# Brief overview of Candidate de Sitter Vacua

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We review compactifications of type IIB string theory which produce de Sitter vacua to leading order in the  $\alpha'$  and  $g_s$  expansions in line with the scenario proposed by Kachru, Kallosh, Linde, and Trivedi. We detail specific Calabi-Yau orientifold compactifications incorporating the non-perturbative superpotential from Euclidean D3-branes, the full flux-induced superpotential, and the Kähler potential evaluated at string tree level but retaining all orders in  $\alpha'$ . Each model hosts a Klebanov-Strassler throat featuring a single anti-D3-brane. The energy associated with this supersymmetry-breaking source — computed at leading order in  $\alpha'$  — lifts the minimum to a metastable de Sitter vacuum with all moduli stabilised. A key open challenge is the identification of vacua that remain stable when including additional corrections — an endeavour for which this study provides a solid foundation. This work is a contribution to the proceedings of the Corfu Summer Institute 2024 "School and Workshops on Elementary Particle Physics and Gravity" (CORFU2024) and is based on [1].

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#### 1. Introduction

The expansion of the Universe is accelerating. The most basic cosmological model consistent with this observation is de Sitter space. To understand how gravity might be quantised in our Universe and to confront the cosmological constant problem, it is therefore essential to explore de Sitter vacua within the framework of string theory. Despite the profound significance of this issue, and the vast number of studies on string compactifications (see, for instance, [2]), explicit examples of de Sitter vacua have proven remarkably difficult to realise.

In this note, we summarise new progress in realising de Sitter vacua through Calabi-Yau compactifications of type IIB string theory [1]. Our approach closely follows the framework proposed over two decades ago by Kachru, Kallosh, Linde, and Trivedi (KKLT) [3], and we assemble for the first time all the essential elements of the KKLT construction within fully explicit models. Specifically, to realise a KKLT de Sitter vacuum, one must identify a Calabi-Yau orientifold in which the following ingredients can be simultaneously implemented:

- (a) a supersymmetric AdS vacuum with an exponentially suppressed vacuum energy,
- (b) a conifold region that supports a Klebanov-Strassler throat, with a redshifted energy scale comparable to the AdS vacuum energy, and
- (c) an anti-D3-brane whose contribution uplifts the AdS vacuum to positive vacuum energy.

ID	$h^{2,1}$	$h^{1,1}$	M	<i>K'</i>	$g_s$	$W_0$	$g_sM$	$ z_{\rm cf} $	$V_0$
1	8	150	16	<u>26</u> 5	0.0657	0.0115	1.051	$2.822 \times 10^{-8}$	+1.937×10 <sup>-19</sup>
2	8	150	16	93 19	0.0571	0.00490	0.913	$7.934 \times 10^{-9}$	+1.692×10 <sup>-20</sup>
3	8	150	18	40 11	0.0442	0.0222	0.796	$8.730 \times 10^{-8}$	+4.983×10 <sup>-19</sup>
4	5	93	20	17 5	0.0404	0.0539	0.808	$1.965 \times 10^{-6}$	$+2.341\times10^{-15}$
5	5	93	16	29 10	0.0466	0.0304	0.746	8.703×10 <sup>-7</sup>	+2.113×10 <sup>-15</sup>

**Table 1:** Five candidate de Sitter vacua together with their control parameters. Examples 1 and 4 will be discussed in details in §4.

In this work, we successfully realise conditions (a) through (c) within explicit flux compactifications on Calabi-Yau orientifolds. These constructions are carried out at leading order in the effective field theories (EFTs) describing the compactifications. As such, we provide concrete examples of KKLT-type de Sitter vacua at this level of approximation. This marks the first instance in which such vacua have been explicitly constructed.

We begin by identifying suitable Calabi-Yau orientifolds and choosing quantised flux configurations from which we derive the leading-order effective theories defined precisely in the sections that follow. In particular, we incorporate at string tree level all (non-)perturbative corrections in  $\alpha'$  to the Kähler potential and the holomorphic Kähler coordinates. Consequently, our treatment of the supersymmetric EFT — namely, the theory prior to the inclusion of anti-D3-branes — is exact in  $\alpha'$ , though not in the string coupling  $g_s$ .

Within this framework, we construct 33,371 distinct compactifications, each featuring a Klebanov-Strassler throat containing a single anti-D3-brane. Among these, we identify five configurations in which the anti-D3-brane's supersymmetry-breaking energy — computed at leading order in  $\alpha'$  — uplifts the vacuum to a metastable de Sitter state with complete moduli stabilisation which we call *de Sitter vacua at leading order*. The parameters of the examples are listed in Tab. 1. We show the scalar potential for Example 4 in Fig. 1 where the AdS vacuum before uplifting corresponds to the dashed, black line and the uplifted dS vacuum to the blue line.

While higher-order corrections in  $\alpha'$  and  $g_s$  could possibly have a significant impact, their full structure is not yet understood. Furthermore, there remains ambiguity regarding whether flux quantisation conditions in Calabi-Yau orientifolds allow for odd integer fluxes. As such, our results stop short of establishing a definitive proof that KKLT-type de Sitter vacua exist as solutions within string theory. Nevertheless, the work represents a meaningful step forward in the practical realisation of de Sitter vacua in type IIB compactifications and lays essential groundwork for future developments in this area.

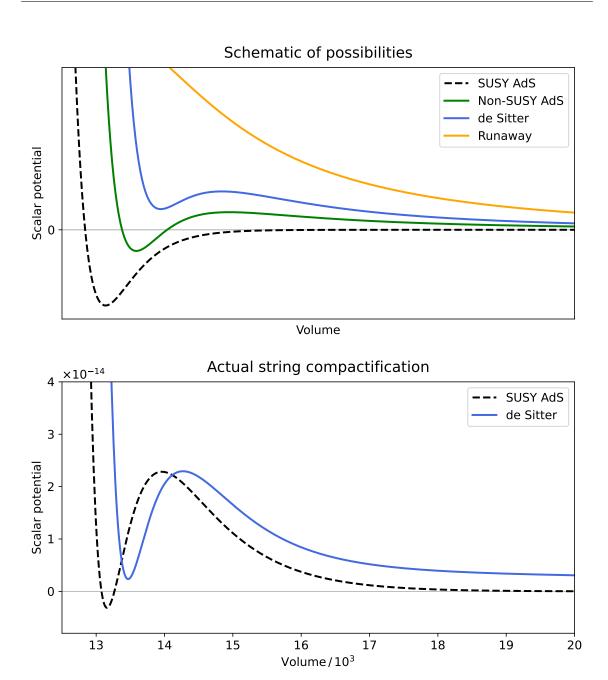


Figure 1: Top: Schematic illustration of a supersymmetric AdS vacuum and the possible outcomes of the anti-D3-brane uplift in the KKLT scenario. *Bottom:* Scalar potentials corresponding to the AdS vacuum (black) and the uplifted dS vacuum (blue) in the flux compactification on the Calabi-Yau orientifold described in §4.2. The lower panel presents results from a fully explicit computation within the leading-order EFT defined in §2 and 3. The horizontal axis denotes the Einstein frame volume  $\mathcal{V}_E$  of the Calabi-Yau in units of the string length, while the vertical axis represents the scalar potential V in Planck units. Definitions of these quantities are given in Eqs. (8), (9) and (32). The lower panel is reproduced from Fig. 6.

# 2. Leading-Order Supersymmetric EFT

Let us start by introducing the leading-order supersymmetric EFT in which we construct all our vacua. In this note, we will only summarise the most relevant aspects of the construction and we refer to [1] for further details. Unless stated otherwise, we work in 10D Einstein frame in units  $\ell_s^2 \equiv (2\pi)^2 \alpha' = 1$ , and follow the conventions of [4].

We consider type IIB string theory compactified on a Calabi-Yau threefold X to four dimensions. By imposing an O3/O7 orientifold action [5–12], half the supersymmetries get broken leading to a  $\mathcal{N}=1$  supergravity theory in four dimensions. In this theory, the light scalar degrees of freedom from the closed string sector which we denote  $\Phi^I$  reside in chiral multiplets. In all of our examples, we work exclusively with involutions  $I:X\to X$  satisfying  $h^{1,1}_-(X,\mathcal{I})=h^{2,1}_+(X,\mathcal{I})=0$  such that the chiral multiplets surviving the orientifold projection are [13]

- 1.  $h^{1,1}$  complexified Kähler moduli  $T_i$ ,
- 2.  $h^{2,1}$  complex structure moduli  $z^a$ , and
- 3. the axio-dilaton  $\tau := C_0 + i/g_s$ .

