

## Observation of the decay $D^0 \rightarrow K^- \pi^+ e^+ e^-$

---

**Fergus WILSON\***<sup>†</sup>

*STFC Rutherford Appleton Laboratory, Harwell Campus, Didcot, Oxon, OX11 0QX, UK*

*E-mail: [Fergus.Wilson@stfc.ac.uk](mailto:Fergus.Wilson@stfc.ac.uk)*

We report the observation of the rare charm decay  $D^0 \rightarrow K^- \pi^+ e^+ e^-$ , based on  $468 \text{ fb}^{-1}$  of  $e^+ e^-$  annihilation data collected at or close to the center-of-mass energy of the  $\Upsilon(4S)$  resonance with the *BABAR* detector at the SLAC National Accelerator Laboratory. We find the branching fraction in the invariant mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$  of the electron pair to be  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ e^+ e^-) = (4.0 \pm 0.5 \pm 0.2 \pm 0.1) \times 10^{-6}$ , where the first uncertainty is statistical, the second systematic, and the third due to the uncertainty in the branching fraction of the decay  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  used as a normalization mode. The significance of the observation corresponds to 9.7 standard deviations including systematic uncertainties. This result is consistent with the recently reported  $D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$  branching fraction, measured in the same invariant mass range, and with the value expected in the Standard Model.

*The 39th International Conference on High Energy Physics (ICHEP2018)*

*4-11, July 2018*

*Seoul, Korea*

---

\*Speaker.

<sup>†</sup>on behalf of the *BABAR* Collaborations

The decay  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  is expected to be very rare in the standard model (SM) as it cannot occur at tree level. Short-distance contributions to the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  branching fraction proceed through loop and box diagrams and are expected to be  $\mathcal{O}(10^{-9})$ . However, decays with long-distance contributions, such as  $D^0 \rightarrow XV$ , where  $X$  is an accompanying particle or particles and  $V$  is a vector or pseudoscalar meson decaying to two leptons, could contribute at the level of  $\mathcal{O}(10^{-6})$  through photon pole amplitudes or vector meson dominance,

Over the last few years there have been a number of measurements of the decays of  $B$  mesons to final states involving one or more charged leptons. Some of these measurements suggest a possible deviation from the assumption that all leptons couple equally (see for example Refs [1, 2, 3]). The possibility therefore exists that a deviation from lepton universality will be seen in  $D$  meson decays.

Recently, the LHCb Collaboration measured  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-) = (4.17 \pm 0.12 \pm 0.40) \times 10^{-6}$  in the mass range  $0.675 < m(\mu^+ \mu^-) < 0.875 \text{ GeV}/c^2$ , where the decay is dominated by the  $\rho^0$  and  $\omega$  resonances [4].

We report here the observation of the decay  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  with data recorded with the *BABAR* detector at the PEP-II asymmetric-energy  $e^+ e^-$  collider operated at the SLAC National Accelerator Laboratory. The data sample corresponds to  $424 \text{ fb}^{-1}$  of  $e^+ e^-$  collisions collected at the center-of-mass energy of the  $\Upsilon(4S)$  resonance (onpeak) and an additional  $44 \text{ fb}^{-1}$  of data collected 40 MeV below the  $\Upsilon(4S)$  resonance (offpeak) [5]. The *BABAR* detector is described in detail in Refs. [6, 7].

Events are required to contain at least five charged tracks. Candidate  $D^0$  mesons are formed from four charged tracks reconstructed with the appropriate mass hypothesis for the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  and  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  decays. (Charge conjugation is implied throughout.) Particle identification (PID) is applied to the charged tracks and the same criteria are applied to the signal and normalization modes [7]. The four tracks must form a good-quality vertex with a  $\chi^2$  probability for the vertex fit greater than 0.005. In the case of  $D^0 \rightarrow K^- \pi^+ e^+ e^-$ , a bremsstrahlung energy recovery algorithm is applied to the electrons. The electron-positron pair must have an invariant mass  $m(e^+ e^-) > 0.1 \text{ GeV}/c^2$ . The  $D^0$  candidate momentum in the PEP-II center-of-mass system,  $p^*$ , must be greater than  $2.4 \text{ GeV}/c$ .

The candidate  $D^{*+}$  is formed by combining the  $D^0$  candidate with a charged pion with a momentum in the laboratory frame greater than  $0.1 \text{ GeV}/c$ . The pion is required to have a charge opposite to that of the kaon in the  $D^0$  decay. A vertex fit is performed with the  $D^0$  mass constrained to its known value and the requirement that the  $D^0$  meson and the pion originate from the interaction region. The  $\chi^2$  probability of the fit is required to be greater than 0.005. The  $D^0$  meson mass  $m(D^0)$  must be in the range  $1.81 < m(D^0) < 1.91 \text{ GeV}/c^2$  and the mass difference,  $\Delta m = m(D^{*+}) - m(D^0)$ , between the reconstructed masses of the  $D^{*+}$  and  $D^0$  candidates is required to satisfy  $0.143 < \Delta m < 0.148 \text{ GeV}/c^2$ . The average reconstruction efficiency for the  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  decay is  $\hat{\epsilon}_{\text{norm}} = (20.1 \pm 0.2)\%$ . In the mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$ , the average reconstruction efficiency for the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  decay is  $\hat{\epsilon}_{\text{sig}} = (8.9 \pm 0.2)\%$ .

