

## Charm baryon production and fragmentation fractions in pp collisions with ALICE

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In this contribution, the latest measurements of  $\Lambda_c^+$ ,  $\Xi_c^{0,+}$ ,  $\Sigma_c^{0,++}$ , and the first measurement of  $\Omega_c^0$  baryons performed with the ALICE detector at midrapidity in pp collisions at  $\sqrt{s} = 5.02$  and 13 TeV are presented. In addition, the  $\Lambda_c^+$  measurement down to  $p_T = 0$  in p-Pb collisions will be discussed. Finally, the first measurements of the total charm cross section per unit of rapidity and the fragmentation fractions at midrapidity in pp collisions at the LHC including the charm baryons are discussed.

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## 1. Introduction

Recent measurements of charm-baryon production at midrapidity performed by ALICE in pp and p–Pb collisions [1, 2] showed a baryon-to-meson ratio significantly higher than that measured in  $e^+e^-$  collisions [3, 4]. This enhancement cannot be explained by model predictions considering only charm fragmentation fractions obtained in  $e^+e^-$  collisions and suggests that the parton-to-hadron fragmentation of charm quark is not universal across different collision systems. Therefore, measurements of charm-baryon production are crucial to investigate the hadronisation mechanism of charm quarks in pp collisions.

The studies of charm hadrons in small systems can be used as a baseline to understand the properties of the quark-gluon plasma (QGP). The measurements of charm-baryons in pp collisions provide the necessary reference for measurements in Pb–Pb collisions and allow us to test pQCD predictions. The measurements of charm-baryon in p–Pb collisions provide essential information about Cold Nuclear Matter (CNM) effects to understand the possible presence of collective effects or modification of hadronisation mechanisms [5].

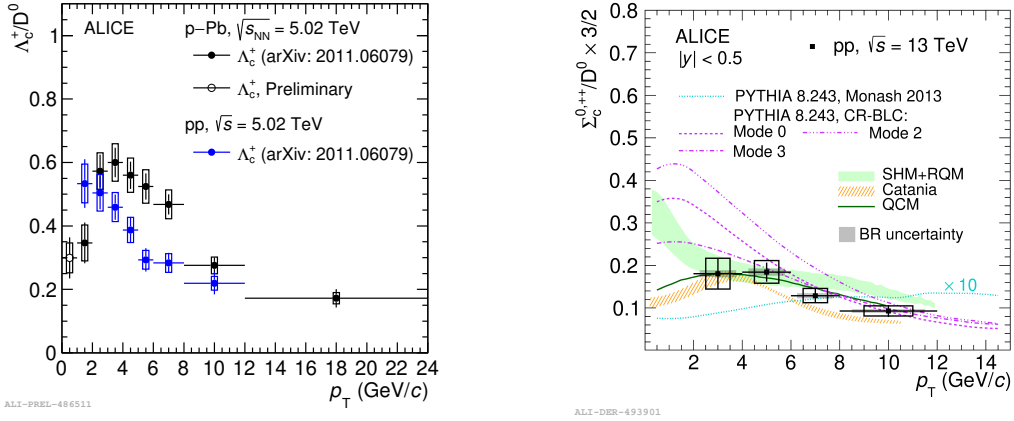
## 2. Results

### 2.1 Charm baryon production

ALICE has measured all single-charm hadron ground states in pp collisions, including charm mesons ( $D^0$ ,  $D^+$ ,  $D_s^+$ ) at  $\sqrt{s} = 5.02$  TeV [6] and charm baryons ( $\Lambda_c^+$ ,  $\Xi_c^{0,+}$  and  $\Omega_c^0$ ) at  $\sqrt{s} = 5.02$  TeV and  $\sqrt{s} = 13$  TeV [2, 7]. In addition, ALICE measured  $\Lambda_c^+$  in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV down to  $p_T = 0$ .