The *F*-term potential for these fields

$$V_F(\Phi, \overline{\Phi}) = e^{\mathcal{K}} \left( \mathcal{K}^{I\bar{J}} D_I W D_{\bar{J}} \bar{W} - 3 |W|^2 \right), \tag{1}$$

is fully specified by a non-holomorphic Kähler potential K and a holomorphic superpotential W. Here, we introduced the covariant derivative

$$D_I W = \partial_I W + \partial_I \mathcal{K} W, \tag{2}$$

and the Kähler metric

$$\mathcal{K}_{I\bar{I}} = \partial_I \partial_{\bar{I}} \mathcal{K} \,. \tag{3}$$

Accordingly, the evaluation of  $V_F$  requires the specification of the Kähler potential  $\mathcal{K}$  and superpotential W, together with an explicit parametrisation of the holomorphic fields  $\Phi^I$  in terms of the underlying compactification data.

In the absence of a full understanding of quantum corrections, we need to make suitable assumptions and truncations which leads us to defining a *leading-order supersymmetric EFT*. That is, we will work in a leading-order approximation for the Kähler potential  $\mathcal{K} \approx \mathcal{K}_{l.o.}$  (see Eq. (6)) and the Kähler coordinates  $T_i \approx T_i^{l.o.}$  (see Eq. (13)) incorporating all the known  $\alpha'$  corrections at string tree level. Together with the superpotential W defined in Eq. (31), this allows us to specify an  $\mathcal{N}=1$  supersymmetric supergravity theory with the F-term potential (1) corresponding to

$$V_F = V_F(W; \mathcal{K}_{l.o}; \tau, z^a, T_i^{l.o.}).$$
(4)

This potential specifies the dynamics for all moduli in terms of topological data for mirror pairs of Calabi-Yau threefolds  $(X, \widetilde{X})$ , orientifold involutions  $I: X \to X$  and quantised fluxes, cf. §3. In addition to (4), in the presence of an anti-D3-brane we have to add the uplifting potential which we will take as the leading-order contribution  $V_{\overline{D3}}$  in the  $\alpha'$  expansion [14] as specified in Eq. (33).

# 2.1 The Kähler potential

The Kähler potential  $\mathcal{K}$  that will be used to evaluate the F-term potential (1) can be written as

$$\mathcal{K} \approx \mathcal{K}_{\text{l.o.}} := \mathcal{K}_{\text{tree}} + \mathcal{K}_{(\alpha')^3} + \mathcal{K}_{\text{WSI}}$$
 (5)

$$= -2\log\left(2^{3/2}g_s^{-3/2}\mathcal{V}\right) - \log\left(-i\left(\tau - \bar{\tau}\right)\right) - \log\left(-i\int_{\mathcal{X}} \Omega \wedge \bar{\Omega}\right),\tag{6}$$

in terms of the holomorphic 3-form  $\Omega(z^a)$  (cf. Eq. (18)), and the string tree level,  $\alpha'$ -corrected, string-frame volume  $\mathcal{V}$  (cf. Eq. (9)).

#### Kähler moduli sector

Initially, we introduce a basis  $\{\omega^i\}_{i=1}^{h^{1,1}(X)}$  of  $H^4(X,\mathbb{Z})$  and its dual basis  $\{\omega_i\}_{i=1}^{h^{1,1}(X)}$  of  $H^2(X,\mathbb{Z})$  satisfying  $\int_X \omega^i \wedge \omega_j = \delta^i{}_j$ . The Kähler cone  $\mathcal{K}_X \subset H^{1,1}(X,\mathbb{R})$  of X is parametrised by the Kähler parameters  $\{t^i\}_{i=1}^{h^{1,1}(X)}$ . With these definitions at hand, we can write the string-frame Kähler class J and the triple intersection numbers  $\kappa_{ijk}$  of X as

$$J = \sum_{i} t^{i} \,\omega_{i}, \,\, \kappa_{ijk} := \int_{X} \omega_{i} \wedge \omega_{j} \wedge \omega_{k} \,. \tag{7}$$

The cone dual to  $\mathcal{K}_X$  is The Mori cone  $\mathcal{M}_X \subset H_2(X,\mathbb{R})$  of X is the cone dual to the Kähler cone  $\mathcal{K}_X$  and thus  $q_i t^i > 0$  for all  $\vec{t} \in \mathcal{K}_X$  for any  $\mathbf{q} \in \mathcal{M}_X$ .

The classical string-frame volume  $\mathcal{V}^{(0)}$  and Einstein-frame volume  $\mathcal{V}^{(0)}_E$  of X can be expressed in terms of the string-frame Kähler parameters  $t^i$  and the triple intersection numbers  $\kappa_{ijk}$  of X as

$$\mathcal{V}^{(0)} = \frac{1}{6} \kappa_{ijk} t_i t_j t_k \,, \, \, \mathcal{V}_E^{(0)} = \frac{\mathcal{V}^{(0)}}{g_s^{3/2}} \,. \tag{8}$$

The corrected string-frame volume V appearing in (6) reads

$$\mathcal{V} = \mathcal{V}^{(0)} + \delta \mathcal{V}_{(\alpha')^3} + \delta \mathcal{V}_{WSI}, \tag{9}$$

in terms of the tree level  $(\alpha')^3$  correction [15–18]

$$\delta \mathcal{V}_{(\alpha')^3} = -\frac{\zeta(3)\chi(X)}{4(2\pi)^3},\tag{10}$$

and worldsheet instanton corrections [19-21]

$$\delta \mathcal{V}_{\text{WSI}} = \frac{1}{2(2\pi)^3} \sum_{\mathbf{q} \in \mathcal{M}_X} \mathcal{N}_{\mathbf{q}} \left( \text{Li}_3 \left( (-1)^{\gamma \cdot \mathbf{q}} e^{-2\pi \mathbf{q} \cdot \mathbf{t}} \right) + 2\pi \mathbf{q} \cdot \mathbf{t} \text{ Li}_2 \left( (-1)^{\gamma \cdot \mathbf{q}} e^{-2\pi \mathbf{q} \cdot \mathbf{t}} \right) \right), \tag{11}$$

where  $\mathcal{N}_{\mathbf{q}}$  are genus-zero Gopakumar-Vafa (GV) invariants [22, 23] of X and  $\gamma^i := \int_X [O7] \wedge \omega^i$ . Further, we note that polylogarithms  $\text{Li}_k(z)$  are defined for |z| < 1 as

$$\operatorname{Li}_{k}(z) = \sum_{n=1}^{\infty} \frac{z^{n}}{n^{k}},\tag{12}$$

and can be analytically continued to the entire complex plane.

Next, let us define the Kähler moduli  $T_i$  corresponding to be the natural Kähler coordinates to compute the Kähler metric  $\mathcal{K}_{I\bar{J}}$ . At leading order, there is a simple relationship between the holomorphic coordinates  $T_i$  and the curve volumes  $t^i$  which is modified in the presence of perturbative corrections to  $\mathcal{K}_{I\bar{J}}$ . Indeed, the Kähler coordinates can be written as (see e.g. [13, 21, 24–28])

$$T_i \approx T_i^{\text{l.o.}} := \frac{1}{g_s} \left( \mathcal{T}_i^{\text{tree}} + \mathcal{T}_i^{(\alpha')^2} + \mathcal{T}_i^{\text{WSI}} \right) + i \int_X C_4 \wedge \omega_i, \tag{13}$$

with

$$\mathcal{T}_i^{\text{tree}} = \frac{1}{2} \kappa_{ijk} t^j t^k \,, \tag{14}$$

$$\mathcal{T}_i^{(\alpha')^2} = \frac{\chi(D_i)}{24},\tag{15}$$

$$\mathcal{T}_i^{\text{WSI}} = \frac{1}{(2\pi)^2} \sum_{\mathbf{q} \in \mathcal{M}_X} q_i \,\mathcal{N}_{\mathbf{q}} \operatorname{Li}_2\left((-1)^{\gamma \cdot \mathbf{q}} e^{-2\pi \mathbf{q} \cdot \mathbf{t}}\right). \tag{16}$$

## **Complex structure sector**

Coming back to (6), we would like to compute the third term depending on the complex structure moduli  $z^a$  through the holomorphic 3-form  $\Omega(z^a)$ . To begin with, we rewrite the integral over  $\Omega(z^a)$  in terms of a *period vector*  $\vec{\Pi}(z^a)$  as

$$\int_{X} \Omega \wedge \bar{\Omega} = \vec{\Pi}^{\dagger} \cdot \Sigma \cdot \vec{\Pi} , \quad \Sigma := \begin{pmatrix} 0 & \mathbb{1} \\ -\mathbb{1} & 0 \end{pmatrix} . \tag{17}$$

By working in a symplectic basis of  $H_3(X,\mathbb{Z})$  and with an appropriate normalisation of  $\Omega$ , the period vector  $\vec{\Pi}$  can be written in terms of a holomorphic prepotential  $\mathcal{F}(z^a)$  as

$$\vec{\Pi} = \begin{pmatrix} 2\mathcal{F} - z^a \partial_{z^a} \mathcal{F} \\ \partial_{z^a} \mathcal{F}(z) \\ 1 \\ z^a \end{pmatrix}. \tag{18}$$

Here, the  $z^a$ ,  $a = 1, ..., h^{2,1}(X)$ , act as local affine coordinates on complex structure moduli space  $\mathcal{M}_{cs}(X)$  of X.

