Search for a diffuse neutrino emission from the Milky-Way with the ANTARES experiment.

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A search for a Galactic diffuse neutrino emission with the latest ANTARES data set is presented. Several models, like the KRA\(\gamma\) or CRINGE predict the neutrino flux produced from the interaction of cosmic rays with atomic and molecular gas in the Milky-Way. The latest ANTARES data samples (track-like and shower-like events induced by different neutrino interactions) are used to test these models via a maximum likelihood ratio method.
1. Introduction

The interaction of Galactic cosmic rays (CR) with the interstellar medium (gas and radiation fields) produce a flux of secondary particles which are mostly neutral and charged pions, that subsequently decay into γ-ray photons for the π⁰ or neutrinos for the π⁺. The diffuse flux of gamma rays coming from the galaxy is now precisely measured from GeV up to TeV energies by the Fermi LAT [1]. If the observed γ-ray emission is primarily driven by hadronic interactions, it is then anticipated that a corresponding flux of neutrinos of comparable intensity will also be present in the same region of the sky. Several searches for a diffuse Galactic emission have already been performed using neutrino telescopes [2, 3, 4, 5, 6], but without finding a significant signal. However the very recent observation of a neutrino flux coming from the Galactic plane by the IceCube experiment [7] brings a convincing evidence that confirms the existence of this expected emission from 500 GeV up to tens of TeV.

The principle of the aforementioned analyses* is to use a model that predict the neutrino flux coming from the galaxy as a template signal in a likelihood analysis. Models with a different morphology have been tested in [7] but the poor angular resolution (≈15°−20°) of the selected shower-like events does not allow for a precise discrimination between the models.

The present study uses the ANTARES data, combining track-like and shower-like events with a good angular resolution to provide a complementary search for a diffuse Galactic emission, using a likelihood method. The data selection is explained in section 2 and the different models that are used are described in section 3. The likelihood method is presented in section 4 and the results are summarized in section 5.

2. ANTARES data set

From February 2007 to February 2022, the ANTARES detector have been continuously taking data until its shutdown, reaching a total live time of 4541 days. The current analysis uses two channels: track-like and shower-like events that correspond to different signal topology in the detector. The selection cuts applied to the track channel have been optimized using Monte Carlo (MC) simulations in order to maximize the probability to obtain a p-value at the 3σ level. The procedure selects 7500 track-like events with a median angular resolution of ≈0.4°, and an estimated atmospheric muon contamination of ≈3%.

The selection of shower-like events is identical to the one presented in [6], making use of Neural Network and Boosted Decision Tree classifiers developed for the atmospheric νₑ energy spectrum measurement [8]. The selection procedure has been applied to data up to February 2020, the extension to the full ANTARES data taking period will be added in a future study. The current sample contains 1145 shower-like events with a median angular resolution of ≈3° and a ≈10% atmospheric muon contamination. Both track-like and shower-like events are used in the following likelihood analysis.
Search for a diffuse $\nu$ emission from the Milky-Way with the ANTARES experiment

Théophile Cartraud

3. Models of Galactic $\nu$ emission

The models that are tested in this study are the so-called KRA$_\gamma$ models and the CRINGE model. The first version of the KRA$_\gamma$ [9] provides two different predictions, depending on the value of the assumed energy cut-off in the cosmic ray energy spectrum: at 5 PeV for the KRA$_5^\gamma$ or at 50 PeV for the KRA$_{50}^\gamma$.

The new version (2022) of the KRA$_\gamma$ model [10, 11] makes use of more refined and updated cosmic ray and $\gamma$-ray measurements, and comes in two configurations: $\gamma$-optimized Min and Max that will be called KRA$_\gamma^{\min}$ and KRA$_\gamma^{\max}$ hereafter. These two versions correspond to different assumptions on the CR proton and Helium source spectra, so that the predicted fluxes after propagation bracket the experimental measurement above 10 TeV, which suffer from large uncertainties.

The KRA$_\gamma$ models use the DRAGON2 code to solve the transport equation of CR distributions, and contrary to the previous KRA$_5^\gamma$ model, the neutrino emission prediction is separated for atomic (HII) and molecular (HI) gas clouds. Given a source spectrum, this code provides the propagated spectrum using a non-uniform diffusion coefficient $D(\rho, \vec{x}) \propto \rho^{\delta(\vec{x})}$ as input, which is described by a power law function of the particle rigidity $\rho$ but with a radial dependence of the index $\delta(r/\rho_\odot)$.