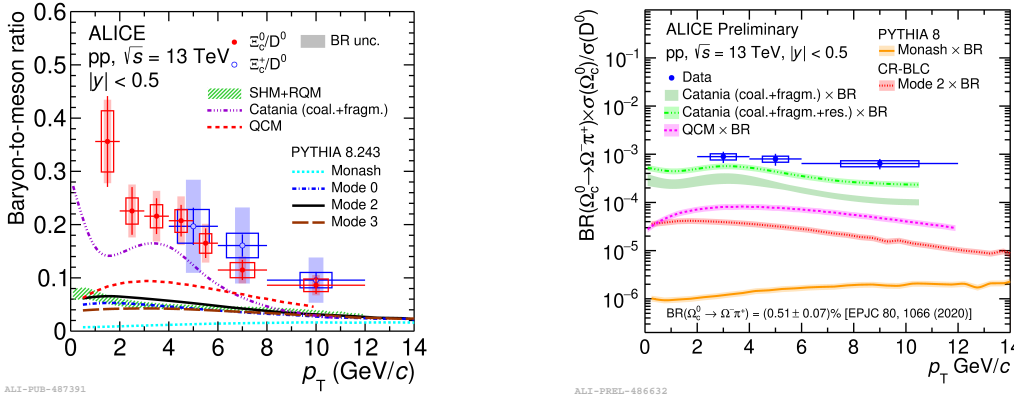
The  $\Lambda_c^+ / D^0$  ratios in pp and p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV are shown in the left panel of Fig.1. At low  $p_T$ , the  $\Lambda_c^+ / D^0$  ratio measured in p–Pb collisions is significantly lower than in pp collisions, and at intermediate  $p_T$ , the  $\Lambda_c^+ / D^0$  ratio measured in p–Pb collisions is higher with respect to the one measured in pp collisions. This modification can be interpreted as due to the radial flow or additional hadronisation process in p–Pb collisions. The  $\Sigma_c^{0,++} / D^0$  ratio measured in pp collisions at  $\sqrt{s} = 13$  TeV is shown in the right panel of Fig.1. The model calculation from PYTHIA8 Monash [8] largely underestimates the measurement, the statistical hadronisation model (SHM) with excited charm baryon states predicted by the relativistic quark model (RQM) [9] can describe the measurement within uncertainties. The model calculations of Catania [10] and quark (re-)combination mechanism (QCM) [11] both including the coalescence process, also can describe the measurement. In general, PYTHIA8 implemented colour reconnection beyond the leading-colour approximation (CR-BLC) [12] better describes the  $\Lambda_c^+ / D^0$  ratio and  $\Sigma_c^{0,++} / D^0$  ratio, which do not contain the strange quarks.

The  $\Xi_c^0 / D^0$  and  $\Xi_c^+ / D^0$  ratio are shown in the left panel of the Fig.2. Most of the model calculations significantly underestimate the  $\Xi_c / D^0$  ratio. However, the Catania model describes better the ratios in the measured  $p_T$  interval. It means that both fragmentation and coalescence processes are important in pp collisions for the hadronisation process. The  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \times \sigma(\Omega_c^0) / \sigma(D^0)$  ratio is shown in the right panel of the Fig.2. The branching ratio of  $\Omega_c^0 \rightarrow \Omega^- \pi^+$  is not measured yet, the theoretical calculation of  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$  [15] is used to scale the model predictions. Most of the models underestimate the measurements. The Catania model



**Figure 1:** Left panel:  $\Lambda_c^+/D^0$  as a function of  $p_T$  in pp and p–Pb collisions at  $\sqrt{s} = 5.02$  TeV [13]. Right panel:  $\Sigma_c^{0,++}/D^0$  ratio as a function of  $p_T$  at  $\sqrt{s} = 13$  TeV [14]. The measurements are compared with model calculations.

is the calculation that gets closer to the measurements of the  $\Xi_c^0/D^0$  and  $\Xi_c^+/D^0$  ratio and the  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \times \sigma(\Omega_c^0)/\sigma(D^0)$  ratio.

