

## Air-Shower Radio Simulations – Where we stand and where we go

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Simulations of the radio emission from extensive air showers have been key in establishing radio detection as a mature and competitive technique. In particular, microscopic Monte Carlo simulations have proven to very accurately describe the emission physics and are at the heart of practically all analysis approaches. Yet with new applications – for example very inclined air showers, cross-media showers, extreme antenna densities, and higher-frequency measurements – come new challenges for accurate and efficient simulations. I will review the state of the art of the existing simulation approaches and discuss where further improvements might be needed and how they can be achieved.

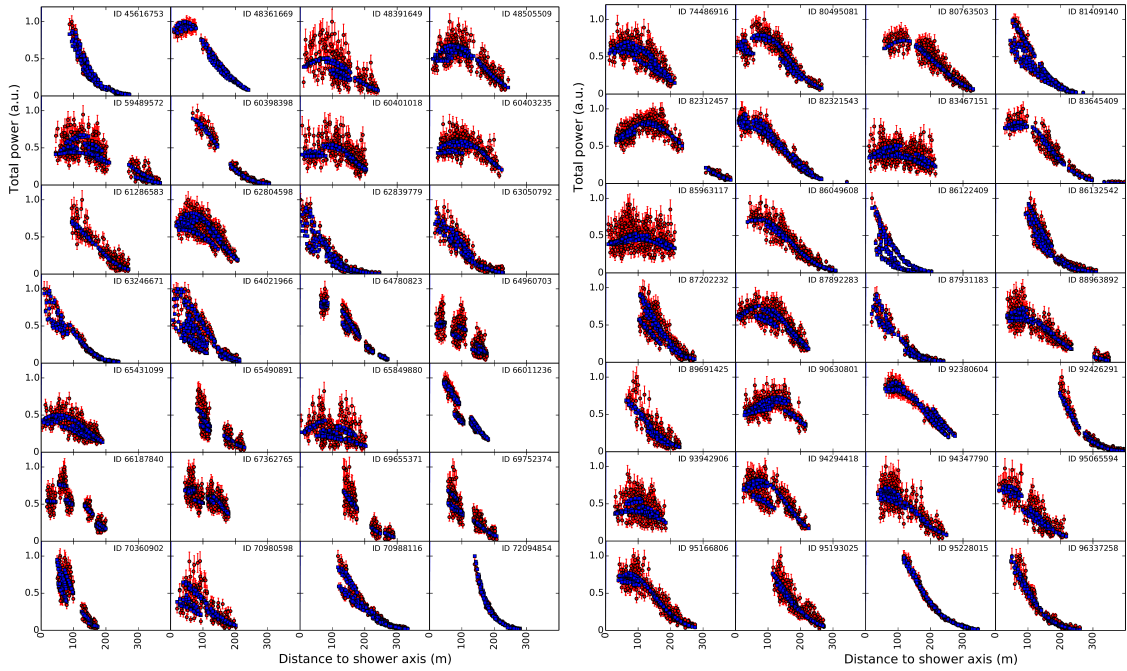
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**Figure 1:** Lateral distributions of the time-integrated power measured by LOFAR for a subset of 48 of their measured events (red circles) as compared with the best-fitting CoREAS simulations (blue squares). From [6].