The CRINGE model [12] is mostly based on recent observations of local Galactic cosmic rays from AMS-02, DAMPE, IceTop as well as KASCADE, which have been used to constrain the free parameters of the model. Contrarily to the KRA$_\gamma$ models, an homogeneous diffusion coefficient $D(\rho)$ is used, with four rigidity breaks and softness parameters as well as five indices $\delta_i$ where in particular the last softening $\delta_5 - \delta_4 < 0$ break in the spectrum accounts for the cosmic-ray "knee". Sources of $\gamma$ rays with fluxes below the experimental detection thresholds, called unresolved sources, have a relative contribution to the diffuse $\gamma$-ray flux. In the model these sources are considered to be dominated by pulsar-powered sources. However, whether the associated emission processes are

*except for [6] that focuses on the Galactic Ridge region with a counting method
leptonic or hadronic remains undefined, which is why the unresolved sources contribution is either incorporated or not in the CRINGE model.

The $\pi^0$ model (2012) [13] used recently in [7] is shown here only for comparison in these proceedings, it will be used in a future update of the present study. The model is based on the $\pi^0$ decay into $\gamma$-rays predicted by the GALPROP propagation code, and constrained by Fermi measurements of the $\gamma$-ray flux.

The predictions of all the models are summarized on Figure 1, where the sky-integrated energy spectrum and the longitudinal profile in the Galactic disk are shown. All of these models constitute the templates that are used to build the signal hypothesis in the likelihood, once convoluted with the ANTARES detector response. In practice, the models are available as a collection of Healpix [14] maps, one for each energy bin, except for the $\pi^0$ [13] that consists of a unique spatial distribution that should be weighted by a factor $E^{-2.7}$.

4. Description of the analysis

4.1 Likelihood maximization

The analysis uses an unbinned, extended maximum likelihood framework that combines the observations of both track-like and shower-like events. The reconstructed position ($\alpha, \delta$) in equatorial coordinates and reconstructed energy $E^\dagger$ of events are the variables used in the likelihood. The Probability Density Functions (PDFs) associated to the signal $H_1$ and $H_0$ background hypotheses are written $f_s$ and $f_b$, and the extended log-likelihood is defined as:

$$L_{H_1}(\mu_s, \mu_b) = \sum_{i=1}^{n_{\text{tot}}} \log \left[ \mu_s f_s(\alpha_i, \delta_i, E_i) + \mu_b f_b(\alpha_i, \delta_i, E_i) \right] - \mu_s - \mu_b$$

(1)

$$L_{H_0}(\mu_b) = \sum_{i=1}^{n_{\text{tot}}} \log \left[ \mu_b f_b(\alpha_i, \delta_i, E_i) \right] - \mu_b$$

(2)

where the free parameters $\mu_s$ and $\mu_b$ represent the estimated number of signal and background events in the sample, respectively. As the expected number of signal events are different for the track and shower channels, the signal term is written $\mu_s = r \times \mu_{\text{model}}$ where $r$ is the relative model flux normalization, common to both the track and shower channel, and $\mu_{\text{model}}$ is the average number of events expected in the considered channel for the base value of the flux, i.e. when $r = 1$.

The generalization to the case of two channels, tracks and showers, is simply

$$L_{H_1}^{(tr)}(r, \mu_{\text{tr}}^b, \mu_{\text{sh}}^b) = L_{H_1}^{(tr)}(r, \mu_{\text{tr}}^b) + L_{H_1}^{(sh)}(r, \mu_{\text{sh}}^b)$$

(3)

$$L_{H_0}^{(tr)}(\mu_{\text{tr}}^b, \mu_{\text{sh}}^b) = L_{H_0}^{(tr)}(\mu_{\text{tr}}^b) + L_{H_0}^{(sh)}(\mu_{\text{sh}}^b)$$

(4)

where the likelihood terms for tracks and showers $L_{H_1}^{(tr)}$ and $L_{H_1}^{(sh)}$ are defined by equation 4.1. In this case, there are 3 free parameters, the flux ratio $r$ and the number of background events $\mu_{\text{tr}}^b$ and $\mu_{\text{sh}}^b$. This procedure can easily be extended to any number of experimental data sets to combine, provided that all the required PDFs are available.

