s(2.6 \text{ GeV}) = 0.26$. In the fit, the lattice data are weighed by $(\Delta_{\text{lattice}}^2 + \Delta_{\text{high-order}}^2)^{-1/2}$, where $\Delta_{\text{lattice}}$ is the uncertainty of the lattice data and $\Delta_{\text{high-order}} = (m_l - m_{\text{lattice spin-average}}) \times \Lambda_{\text{QCD}}/m$ is the estimated error due to higher-order terms in the potential. The $V^{\text{np}}$'s in units of their natural size as powers of $\Lambda_{\text{QCD}}$ are introduced to the fit through a prior. We take $\Lambda_{\text{QCD}} = 0.5$ GeV. The results of the fit are shown in Fig. 2. The obtained values of the nonperturbative parameters $V^{\text{np}}$'s are shown in Table 2. Each panel in Fig. 2 corresponds to one of the multiplets of Table 1. The purple boxes indicate the lattice results: the middle line corresponds to the mass of the state obtained from the
Table 2: Nonperturbative matching coefficients determined by fitting the charmonium hybrid spectrum obtained from the hybrid EFT to the lattice data from [10]. The matching coefficients are normalized to their parametrically natural sizes. We take the value $\Lambda_{QCD} = 0.5$ GeV.

<table>
<thead>
<tr>
<th>Coefficient</th>
<th>Value</th>
</tr>
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<tbody>
<tr>
<td>$V_{SK}^{np}(0)/\Lambda_{QCD}^2$</td>
<td>+1.03</td>
</tr>
<tr>
<td>$V_{SK}^{np}(1)/\Lambda_{QCD}^4$</td>
<td>-0.51</td>
</tr>
<tr>
<td>$V_{SLa}^{np}(0)/\Lambda_{QCD}^3$</td>
<td>-1.32</td>
</tr>
<tr>
<td>$V_{SLb}^{np}(0)/\Lambda_{QCD}^3$</td>
<td>+2.44</td>
</tr>
<tr>
<td>$V_{S_{12}b}^{np}(0)/\Lambda_{QCD}^3$</td>
<td>-0.39</td>
</tr>
</tbody>
</table>

lattice and the height of the box corresponds to the uncertainty. The red dashed line indicates the spin average mass of the lattice results. The green boxes correspond to the contribution to the spin splittings from the perturbative contributions. The height of the green box ($\Delta_p$) is an estimate on the uncertainty given by the parametric size of higher order corrections, $\mathcal{O}(m\alpha_s^3)$. The blue boxes are the full results including the nonperturbative contributions after fitting the six nonperturbative parameters to the lattice data. The height of the blue box corresponds to the uncertainty of the full result. This uncertainty is given by $\Delta_{full} = (\Delta_p^2 + \Delta_{np}^2 + \Delta_{fit}^2)^{1/2}$, where the uncertainty of the nonperturbative contribution $\Delta_{np}$ is estimated to be of parametric size of higher order corrections, $\mathcal{O}(\Lambda_{QCD}^2/\Lambda_{QCD}/m^3)$, to the matching coefficients. $\Delta_{fit}$ is the statistical error of the fit.

An interesting feature is that for the spin triplets, the value of the perturbative contributions decreases with $J$. This trend is opposite to that of the lattice results. This discrepancy can be reconciled thanks to the nonperturbative contributions, in particular due to the contribution from $V_{SK}^{np}(0)$, which is of order $\Lambda_{QCD}^3/m^4$ and is thus parametrically larger than the perturbative contributions, which are of order $m^4$. A consequence of the counterplay of the perturbative contribution is a relatively large uncertainty on the full result caused by a large nonperturbative contribution. Due to this uncertainty the mass hierarchy among the spin triplet states of the multiplet $H_4$ is not firmly determined. However, as shown in Table 2, the values of the fitted parameters are consistent with the power counting of the EFT.

Since the nonperturbative parameters $V^{np}$’s are products of a perturbative coefficient, the flavor dependence of which is known, and a gluonic correlator, which is flavor-independent, we can use the obtained values of the $V^{np}$’s from our fit to predict the bottomonium hybrid spectrum. We show the results thus obtained in Fig. 3. At the order of accuracy we are working here, only $V_{SK}^{np}(0)$ among the six $V^{np}$’s has flavor dependence, which is given by $c_F$ (Eq. (2.10)). The one-loop expression of $c_F$ [30] is used. For the bottom mass here we use $m_{RS}^{b}(1 \text{ GeV}) = 4.863$ GeV.

4. Conclusions

Under the framework of a nonrelativistic effective field theory, we derived for the first time the spin-dependent part of the heavy-quark-antiquark potential for heavy quarkonium hybrids to order $1/m^2$ in the heavy-quark-mass expansion. We found that several operators that are not found in
standard quarkonia appear. Most notable is the operator that couples the spin of the heavy-quark-antiquark pair to the angular momentum of the gluonic excitation. This operator is suppressed only by $1/m$, as opposed to the case of traditional quarkonia, for which the spin-dependent potential enters at order $1/m^2$. For the spin-dependent potential at $1/m^2$, the structure is more involved than the case of traditional quarkonia, owing to the fact that quarkonium hybrids possess cylindrical symmetry, while traditional quarkonia possess rotational symmetry. Under the approximation of short interquark distance $r \ll 1/\Lambda_{QCD}$, we performed the matching between weakly-coupled pNRQCD and the quarkonium hybrid EFT. We showed that in the EFT, the nonperturbative part of the

**Figure 3:** Spectrum of the four lowest-lying bottomonium hybrids computed by adding the spin-dependent contributions to the spectrum obtained in Ref. [18]. The values of nonperturbative contribution to the matching coefficients are determined from the fit of the charmonium hybrids spectrum obtained from the hybrid EFT to the lattice data of Ref. [10] shown in Fig. 2. The average mass for each multiplet is shown as a red dashed line. The results with only the perturbative contributions and the full results for the matching coefficients are shown as green and blue boxes respectively. The height of the boxes indicates the uncertainty as detailed in the text.
matching coefficients in the spin-dependent potential are factorized into a perturbative factor and a nonperturbative gluonic correlator.

Using time-independent perturbation theory, we computed the quarkonium hybrid spectrum using the quarkonium hybrid EFT, treating the spin-independent potential as a perturbation. Six nonperturbative parameters that appear in the spin-dependent potential were fitted to the lattice data of the charmonium hybrid spectrum. We found that the perturbative contributions have a trend opposite to the lattice data, and the inclusion of nonperturbative contributions is necessary. Moreover, the nonperturbative contributions, most of which come from the $1/m$-suppressed operator, dominate over the perturbative contributions. We also found that the fitted values of the nonperturbative parameters are consistent with the power counting of the EFT. With the fitted values of the nonperturbative parameters, we predicted the bottomonium hybrid spectrum, for which lattice calculations are still sparse.

As a final remark, we note that, since this framework of EFT can be generalized to describe states with light degrees of freedom other than gluons, such as heavy tetraquarks and pentaquarks [17, 20], a similar analysis could be done to describe spin multiplets of exotic quarkonia other than hybrids, eventually providing an unified description of all heavy-quark-antiquark spin multiplets.

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References


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