## 1. Introduction

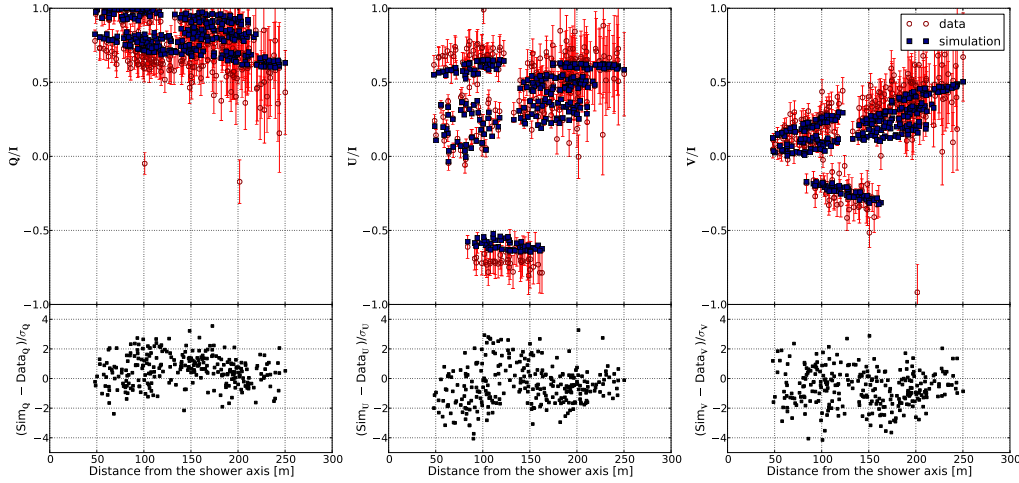
Simulations of the radio emission from extensive air showers have been a decisive factor in establishing the radio detection technique for extensive air showers [1]. The approach relied most upon is the microscopic simulation of the radio emission from single electrons and positrons in the air-shower cascade using the “endpoints formalism” [2] in the CoREAS [3] code and the time-domain version of the ZHS formalism [4] in the ZHAireS [5] code.<sup>1</sup> The predictions by these simulations have been tested with data from many different experiments, so far without revealing any deficiencies.

In this article, I will shortly review the degree of certainty we have achieved on these simulation predictions, first for the case of vertical air showers with zenith angles up to  $60^\circ$ , then for the case of inclined showers with zenith angles above  $60^\circ$ . Furthermore, I will discuss future directions in which more work should be invested to cope with the ever-increasing need for more complex and accurate simulation scenarios.

## 2. Simulations for vertical air showers

Radio emission from vertical air showers, i.e., those with zenith angles up to  $\sim 60^\circ$ , has been measured with many different experiments, and their data have been compared extensively with microscopic simulations.

<sup>1</sup>The endpoints formalism describes the radio emission as arising from the acceleration in the “kinks” between the straight track segments used to approximate the continuous motion of a charged particle, whereas the ZHS formalism associates the emission with the straight track segments themselves.

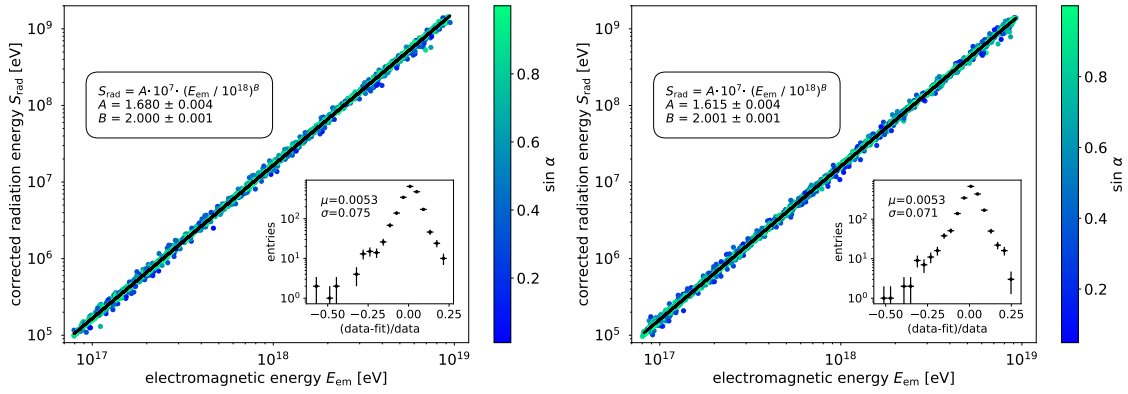


**Figure 2:** Comparison of measured (red circles) and CoREAS-simulated (squares) Stokes parameters for one LOFAR event.  $Q/I$  (left) and  $U/I$  (middle) quantify the degree and orientation of the linear polarization of the signal,  $V/I$  (right) denotes the degree of circular polarization. From [7].