\footnotesize{The letter $E$ is used in the following to designate $\log_{10}(E/1\text{GeV})$}
The test statistics is defined as

$$TS = \text{sign} (\hat{r}) \times (\max \mathcal{L}_{H_1} (\hat{r}, \hat{\mu}_b) - \max \mathcal{L}_{H_0} (\hat{\mu}_b))$$

(5)

where $\hat{r}$ and $\hat{\mu}_b$ are the fitted values that maximize the likelihood. As the free parameters are not bounded, the fitted flux ratio $\hat{r}$ is allowed to be negative, and in that case the test statistic is made negative to keep the meaning of $TS$ as an ordering classification parameter, that should be higher when a positive signal is fitted.

### 4.2 Probability Density Functions

The Probability Density Functions $f_{s/b}$ represent the probability for an event $i$ of signal/background origin with true variables $x^{\text{tr}} = (\alpha^{\text{tr}}_i, \delta^{\text{tr}}_i, E^{\text{tr}}_i)$ to be detected, selected and reconstructed in the considered channel as $x = (\alpha_i, \delta_i, E_i)$. In the present analysis, only the position and energy variables are used, but the PDFs are built as a piece-wise function on the energy:

$$f(\alpha, \delta, E) = \begin{cases} 
  f_1(\alpha, \delta) & \text{if } E \in [E_1; E_2[ \\
  f_2(\alpha, \delta) & \text{if } E \in [E_2; E_3[ \\
  \vdots & \\
  f_n(\alpha, \delta) & \text{if } E \in [E_n; E_{n+1}[ 
\end{cases}$$

(6)

where $n = 6$ spatial functions are used, each for a given energy bin. This method thus avoids the possible factorization of $f(\alpha, \delta, E) = g(\alpha, \delta) \times h(E)$ which is quite inaccurate for the background, the shape of the function $g(\alpha, \delta)$ being clearly energy-dependent. In practice, the functions $f_i(\alpha, \delta)$ are represented by Healpix maps with a resolution parameter $N_{\text{side}} = 256$ for the track channel, and $N_{\text{side}} = 128$ for the shower channel.

The background PDFs are uniform in right ascension $\alpha$, thanks to Earth’s rotation washing out possible anisotropies in local coordinates after many years of data taking. Thus, each function $f_i(\alpha, \delta)$ in equation 6 is obtained by performing a kernel density estimation (KDE) over the distribution of $\sin \delta$ in real data $f_i(\alpha, \delta) = f^{\text{KDE}}_i (\sin \delta)$. If less than 100 events are present in the data, the MC distribution is used instead. The normalization of the functions is then determined by the ratio of the expected number of background MC events in the $i$-th energy bin to the total number of predicted background events.

The signal PDFs are built by filling a set of initially empty maps with the MC event’s reconstructed position and reconstructed energy, with a weight proportional to the value of the model estimated with the true coordinates and energies $\Phi_{\text{model}}(E^{\text{tr}}_i, \alpha^{\text{tr}}_i, \delta^{\text{tr}}_i)$. This procedure naturally performs the convolution of the $\nu$ flux model with the ANTARES detector’s response without the need of any simplified parametrization. To obtain smooth maps even in areas with low statistics, a modified bootstrap method is used to artificially increase the MC statistic, while preserving the properties of the detector’s response. The normalization of the functions is similar to the background case, i.e. proportional to the ratio of the signal events in the $i$-th energy bin to the total number.
Search for a diffuse $\nu$ emission from the Milky-Way with the ANTARES experiment  
Théophile Cartraud

<table>
<thead>
<tr>
<th>Model</th>
<th>$KRA^{\text{max}}_\gamma$</th>
<th>$KRA^{\text{min}}_\gamma$</th>
<th>$KRA^5_\gamma$</th>
<th>CRINGE + Unresolved</th>
<th>CRINGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>$r_{3\sigma}$</td>
<td>$1.28^{+0.03}_{-0.03}$</td>
<td>$1.47^{+0.03}_{-0.03}$</td>
<td>$1.81^{+0.04}_{-0.04}$</td>
<td>$2.87^{+0.06}_{-0.06}$</td>
<td>$6.67^{+0.16}_{-0.16}$</td>
</tr>
<tr>
<td>$r_{\text{sens}}$</td>
<td>$0.63^{+0.18}_{-0.07}$</td>
<td>$0.69^{+0.17}_{-0.09}$</td>
<td>$0.93^{+0.12}_{-0.10}$</td>
<td>$1.47^{+0.18}_{-0.15}$</td>
<td>$3.14^{+0.87}_{-0.47}$</td>
</tr>
</tbody>
</table>

Table 1: Discovery potential at the $3\sigma$ level and sensitivity expressed for the fitted flux ratio $r$, obtained with the combination of track and shower channels.