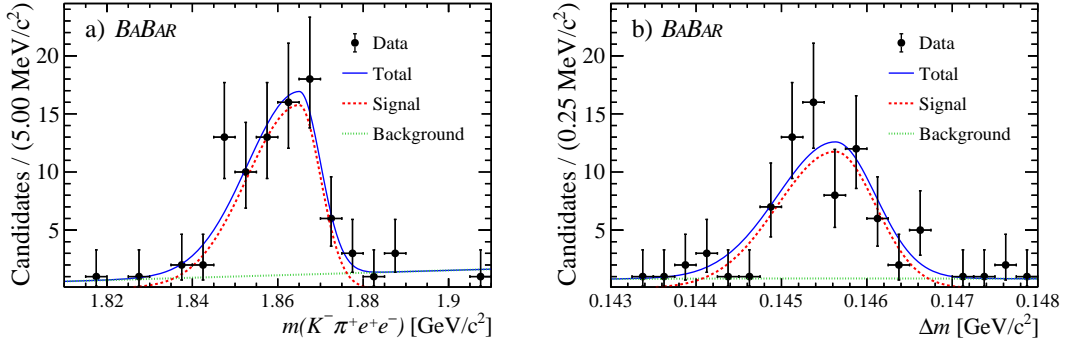
The  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  branching fraction is determined relative to that of the normalization decay channel  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  using

$$\frac{\mathcal{B}(D^0 \rightarrow K^- \pi^+ e^+ e^-)}{\mathcal{B}(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-)} = \frac{\hat{\epsilon}_{\text{norm}}}{N_{\text{norm}}} \frac{\mathcal{L}_{\text{norm}}}{\mathcal{L}_{\text{sig}}} \sum_i^{N_{\text{sig}}} \frac{1}{\epsilon_{\text{sig}}^i}, \quad (1)$$

where  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-)$  is the branching fraction of the normalization mode [8], and  $N_{\text{norm}}$  and  $\hat{\epsilon}_{\text{norm}}$  are the  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  fitted yield and the reconstruction efficiency calculated from simulated  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  decays, respectively. The fitted  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  signal yield is represented by  $N_{\text{sig}}$  and  $\epsilon_{\text{sig}}^i$  is the reconstruction efficiency for each signal candidate  $i$  calculated as a function of  $m(e^+ e^-)$  and  $m(K^- \pi^+)$  from simulated  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  decays. The symbols  $\mathcal{L}_{\text{sig}}$  and  $\mathcal{L}_{\text{norm}}$  represent the integrated luminosities used for the signal  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  decay ( $468.2 \pm 2.0 \text{ fb}^{-1}$ ) and the normalization  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  decay ( $39.3 \pm 0.2 \text{ fb}^{-1}$ ), respectively [5].

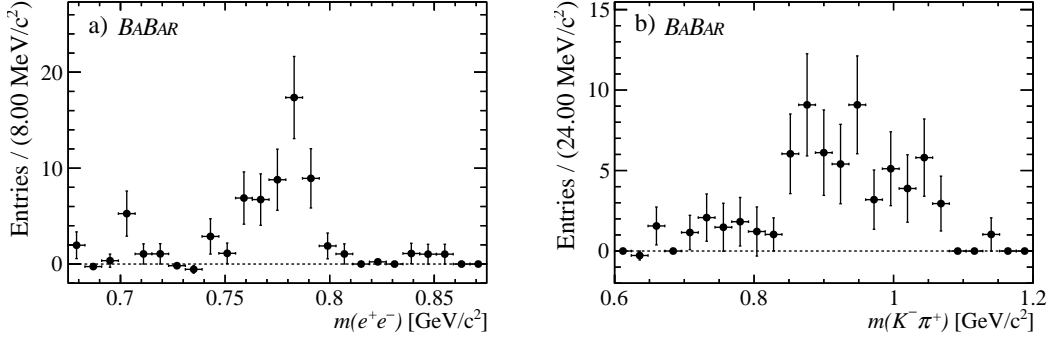
The  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  and  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  yields are determined from extended unbinned maximum likelihood fits to the  $\Delta m$  and the four-body mass distributions. Asymmetric Gaussian-like and Cruijff functions are used for the peaking features and Chebychev polynomials or ARGUS functions [9] for the backgrounds. The fitted yield for the  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  normalization data sample is  $260\,870 \pm 520$  candidates. For the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  signal mode, the fitted yield is  $68 \pm 9$  candidates in the range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$ . The significance  $S = \sqrt{-2\Delta \ln \mathcal{L}}$  of the signal yield in this mass range, including statistical and systematic uncertainties, is 9.7 standard deviations ( $\sigma$ ), where  $\Delta \ln \mathcal{L}$  is the change in the log-likelihood from the maximum value to the value when the number of  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  signal candidates is set to  $N_{\text{sig}} = 0$ .