The prepotential  $\mathcal{F}(z^a)$  can be computed explicitly in the *large complex structure* (LCS) patch. Indeed, at LCS the prepotential can be expanded in terms of the topological data of the mirror Calabi-Yau threefold  $\widetilde{X}$  as the sum of a polynomial piece and an exponential piece [29]

$$\mathcal{F}(z^a) = \mathcal{F}_{\text{poly}}(z^a) + \mathcal{F}_{\text{inst}}(z^a), \tag{19}$$

where

$$\mathcal{F}_{\text{poly}}(z^a) = -\frac{1}{3!} \widetilde{\kappa}_{abc} z^a z^b z^c + \frac{1}{2} a_{ab} z^a z^b + \frac{1}{24} \widetilde{c}_a z^a + \frac{\zeta(3)\chi(\widetilde{X})}{2(2\pi i)^3}, \tag{20}$$

$$\mathcal{F}_{\text{inst}}(z^a) = -\frac{1}{(2\pi i)^3} \sum_{\tilde{\mathbf{q}} \in \mathcal{M}_{\tilde{X}}} \mathcal{N}_{\tilde{\mathbf{q}}} \operatorname{Li}_3\left(e^{2\pi i \, \tilde{\mathbf{q}} \cdot \mathbf{z}}\right). \tag{21}$$

In (20),  $\widetilde{\kappa}_{abc}$  denotes the triple intersection numbers of  $\widetilde{X}$ , while

$$\widetilde{c}_a = \int_{\widetilde{X}} c_2(\widetilde{X}) \wedge \widetilde{\beta}_a, \quad a_{ab} \equiv \frac{1}{2} \begin{cases} \widetilde{\kappa}_{aab} & a \ge b \\ \widetilde{\kappa}_{abb} & a < b \end{cases}, \quad \text{and} \quad \chi(\widetilde{X}) = \int_{\widetilde{X}} c_3(\widetilde{X}). \tag{22}$$

in terms of a basis  $\{\tilde{\beta}_a\}_{a=1}^{h^{2,1}(X)}$  of  $H^2(\widetilde{X},\mathbb{Z})$ , and  $c_n(\widetilde{X})$  denotes the *n*-th Chern class of  $\widetilde{X}$ . The instantonic piece  $\mathcal{F}_{inst}$  in (21) involves a sum over effective curve classes  $\tilde{\mathbf{q}}$  in  $H^4(\widetilde{X},\mathbb{Z}) \simeq H_2(\widetilde{X},\mathbb{Z})$  where the coefficients  $\mathcal{N}_{\tilde{\mathbf{q}}}$  are the genus-zero Gopakumar-Vafa (GV) invariants [22, 23] of  $\widetilde{X}$ .

### **Engineering conifolds**

To later construct a Klebanov-Strassler throat region in a flux compactification, we must stabilise the complex structure moduli near a *conifold singularity*. In the complex structure moduli space  $\mathcal{M}_{cs}(X)$  of X, such a conifold locus arises whenever a set of  $n_{cf}$  3-cycles in some homology class  $[C] \in H_3(X,\mathbb{Z})$  shrink to zero volume. Because  $\mathcal{M}_{cs}(X)$  is identified with the complexified Kähler cone  $\mathcal{K}_{\widetilde{X}}$  of the mirror threefold  $\widetilde{X}$  in an LCS patch, the conifold locus corresponds to a facet  $\mathcal{K}_{cf}$  of  $\mathcal{K}_{\widetilde{X}}$ . The set of curves  $C_{cf}$  shrinking at this facet are in some effective curve class  $\widetilde{\mathbf{q}}_{cf} \in \mathcal{M}_{\widetilde{X}} \cap H_2(\widetilde{X},\mathbb{Z})$  which we refer to as the *conifold class*, see e.g. [30]. Assuming that such as conifold class  $\widetilde{\mathbf{q}}_{cf}$  exists, the volume of the curves  $C_{cf}$  is measured by the absolute value of *conifold modulus*  $z_{cf}$ . For convenience, we choose a basis for  $H_2(\widetilde{X},\mathbb{Z})$  in which the conifold curve is represented by  $\widetilde{\mathbf{q}}_{cf} = (1,0,\ldots,0)$  and hence the conifold modulus  $z_{cf}$  is identified as  $z_{cf} = z^1$ . The remaining *bulk moduli* are then denoted as  $z^{\alpha}$ ,  $\alpha = 2,\ldots,h^{2,1}$ .

In the presence of a conifold singularity, we need to analytically continue (21) in the presence of a shrinking curve parametrised by the absolute value of  $\tilde{\mathbf{q}}_{cf} \cdot \mathbf{z} = z_{cf}$ . This is achieved by making use of Euler's reflection formula [30]

$$-\frac{\text{Li}_3\left(e^{2\pi i z_{\text{cf}}}\right)}{(2\pi i)^3} = \frac{z_{\text{cf}}^2}{4\pi i} \ln(-2\pi i z_{\text{cf}}) - \frac{1}{(2\pi i)^3} \sum_{n=0}^{\infty} \frac{\hat{\zeta}(n-3)}{n!} (2\pi i z_{\text{cf}})^n$$
(23)

with  $\hat{\zeta}(x) = \zeta(x)$  for  $x \neq 1$  and  $\hat{\zeta}(1) = 3/2$ . We can then systematically expand the full prepotential (19) around small  $|z_{\rm cf}| \ll 1$  which leads to [30]

$$\mathcal{F}(z_{\rm cf}, z^{\alpha}) = n_{\rm cf} \frac{z_{\rm cf}^2}{4\pi i} \ln(-2\pi i z_{\rm cf}) + \sum_{n=0}^{\infty} \frac{\mathcal{F}^{(n)}(z^{\alpha})}{n!} z_{\rm cf}^n$$
 (24)

where  $n_{\rm cf} = \mathcal{N}_{\tilde{\mathbf{q}}_{\rm cf}}$  and

$$\mathcal{F}^{(n)}(z^{\alpha}) = (\partial_{z_{\text{cf}}}^{n} \mathcal{F}_{\text{poly}}) \Big|_{z_{\text{cf}} = 0} - n_{\text{cf}} \frac{\hat{\zeta}(3 - n)}{(2\pi i)^{3 - n}} - \frac{1}{(2\pi i)^{3 - n}} \sum_{\tilde{\mathbf{q}} \neq \tilde{\mathbf{q}}_{\text{cf}}} \mathcal{N}_{\tilde{\mathbf{q}}} (\tilde{q}_{1})^{n} \operatorname{Li}_{3 - n} \left( e^{2\pi i \, \tilde{\mathbf{q}} \cdot \mathbf{z}} \right) \Big|_{z_{\text{cf}} = 0}$$
(25)

in terms of  $\mathcal{F}_{poly}$  as defined in (20). This expression can be used to compute the periods to any desired order in the expansion of the control parameter  $|z_{cf}|$ . In many cases, expanding to linear order in  $z_{cf}$  is sufficient, though ultimately we work with the full theory in our numerical search for flux vacua described in §3.2.

 $<sup>^1</sup>$ Strictly speaking, we denote by  $\mathcal{K}_{cf}$  the *interior* of said facet where the set conifold curves shrinks.