The most in-depth comparison of simulations and data has been performed by LOFAR, which has measured several hundred air showers with several hundred antennas each [6]. While the nominal frequency band quoted for the LOFAR low-band antennas is 30–80 MHz, one has to keep in mind that the antennas are highly resonant dipoles and thus effectively only measure in a small band with a few MHz width near 60 MHz. Nevertheless, the agreement between CoREAS simulations and data is impressive, as illustrated by the lateral signal distributions in terms of time-integrated power (the equivalent to energy fluence on the level of voltage traces, i.e., with the detector response still present) shown in Fig. 1. These comparisons incorporate a per-event characterization of the atmospheric density and refractive index profiles (including humidity effects) at the time of measurement, as derived from GDAS [8]. In addition to the total signal strength, also the polarization characteristics match precisely between CoREAS simulations and LOFAR data, even down to the degree of circular polarization, as shown in Fig. 2.

Experiments like Tunka-Rex [9] and the Auger Engineering Radio Array (AERA) [10], probing the full 30–80 MHz band with an approximately uniform response, have also made comparisons of CoREAS simulations and data. In both cases, simulations and data agreed well within systematic uncertainties [1]. With AERA data, it was confirmed that the absolute strength of the emission predicted by CoREAS as well as ZHAireS is in agreement with the air shower parameters determined by the surface detector [10], the energy scale of which has been set with fluorescence detection. Unfortunately, the systematic uncertainty of this absolute scale comparison is currently relatively large, but bound to improve in the future. With AERA data, it has also recently been shown that the determination of the depth of shower maximum,  $X_{\max}$ , using comparisons of CoREAS simulations and data, yields results comparable with measurements with the Auger Fluorescence Detector [11], another confirmation that the simulations are reliable.

Simulations with ZHAireS have been shown to agree very well with CoREAS simulations in terms of the total emitted radiation energy, see Fig. 3, with a shift of only 5.2% in corrected radiation



**Figure 3:** Correlation of the corrected radiation energy with the electromagnetic energy in the 30–80 MHz band for CoREAS (left) and ZHAireS (right). (Corrected radiation energy corresponds to the total energy deposit on the ground in the form of radio waves corrected for air-density effects and magnetic field orientation.) The absolute scales of the simulations agree well. From [12].

energy. What has not been tested, however, is the agreement of the actual emission footprints between ZHAireS and CoREAS. Differences are not excluded, as for example there are differences in the treatment of the electromagnetic cascade in the underlying CORSIKA and Aires codes [13]. Direct comparisons of experimental data and ZHAireS simulations have so far been carried out only in a few selected cases [1].

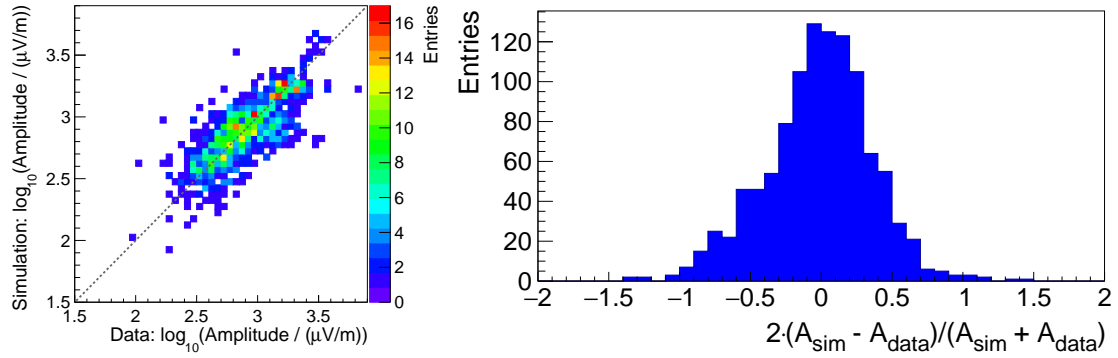
At frequencies beyond 100 MHz, some measurements exist, e.g., by the LOFAR high-band antennas [14], by ARIANNA [15], or by ANITA for which a determination of the cosmic-ray flux using ZHAireS simulations was in agreement with Auger data within uncertainties [16]. Still, the simulations have certainly been probed much less at high frequencies than at lower frequencies. This should be kept in mind and comparisons should be made once adequate data are available.