4.3 Expected performance and optimization

The expected performance of the search can be estimated by simulating MC events with different amounts of injected signal on top of the background. The optimization procedure consists in determining the set of selection cuts that give the lowest possible $3\sigma$ discovery potential (DP) flux ratio $r_{3\sigma}$ at 50% level. The number of energy bins $n$ of the PDFs has also been optimized, the best value being $n = 6$ for both track and shower channels. To account for the systematic uncertainty affecting the ANTARES acceptance determination, a Gaussian random fluctuation of 15% in the simulated flux normalization has been applied.

The $3\sigma$ discovery potential and the sensitivity (upper limit at 90% CL that would be obtained with the median of the test statistic for the null hypothesis) for the optimized cuts are summarized in Table 1. With the considered models, the probability to obtain a $3\sigma$ evidence $p_{3\sigma}$ is always smaller than 50% for the nominal value of the predicted flux, especially for the CRINGE model which would require much more data to be detected by ANTARES. For the $\gamma$–optimized max model, which has the best potential, the probability reaches $p_{3\sigma} \approx 16\%$.

Nevertheless, the sensitivities values suggest that the current analysis has the potential to significantly constrain the $KRA_\gamma$ family of models, particularly if a very low signal flux is fitted.

5. Results

<table>
<thead>
<tr>
<th>Model</th>
<th>$r_{\text{fit}}$</th>
<th>$\mu^{\text{fit}}_e$ (tr/sh)</th>
<th>TS</th>
<th>pre-trial p-value</th>
<th>post-trial p-value</th>
<th>UL90(r)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$KRA^{\text{max}}_\gamma$</td>
<td>0.58$^{+0.35}_{-0.48}$</td>
<td>9.6/6.7</td>
<td>0.77</td>
<td>9.80$\cdot$10$^{-2}$ (1.65$\sigma$)</td>
<td>1.19$\cdot$10$^{-1}$ (1.56$\sigma$)</td>
<td>1.35</td>
</tr>
<tr>
<td>$KRA^{\text{min}}_\gamma$</td>
<td>0.59$^{+0.57}_{-0.50}$</td>
<td>9.3/7.2</td>
<td>0.73</td>
<td>1.06$\cdot$10$^{-1}$ (1.62$\sigma$)</td>
<td>1.30$\cdot$10$^{-1}$ (1.51$\sigma$)</td>
<td>1.45</td>
</tr>
<tr>
<td>$KRA^5_\gamma$</td>
<td>0.93$^{+0.81}_{-0.70}$</td>
<td>10.2/6.8</td>
<td>0.95</td>
<td>7.40$\cdot$10$^{-2}$ (1.79$\sigma$)</td>
<td>8.92$\cdot$10$^{-2}$ (1.70$\sigma$)</td>
<td>1.99</td>
</tr>
<tr>
<td>CRINGE + Unresolved</td>
<td>1.08$^{+1.13}_{-1.07}$</td>
<td>11.6/8.4</td>
<td>0.50</td>
<td>1.47$\cdot$10$^{-1}$ (1.45$\sigma$)</td>
<td>1.79$\cdot$10$^{-1}$ (1.34$\sigma$)</td>
<td>2.64</td>
</tr>
<tr>
<td>CRINGE</td>
<td>1.58$^{+2.46}_{-1.58}$</td>
<td>8.5/6.8</td>
<td>0.24</td>
<td>2.35$\cdot$10$^{-1}$ (1.19$\sigma$)</td>
<td>2.74$\cdot$10$^{-1}$ (1.09$\sigma$)</td>
<td>4.57</td>
</tr>
</tbody>
</table>

Table 2: Results of the likelihood analysis: fitted flux $r_{\text{fit}}$ with 1$\sigma$ uncertainty; fitted number of events $\mu^{\text{fit}}_e$; test statistics, pre-trial and post-trial p-values of the real data sample, and flux obtained at the sensitivity level for the different models tested. The post-trial p-value for the $i$-th model is calculated as the fraction of pseudo-experiments (PE) where, for at least one model, the p-value associated with that specific PE is smaller than the pre-trial p-value.