### 2.2 The superpotential

Next, let us look at the superpotential which receives two contributions from fluxes and nonperturbative effects. It can be written as

$$W = W_{\text{flux}} + W_{\text{np}} \,. \tag{26}$$

The flux superpotential  $W_{\text{flux}}$  encodes the contributions of the background fluxes to the scalar potential [31]. It can be written as [31, 32],

$$W_{\text{flux}}(\tau, z^a) = \sqrt{\frac{2}{\pi}} \int_X (F_3 - \tau H_3) \wedge \Omega(z) = \sqrt{\frac{2}{\pi}} \vec{\Pi}^\top \cdot \Sigma \cdot (\vec{f} - \tau \vec{h}), \qquad (27)$$

where  $F_3$ ,  $H_3$  are the RR and NSNS 3-forms respectively and  $\vec{f}$ ,  $\vec{h} \in H^3(X,\mathbb{Z})$  the corresponding flux vectors. These flux vectors are constrained by Gauss's law

$$2\left(N_{\mathrm{D3}}-N_{\overline{\mathrm{D3}}}\right)+Q_{\mathrm{flux}}-Q_{\mathrm{O}}=0\;,\quad Q_{\mathrm{flux}}\coloneqq\int_{X}H_{3}\wedge F_{3}=\vec{f}^{\top}\Sigma\vec{h}\;,\quad Q_{\mathrm{O}}\coloneqq\frac{1}{2}\chi_{f}\;,\tag{28}$$

in terms of the number of spacetime-filling (anti-)D3-branes  $N_{\rm D3}$  ( $N_{\overline{\rm D3}}$ ) in the system and where  $\chi_f$  is the Euler character of the fixed locus of I in X. Given a choice of a Calabi-Yau orientifold X/I and flux vectors  $\vec{f}$ ,  $\vec{h}$ , we will compute the explicit expression for the flux superpotential in §3.2 by using the period vector  $\vec{\Pi}$  in Eq. (18) as described in the previous subsection.

The non-perturbative superpotential  $W_{np}$  can be written as [33]

$$W_{\rm np} = \sum_{D} \mathcal{A}_{D} e^{-\frac{2\pi}{c_D} T_D}$$
 (29)

in terms of Kähler moduli  $T_D$  associated to divisors D hosting some D-brane configurations and  $c_D$  the dual Coxeter number of the corresponding gauge theory. Indeed,  $W_{np}$  summarises the contributions of Euclidean D3-branes and gaugino condensation on seven-branes to the potential [33]. If a divisor D is rigid and smooth, then only two universal fermionic zero modes contribute to the path integral and D-branes wrapped on D contribute to the superpotential with non-vanishing Pfaffian  $\mathcal{A}_D$  [33], see [34, 35] for reviews. Further, for a *pure rigid* divisor D, i.e., divisors for which the intermediate Jacobian is trivial (see e.g. [36, 37]), the Pfaffian prefactors  $\mathcal{A}_D$  are non-zero constants (called *Pfaffian number*) up to warping effects [38–44]. In this work we will only incorporate the contributions of *pure rigid prime toric divisors*, cf. §3.1. This restriction was validated *a posteriori* in each example in [1].

Let us make two remarks. First, in our constructions, we cancel the D7-brane tadpole locally by putting a stack of four D7-branes on top of each O7-plane giving rise to a  $\mathfrak{so}(8)$   $\mathcal{N}=1$  super Yang-Mills theory on that four-cycle, see e.g. [45] for alternatives. Any pure rigid prime toric divisor D therefore has  $c_D=6$  if D hosts an O7-plane and  $c_D=1$  otherwise. Second, it is presently unknown how to compute the Pfaffian numbers  $\mathcal{A}_D$ , see however [46–49] for recent progress. Based on [47], it is convenient to adopt the following normalisation

$$\mathcal{A}_D = \sqrt{\frac{2}{\pi}} \frac{n_D}{4\pi^2},\tag{30}$$

where  $n_D$  is a constant that can be expressed as an integral over worldsheet modes [47, 49]. For concreteness, we will set  $n_D = 1$  for all pure rigid divisors D contributing to (29). For the examples presented in §4, we verified that the de Sitter vacua exist through the full range  $10^{-3} \le n_D \le 10^4$ . While it would be highly exciting to compute  $\mathcal{A}_D$  directly, our ignorance of the actual values of the Pfaffian numbers does not constitute a significant weakness in our setup.

To summarise, the full superpotential in our leading-order EFT is given by

$$W = \sqrt{\frac{2}{\pi}} \vec{\Pi}^{\top} \cdot \Sigma \cdot (\vec{f} - \tau \vec{h}) + \sqrt{\frac{2}{\pi}} \frac{1}{4\pi^2} \sum_{D} e^{-\frac{2\pi}{c_D} T_D}, \qquad (31)$$

where  $\vec{\Pi}$  is computed from (18) in terms of the prepotential (24) and the sum over *D* runs over all pure rigid prime toric divisors.

## 2.3 Towards anti-D3 uplifting

This almost completes our definition of the leading-order EFT. The above data for the Kähler potential (6), the Kähler moduli (13) and the superpotential (31) is sufficient to compute the F-term potential (4) and to find well-controlled supersymmetric  $AdS_4$  vacua of type IIB string theory as constructed in [36]. The goal of this work is instead to obtain de Sitter minima in which supersymmetry is broken by anti-D3-branes sitting at the tip of a Klebanov-Strassler (KS) throat.

Warped KS throats emerge provided that complex structure moduli are stabilised sufficiently close to a conifold locus where  $\langle |z_{cf}| \rangle \ll 1$  [32, 50]. Spacetime-filling anti-D3-branes in the infrared region of these throats provide a positive source of energy possibly uplifting AdS<sub>4</sub> vacua to de Sitter [3], thereby breaking supersymmetry. Including p anti-D3-branes, the full scalar potential reads

$$V = V_F + V^{\text{up}}, \quad V^{\text{up}} = pV_{\overline{D3}} + \Delta V_{(\alpha')^2}^{\overline{D3}} + \dots,$$
 (32)

in terms of the F-term potential  $V_F$  defined in (1) and the new contribution  $V^{\rm up}$  coming from the anti-D3-branes. The leading-order potential  $V_{\overline{D3}}$  was derived by Kachru, Pearson, and Verlinde (KPV) [14] and employed by KKLT to find dS<sub>4</sub> vacua [3]. The potential  $V_{\overline{D3}}$  computed in KPV can be expressed in terms of the Einstein-frame volume  $\mathcal{V}_E$  of X and string-frame volume  $\widetilde{\mathcal{V}}$  of  $\widetilde{X}$  as [3, 14, 51]

$$V_{\overline{D3}} = \frac{c}{V_E^{4/3}}, \quad c = \frac{\eta z_{\text{cf}}^{4/3}}{g_s M^2 \tilde{V}^{2/3}}, \quad \eta \approx 2.6727.$$
 (33)

Sub-leading  $(\alpha')^2$  corrections encoded in  $\Delta V_{(\alpha')^2}^{\overline{D3}}$  have been partially computed in [52–56], but will be neglected subsequently.

For a configuration with p anti-D3-branes at the bottom of a KS throat to be metastable, the KPV analysis [14] to leading order in the  $\alpha'$  expansion leads to the bound  $M/p \gtrsim 12$ . As studied in [52–56], this constraint is modified in the presence of  $\alpha'$  corrections controlled by  $1/(g_sM)$ . In the following, we will focus on configurations with p=1 and require M>12 and  $g_sM\gtrsim 1$ .

This completes our discussion of the leading-order EFT that we use below to explicitly construct *candidate* de Sitter vacua. In the next section, we describe in detail the choices of mirror pairs  $(X, \widetilde{X})$  before describing our numerical search for de Sitter minima in the full potential  $V_F + V_{\overline{D3}}$  obtained by combining the F-term potential (4) with the KPV potential (33).

# 3. Construction and search procedure

Our next task is to find explicit Calabi-Yau compactifications in which all the relevant contributions in our leading-order EFT can be computed. In this section, we summarise the concrete setup and lay out our search procedure to find suitable candidate geometries for our construction.

#### 3.1 Orientifolds from the Kreuzer-Skarke list

Let  $\Delta, \Delta^{\circ} \subset \mathbb{Z}^4$  be a pair of polar dual four-dimensional reflexive polytopes from the Kreuzer-Skarke list [57]. We restrict to the case where both polytopes are *favourable*, see e.g. [58] for definitions. A fine, regular, star triangulation (FRST)  $\mathscr{T}$  of  $\Delta^{\circ}$  defines a toric fan for a four-dimensional toric variety V in which a smooth Calabi-Yau threefold X is realised as the generic anti-canonical hypersurface. The Cox ring is generated by  $h^{1,1}(X) + 4$  toric coordinates  $x_I$ . Prime toric divisors of V are defined as  $\mathscr{D}_I := \{x_I = 0\}$  which descend to prime toric divisors of X through  $D_I := \mathscr{D}_I \cap X$  which generate  $H_4(X, \mathbb{Z})$ .

There are two important requirements on the choice of polytope pairs  $(\Delta, \Delta^{\circ})$ . First, in order to apply the mechanism of [3] to stabilise all  $h^{1,1}(X)$  Kähler moduli  $T_i$ , we need to ensure at least  $h^{1,1}(X)$  contributions in the non-perturbative superpotential (31). For this reason, we restrict our attention to polytopes  $\Delta^{\circ}$  which have at least  $h^{1,1}(X)$  rigid prime toric divisors. Second, the presence of a conifold singularity in X is achieved by shrinking a toric flop curve  $C_{\rm cf}$  in the mirror  $\widetilde{X}$  defined by an FRST  $\widetilde{\mathscr{T}}$  of  $\Delta$ . In this work, we restrict to polytopes  $\Delta$  admitting conifold curves  $C_{\rm cf}$  with GV invariant  $\mathscr{N}_{C_{\rm cf}} = 2$  implying that there are two conifold singularities.