Finally, there have been measurements in the lab with the SLAC T-510 experiment, confirming that the pulse shapes and absolute amplitudes predicted with an implementation of the endpoints and ZHS formalisms in GEANT4 are in agreement with the lab measurement [17], again underlining the reliability of the microscopic simulation approach.

### 3. Simulations for inclined air showers

In the past few years, radio detection of inclined air showers, i.e., those with zenith angles beyond  $\sim 60^\circ$  has become a particular focus of research. This is because these showers can be detected with sparse radio arrays and thus the radio technique can be applied up to the highest cosmic-ray energies [18, 19].

The main difference between inclined and vertical air showers is that inclined showers develop to their maximum much higher in the atmosphere, so at much larger source distances from the antennas (dozens to over a hundred kilometres as opposed to a few kilometers), and thus also in a much less dense atmosphere. As a consequence, geometrical early-late effects start to become important and need to be considered [20]. Also, CoREAS simulations predict a refractive displacement of the radio emission with respect to the particle distributions [21], which needs to be taken into account



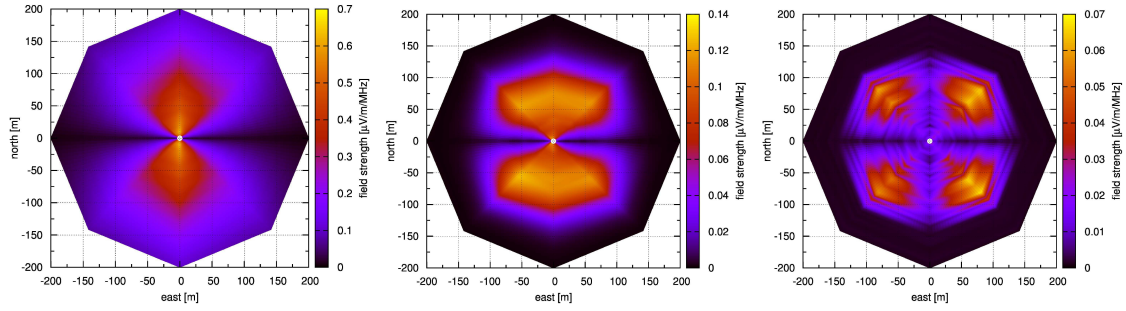
**Figure 4:** Agreement between CoREAS-simulated amplitudes for radio emission from inclined air showers measured by AERA. The scatter plot (left) illustrates a tight correlation between measurements and simulations. The difference histogram (right) shows agreement within 2%, the joint scatter amounts to 38%. From [22].

when analysing hybrid particle and radio data. Both of these effects have yet to be tested explicitly with experimental data.

The only experiment that has so far measured the radio emission of inclined air showers with relevant statistics is AERA, which has confirmed the simulation prediction that inclined air showers illuminate very large areas on the ground (due to the beamed emission from a far-away source) [22]. Furthermore, for a subset of 50 events it was shown that the CoREAS simulation predictions of the energy fluence in the 30–80 MHz band and the measurements with AERA were in good agreement, see Fig. 4. At least up to zenith angles of  $\sim 80^\circ$  and in the frequency-band from 30–80 MHz, we can thus have confidence in the validity of the simulations.

However, there are certainly still bigger uncertainties in the validation of simulations for inclined air showers than there are for vertical ones. When going to yet more inclined air showers, say beyond  $85^\circ$  zenith angles, near-surface propagation effects, which are currently completely ignored in existing simulations, could potentially play a role. In this context, it should also be stressed that current air-shower simulation codes assume that the radio emission propagates along straight lines in the atmosphere, whereas it is well known that the refractive index gradient curves the rays, which can lead to very interesting optical effects in inhomogeneous atmospheres [23]. Work in that direction is currently underway [24], with some focus on testing whether approximations made in current simulation codes may break down in the most extreme geometries.