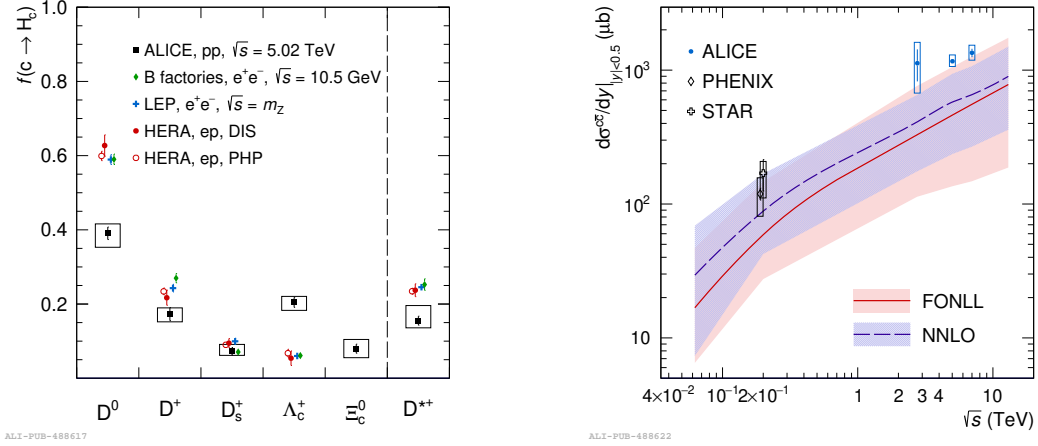


**Figure 2:** Left panel:  $\Xi_c^0/D^0$  and  $\Xi_c^+/D^0$  ratio as a function of  $p_T$  at  $\sqrt{s} = 13$  TeV [7]. Right panel:  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \times \sigma(\Omega_c^0)/\sigma(D^0)$  ratio as a function of  $p_T$  at  $\sqrt{s} = 13$  TeV .

## 2.2 Charm fragmentation fractions in pp collisions at $\sqrt{s} = 5.02$ TeV

The charm fragmentation fractions are measured in pp collisions at  $\sqrt{s} = 5.02$  TeV . The fragmentation fraction for the  $\Xi_c^0$  baryon is measured for the first time. The contribution of  $\Xi_c^+$  is considered by doubling the  $\Xi_c^0$  yield since they are isospin partners. The  $\Omega_c^0$  is not measured at this energy, so the contribution is included in the systematic uncertainty. The charm fragmentation fractions measured in pp collisions are compared with  $e^+e^-$  and ep measurements in the left panel of Fig.3. The charm fragmentation fractions measured in pp collisions at the LHC are different from the ones measured in  $e^+e^-$  and ep collisions, showing that the universality of parton-to-hadron fragmentation is not valid.

The charm production cross sections at midrapidity per unit of rapidity are shown in the right panel of Fig.3. The charm cross section per unit of rapidity in pp collisions at  $\sqrt{s} = 5.02$  TeV is measured at the LHC for the first time, resulting in  $d\sigma^{c\bar{c}}/dy|_{|y|<0.5}^{pp,5.02\text{TeV}} = 1165 \pm 44(\text{stat})_{-101}^{+134}(\text{syst})\mu\text{b}$ . According to the new measured charm fragmentation fractions, the charm cross section measurements in pp collisions at  $\sqrt{s} = 2.76$  TeV [16] and 7 TeV [17] are updated and are about 40% higher than the previously published results. The measurements with new charm fragmentation fractions lie at the upper edge of the pQCD calculations [18, 19].



**Figure 3:** Left panel: Charm-quark fragmentation fractions into charm hadrons in pp collisions at  $\sqrt{s} = 5.02$  TeV compared to the measurements in  $e^+e^-$  and ep collisions [20]. Right panel: Charm production cross section at midrapidity as function of the collision energy [20].

### 3. Summary

ALICE has measured all single-charm hadron ground states in pp collisions at  $\sqrt{s} = 5.02$  TeV and  $\sqrt{s} = 13$  TeV. Furthermore, ALICE measured  $\Lambda_c^+$  in p-Pb collisions at  $\sqrt{s} = 5.02$  TeV down to  $p_T = 0$ . Large enhancement of all charm baryons is measured in pp collisions with respect to  $e^+e^-$  collisions. The charm fragmentation fractions in pp collisions at  $\sqrt{s} = 5.02$  TeV are measured for the first time, showing that charm fragmentation is not universal across collision systems.

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