For such Calabi-Yau threefold hypersurfaces X, the methods of [59] allow us to enumerate those holomorphic, isometric involutions  $I: X \to X$  that are inherited from the ambient variety V. We are specifically interested in orientifolds X/I which satisfy  $h_{-}^{1,1} = h_{+}^{2,1} = 0$ . They are obtained from toric varieties V for which the underlying polytope  $\Delta^{\circ}$  is *trilayer* [59]. Indeed, this additional polytope property ensures the existence of a certain toric coordinate, say  $x_1$ , for which the involution of V defined by  $x_1 \to -x_1$  leads to  $h_{-}^{1,1} = h_{+}^{2,1} = 0$ . In what follows, we refer to such orientifolds as *trilayer orientifolds*.

We make sure that the orientifold symmetry  $x_1 \rightarrow -x_1$  exchanges the two conifold singularities. Since there is always a prime toric divisor  $D_{\rm cf} := \{x_{\rm cf} = 0\}$  intersecting the two conifolds, we have to slightly revise our restriction on the number of rigid prime toric divisors from above. This is because a Euclidean D3-brane on  $D_{\rm cf}$  passes through the highly warped throat region and thus its contribution to the non-perturbative superpotential (31) will be suppressed exponentially compared to the other terms. Since this warped Euclidean D3-brane does effectively not contribute to Kähler moduli stabilisation, we need to ensure that there are at least  $h^{1,1}$  rigid prime toric divisors *excluding*  $D_{\rm cf}$  which is necessary for to the existence of a KKLT point.

The D3-brane tadpole (28) for trilayer orientifolds is given by  $Q_{\rm O} = 2 + h^{1,1} + h^{2,1}$ . Typically, the larger  $Q_{\rm O}$ , the richer the underlying search space of flux vacua in flux compactifications on X/I. Thus, it is beneficial to search for polytopes with sufficiently large Hodge numbers. However, since the construction of flux vacua described in §3.2 becomes expensive at large  $h^{1,2} \gtrsim 10$ , we restrict our search to the range  $3 \le h^{2,1} \le 8$ . Even so, the D3-tadpole can be made large  $Q_{\rm O} \ge 100$  provided  $h^{1,1}$  is large. In total, we find 416 Calabi-Yau orientifolds meeting all of the requirements from above, see also Tab. 2.

# 3.2 Selection of fluxes and complex structure moduli stabilisation

Given a choice of Calabi-Yau orientifold X/I and conifold curve  $C_{cf}$ , we now aim at selecting suitable flux vectors  $\vec{f}, \vec{h} \in H^3(X,\mathbb{Z})$  stabilising the complex structure moduli close to the conifold locus, while achieving small  $|W_0|$  [30] and allowing for a single anti-D3-brane by Gauss' law (28).

Let us evaluate the flux superpotential (27) and Kähler potential (6) as an expansion in  $z_{cf}$ . We choose quantised fluxes [30]

$$\vec{f} = (P_0, P_a, 0, M^a)^{\mathsf{T}}, \ \vec{h} = (0, K_a, 0, 0^a).$$
 (34)

Using (24) at leading order in  $z_{cf}$ , the flux superpotential (27) reads

$$\sqrt{\frac{\pi}{2}} \cdot W(z^{\alpha}, z_{\text{cf}}, \tau) = W_{\text{bulk}}(z^{\alpha}, \tau) + z_{\text{cf}} W^{(1)}(z^{\alpha}, z_{\text{cf}}, \tau) + O(z_{\text{cf}}^2), \tag{35}$$

with

$$W_{\text{bulk}}(z^{\alpha}, \tau) = \frac{1}{2} M^{a} \widetilde{\kappa}_{a\beta\gamma} z^{\beta} z^{\gamma} - \tau K_{\alpha} z^{\alpha} + \left( P_{\beta} - M^{a} a_{a\beta} \right) z^{\beta} + \left( P_{0} - \frac{1}{24} M^{a} \widetilde{c}'_{a} \right)$$
$$- \frac{1}{(2\pi)^{2}} \sum_{\tilde{\mathbf{q}} \neq \tilde{\mathbf{q}}_{a\beta}} \mathcal{N}_{\tilde{\mathbf{q}}} \, \tilde{\mathbf{q}}_{a} M^{a} \, \text{Li}_{2}(e^{2\pi i \tilde{\mathbf{q}}_{\alpha} z^{\alpha}}), \tag{36}$$

where we introduced

$$\tilde{c}_a' \coloneqq \tilde{c}_a + n_{\rm cf} \delta_{a,1} \,. \tag{37}$$

To achieve a small value for W in the vacuum, we want to choose the remaining fluxes such that  $W_{\text{bulk}}$  becomes small. This is because  $z_{\text{cf}}W^{(1)}(z^{\alpha}, z_{\text{cf}}, \tau)$  is typically exponentially as we demonstrate further below. Following [30], the choice

$$P_{\beta} = M^a a_{a\beta}, \ P_0 = \frac{1}{24} M^a \tilde{c}'_a$$
 (38)

is convenient since it enforces a cancellation in the polynomial part of the bulk superpotential  $W_{\text{bulk}}$ , thereby making it homogeneous and quadratic in  $(z^{\alpha}, \tau)$  [4].

Next, at linear order in  $z_{cf}$ , we have

$$W^{(1)}(z^{\alpha}, z_{\text{cf}}, \tau) = -M \frac{n_{\text{cf}}}{2\pi i} \left( \log(-2\pi i z_{\text{cf}}) - 1 \right) - \tau K + \widetilde{\kappa}_{1a\gamma} M^{a} z^{\gamma} + P_{1} - a_{1b} M^{b} + \frac{1}{2\pi i} \sum_{\mathbf{q} \neq \tilde{\mathbf{q}}_{cf}} \tilde{\mathbf{q}}_{1}(\tilde{\mathbf{q}}_{a} M^{a}) \mathcal{N}_{\tilde{\mathbf{q}}} \operatorname{Li}_{1}(e^{2\pi i \tilde{\mathbf{q}}_{\alpha} z^{\alpha}}),$$
(39)

where we have defined

$$M := M^1, K := K_1 \tag{40}$$

and the number of conifolds is given by  $n_{\rm cf} = \mathcal{N}_{\tilde{\mathbf{q}}_{\rm cf}}$ . The *F*-flatness condition for the conifold modulus  $z_{\rm cf}$  derived from this leading-order superpotential is satisfied for

$$\langle |z_{\rm cf}| \rangle = \frac{1}{2\pi} \exp\left(-\frac{2\pi K'}{(g_s M) n_{\rm cf}}\right),$$
 (41)

where we introduced

$$K' = K - g_s \widetilde{\kappa}_{1a\beta} M^a \text{Im}(z^{\beta}), \tag{42}$$

neglecting terms of the order  $e^{2\pi i\tilde{q}_{\alpha}z^{\alpha}}$ . Importantly, provided K'/M>0,  $z_{\rm cf}$  is stabilised at exponentially small values giving rise to a warped throat region. Note that  $Q_{\rm flux}^{\rm throat}=K'M>0$  is a measure for the D3-charge from fluxes residing local conifold regions.

Provided that the conifold modulus  $\langle |z_{\rm cf}| \rangle$  as computed from (41) is very small, the *F*-term conditions for the remaining fields  $(z^{\alpha}, \tau)$  can be studied independently of  $z_{\rm cf}$  by working with  $W_{\rm bulk}(z^{\alpha}, \tau)$  in (36) [30], see also [60]. We introduce the quantities

$$N_{\alpha\beta} := M^{\alpha} \kappa_{\alpha\alpha\beta}, \ p^{\alpha} := N^{\alpha\beta} K_{\alpha}.$$
 (43)

The conditions for the solutions proposed in [4, 30] are

$$\det N \neq 0 , \quad \vec{p} \in \mathcal{K}_{cf} , \quad K_{\alpha} p^{\alpha} = 0 , \quad a_{\alpha b} M^b \in \mathbb{Z} , \quad \tilde{c}'_{a} M^a \in 24\mathbb{Z} . \tag{44}$$

Provided that these conditions can be met simultaneously and we momentarily neglect the exponential terms in  $W_{\text{bulk}}$  in (36), the remaining F-term conditions  $D_{\alpha}W = D_{\tau}W = 0$  are satisfied along a one-dimensional locus given by

$$z^{\alpha} = p^{\alpha}\tau. \tag{45}$$

This locus defines a so-called *perturbatively flat vacuum* (PFV) [4] in the presence of a conifold which refer to as a *conifold PFV*.