The results of the analysis are summarized on Table 2. None of the statistical tests are significant, the largest excess being found for the $KRA^5_\gamma$ with a post-trial p-value equivalent to 1.7$\sigma$, with a flux ratio of $r = 0.93$. The best fit flux ratios are around 60% for the $KRA^{\text{min}}_\gamma$ and $KRA^{\text{max}}_\gamma$ models, but with a large uncertainty. For all models, the 90% Neyman confidence intervals have

6
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Search for a diffuse $\nu$ emission from the Milky-Way with the ANITA experiment

Théophile Cartraud

Figure 2: Full sky, one-flavor $\nu + \bar{\nu}$ flux as a function of the energy. Left: Results for the KRA$_{5}^{\gamma}$ model (black line) with a cutoff at $E_{c} = 5$ PeV (2015) [9]. The present analysis results are plotted in red: the central line with the $\pm 1\sigma$ band shows the best-fit value, and the 90% upper limit is shown with downward arrows. The IceCube best-fit (2023) [7] for the KRA$_{5}^{\gamma}$ model is shown as a grey band, and the $\pi^{0}$ model best fit as a blue band. The ANTARES Galactic Ridge [6] best fit and 68% contour converted into a full-sky (see text) are shown in orange. Right: Results for the KRA$_{\text{min}}^{\gamma}$ (dashed black line) and KRA$_{\text{max}}^{\gamma}$ (solid black line) models (2023) [10, 11]. The present analysis result are shown in red and purple, with the same line style as the corresponding models.

been computed and lead to upper-limits, the fitted fluxes being not strong enough to provide a rejection of the zero signal hypothesis at 90%. The upper-limits obtained in this work are listed on the last column of Table 2, and do not constraint any of the considered models.

Figure 2 (left) compares the results obtained in this analysis with the KRA$_{5}^{\gamma}$ predictions, together with the recent results of IceCube [7]. The best-fit at $\pm 1\sigma$ of the current work is well compatible with the IC result but suffers from a larger uncertainty. The estimation of the flux from the Galactic Ridge with ANTARES data [6] is also shown for comparison. A correction factor has been applied to the contours obtained in [6], as the flux is measured only in the Galactic Ridge $\Phi_{GR}$, defined as the region in Galactic coordinates, $|l| < 30^\circ$, $|b| < 2^\circ$ for track-like events and $|l| < 33^\circ$, $|b| < 5^\circ$ for shower-like events. For a given model, the ratio $\eta_{GR}$ of the number of track-like and shower-like signal events having their reconstructed coordinates within the Galactic Ridge region divided by the total number of events predicted by the model is computed. For the KRA$_{\gamma}$ models, this ratio is found to be energy dependent, and goes from $\eta_{GR}(10 \text{ GeV}) \sim 30\%$ up to $\eta_{GR}(10 \text{ PeV}) \sim 50\%$. For the CRINGE and $\pi^{0}$ models, this ratio is essentially constant and equal to $\sim 20\%$ and $\sim 12\%$ respectively. The best fit value and the 68% contour of the ANTARES Galactic Ridge result has been divided by the function $\eta_{GR}(E)$ computed for the KRA$_{5}^{\gamma}$ to obtain the plot on Figure 2 (left), where one can see that it is compatible with the IceCube measurements and with the present study. The results for the KRA$_{\text{min}}^{\gamma}$ and KRA$_{\text{max}}^{\gamma}$ models are shown in Figure 2 (right).

‡To compare the KRA$_{\text{min}}^{\gamma}$ and KRA$_{\text{max}}^{\gamma}$ results with the ANTARES Galactic Ridge results, a similar correction would be applied, using the ratio $\eta_{GR}$ computed for the KRA$_{\text{min}}^{\gamma}$ model (the difference in $\eta_{GR}$ between the two models is less than 2%).
6. Conclusions

The search for a diffuse neutrino emission from the Galaxy with a likelihood method using the latest ANTARES data available has been presented. Several models predicting the neutrino flux with different morphologies and energy distributions have been tested. The higher significance is obtained for KRA with a post-trial p-value equivalent to $1.7 \sigma$. As none of the statistical tests performed are significant, upper limits on the predicted flux have been set. Those limits do not constraint the models, and the best-fit values obtained by the present analysis are compatible with already published results. The combination of the ANTARES data with other experiments like KM3NeT and IceCube would strengthen even more the evidence for the existence of neutrino flux coming from the Galactic Plane.

References