Finally, recent simulation studies have revealed that for inclined air showers in magnetic fields stronger than the one at the site of the Pierre Auger Observatory, we can expect two important additional effects: a) a loss of coherence related to the fact that particle distributions become much wider in the less dense atmosphere at high altitudes [26] and b) a transition from the “transverse current” regime to the “synchrotron regime” [26, 27]. The presence of synchrotron emission in the radio signals had been predicted at GHz frequencies already early on [25], manifesting itself as a “clover-leaf pattern” in the  $\vec{v} \times (\vec{v} \times \vec{B})$  polarisation [28], and was possibly already seen by the CROME experiment [29]. But for inclined air showers the synchrotron emission seems to become relevant already at frequencies as low as 30–80 MHz. This means that our “standard paradigm” of “transverse current plus charge-excess emission” is likely to break down for very inclined air showers, in particular in magnetic fields stronger than those in the south-atlantic anomaly. While the



**Figure 5:** CoREAS-simulated radio-emission footprints showing the maximum electric field amplitudes in the  $\vec{v} \times (\vec{v} \times \vec{B})$  polarisation for the 40–80 MHz (left), 300–1200 MHz (middle) and 3.4–4.2 GHz bands. This is for a vertical air shower with an energy of  $10^{17}$  eV induced by an iron primary at the site of the LOPES and CROME experiments (110 m above sea-level, geomagnetic field of 48 nT with  $65^\circ$  inclination). At high frequencies, a “clover-leaf pattern”, indicating the presence of synchrotron emission, is apparent. From [25].

Auger Radio detector might thus not be affected by these effects, for GRAND [30] sites in China this transition will have to be taken into account. To be clear, though, at this moment these are pure simulation predictions – untested by experimental data.

#### 4. Future directions

Microscopic Monte Carlo simulations with CoREAS and ZHAireS have served the community very well. However, we are also running into limitations of our current simulation approaches.

One problem is the ever-increasing amount of data we need to handle, with increasing event statistics and also increasing data quality. For example, LOFAR 2.0 is expected to increase LOFAR statistics by an order of magnitude. Scaling up current reconstruction procedures, which involve the simulation of many showers per individual measured event, will likely become prohibitive in the future. Also, the carbon footprint of running extensive simulations is a problem. SKA with more than 60,000 antennas in a dense core of 500 m diameter [31, 32] will take this challenge to an even higher level.

Macroscopic approaches can possibly be used for specific purposes, but they have so far not been successful at correctly describing the emission with sufficient accuracy over the whole footprint. Here, “hybrid approaches” which use a full microscopic simulation of the air-shower radio emission as a basis but then scale it according to our understanding of the radio-emission physics could be a way out. For inclined air showers – with a far-away source – the “radio morphing” approach [33] promises to make showers re-usable. For vertical air showers – with a close source, incurring additional signal complexity – the “template synthesis” approach [34] has been shown to achieve an accuracy of  $\sim 10\%$ ; it is currently undergoing generalization to other geometries than purely vertical.

Finally, the radio detection community has also been working hard on making in-ice radio detection of neutrino-induced particle showers a success. This also requires a proper modelling of the radio signals seen in the ice from both air showers and the cascades induced at the air-ice boundary. Currently, this is being studied by combining a modified version of CoREAS with a GEANT4-based simulation of the in-ice cascade [35, 36]. In the future, CORSIKA 8 [37] will be the natural framework in which such complex scenarios can be handled in one consistent simulation.



## 5. Conclusions

Microscopic simulations of radio emission from extensive air showers have served the community well. To date, no problems or inaccuracies have been found with the simulations. This is true in particular for vertical air showers, for which the simulations have been compared extensively with data, especially in the 30–80 MHz band. At higher frequencies, the simulations have been tested considerably less. Also, CoREAS has been compared with much more experimental data than ZHAireS, and while ZHAireS and CoREAS agree well on the prediction of the absolute radiation energy, the shapes of the emission footprints have not been compared in detail.

The understanding of the radio emission from inclined air showers has grown significantly in recent years, including geometrical early-late effects and an expected refractive displacement. However, only limited comparisons with experimental data could be made so far. While we have no indication of problems, a reliable validation is yet to be made. Furthermore, for very inclined air showers, say beyond  $\sim 85^\circ$  zenith angle, near-surface propagation effects and/or straight-line approximations made in the simulation codes could turn out to raise problems. Finally, recent simulation studies predict a loss of coherence and the “return of geosynchrotron emission” for inclined air showers. These predictions need to be verified experimentally, and would require rethinking the paradigm adopted for decomposing the emission into geomagnetic and charge-excess radiation adopted so far.

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