After integrating out the  $z^{\alpha}$ , we are left with an effective theory for a single complex field  $\tau$ , which we call the PFV effective theory, specified by an effective superpotential

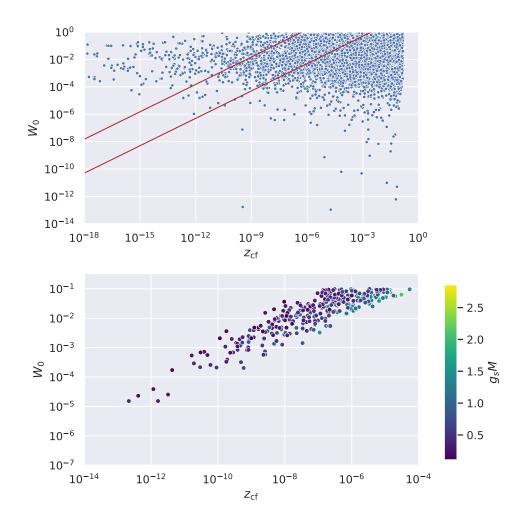
$$W_{\text{bulk}}^{\text{eff}}(\tau) = \sum_{N=1}^{\infty} W_N , \quad W_N := -\frac{1}{(2\pi)^2} \sum_{\mathbf{r}_{\text{cut}}, \tilde{\mathbf{q}} = N} \mathcal{N}_{\tilde{\mathbf{q}}} \, \tilde{\mathbf{q}}_a M^a \operatorname{Li}_2\left(e^{\frac{2\pi i}{r}N\tau}\right). \tag{46}$$

In the PFV effective theory, a supersymmetric minimum for  $\tau$  arises from the racetrack mechanism through the competition of consecutive terms  $W_N$  with hierarchical coefficients. This vacuum specified by  $\langle \tau \rangle_{\text{PFV}}$ ,  $\langle z^{\alpha} \rangle_{\text{PFV}} = p^{\alpha} \langle \tau \rangle_{\text{PFV}}$  in the PFV effective theory serves as an initial guess to numerically find the solutions  $\langle \tau \rangle_{\text{F}}, \langle z^{\alpha} \rangle_{\text{F}}, \langle z_{\text{cf}} \rangle_{\text{F}}$  to the full F-term conditions without making the PFV approximation. For later purposes, we define the VEV of the flux superpotential

$$W_0 := \langle |W_{\text{flux}}| \rangle_{\text{F}} \equiv \left| W_{\text{flux}} \left( \langle \tau \rangle_{\text{F}}, \langle z^{\alpha} \rangle_{\text{F}}, \langle z_{\text{cf}} \rangle_{\text{F}} \right) \right|. \tag{47}$$

Putting everything together, we are now ready to perform a large scale scan for conifold PFVs in the Calabi-Yau orientifolds found in §3.1. A detailed algorithm to find such flux configurations was described in [1] to which we refer for further details.<sup>2</sup> By employing this algorithm, we have constructed 240,480,253 flux choices across the 416 Calabi-Yau orientifolds found in §3.1. In these, we identified 33,371 conifold PFVs with M > 12 and  $-\vec{M} \cdot \vec{K} = Q_O + 2$  which we call *anti-D3-brane PFVs*, see Fig. 2. Out of these, 396 flux vacua are in a parameter regime suitable for the anti-D3 uplift as we will make more precise in the subsequent section, see in particular Eq. (50).

<sup>&</sup>lt;sup>2</sup>See [61–64] for alternative algorithmic strategies to construct flux vacua.



**Figure 2:**  $W_0$  as a function of the conifold modulus  $z_{cf}$  for the 33,371 anti-D3-brane PFVs. In the upper plot, the red lines indicate the alignment bounds  $0.1 \le \Xi \le 10$  as defined below in Eq. (50). In the lower panel, we zoom in on the remaining 396 points satisfying  $0.1 \le \Xi \le 10$  together with  $g_s < 0.4$  and  $W_0 < 0.1$ .

#### 3.3 Kähler moduli stabilisation and uplift

Thus far, we found a supersymmetric minimum for the complex structure moduli and the axio-dilaton from fluxes. Provided that the values for the parameters  $W_0$ ,  $g_s$  and  $\langle z_{cf} \rangle_F$  are small, we can stabilise the remaining Kähler moduli following [3]. That is, we first find a supersymmetric AdS vacuum at a point  $T_{AdS}$  in the Kähler moduli space without the effects from the anti-D3-brane by solving  $D_iW = \partial_iW + \mathcal{K}_iW = 0$  for all Kähler moduli  $T_i$  where the Kähler potential  $\mathcal{K}$  is given by (6). To this end, we evaluate the superpotential (31) using the VEVs for  $z^a$  and  $\tau$  such that

$$W = W_0 + \sqrt{\frac{2}{\pi}} \frac{1}{4\pi^2} \sum_{D} e^{-2\pi T_D/c_D} . {48}$$

We then proceed by first finding a KKLT point  $t^i \in \mathcal{K}_X$  in Kähler moduli space where [36]

$$\operatorname{Re} T_i = \operatorname{Re} T_i^0 := \frac{c_i}{2\pi} \log W_0^{-1}$$
 (49)

Even though the F-terms  $D_iW$  do not vanish at such KKLT points, they serve as good initial guesses for a numerical search for points satisfying  $D_iW = 0$ . Indeed, starting from  $T_i^0$ , we use Newton's method to find the VEVs  $T_i = \langle T_i \rangle_F$  in Kähler moduli space where  $D_iW = 0$ . Such a solution corresponds to a supersymmetric AdS<sub>4</sub> vacuum with all moduli stabilised. Contrary to [36], our examples also possess Klebanov-Strassler throats.

At this point, let us make one conceptual remark. A widespread confusion about the KKLT scenario is the belief that one first needs to construct a fully consistent, physical, supersymmetric AdS<sub>4</sub> vacuum, and then simply adds an anti-D3-brane to achieve uplift to a de Sitter solution. However, this is not possible due to the following simple argument: an anti-D3-brane carries a charge of -1 unit relative to a D3-brane, so the D3-brane tadpole condition (28) differs by one unit between the two configurations, and thus cannot be precisely satisfied in both cases. Even so, the AdS solution — which we shall occasionally refer to as a *AdS precursor* — remains a valuable reference point. In our examples, where the number of moduli is large and the scalar potential exhibits considerable complexity, the approximate solutions derived from the AdS VEVs provide essential starting points for the full analysis.

The final step is to include the anti-D3-brane potential  $V_{\overline{D3}}$  as defined in (33) and use  $T_{\text{AdS}}$  as an initial guess to numerically search for a de Sitter minimum  $T_{\text{dS}}$  of the full potential (32). To avoid inducing a runaway instability through the uplifting term  $V_{\overline{D3}}$  in Eq. (33), we have to ensure that  $V_{\overline{D3}}$  is of the same order as  $\langle V_F \rangle_{\text{AdS}} = -3|W|^2 e^{\mathcal{K}}$ . This leads to the constraint

$$\Xi := \frac{V_{\overline{D3}}}{|V_E|} \approx \frac{|z_{\rm cf}|^{\frac{4}{3}}}{|W_0|^2} \frac{V_E^{\frac{2}{3}} \widetilde{V}^{\frac{1}{3}}}{(g_s M)^2} \cdot \zeta \sim 1, \tag{50}$$

where we introduced the constant  $\zeta \approx 114$  [1]. A (AdS) vacuum satisfying (50) will be called well-aligned. For such AdS vacua, we attempt to find de Sitter critical points by solving

$$\partial_i V = 0 \tag{51}$$

for the full scalar potential V in (32). In this last step, the VEVs for the complex structure moduli and axio-dilaton shift due to the uplift which we carefully take into account.

Condition	Number of configurations	Explanation
$3 \le h^{2,1} \le 8$	202,073 polytopes	<b>§3.1</b>
trilayer, $\Delta$ and $\Delta^\circ$ favorable	3187 polytopes	<b>§3.1</b>
$h^{1,1}$ cuts and $\geq h^{1,1}$ rigid divisors	322 polytopes	§3.1
conifold consistent with KKLT point	416 conifolds	<b>§3.1</b>
fluxes giving conifold PFV	240,480,253 conifold PFVs	§3.2
M > 12; one anti-D3-brane	33,371 anti-D3-brane PFVs	§3.2
de Sitter vacuum	30 de Sitter vacua	§4, Tab. 1

**Table 2:** Number of configurations identified at each stage of the selection procedure. The criteria are applied cumulatively: each row reflects configurations that satisfy all conditions listed in the rows above. We do not enumerate the exponentially large number of inequivalent triangulations of  $\Delta^{\circ}$ , though our analysis permits the exploration of any phase of the extended Kähler cone of  $\Delta^{\circ}$ . As for flux configurations leading to PFVs, the scan was broad in scope, though not fully comprehensive.