Figure 1 shows the results of the fit to the  $m(K^- \pi^+ e^+ e^-)$  and  $\Delta m$  distributions of the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  signal mode in the mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$ . Figure 2 shows the projection of the fit to the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  signal mode as a function of  $m(e^+ e^-)$  and  $m(K^- \pi^+)$ , where the background has been subtracted using the *sPlot* technique [10]. A peaking structure is visible in  $m(e^+ e^-)$  centered near the  $\rho^0/\omega$  masses. A broader structure is seen in  $m(K^- \pi^+)$  near the known mass of the  $\bar{K}^*(892)^0$  meson. Both distributions are similar to the distributions shown in Ref. [4] for the decay  $D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$ .



**Figure 1:** Fits to  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  data distributions for (a)  $m(K^- \pi^+ e^+ e^-)$  and (b)  $\Delta m$  mass in the mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$ .

The overall systematic uncertainty in the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  branching fraction is 3.8%, where the uncertainty in the  $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$  branching fraction is excluded [8]. The branching fraction  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ e^+ e^-)$  in the mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$  is determined to be  $(4.0 \pm 0.5 \pm 0.2 \pm 0.1) \times 10^{-6}$ , where the first uncertainty is statistical, the second systematic, and the third comes from the uncertainty in  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-)$  [8]. This result is compatible



**Figure 2:** Projections of the fits to the  $D^0 \rightarrow K^- \pi^+ e^+ e^-$  data distributions onto (a)  $m(e^+ e^-)$  and (b)  $m(K^- \pi^+)$  in the mass range  $0.675 < m(e^+ e^-) < 0.875 \text{ GeV}/c^2$ .

within the uncertainties with  $\mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-)$  reported in Ref. [4] and with SM predictions [11].

## References

- [1] BABAR COLLABORATION collaboration, J. P. Lees et al., *Measurement of an excess of  $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$  decays and implications for charged Higgs bosons*, *Phys. Rev. D* **88** (2013) 072012.
- [2] BELLE COLLABORATION collaboration, Y. Sato et al., *Measurement of the branching ratio of  $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$  relative to  $\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell$  decays with a semileptonic tagging method*, *Phys. Rev. D* **94** (2016) 072007.
- [3] LHCb COLLABORATION collaboration, R. Aaij et al., *Test of lepton flavor universality by the measurement of the  $B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$  branching fraction using three-prong  $\tau$  decays*, *Phys. Rev. D* **97** (2018) 072013.
- [4] LHCb COLLABORATION collaboration, R. Aaij et al., *First observation of the decay  $D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$  in the  $\rho^0 - \omega$  region of the dimuon mass spectrum*, *Phys. Lett. B* **757** (2016) 558.
- [5] BABAR COLLABORATION collaboration, J. P. Lees et al., *Time-Integrated luminosity recorded by the BABAR detector at the PEP-II  $e^+ e^-$  collider*, *Nucl. Instrum. Meth. A* **726** (2013) 203.
- [6] BABAR COLLABORATION collaboration, B. Aubert et al., *The BABAR detector*, *Nucl. Instrum. Meth. A* **479** (2002) 1.
- [7] BABAR COLLABORATION collaboration, B. Aubert et al., *The BABAR Detector: Upgrades, Operation and Performance*, *Nucl. Instrum. Meth. A* **729** (2013) 615.
- [8] PARTICLE DATA GROUP collaboration, M. Tanabashi et al., *Review of particle physics*, *Phys. Rev. D* **98** (2018) 030001.
- [9] ARGUS COLLABORATION collaboration, H. Albrecht et al., *Search for hadronic  $b \rightarrow u$  decays*, *Phys. Lett. B* **241** (1990) 278.
- [10] M. Pivk and F. R. Le Diberder, *sPlot: A statistical tool to unfold data distributions*, *Nucl. Instrum. Meth. A* **555** (2005) 356.
- [11] L. Cappiello, O. Cata and G. D’Ambrosio, *Standard Model prediction and new physics tests for  $D^0 \rightarrow h^+ h^- \ell^+ \ell^-$  ( $h = \pi, K; \ell = e, \mu$ )*, *JHEP* **04** (2013) 135.