## 4. Candidate KKLT de Sitter Vacua

Let us now describe the de Sitter vacua obtained in the leading-order EFT §2 via a large-scale computational search employing the methods described in §3. We collected the results for the various steps laid out in §3 in Tab. 2. As summarised in Tab. 1, we found five distinct compactifications with a total of 30 such vacua. We will describe two of these examples below. Our data and demo notebooks to validate our solutions are publicly available on GitHub.

# **4.1** Example 1: $h^{1,1} = 150$ , $h^{2,1} = 8$

First, we study the polytope  $\Delta$  specified by the vertices

An FRST of  $\Delta$  and of its polar dual  $\Delta^{\circ}$  give rise to a mirror pair of smooth Calabi-Yau threefolds  $(\widetilde{X},X)$  with Hodge numbers  $h^{1,1}(\widetilde{X})=h^{2,1}(X)=8$  and  $h^{2,1}(\widetilde{X})=h^{1,1}(X)=150$ . In a suitable FRST  $\widetilde{\mathscr{T}}$  of  $\Delta$ , there exists a conifold curve with  $n_{\rm cf}=2$  allowing us to engineer a conifold singularity in complex structure moduli space of  $\widetilde{X}$  as described in §2. Using the methods of [59], one easily verifies that there exists a sign-flip orientifold with  $h_-^{1,1}(X/I)=h_+^{2,1}(X/I)=0$  for any such X defined by an FRST of  $\Delta^{\circ}$ . There exist 152 pure rigid prime toric divisors of which 35 host O7-planes that support  $\mathfrak{so}(8)$  stacks.

A conifold PFV is furnished by the following choice of fluxes in  $H^3(X,\mathbb{Z})$  (cf. §3.2)

$$\vec{M} = \begin{pmatrix} 16 & 10 & -26 & 8 & 32 & 30 & 18 & 28 \end{pmatrix}^{\mathsf{T}},\tag{53}$$

$$\vec{K} = \begin{pmatrix} -6 & -1 & 0 & 1 & -3 & 2 & 0 & -1 \end{pmatrix}^{\mathsf{T}},\tag{54}$$

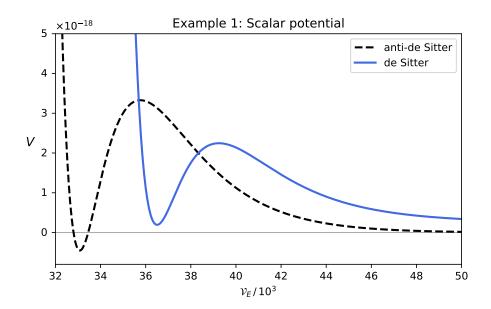


Figure 3: Kähler moduli potential before and after uplift for Example 1 in §4.1.

These flux vectors satisfy  $-\vec{M} \cdot \vec{K} = 162$  which implies that the presence of a single anti-D3-brane is required to satisfy Gauss's law (28). The PFV locus can be found from the leading terms in the effective superpotential (46) which are here given by

$$W_{\text{PFV}} = \frac{1}{\sqrt{8\pi^5}} \left( 14 \, e^{2\pi i \tau \cdot \frac{1}{40}} - 80 \, e^{2\pi i \tau \cdot \frac{2}{40}} + 118 \, e^{2\pi i \tau \cdot \frac{3}{40}} + \dots \right). \tag{55}$$

Numerical minimisation of  $D_{z^a}W_{\text{flux}}$  and  $D_{\tau}W_{\text{flux}}$  with the PFV minimum as a starting guess allows us to find the true *F*-term solutions of the full flux superpotential (35). This leads to

$$g_s = 0.0732$$
,  $z_{cf} = 1.390 \times 10^{-7}$ ,  $W_0 = 0.0103$ , and  $g_s M = 1.171$ . (56)

Following the procedure described in §3, we next stabilise the Kähler moduli. The non-perturbative superpotential (31) receives  $h^{1,1}(X) + 1 = 151$  contributions normalising the Pfaffians as in (30) with  $n_D = 1$ : there are 35 terms from gaugino condensation on  $\mathfrak{so}(8)$  stacks with  $c_D = 6$  and 116 terms from Euclidean D3-branes with  $c_D = 1$ . We neglect the contribution from a single Euclidean D3-brane intersecting the conifold, cf. §3.1. Using the Kähler potential in (6) and holomorphic Kähler coordinates (13), we initially find a candidate AdS minimum  $T_{AdS}$  for the Kähler moduli inside the torically extended Kähler cone of  $\Delta^{\circ}$  shown as the black line in Fig. 3. At the point  $T_{AdS}$ , the  $\alpha'$ -corrected string-frame volume  $\mathcal{V}$  and Einstein-frame volume  $\mathcal{V}_E$  are

$$\mathcal{V} = \mathcal{V}^{(0)} + \delta \mathcal{V}_{\alpha'^3} + \delta \mathcal{V}_{WSI} = 665.45 - 0.34 - 0.58 = 664.53, \quad \mathcal{V}_E = 3.30 \times 10^4.$$
 (57)

Next, we incorporate supersymmetry breaking by adding the anti-D3 brane potential (33) and iteratively uplift the Kähler and complex structure moduli as discussed in §3.3. This process leads to a candidate de Sitter vacuum at  $T_{\rm dS}$  in Kähler moduli space shown in Fig. 3 with

$$V_{\rm dS} = +1.937 \times 10^{-19} M_{\rm pl}^4, \tag{58}$$

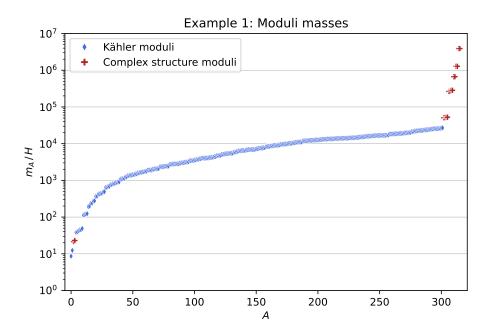


Figure 4: Plot of the moduli masses in the de Sitter vacuum discussed in §4.1.

and the complex structure parameters

$$g_s = 0.0657$$
,  $W_0 = 0.0115$ ,  $z_{cf} = 2.822 \times 10^{-8}$ ,  $g_s M = 1.051$ . (59)

The  $\alpha'$ -corrected string-frame and Einstein-frame volumes are given by

$$\mathcal{V} = \mathcal{V}^{(0)} + \delta \mathcal{V}_{\alpha'^3} + \delta \mathcal{V}_{WSI} = 614.83 - 0.34 - 0.58 = 613.91, \quad \mathcal{V}_E \approx 3.65 \times 10^4.$$
 (60)

The mass spectra of the moduli is shown in Fig. 4 in terms of the Hubble scale,

$$H_{\rm dS} = \sqrt{\frac{1}{3}V_{\rm dS}} = 2.5 \times 10^{-10} M_{\rm pl} \,.$$
 (61)

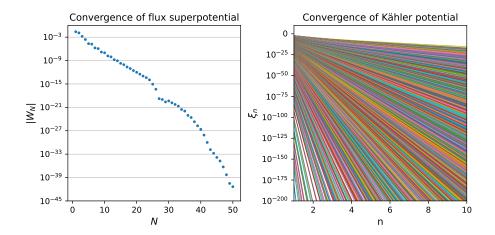
The lightest mode, corresponding to a Kähler modulus, has mass

$$m_{\min} = 8.616 H_{\rm dS} \,. \tag{62}$$

Let us check that the truncations made in the above minimum are consistent. To begin with, we recall from (46) that the flux superpotential effectively corresponds to a sum over mirror worldsheet instantons. Convergence can be easily demonstrated by plotting the individual summands  $|W_N|$  defined in Eq. (46) which is shown on the left-hand side of Fig. 5. Similarly, to validate the convergence of worldsheet instantons contributing to the Kähler potential (6) and the Kähler moduli (13), we compiled a sample of 2,643 random potent rays inside low-dimensional faces of the Mori cone  $\mathcal{M}_X$  of X. For each such ray  $\{nC \mid n \in \mathbb{Z}_+\}$  from a curve  $C \in \mathcal{M}_X$  with  $\mathbf{q} \in \mathcal{M}_X \cap H_2(X,\mathbb{Z})$ , we calculate the quantity

$$\xi_n(\mathbf{t}, C) := \left| \mathcal{N}_{n\mathbf{q}} e^{-2\pi n \, \mathbf{q} \cdot \mathbf{t}} \right|. \tag{63}$$

We plot the result on the right-hand side of Fig. 5 which demonstrates that the corrections (63) are exponentially decaying with n.



**Figure 5:** Convergence checks for Example 1 in §4.1. *Left:* The various superpotential terms (46) converge for the bulk complex structure moduli  $z^{\alpha}$  in the dS minimum *Right:* Worldsheet instanton contributions from a random sample of 2,643 potent rays spanning a 144-dimensional sub-cone of the Mori cone  $\mathcal{M}_X$  of X.

# **4.2** Example 4: $h^{1,1} = 93$ , $h^{2,1} = 5$

Next, we study the polytope  $\Delta$  specified by the vertices

An FRST of  $\Delta$  and of its polar dual  $\Delta^{\circ}$  give rise to a mirror pair of smooth Calabi-Yau threefolds  $(\widetilde{X},X)$  with Hodge numbers  $h^{1,1}(\widetilde{X}) = h^{2,1}(X) = 5$  and  $h^{2,1}(\widetilde{X}) = h^{1,1}(X) = 93$ . In a suitable FRST  $\widetilde{\mathcal{T}}$  of  $\Delta$ , there exists a conifold curve with  $n_{\rm cf} = 2$  allowing us to engineer a conifold singularity in complex structure moduli space of  $\widetilde{X}$  as described in §2. Using the methods of [59], one easily verifies that there exists a sign-flip orientifold with  $h_-^{1,1}(X/\mathcal{I}) = h_+^{2,1}(X/\mathcal{I}) = 0$  for any such X defined by an FRST of  $\Delta^{\circ}$ . There exist 96 pure rigid prime toric divisors of which 21 host O7-planes that support  $\mathfrak{so}(8)$  stacks.

In the relevant FRST  $\widetilde{\mathcal{T}}$  of  $\Delta$  specified in the ancillary files in the GitHub repository, we found a conifold PFV given by the vectors

$$\vec{M} = \begin{pmatrix} 20 & 4 & 8 & -18 & -20 \end{pmatrix}^{\mathsf{T}} \,, \tag{65}$$

$$\vec{K} = \begin{pmatrix} -5 & -1 & 0 & 1 & -1 \end{pmatrix}^{\mathsf{T}},\tag{66}$$

with  $-\vec{M} \cdot \vec{K} = 102$  necessitating a single anti-D3-brane to satisfy Gauss's law (28). In this case, we obtain a solution to the *F*-term conditions  $D_{z^a}W = D_{\tau}W = 0$  with parameters

$$g_s = 0.0410$$
,  $z_{cf} = 2.369 \times 10^{-6}$ ,  $W_0 = 0.0525$ , and  $g_s M = 0.821$ . (67)

In contrast to the previous example in §4.1, we find 36 KKLT points in this compactification which leads to 29 AdS precursors. Focusing on a single AdS precursor from this list, we compute

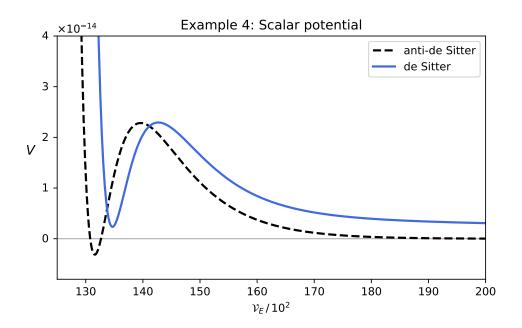


Figure 6: Kähler moduli potential before and after uplift for Example 4 in §4.2.

the corrected Einstein frame volume in the minimum

$$V_E = 1.310 \times 10^4 \,. \tag{68}$$

Including the anti-D3-brane, we find a de Sitter vacuum with vacuum energy

$$V_{\rm dS} = +2.341 \times 10^{-15} \,M_{\rm pl}^4 \,. \tag{69}$$

The parameters in the non-supersymmetric minimum are given by

$$g_s = 0.0404$$
,  $z_{cf} = 1.965 \times 10^{-6}$ ,  $W_0 = 0.0539$ , and  $g_s M = 0.808$ . (70)

At the minimum  $T_{dS}$  for the Kähler moduli, the fully corrected Einstein frame volume is given by

$$V_E = 1.340 \times 10^4 \,. \tag{71}$$

The scalar potential for the Kähler moduli before and after uplift is shown in Fig. 6. As shown in Fig. 7, the vacuum is free of tachyons with the smallest modulus mass corresponding to

$$m_{\min} = 26.157 \, H_{\rm dS} \,.$$
 (72)

For the convergence tests, we refer to [1].

In addition to the de Sitter vacuum presented above, there are 28 additional AdS precursors of which 21 lead to additional de Sitter vacua. The vacuum energy and Einstein frame volumes for these solutions are shown in Fig. 8.

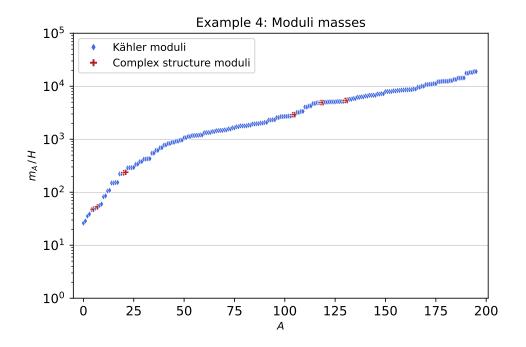
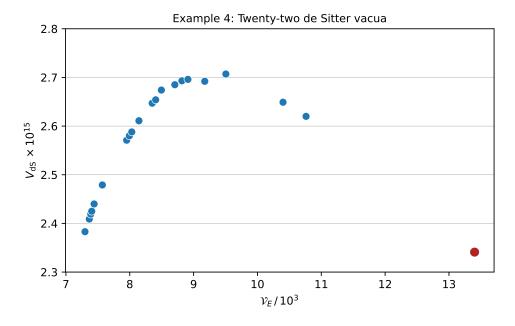


Figure 7: Plot of the moduli masses in the de Sitter vacuum discussed in §4.2.



**Figure 8:** For the same choice of flux quanta (65), we found 21 additional de Sitter vacua (blue dots) in the extended Kähler cone. The de Sitter solution discussed in the main text corresponds to the red dot.

# 5. Conclusions

In our search for de Sitter vacua of string theory, we obtained 100 million type IIB flux compactifications on Calabi-Yau orientifolds out of which 33,371 featured a metastable anti-D3-brane and a Klebanov-Strassler throat [14]. Ultimately, following [3], we isolated five compactifications exhibiting local minima of the moduli potential with positive vacuum energy. They constitute *candidate* de Sitter vacua of string theory, insofar as the effective theory in which our analysis was performed uses certain approximations: we worked at string tree level but to all orders in  $\alpha'$  in the closed string sector, whilst we retained only the leading order in both expansions for the anti-D3-brane potential [14].

The vacuum structure in our models is governed by non-perturbative effects: worldsheet instanton corrections to the Kähler potential as well as Euclidean D3-brane contributions to the superpotential. Here, only the Pfaffian numbers remained as the only undetermined parameters in the leading-order effective theory. Significant advances in several areas are prerequisites to decide whether the solutions presented here correspond to genuine de Sitter vacua of string theory. In particular, it will be necessary to compute the corresponding warped metric, string loop corrections to the Kähler coordinates and the Kähler potential in Calabi-Yau orientifolds [65–67], and  $\alpha'$  corrections to the potential of anti-D3-branes in warped throats [52–56], see e.g. [68] for promising directions using string field theory.

Even in the absence of major conceptual breakthroughs in string theory, meaningful progress can still be made through large-scale computational methods. In this initial study, we restricted our scan to cases with  $h^{2,1} \leq 8$ , a choice motivated by the need to keep computational demands tractable. However, this limitation excludes the vast majority of the landscape of flux vacua associated with toric Calabi–Yau hypersurfaces. The solutions identified here thus represent only a preliminary exploration of what is likely to be a vastly richer structure within the Kreuzer-Skarke dataset. In particular, we note that numerous polytopes within the Kreuzer-Skarke list exhibit significantly larger values of  $Q_0$  than those considered in our analysis, and we anticipate that these will offer especially promising directions for further study. That said, the computational undertaking involved in this work was already considerable: the construction of over 100 million flux vacua required approximately 50 core-years of processing time. Extending the search to models with larger  $h^{2,1}$  would demand both the creation of new algorithmic strategies like the ones described in [61, 64] and access to computational resources well beyond the capacity of modest-scale clusters.

The compactifications developed in this work are intended to serve as a concrete testing ground for exploring the numerous outstanding questions in the field. We envisage that these constructions will provide a useful foundation for advancing the understanding of vacuum structures arising from string theory.

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